

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
28 Advisory Limited	BPW829	Licensed Corporation	4, 9
Alpha Asset Management (HK) Limited	BPQ805	Licensed Corporation	4, 9
Alpha Intelligence Core Limited	BPX259	Licensed Corporation	4, 9
Asia Alpha Systems Limited	BPF261	Licensed Corporation	9
Forte Securities (Asia) Limited	BPT361	Licensed Corporation	1, 2
Hectoron Global Capital Management Limited	BNK363	Licensed Corporation	1, 4, 9
Leucadia Asset Management Limited	BPV066	Licensed Corporation	9
Oasis Power Management Limited	BPS170	Licensed Corporation	9
OceanVast Capital Limited	BPT399	Licensed Corporation	4, 9
Rhee Capital Limited	BPY306	Licensed Corporation	9
AASHOUR Mathew Ramsey	BQF305	Licensed Representative	9
ACKERMANN Thorsten Oliver	BQE746	Licensed Representative	1
AIYAPPAN Ashwin	BLZ384	Licensed Representative	1, 4, 9
ARAYA OLIVARES Rafaello Javier	BPW896	Licensed Representative	1, 4, 9
AU Chi On, Paul	ALZ783	Licensed Representative	1, 4
AU YEUNG Yin Yi	BQJ706	Licensed Representative	1, 6
BARTLESON III Edward Charles	BQD281	Licensed Representative	1, 4
BEHARIE Sacha Alexander	BAH589	Licensed Representative	1, 4
BENJAMIN Marc David	BQF774	Licensed Representative	1, 2
BLANCHARD Scott Allen	ADT574	Licensed Representative	1, 4
BROOKE Charles Stephen Anthony	BQE331	Licensed Representative	1, 4, 9
CAI Zhiwei	BQF064	Licensed Representative	6
CARVALHO FURQUIM Lucas Henrique	BNJ260	Licensed Representative	1, 2
CAUZOT Maxime Olivier	BQC232	Licensed Representative	1, 2
CHAK Kwai Ting John	BQH314	Licensed Representative	1
CHAK Wing Yiu Philip Junior	BCN795	Licensed Representative	9
CHAN Chak Man	BQG618	Licensed Representative	4, 5, 9
CHAN Chi Ping	BQI566	Licensed Representative	1, 2, 3, 4
CHAN Ho Yee	BQE384	Licensed Representative	1, 4, 9
CHAN Ho Yin	BQE041	Licensed Representative	1, 4
CHAN Ka Chun Kenneth	BQG834	Licensed Representative	1, 4
CHAN Kevin Felix	ARH113	Licensed Representative	9
CHAN Ming Lun	BQG762	Licensed Representative	1
CHAN Ngai Tan	BQG443	Licensed Representative	1
CHAN Pui Pui, Peggy	BQC641	Licensed Representative	1, 4, 9
CHAN Siu Fai, Victor	BQG619	Licensed Representative	1, 4
CHAN Sybil Chiu Tsui	BQI781	Licensed Representative	1, 4
CHAN WING CHEONG STANLEY	BKS443	Licensed Representative	1, 4, 9
CHAN Yat Hei	BNG675	Licensed Representative	1, 4, 9
CHAN Yee Hang Alice	BQG595	Licensed Representative	1
CHAN Yi Man Naomi	BQG706	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Yik Chun	BQF137	Licensed Representative	1, 2
CHANG Pui Chun Jenny	ARU007	Licensed Representative	1, 2
CHANG Tsun Wai Adrian	BAL577	Licensed Representative	1, 4
CHAU Chung Yan	BPT380	Licensed Representative	1, 2
CHAU Yiu Tak James	BQE790	Licensed Representative	1, 2
CHEN Chen	BQG406	Licensed Representative	1, 6
CHEN Eric Kay	ANT456	Licensed Representative	1, 4, 6
CHEN Ge	AMO864	Licensed Representative	6
CHEN Jiajing	BQG630	Licensed Representative	1
CHEN Jianfeng Peter	BHM019	Licensed Representative	1, 4, 9
CHEN Jiangfei	BQF976	Licensed Representative	1, 4, 9
CHEN Rui	BQG839	Licensed Representative	9
CHEN Suihao	BQF125	Licensed Representative	1, 4, 9
CHEN Weiyi	BQF123	Licensed Representative	1, 4, 9
CHEN Yu	BLW740	Licensed Representative	4
CHEN Yucong	BQE111	Licensed Representative	4
CHENET Thomas	BQF142	Licensed Representative	1, 2, 7
CHENG Kai Him	BBP547	Licensed Representative	1, 4
CHENG Nicholas Lok-hei	BQE174	Licensed Representative	1
CHENG Yu Chieh	BQG737	Licensed Representative	1, 2
CHENG Yuen Ting	BQF458	Licensed Representative	1
CHEON Sangwon	BQG942	Licensed Representative	1, 2, 4
CHEUNG Chi Hang	BQH324	Licensed Representative	1, 4
CHEUNG Christopher Ka Tsun	AFY937	Licensed Representative	1, 4, 9
CHEUNG Hoi Ki	BQF263	Licensed Representative	1, 2
CHEUNG Ming Ming	BHL200	Licensed Representative	4, 5, 9
CHEUNG Nga Ying	AQI265	Licensed Representative	1, 4
CHEUNG Pui Pui	BQE749	Licensed Representative	1
CHEUNG Tsz Wah, Christine	BQG302	Licensed Representative	6
CHEUNG Yiu Kay	AFK180	Licensed Representative	1, 2
CHING Po Yin	BFS735	Licensed Representative	1, 4
CHIU Ki	BQE371	Licensed Representative	4, 9
CHO Chun Yin Terence	BQG616	Licensed Representative	1
CHOI Heung Kam, Iris	AXR109	Licensed Representative	1, 4
CHOI Hyung-Jin	BQG332	Licensed Representative	1, 4, 5
CHOI Ning Yi	BQG617	Licensed Representative	1, 4
CHOI Sijin	BIQ117	Licensed Representative	4, 9
CHOI Wing Chung	BQI320	Licensed Representative	1, 4, 9
CHOI Yau Sun	BQC114	Licensed Representative	1, 4
CHOI Yong Min	BPY423	Licensed Representative	1
CHOU Michael	BBW923	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Ka Lun	BQF573	Licensed Representative	9
CHOW Oi Ki	BQE977	Licensed Representative	1
CHU Gavin Shun-Chiu	APQ060	Licensed Representative	1, 4
CHU Kin Fun, John	AEE801	Licensed Representative	1, 4
CHUA Han Shen	BQF018	Licensed Representative	4, 9
DA ROCHA DE CASTRO E CORTE REAL Miguel Jose	ARW732	Licensed Representative	9
DALTON Kurt Evan	AWK466	Licensed Representative	1
DAVIES Maximilian Toby George	BQG780	Licensed Representative	1, 4
DEL VILLAR DURA Yago Guillermo	BQG025	Licensed Representative	1, 4, 9
DENG Xiang	BQG224	Licensed Representative	1, 4, 6
DING Xipu	BQD388	Licensed Representative	1, 4
DONG Hang	BQE569	Licensed Representative	1, 4, 6
DONG Xiaonan	BQC216	Licensed Representative	1, 6
DONG Xiaoyue	BQF448	Licensed Representative	4, 5, 9
DU Yun	BQG409	Licensed Representative	4
DUNDA Stanley Abdoel Rasid	AEE724	Licensed Representative	1
ERB Eric Thomas	BQE052	Licensed Representative	1
FANG Wenyun	BPJ883	Licensed Representative	1, 6
FERGUSON Mark David Corson	BCY149	Licensed Representative	1, 6
FOK Kam Kong	BJD167	Licensed Representative	1, 2
FU Hsu Cheng	BKH801	Licensed Representative	9
FUNG Ka Po	BIN307	Licensed Representative	1
FUNG Wing Yan	BQH319	Licensed Representative	6
GAIO Alexandre Gilbert Gerard	BQC467	Licensed Representative	1, 2
GAO Jing	BQF078	Licensed Representative	1, 6
GAO Weihua	BQF925	Licensed Representative	1, 4, 6
GILLET Emmanuel Jean Joseph	BQG644	Licensed Representative	1, 4
GLEESON James Anthony	AHT088	Licensed Representative	1, 2
GONG Xiaoyuan	BQF299	Licensed Representative	1, 6
GRIDNEVA Elizaveta	BQF598	Licensed Representative	1, 2
GUI Xiaofang	BQF073	Licensed Representative	6
GUO Chao	BQF967	Licensed Representative	1
GUO Jiangxue	BQC456	Licensed Representative	9
GUO Li	BKB774	Licensed Representative	1, 2
GUO Peijie	BQH234	Licensed Representative	4, 9
GUO Qianyin	BOR360	Licensed Representative	1, 4, 9
GUPTA Vikash	BPY677	Licensed Representative	9
HAN Raymond Rui	BQF226	Licensed Representative	1, 4, 6
HAN Tianyi	BQH352	Licensed Representative	1, 4, 9
HARLEY Samuel	BPW536	Licensed Representative	4, 9
HAZARI Hamzah Aziz	BPJ387	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
HE Hanjing	BQE836	Licensed Representative	1, 4
HE Rui	BQF487	Licensed Representative	1, 4, 6
HE Yingqing	BQF095	Licensed Representative	6
HENDERSON Paul Ifedayo	BQG734	Licensed Representative	1, 2, 6
HIBBERD Sydney Xu Xi	BQD352	Licensed Representative	1, 4
HO Ka Hang	ANH116	Licensed Representative	1, 4
HO Lai Shan	BQF885	Licensed Representative	1
HO Tung Leung	BQA766	Licensed Representative	1, 4, 9
HO Wai Hon	BQF616	Licensed Representative	1
HOANG Hai-an Rolland	BQM307	Licensed Representative	1, 4
HOLLAND Mark Thomas	ALW180	Licensed Representative	1
HONG Huiyao	BQC411	Licensed Representative	1, 6
HOSOYA Takafumi	BQG387	Licensed Representative	9
HSIEH Sheng Ta	BPY285	Licensed Representative	1
HU Mengnan	BQF156	Licensed Representative	1, 2
HUANG Che-Kuan	BQG162	Licensed Representative	9
HUANG Chung En	AWW100	Licensed Representative	1, 4, 9
HUANG Li	BQG614	Licensed Representative	4, 9
HUANG Xian Jia	AQH654	Licensed Representative	4, 9
HUANG Yao-shin	BQF229	Licensed Representative	1, 4, 6
HUANG Yuxin	BQC410	Licensed Representative	1, 6
HUI Suet Wah	BQF758	Licensed Representative	1, 2
HUI Tin Kay	AFS483	Licensed Representative	1, 4, 9
JIAN Yufei	BQF415	Licensed Representative	1, 6
JIANG Renzhe	BQG290	Licensed Representative	1, 4, 6
JIANG Wanning	BMH757	Licensed Representative	9
JIANG Xuefeng	BNN572	Licensed Representative	4
JIANG Yongshan	BQG265	Licensed Representative	1, 4
JIANG Yulan	BPY858	Licensed Representative	1, 6
JIANG Zhuo	BQG880	Licensed Representative	1, 4, 9
JONES Edward Harry James	AVV550	Licensed Representative	1
KANKIPATI Ravi Thimma Raju	BEV954	Licensed Representative	1, 4
KATO Akira	BPY006	Licensed Representative	1
KEI Shing Cho	BQE540	Licensed Representative	1, 4, 6
KENT William Angus	ABA456	Licensed Representative	1
KHOO Terence Boo Kheng	ACZ723	Licensed Representative	9
KIM Insik	BQG738	Licensed Representative	1
KINOSHITA Taro	BQD545	Licensed Representative	1
KO Tsun Hei	BQG422	Licensed Representative	1, 2
KONG Yau Sen	BQG260	Licensed Representative	1, 2
KUNG Chen Hua	BQG291	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWAK Dae Young	BQI742	Licensed Representative	9
KWAN Kai Ho	BQI174	Licensed Representative	1
KWOK Wing Yan	BQL617	Licensed Representative	4, 9
KWOK Yin Fun	BPW820	Licensed Representative	1
KWONG Joanne Ting	ARN436	Licensed Representative	1, 4
KWONG Wang Pok	AAD943	Licensed Representative	1, 4, 9
LAI Chi Ngai	BQI386	Licensed Representative	1, 2
LAI Ching Chung	BQM679	Licensed Representative	4, 9
LAI Ka On	BQJ915	Licensed Representative	1, 2
LAI King Kai	AHC350	Licensed Representative	1, 4
LAI Wai Hin	BQG622	Licensed Representative	1
LAI Yongyin	BQG280	Licensed Representative	4, 9
LAI Yuen Chun	BQF957	Licensed Representative	1, 2
LAM Chi Ming	AZJ283	Licensed Representative	1, 4
LAM Lok Cham	BQG182	Licensed Representative	1
LAM Jarvis Chun Hang	BQG739	Licensed Representative	4
LAM Ka Yiu	BQG360	Licensed Representative	1, 4, 6
LAM Kin Chun	BEJ631	Licensed Representative	1, 4
LAM Kwan Wai	BQG523	Licensed Representative	1, 6
LAM Lorraine Sien-yue	AOY223	Licensed Representative	1, 4, 9
LAM Sze Ki, Eudora	BQE427	Licensed Representative	1, 4
LAM Tung Lui, Nana	All654	Licensed Representative	4, 9
LAM Wai Wang	BQF401	Licensed Representative	1, 2
LAM Yuk Hang	BQH374	Licensed Representative	1, 2
LAN Shan	BQE747	Licensed Representative	4
LAU Ho Wang	BQE117	Licensed Representative	1
LAU Ka Yan	BQF892	Licensed Representative	1, 2
LAU Kai Lam	BQB011	Licensed Representative	4, 9
LAU Kin Bong, Joe	AFO311	Licensed Representative	4, 9
LAU Shuk Man	BQG507	Licensed Representative	1
LAU Wai Lun	BQD589	Licensed Representative	1
LAU Wai Yin Brian	BQG671	Licensed Representative	9
LAU Yin Shan	BQJ914	Licensed Representative	1, 2
LAW Lap Kuen	BQG068	Licensed Representative	1, 4
LEE Brian Henry	BQC264	Licensed Representative	1
LEE Choe	BQI459	Licensed Representative	1, 4
LEE Chun Lung	BQF283	Licensed Representative	1
LEE Janice Yuan Rong	AWH075	Licensed Representative	4, 9
LEE Shen Yi Ada	BBG810	Licensed Representative	9
LEE Trevor Cheuk Him	BQF161	Licensed Representative	1, 4, 9
LEE Yat Hin	BPR454	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEI Xuan	BPN630	Licensed Representative	1, 4, 9
LEONG Yui Ern	BQE968	Licensed Representative	1, 4
LEUNG Man Hei	BQI135	Licensed Representative	1, 2
LEUNG Tak Wing	BQF271	Licensed Representative	1, 6
LEUNG TSZ KIN	BQD099	Licensed Representative	1
LEUNG Yuk Ching, Grace	ASD886	Licensed Representative	1, 4
LEW Lok Sun	BQK849	Licensed Representative	1, 2
LI Hemin	BQG067	Licensed Representative	1, 4
LI Hongchao	BQF103	Licensed Representative	6
LI Jiasen	BQG128	Licensed Representative	1, 6
LI Li	BIR520	Licensed Representative	1, 4, 6
LI Lingfang	BQE751	Licensed Representative	1
LI Mengning	BQE970	Licensed Representative	6
LI Rujing	BQG556	Licensed Representative	1
LI Tao	BQF980	Licensed Representative	1, 4, 6
LI Tsz Kiu Cherry	BQB524	Licensed Representative	1, 4
LI Xinpeng	BQG642	Licensed Representative	1, 9
LI Xinwei	BQJ722	Licensed Representative	1, 2
LI Yani	BQF223	Licensed Representative	1, 4, 6
LI Yanping	BQI173	Licensed Representative	1, 2
LI Yeuk Kuen	BHP147	Licensed Representative	1, 4, 9
LI Yinkang	BQE960	Licensed Representative	1, 6
LI Yuk Ting Claudia	BQG247	Licensed Representative	1, 2, 4, 9
LI Zhongnan	BQF456	Licensed Representative	1, 4, 6
LI Zhou	BQG370	Licensed Representative	4
LI Zongwei	BPX927	Licensed Representative	1, 6
LIANG Junyao	BQI440	Licensed Representative	1, 4
LIANG Rebecca Yue	BQI176	Licensed Representative	1, 4
LIANG Xiaotong	BQE804	Licensed Representative	9
LIANG Yu	BQF629	Licensed Representative	1, 4
LIAO Jieke	BQG620	Licensed Representative	1, 4
LIN Kana	BDO347	Licensed Representative	4, 5, 9
LIN Susan Shan-ju	AOG287	Licensed Representative	1
LING Wai Kwun	BQE969	Licensed Representative	1
LIO Ka Wai	BQB863	Licensed Representative	1, 4, 9
LIU CHAN	BQE822	Licensed Representative	1, 4
LIU Chung Tong	AUK189	Licensed Representative	1
LIU Dan	BPW745	Licensed Representative	1
LIU Ka Nam	BQF276	Licensed Representative	9
LIU Kui	BQG668	Licensed Representative	1
LIU Ting	BOL066	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Xing Ling	AKJ153	Licensed Representative	1
LIU Xinyi	BQE141	Licensed Representative	4, 9
LIU Zi Yue	BPY505	Licensed Representative	1, 6
LO Ka Ming	BQG358	Licensed Representative	1, 2
LOK Hiu Shan Clarence	BQA738	Licensed Representative	1
LOO Brandon Dominic	BQE538	Licensed Representative	1, 4, 6
LU Kebin	BQH117	Licensed Representative	1, 2
LU Yufeng	BGF969	Licensed Representative	1, 4, 6
LUI Leslie	BQG251	Licensed Representative	1, 2
LUI Siu Fu	BQG665	Licensed Representative	1, 4
LUK Michael Wai Hoe	AFS485	Licensed Representative	1, 4
LUO Jie	BQC686	Licensed Representative	1, 4
LUO Qianxuan	BQF289	Licensed Representative	1, 4, 9
MA Hiu Kwan	BQE393	Licensed Representative	1
MA Qi	BQG684	Licensed Representative	1
MAN Hei Yin Natalie	BPY382	Licensed Representative	1
MANN Gregory James	ARR531	Licensed Representative	1
MAO Yue	BQF077	Licensed Representative	1, 6
MATTOLI Enrico Andrea	ASD757	Licensed Representative	6
MC DERMOTT Toni Frances	BPR462	Licensed Representative	1, 6
MEI Xin	BQE385	Licensed Representative	4
MENG Fanzhong	BQG081	Licensed Representative	1, 6
MENG Jing	BPC701	Licensed Representative	1, 2
METHAJARUNON Kasidit	BEZ233	Licensed Representative	9
MIU Ki Wai	ARW795	Licensed Representative	4, 9
MO Ka Lun	BQG256	Licensed Representative	1, 4
MOK Hoi Ming, Herman	BQG131	Licensed Representative	1, 4
MOK Lincoln Ka Kei	BQI318	Licensed Representative	1, 2, 9
MORITA Sergio Takeo	ATL817	Licensed Representative	1, 4
NG Cheuk Yiu	BQF002	Licensed Representative	1, 2
NG Chi Hung	BOJ714	Licensed Representative	1, 4
NG Chung Yuen	BQG615	Licensed Representative	1
NG Tsz Kin	AZD014	Licensed Representative	4, 5, 9
NG Wai Kie	ATV597	Licensed Representative	4, 9
NG Wai Lam William	BQG263	Licensed Representative	1, 4
NGAI Hon Man	BQJ844	Licensed Representative	1, 4, 9
NI Zhangting	BQF087	Licensed Representative	1, 4, 6
NIE Shuyue	BQE181	Licensed Representative	1, 4
NIE ZHAOYI	BQH122	Licensed Representative	4
NISHBALL Daniel Aaron Basa	ATL586	Licensed Representative	9
NIU Zhuolin	BQG669	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
OR Felix	ADH585	Licensed Representative	1, 2, 7
OUYANG Hongyi	BQG420	Licensed Representative	1, 6
PAI Vivek Mangalore	BQG964	Licensed Representative	9
PAN Baodong	BQI506	Licensed Representative	1, 2
PANG Pui Yue	BOA514	Licensed Representative	6
PANG Xinyi	BLQ513	Licensed Representative	4
PANUPORNPRAPONG Vipaporn Margaret	AVG599	Licensed Representative	1
PARK Sang Joon	BQG563	Licensed Representative	1, 4, 9
PARK Yoonjin	BQG961	Licensed Representative	1, 4
PEEL Edward James Willoughby	AYS709	Licensed Representative	1, 4
PENG Sihao	BQI033	Licensed Representative	6
PERETTI Andrew	BQF292	Licensed Representative	1, 2, 9
POON Cheuk Nam, Miranda	AFD430	Licensed Representative	1, 4
POON Wing Man Katie	BQE177	Licensed Representative	1, 4
PU Ruihang	BQE570	Licensed Representative	1, 4, 6
QI Fei	BQG898	Licensed Representative	4, 5, 9
QIAN Fangzheng	BQJ978	Licensed Representative	1, 2
QIU FANGQIAN	BQH967	Licensed Representative	1, 4
QIU Zhongtian	BQE723	Licensed Representative	1, 2
QU Xuanbai	BNY894	Licensed Representative	9
QU Yang	BQG083	Licensed Representative	1, 6
RAI NEEL KALRA	BQE377	Licensed Representative	9
RAKE Brendan Thomas	AJD854	Licensed Representative	1
RAMCHANDANI Krisch Rajesh	BQG313	Licensed Representative	4
REID Sharon Margaret	BPI625	Licensed Representative	1, 4
RELO Xavier	BQC398	Licensed Representative	1, 2
ROBERTS Alistair Charles Alton	AVU825	Licensed Representative	1, 4
RONG Xiaolong	BQF454	Licensed Representative	1, 4, 6
SANG Zijing	BIX210	Licensed Representative	4, 5, 9
SANNE Herman Michael	BQG532	Licensed Representative	1
SEO Yeunho	BQM300	Licensed Representative	1, 4
SHANG Yong	BKB392	Licensed Representative	4, 5, 9
SHAO Jin	BQH142	Licensed Representative	4, 9
SHAO Ye	BQC703	Licensed Representative	1, 6
SHAO Yunxiao	BQF158	Licensed Representative	1, 2
SHEFFIELD Samuel Thomas	BQE109	Licensed Representative	1
SHEN Xiaolei	BIE109	Licensed Representative	4, 9
SHI Guoping	BQC070	Licensed Representative	1, 4, 6
SHI Xinyu	BQF455	Licensed Representative	1, 4, 6
SHING Yee Sun Jayson	BQE372	Licensed Representative	1
SHISHKOV Svetoslav Dimitrov	BQH327	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHIU Yau Wah	AFP821	Licensed Representative	1, 2, 4
SINGH Amit Kishan	BPX348	Licensed Representative	1, 4
SIRVINT Michael David	BEQ574	Licensed Representative	1
SO Chun Wah Jimmy	BQG079	Licensed Representative	1, 4
SOLOVEV Aleksei	BQF356	Licensed Representative	1, 2, 7
SONG Weishi	BQG075	Licensed Representative	1, 4
SONG Wenqian	BQG888	Licensed Representative	1, 4, 6
STEMPIEN Jan Pawel	BQI010	Licensed Representative	4
SU Pan	BDE650	Licensed Representative	1, 6
SUEN Lok Ho, Howard	BQG764	Licensed Representative	1, 4, 9
SUH Jungwon	BAV645	Licensed Representative	9
SUN Runke	BQI103	Licensed Representative	1
SUN Tiffany Oi Ying	BQI807	Licensed Representative	4, 5, 9
SUN Yi	BCC489	Licensed Representative	1, 4
SUN Yilin	BQG070	Licensed Representative	1, 6
TAI Luen Kam	AMF607	Licensed Representative	1
TAI Wai See, Germaine	AMC821	Licensed Representative	4
TAM Weng U Deolinda	BQI564	Licensed Representative	1, 2, 3
TAN Yat Quan	AMW935	Licensed Representative	1, 4
TANG Hoi Ying	AUI740	Licensed Representative	4, 9
TANG Junyi	BQG754	Licensed Representative	1, 6
TANG Pui Yip	BQG134	Licensed Representative	1, 4
TANG Yiu Man, Ernest	ANP309	Licensed Representative	9
TAO Xinyi	BQD326	Licensed Representative	1, 4
TAYJASANANT Thaniya	ARA485	Licensed Representative	1
TIAN Zhijun	BPS498	Licensed Representative	1, 2
TIN Wai Sun	BQG627	Licensed Representative	1, 2, 4
TING Chun	BQE808	Licensed Representative	1, 4
TING Sai Man	ADZ993	Licensed Representative	1, 2, 4
TO Hon San	BQG126	Licensed Representative	1, 4
TONG Ming Wai, Ronny	APN733	Licensed Representative	1
TONG Yuhao	BQG752	Licensed Representative	1, 6
TSAI Yueh-Lin	BQG606	Licensed Representative	1
TSANG Pak Hei, Steven	BQG551	Licensed Representative	1, 4
TSE Wing Yan	BPK866	Licensed Representative	1, 2
TSENG Man-ting	ARR378	Licensed Representative	4, 9
VENKATRAMAN Shailesh	AMY984	Licensed Representative	1, 6
WADE John Howard	BEG040	Licensed Representative	1, 4
WAGNER John Duncan	ACJ744	Licensed Representative	4
WALLACE Mark Stephen	ANF262	Licensed Representative	1, 4
WANG CHEN	BQF303	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Congyi	BQG349	Licensed Representative	1, 6
WANG Daoheng	BEV972	Licensed Representative	1, 2
WANG Junyu	BQD350	Licensed Representative	1, 4
WANG Peizhou	BQE921	Licensed Representative	4, 9
WANG Qian	BPH416	Licensed Representative	1
WANG QING	BQF740	Licensed Representative	4
WANG Tianyi	BNF960	Licensed Representative	4
WANG Wanzhou	BQD367	Licensed Representative	1, 4
WANG Xilin	BQC374	Licensed Representative	1, 4
WANG Yingkai	BQE105	Licensed Representative	1, 4, 6
WANG Yuhui	BQE249	Licensed Representative	1, 4, 6
WANG Zhaokai	BQH094	Licensed Representative	1, 4, 9
WEI Binjie	BQI436	Licensed Representative	4
WEI Jia	BCE780	Licensed Representative	1, 4, 6
WEI Xiaodong	BQD185	Licensed Representative	1
WEI Ziting	BQF743	Licensed Representative	1, 4, 6
WIDJAJA Sophia	ASO710	Licensed Representative	1, 2
WIEMER Charles Daniel	ANQ742	Licensed Representative	1, 4
WONG Chun Ho	BQG666	Licensed Representative	9
WONG Ka Kin	BOB689	Licensed Representative	1, 9
WONG Kwong Lai	BQE274	Licensed Representative	1, 4
WONG Lai Fun	BQG755	Licensed Representative	1, 4
WONG Lai Shan	BQG366	Licensed Representative	4, 9
WONG Lok Huai, Francis	BQE246	Licensed Representative	4, 6
WONG Man Ching, Stephanie	BQI569	Licensed Representative	1, 2, 3
WONG Man Hei	BQF872	Licensed Representative	1, 4, 9
WONG Man Yee Paula	AQE956	Licensed Representative	4, 9
WONG Ming Tsun	BQF117	Licensed Representative	1, 4
WONG Pui Fong	AYX890	Licensed Representative	1
WONG Shuk Yan	BQE113	Licensed Representative	1, 2
WONG Siu Pong Dominic	BQG085	Licensed Representative	1, 4
WONG Tsz Lun, Harrison	BQG271	Licensed Representative	1
WONG Wai Ki Angela	BPY622	Licensed Representative	1, 4, 9
WU Caojian	BQF790	Licensed Representative	1, 4, 6
WU Kwok Yan, Eric	AVL147	Licensed Representative	1, 4
WU LINGYU	BQE828	Licensed Representative	1, 4
WU Qikun	BQI397	Licensed Representative	4
WU Ruofan	BQB036	Licensed Representative	1, 2
WU Shang	BPY402	Licensed Representative	1, 4
WU Yao	BQE051	Licensed Representative	1, 2, 7
WU Yifeng	BQC438	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Ying	BQE869	Licensed Representative	1
XIAO Weiyi	BQF480	Licensed Representative	1, 2
XIAO Xiao	BQE919	Licensed Representative	1, 6
XIE Ke	BQG670	Licensed Representative	1, 4, 6
XIE Songruo	BQE350	Licensed Representative	1, 6
XIE Yunjia	BQH346	Licensed Representative	1
XIN Peng	BMB690	Licensed Representative	1, 2
XIONG Menghan	BQC706	Licensed Representative	1, 6
XU MENGROU	BQF164	Licensed Representative	1, 4
XU Xueqing	BQI515	Licensed Representative	1, 4
XU Yachuan	BGI316	Licensed Representative	1, 4
XUE Bai	BPI655	Licensed Representative	1, 4
XUE Ying	BQG621	Licensed Representative	1, 4
YAM Margaret	BQF593	Licensed Representative	1, 6
YAMASHITA Ryosuke	BQE468	Licensed Representative	1, 4, 6
YAN Yiran	BQG293	Licensed Representative	1, 6
YAN Yuguang	BET078	Licensed Representative	4, 9
YANG Aili	BQI330	Licensed Representative	4
YANG Fangzheng	BQE740	Licensed Representative	1
YANG Hanlu	BQH394	Licensed Representative	4, 9
YANG Mujun	BQH170	Licensed Representative	1, 4, 6
YANG QINGDING	BQF794	Licensed Representative	4, 9
YANG Tianwei	BQG295	Licensed Representative	4
YANG Xinyi	BQG763	Licensed Representative	4
YANG Yusang	BQJ740	Licensed Representative	1, 6
YANG Zhou	BQF153	Licensed Representative	1, 2
YAO Su Lan	BQK858	Licensed Representative	1, 4, 9
YE Xiao	BQF882	Licensed Representative	4
YEE Kei Yin	BQG521	Licensed Representative	4
YEN Nga Man	AEA830	Licensed Representative	1, 4
YEUNG George	BJD778	Licensed Representative	1
YEUNG Ka Fung	BQC183	Licensed Representative	1
YEUNG Pan Pan	BQG647	Licensed Representative	1
YEUNG Pui San	BPV036	Licensed Representative	9
YIN Congzhe	BQH097	Licensed Representative	1, 4, 9
YIP Wing Tung	BQL619	Licensed Representative	1
YIU Hoi Yip	BNL488	Licensed Representative	4, 5, 9
YOON Tae Jung	AHG507	Licensed Representative	4
YU Fiona Fei Yeung	BQD363	Licensed Representative	1, 4
YU Hua	BQE172	Licensed Representative	1, 2, 7
YU Ken Ling, Kelvin	BQG786	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUEN Shuk Kam, Nicole	ACH708	Licensed Representative	1, 4, 9
YUEN Wai Yee	BPM981	Licensed Representative	1, 4, 9
ZHANG Guangyao	BQB403	Licensed Representative	4
ZHANG Han	BPX392	Licensed Representative	9
ZHANG Huachen	BGU214	Licensed Representative	1, 4, 9
ZHANG Jinqin	BQB103	Licensed Representative	1, 4
ZHANG Junwei	BQG823	Licensed Representative	1, 4
ZHANG Nan	BQG613	Licensed Representative	1, 4
ZHANG Shuyan	BQG803	Licensed Representative	1
ZHANG Sida	BPT227	Licensed Representative	4
ZHANG Wei	BQE370	Licensed Representative	1
ZHANG Wenting	BQA906	Licensed Representative	1, 6
ZHANG Xiaoxuan	BQG262	Licensed Representative	4
ZHANG Xiaoyu	BPV041	Licensed Representative	6
ZHANG Yanchuan	BQF287	Licensed Representative	1, 2
ZHANG Yang	BQG368	Licensed Representative	1, 4, 6
ZHANG Yawen	BQF728	Licensed Representative	4
ZHANG Yuxuan	BQG626	Licensed Representative	1, 2, 4
ZHANG Yuzheng	BQC198	Licensed Representative	1
ZHANG Zheming	BQF082	Licensed Representative	1, 4, 6
ZHANG Ziwei	BQG817	Licensed Representative	1, 2
ZHAO CHEN	BQH899	Licensed Representative	1, 4, 9
ZHAO Yang	BHR796	Licensed Representative	4, 5, 9
ZHAO Yumeng	BQE976	Licensed Representative	1
ZHENG Jiwei	BQG649	Licensed Representative	6
ZHENG Junbo	BQF272	Licensed Representative	1
ZHENG Yanran	BQH928	Licensed Representative	1, 2
ZHENG Yu	BQI535	Licensed Representative	1, 4, 9
ZHI Sheng	BQF020	Licensed Representative	1
ZHONG Xian	BQF019	Licensed Representative	4
ZHOU Hanyi	BQE962	Licensed Representative	1, 4
ZHOU Jialiang	BQG463	Licensed Representative	1, 2
ZHOU Qian	BQH378	Licensed Representative	1, 4
ZHOU Xinwen	BCB923	Licensed Representative	1
ZHOU Yun	AXM323	Licensed Representative	4, 9
ZHOU Yun Yun	BQI479	Licensed Representative	6
ZHU Xuhua	AUK284	Licensed Representative	1, 4
ZHU Zhaoming	BQI451	Licensed Representative	4
ZHUANG Dan	BHH668	Licensed Representative	4
ZHUO Yue	BQF288	Licensed Representative	1, 2, 7
ZOU Jiayi	BQD112	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Soo Ngor, Janice	ABS282	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Wang	BGO479	Licensed Representative - Responsible Officer	4, 9
CHAN Wing Kin	AUW795	Licensed Representative - Responsible Officer	4, 9
CHAN Yuet Hang, Danny	AJJ615	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Lai Yan Charles	AAV372	Licensed Representative - Responsible Officer	4, 9
FELIX-CASARES Ricardo Javier	BPU098	Licensed Representative - Responsible Officer	1
GU Kai	BJO274	Licensed Representative - Responsible Officer	2
HO Ka Pui	BGL055	Licensed Representative - Responsible Officer	9
HUGH James Clifford	ASE219	Licensed Representative - Responsible Officer	1, 2
IP Woon Lai	AFG102	Licensed Representative - Responsible Officer	4, 9
KAM Wai Lun	ANN061	Licensed Representative - Responsible Officer	9
KASSIS Fadi	BPU350	Licensed Representative - Responsible Officer	1, 2
LAU Tsz Lung	AJL083	Licensed Representative - Responsible Officer	1, 4, 9
LEE Yuk Ning Sammy	BPQ832	Licensed Representative - Responsible Officer	9
LI Wenjuan	BPU271	Licensed Representative - Responsible Officer	4, 9
LIU Dan	BPW745	Licensed Representative - Responsible Officer	9
LIU YANG	BPU782	Licensed Representative - Responsible Officer	1, 4, 9
MI Pan	BPU303	Licensed Representative - Responsible Officer	4
NI Fei	ASI137	Licensed Representative - Responsible Officer	4, 9
NIE Qihang	BQE389	Licensed Representative - Responsible Officer	4, 9
QIN Rong	BPU308	Licensed Representative - Responsible Officer	9
RIJLAARSDAM Fabian Marijn Joan	BPX758	Licensed Representative - Responsible Officer	1, 2
SHIU Kin Ming, Paul	AOV858	Licensed Representative - Responsible Officer	9
SUN Yanjun	AKX669	Licensed Representative - Responsible Officer	4, 9
SUTTON Benedict Francis	ARL079	Licensed Representative - Responsible Officer	4, 9
TO Wai Hung Tony	AAH109	Licensed Representative - Responsible Officer	1, 4
WANG Anson	ADL958	Licensed Representative - Responsible Officer	9
YEUNG Tsang Keung	ACA708	Licensed Representative - Responsible Officer	1, 4
AI Yandi	BQL335	Licensed Representative (Provisional Licence)	1, 4, 6
ANN Gi Hong	BQG844	Licensed Representative (Provisional Licence)	2, 5
ANTON Dendi	BQK579	Licensed Representative (Provisional Licence)	1, 7
AO Man	ANT251	Licensed Representative (Provisional Licence)	10
ASKALANY Nermin	BIS597	Licensed Representative (Provisional Licence)	1
AU YEUNG Yin Yi	BQJ706	Licensed Representative (Provisional Licence)	1, 6
BAI Xiaopu	AYJ561	Licensed Representative (Provisional Licence)	1, 2
BAY Wei Heng	BQL650	Licensed Representative (Provisional Licence)	1, 2
BROOKE Charles Stephen Anthony	BQE331	Licensed Representative (Provisional Licence)	1, 4, 9
BROOKER Holly Robyn	BQH132	Licensed Representative (Provisional Licence)	1, 4, 7
CAI Yixuan	BQM274	Licensed Representative (Provisional Licence)	6
CASTILLO Eric Alberto	AMJ780	Licensed Representative (Provisional Licence)	1
CHAN Chi Ping	BQI566	Licensed Representative (Provisional Licence)	1, 2, 3, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Chun Yuen	BQI904	Licensed Representative (Provisional Licence)	1, 2
CHAN Ka Shing	BQK692	Licensed Representative (Provisional Licence)	4, 9
CHAN Kin Fai Eric	BQJ852	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Long Chi	AMO538	Licensed Representative (Provisional Licence)	4, 9
CHAN Poh Kiat	ANM131	Licensed Representative (Provisional Licence)	1
CHAN Sybil Chiu Tsui	BQI781	Licensed Representative (Provisional Licence)	1, 4
CHAN Yee Hang Alice	BQG595	Licensed Representative (Provisional Licence)	1
CHAN Yuen Hei Ronnie	BQI639	Licensed Representative (Provisional Licence)	1, 6
CHANDLER Benjamin Victor Michael	AEO780	Licensed Representative (Provisional Licence)	1
CHAU Ching Ha	APF193	Licensed Representative (Provisional Licence)	9
CHAU Kit Yi	BQM231	Licensed Representative (Provisional Licence)	9
CHE Yunji	BQL481	Licensed Representative (Provisional Licence)	1, 4
CHEN Chi	BPY812	Licensed Representative (Provisional Licence)	1, 6
CHEN Hang	BQL709	Licensed Representative (Provisional Licence)	1, 6
CHEN Lingshan	BQL091	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Mingzhao	BQI845	Licensed Representative (Provisional Licence)	4
CHEN Siyu	BQM433	Licensed Representative (Provisional Licence)	9
CHEN Weiqi	BQL713	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yilin	BQI799	Licensed Representative (Provisional Licence)	1, 6
CHEN Ying Chih	BIB859	Licensed Representative (Provisional Licence)	1, 2
CHEN Zheqi	BQJ928	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chang Tze Tsu	AAD161	Licensed Representative (Provisional Licence)	1
CHENG Chieh Chu	ANI665	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chin Li	BQL581	Licensed Representative (Provisional Licence)	1, 4
CHENG Hon Fai	ADL038	Licensed Representative (Provisional Licence)	1, 2
CHENG Li	BQL835	Licensed Representative (Provisional Licence)	4, 9
CHENG Wai Yi	BOQ051	Licensed Representative (Provisional Licence)	1
CHENG Yi	BQL226	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Cham Chiu	BQM313	Licensed Representative (Provisional Licence)	1
CHEUNG King Wing Raymond	BQM509	Licensed Representative (Provisional Licence)	4
CHEUNG Leo Chun Kit	BQL007	Licensed Representative (Provisional Licence)	1
CHEUNG LOI HEUNG	BQM939	Licensed Representative (Provisional Licence)	1
CHEUNG Wing Kei Stanley	BQL620	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Yan Kit Ken	BKK360	Licensed Representative (Provisional Licence)	1, 7
CHEUNG Yik Ki	BKL730	Licensed Representative (Provisional Licence)	1, 6
CHIM Ka Tok	BQJ620	Licensed Representative (Provisional Licence)	1, 2
CHIU Leong Yee, Terry	BQM677	Licensed Representative (Provisional Licence)	1
CHO Yat Chau	BQL772	Licensed Representative (Provisional Licence)	4
CHOW Chak Shing	AGG820	Licensed Representative (Provisional Licence)	4, 9
CHOW Chun Yu	BQH112	Licensed Representative (Provisional Licence)	9
CHOY Ivan	BQI814	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHU Kwun Yee	BQL606	Licensed Representative (Provisional Licence)	1
CHU Siqi	BOJ195	Licensed Representative (Provisional Licence)	9
CHUI Nicholas	BQL723	Licensed Representative (Provisional Licence)	1, 2
CHWANG Winnie Phua	BQJ714	Licensed Representative (Provisional Licence)	1
CUI Yue	BQG381	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Xi	BEL250	Licensed Representative (Provisional Licence)	6
DING Ding	BQJ707	Licensed Representative (Provisional Licence)	6
DING Jianxiong	BQL712	Licensed Representative (Provisional Licence)	1, 4, 6
DONG Siyuan	BQK487	Licensed Representative (Provisional Licence)	4
DOU XUANFEI	BQL732	Licensed Representative (Provisional Licence)	1, 4, 9
DROPKIN Martin Baron	BQH284	Licensed Representative (Provisional Licence)	1, 4, 9
DU Ke	BQI464	Licensed Representative (Provisional Licence)	1, 4, 6
ELLISON David Charles George	BQJ929	Licensed Representative (Provisional Licence)	1
FAN Chao	BQK473	Licensed Representative (Provisional Licence)	4
FAN Ho Nga	BQL070	Licensed Representative (Provisional Licence)	1
FAN Jiayang	BQL379	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Jing	BQI395	Licensed Representative (Provisional Licence)	1, 4, 9
FANG Wenyun	BPJ883	Licensed Representative (Provisional Licence)	1, 6
FENG He	BQL100	Licensed Representative (Provisional Licence)	4
FERGUSON Mark David Corson	BCY149	Licensed Representative (Provisional Licence)	1, 6
FU Yuet Wan	APZ093	Licensed Representative (Provisional Licence)	1
FUNG Ka Po	BIN307	Licensed Representative (Provisional Licence)	1
GAO Xibai	BQF738	Licensed Representative (Provisional Licence)	4
GIARRATANO James Robert	BOL534	Licensed Representative (Provisional Licence)	1
GLEESON James Anthony	AHT088	Licensed Representative (Provisional Licence)	1, 2
GONG Shaoyang	BQI137	Licensed Representative (Provisional Licence)	4, 5, 9
GORHAM Philip Matthew	BQF352	Licensed Representative (Provisional Licence)	4
GU Siyu	BQI774	Licensed Representative (Provisional Licence)	1, 4
GUAN Dongqilai	BQI170	Licensed Representative (Provisional Licence)	4
GUAN Quansen	BQK477	Licensed Representative (Provisional Licence)	4
GUO Peijie	BQH234	Licensed Representative (Provisional Licence)	4, 9
GUO Ting	BQD218	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Yang	AMA891	Licensed Representative (Provisional Licence)	1, 4, 9
HAN Mingfei	BQL558	Licensed Representative (Provisional Licence)	1, 2
HAN Yichao	BQK468	Licensed Representative (Provisional Licence)	4
HAYASE Takahiro	AUE611	Licensed Representative (Provisional Licence)	1, 2
HENRY Samuel Alain Alexandre	BQL635	Licensed Representative (Provisional Licence)	1
HO Wing Sum, Veda	AOA335	Licensed Representative (Provisional Licence)	1, 4
HU Chien-hsiu	ATN334	Licensed Representative (Provisional Licence)	1, 4, 9
HU Jerry	BQL334	Licensed Representative (Provisional Licence)	1, 4
HUA Lining	BQK548	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Benchen	BQJ771	Licensed Representative (Provisional Licence)	4
HUANG Fanyang	BQK283	Licensed Representative (Provisional Licence)	4
HUANG Limin	BQM510	Licensed Representative (Provisional Licence)	9
HUANG Wenwei	BQH918	Licensed Representative (Provisional Licence)	1, 4
HUANG YUAN	BQM946	Licensed Representative (Provisional Licence)	6
HUI Sai Fung	BDL757	Licensed Representative (Provisional Licence)	4, 9
HUNG Chun Ho	BNQ534	Licensed Representative (Provisional Licence)	1, 2, 5
IU Cheuk Lok	BQH316	Licensed Representative (Provisional Licence)	1, 4
JIANG Wenju	BGN056	Licensed Representative (Provisional Licence)	1, 6
JIAO Zihao	BQM339	Licensed Representative (Provisional Licence)	1, 6
KAMENZ Maria Katharina	BQJ736	Licensed Representative (Provisional Licence)	1
KAPUR Ashish	AZD602	Licensed Representative (Provisional Licence)	1
KATO Akira	BPY006	Licensed Representative (Provisional Licence)	1
KE Yi	BQL397	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Jun Ku	BJA747	Licensed Representative (Provisional Licence)	1, 4
KO Chi Yuen, Yvonne	AJJ561	Licensed Representative (Provisional Licence)	1, 4, 9
KO Lon	BQM078	Licensed Representative (Provisional Licence)	1
KONG Kar Wai, Thomas	BBZ222	Licensed Representative (Provisional Licence)	1, 2
KUO-TSING-JEN Nicolas Guillaume Stephane	ARN751	Licensed Representative (Provisional Licence)	9
KWAK Dae Young	BQI742	Licensed Representative (Provisional Licence)	9
KWAN Tsun Man	AWA326	Licensed Representative (Provisional Licence)	2, 5
KWOK Shing Him Bryan	BQM118	Licensed Representative (Provisional Licence)	6
KWOK Siu Chung, Jonathan	BBX571	Licensed Representative (Provisional Licence)	9
KWOK Wai Wa	AVC343	Licensed Representative (Provisional Licence)	1, 9
LAI Che Man, Cherie	AQA449	Licensed Representative (Provisional Licence)	1
LAI Chi Ngai	BQI386	Licensed Representative (Provisional Licence)	1, 2
LAI Ching Chung	BQM679	Licensed Representative (Provisional Licence)	4, 9
LAM Wing Yuen	BQI697	Licensed Representative (Provisional Licence)	1, 2
LAU Chung Kit	BQK911	Licensed Representative (Provisional Licence)	1, 6
LAU Hui Long	BQM155	Licensed Representative (Provisional Licence)	1
LAU Kai Yeung	ARA400	Licensed Representative (Provisional Licence)	4, 9
LAU Tik Lun	BIY478	Licensed Representative (Provisional Licence)	1, 4
LAU Wing Lam	BQL427	Licensed Representative (Provisional Licence)	1
LAW Cheuk Kin Stephen	AHI655	Licensed Representative (Provisional Licence)	9
LAW Kam Chong	BQL716	Licensed Representative (Provisional Licence)	1, 4
LE BARAZER Francois, Pierre, Marie	BQG632	Licensed Representative (Provisional Licence)	9
LE COURT Delphine Marie-Gabrielle	BQG648	Licensed Representative (Provisional Licence)	1, 4
LEE Kin Hok	BQM450	Licensed Representative (Provisional Licence)	1
LEE Ming Sai	BQL611	Licensed Representative (Provisional Licence)	1
LEUNG Ho Cheong, Barry	ALY163	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ho Yan	BQM665	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Man Hei	BQI135	Licensed Representative (Provisional Licence)	1, 2
LEW Lok Sun	BQK849	Licensed Representative (Provisional Licence)	1, 2
LI Ao	BQG749	Licensed Representative (Provisional Licence)	1, 4, 9
LI Boyang	BQM441	Licensed Representative (Provisional Licence)	1, 2
LI Chen	BOC669	Licensed Representative (Provisional Licence)	1, 4, 6
LI Guan Ying	BQI385	Licensed Representative (Provisional Licence)	1, 4
LI Kai Lam	BQE786	Licensed Representative (Provisional Licence)	1, 6
LI Ki Kwan	BQL383	Licensed Representative (Provisional Licence)	1, 2
LI Lin	BQL320	Licensed Representative (Provisional Licence)	1, 6
LI Ning	BEM063	Licensed Representative (Provisional Licence)	1
LI Tao	BQA734	Licensed Representative (Provisional Licence)	6
LI Xiaoya	BHM189	Licensed Representative (Provisional Licence)	1, 2, 4
LI Xinwei	BQJ722	Licensed Representative (Provisional Licence)	1, 2
LI Yang	BHP108	Licensed Representative (Provisional Licence)	4, 9
LI Ye	BQH211	Licensed Representative (Provisional Licence)	1, 4
LI Zhen	BQM312	Licensed Representative (Provisional Licence)	1, 6
LIANG Guangkai	BQL423	Licensed Representative (Provisional Licence)	4
LIANG Jingwen	BJX362	Licensed Representative (Provisional Licence)	1, 4
LIANG Shuang	BQL183	Licensed Representative (Provisional Licence)	1, 4
LIANG Ying	BQL539	Licensed Representative (Provisional Licence)	1, 4, 9
LIM JIA JIE	BQL711	Licensed Representative (Provisional Licence)	1, 4
LIN Che-Yu	BQK957	Licensed Representative (Provisional Licence)	1
LIN Wei-Ming	AHU950	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Binrong	BQL858	Licensed Representative (Provisional Licence)	1, 4
LIU Hongyi	BES156	Licensed Representative (Provisional Licence)	1, 4
LIU Ting	BQJ819	Licensed Representative (Provisional Licence)	4, 9
LIU Yanqi	BQL648	Licensed Representative (Provisional Licence)	4
LIU Zhe	BOU144	Licensed Representative (Provisional Licence)	4, 9
LOH Weixian Emily	AOY689	Licensed Representative (Provisional Licence)	1
LONG Hongquan	BQI376	Licensed Representative (Provisional Licence)	4, 9
LU Chia-yen	BQL538	Licensed Representative (Provisional Licence)	2
LU Qiuyang	BQM229	Licensed Representative (Provisional Licence)	4
LU Robert Bryan	AIB831	Licensed Representative (Provisional Licence)	1, 4
LU Wentao	BQL549	Licensed Representative (Provisional Licence)	4
LUNDSTROM Jan Benjamin Kenneth	BQE582	Licensed Representative (Provisional Licence)	9
LYU Xinyi	BQL704	Licensed Representative (Provisional Licence)	1, 6
MA Shiyang	BQL409	Licensed Representative (Provisional Licence)	4, 9
MA Xiao	BQK855	Licensed Representative (Provisional Licence)	1, 6
MAI Siwei	AUJ127	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Wing Ki	BQK249	Licensed Representative (Provisional Licence)	4, 5, 9
MATHERS Toby Jack Alexander	BQG596	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
MEHTA Amelia Ann	AMF457	Licensed Representative (Provisional Licence)	1
MEREDITH John Patrick Paul	BQL640	Licensed Representative (Provisional Licence)	1, 2
MIKALONYTE Laura	BQL126	Licensed Representative (Provisional Licence)	1
MIKAMI Kazuhisa	BQI678	Licensed Representative (Provisional Licence)	1, 2
MOK Sin Nam	BQL480	Licensed Representative (Provisional Licence)	1
MORRISON Justin David Christopher	AVO760	Licensed Representative (Provisional Licence)	1
MOU Tiantian	BQL336	Licensed Representative (Provisional Licence)	1, 6
MURRAYLEE Blaise Edward	BQK600	Licensed Representative (Provisional Licence)	1
NEGISHI Ryosuke	BCJ866	Licensed Representative (Provisional Licence)	1, 2
NG Ka Pong	BJR599	Licensed Representative (Provisional Licence)	1, 4, 9
NG Siu Yan	BQL717	Licensed Representative (Provisional Licence)	1, 4
NG Tsun Ho	BQL613	Licensed Representative (Provisional Licence)	1, 2
NG Tsz Wing	BBD847	Licensed Representative (Provisional Licence)	9
NGAI Yvonne Yuet-ying	APC469	Licensed Representative (Provisional Licence)	1, 4, 9
NIE ZHAOYI	BQH122	Licensed Representative (Provisional Licence)	4
ONG Wellington Y.	AXD381	Licensed Representative (Provisional Licence)	4, 9
OUYANG Linshan	BQA722	Licensed Representative (Provisional Licence)	6
PAN Jin	AVV688	Licensed Representative (Provisional Licence)	1, 4
PARK Yoon Hye	BQJ934	Licensed Representative (Provisional Licence)	2, 5
PATHARE Rohan Ramesh	BQL614	Licensed Representative (Provisional Licence)	9
PEI Dandi	BQL401	Licensed Representative (Provisional Licence)	1, 6
PENG Cong	BQK234	Licensed Representative (Provisional Licence)	1
QIAN Fangzheng	BQJ978	Licensed Representative (Provisional Licence)	1, 2
QIAN Yating	BQJ937	Licensed Representative (Provisional Licence)	1, 9
QIN Zhiyin	BQK559	Licensed Representative (Provisional Licence)	1, 4
QIU Jiangjie	BQH353	Licensed Representative (Provisional Licence)	1, 4, 6
QUEK San Foong Raymond	BQL521	Licensed Representative (Provisional Licence)	1, 4
REN Tian	BQK903	Licensed Representative (Provisional Licence)	1, 4
SALL Ibrahima Zouwaya	BQM154	Licensed Representative (Provisional Licence)	2
SEE Kit Long	APY536	Licensed Representative (Provisional Licence)	1, 2, 3
SHAO Jin	BQH142	Licensed Representative (Provisional Licence)	4, 9
SHEN Yabing	BQM414	Licensed Representative (Provisional Licence)	4
SHEN Yuan	BQM392	Licensed Representative (Provisional Licence)	1, 6
SHI Haochen	BQL601	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Ruiming	BQL520	Licensed Representative (Provisional Licence)	1, 4
SHI Xiaofeng	AVH848	Licensed Representative (Provisional Licence)	4, 9
SIA Elaine Soh Leng	BQL044	Licensed Representative (Provisional Licence)	1
SIM Zhe Wei	AOE808	Licensed Representative (Provisional Licence)	4
SINGH Amit Kishan	BPX348	Licensed Representative (Provisional Licence)	1, 4
SONI Neeraj Narendra	BQJ777	Licensed Representative (Provisional Licence)	1, 2
STANLEY Thomas James	BQG467	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
STEMPIEN Jan Pawel	BQI010	Licensed Representative (Provisional Licence)	4
SU Darong	BIV798	Licensed Representative (Provisional Licence)	1, 4
SUH Jungwon	BAV645	Licensed Representative (Provisional Licence)	9
SUN Bangdong	BQK544	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Tianyi	BQJ930	Licensed Representative (Provisional Licence)	4
SUN Xue	BQL540	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Weng U Deolinda	BQI564	Licensed Representative (Provisional Licence)	1, 2, 3
TANG Shiyu	BQG428	Licensed Representative (Provisional Licence)	1
TANG Tak Chun	BKJ158	Licensed Representative (Provisional Licence)	2
TAO Shengyu	BQK280	Licensed Representative (Provisional Licence)	4
TAY Hui Yi	BQH099	Licensed Representative (Provisional Licence)	1, 4, 9
THIBAUD Antoine Paul Jean Charles	BBM609	Licensed Representative (Provisional Licence)	9
TIMBERT Adrien Leo	BQM395	Licensed Representative (Provisional Licence)	1
TING Wai	BQK284	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
TSANG Chi Hong	BQI458	Licensed Representative (Provisional Licence)	1, 4
TSUI Sai On	BQG426	Licensed Representative (Provisional Licence)	1, 4
TSUI Sui Lun	BQK456	Licensed Representative (Provisional Licence)	1
WAI Sung Hei	BQJ817	Licensed Representative (Provisional Licence)	1, 4, 9
WAN Fan Ting	BQJ919	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Chengshuai	BQL483	Licensed Representative (Provisional Licence)	1, 6
WANG Daoheng	BEV972	Licensed Representative (Provisional Licence)	1, 2
WANG Guohan	BQL057	Licensed Representative (Provisional Licence)	4
WANG Mengyuan	BMH307	Licensed Representative (Provisional Licence)	1, 6
WANG Nan	BQM721	Licensed Representative (Provisional Licence)	4, 9
WANG Siran	BKU794	Licensed Representative (Provisional Licence)	4, 9
WANG Siyu	BQJ936	Licensed Representative (Provisional Licence)	2, 5
WANG Xuegang	BMD008	Licensed Representative (Provisional Licence)	1
WANG Zhongyao	BQJ932	Licensed Representative (Provisional Licence)	4
WEI Wenguan	BQL012	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Xueyu	BQL258	Licensed Representative (Provisional Licence)	1, 4, 9
WENG Weijie	BQK779	Licensed Representative (Provisional Licence)	4, 9
WONG Chun Lok	BQE761	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Man Ching, Stephanie	BQI569	Licensed Representative (Provisional Licence)	1, 2, 3
WONG Sin Him	BQM585	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Wa Hong	ATO895	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Man	AWL652	Licensed Representative (Provisional Licence)	1
WONG Yat Lung	BQI611	Licensed Representative (Provisional Licence)	1, 4
WU Bohua	BQK482	Licensed Representative (Provisional Licence)	4
WU Chen	BQI580	Licensed Representative (Provisional Licence)	1, 4
WU Conglu	BQJ931	Licensed Representative (Provisional Licence)	4
WU Haozong	BQD389	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Philip Han Wen	BOM514	Licensed Representative (Provisional Licence)	1, 2
WU Xinting	BQM271	Licensed Representative (Provisional Licence)	6
WU Yue	BQL594	Licensed Representative (Provisional Licence)	1, 4
XIA Yezhen	BQL628	Licensed Representative (Provisional Licence)	1, 2
XIAO Peiwei	BQJ981	Licensed Representative (Provisional Licence)	1, 6
XIE Mali	BJM104	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Zhaojie	BQL801	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Ye	BQM944	Licensed Representative (Provisional Licence)	1, 6
XU Huangsheng	BQK562	Licensed Representative (Provisional Licence)	1, 4
XU Ran	BQL482	Licensed Representative (Provisional Licence)	1, 6
XU Yintao	BQM311	Licensed Representative (Provisional Licence)	1, 6
XUE Wei	BQL518	Licensed Representative (Provisional Licence)	4
YAN Gege	BQJ614	Licensed Representative (Provisional Licence)	1
YAN Ming	BJX910	Licensed Representative (Provisional Licence)	1, 2
YAN Xinyi	BQH315	Licensed Representative (Provisional Licence)	1, 4
YANG Wayne Ky	APW468	Licensed Representative (Provisional Licence)	1, 4
YANG Wei	BQL485	Licensed Representative (Provisional Licence)	1, 6
YANG Xu	BQL484	Licensed Representative (Provisional Licence)	1, 6
YANG Xuemin	BOQ037	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yumeng	BQM672	Licensed Representative (Provisional Licence)	6
YANG Zilin	BQM669	Licensed Representative (Provisional Licence)	6
YAO Su Lan	BQK858	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Wing Hang	BQH123	Licensed Representative (Provisional Licence)	1, 2
YEUNG YING KIT	BJV171	Licensed Representative (Provisional Licence)	1, 2
YIN Jingying	BQH909	Licensed Representative (Provisional Licence)	1
YIN Ke	All428	Licensed Representative (Provisional Licence)	1
YIN Xiaoyuan	BQL734	Licensed Representative (Provisional Licence)	1
YIU King Man	BDX230	Licensed Representative (Provisional Licence)	1
YIU Sui Chong	AOS002	Licensed Representative (Provisional Licence)	6
YU Hua	BQE172	Licensed Representative (Provisional Licence)	1, 2, 7
YUEN Ryan Aaron	BQJ921	Licensed Representative (Provisional Licence)	1, 6
ZHANG Boyan	BQJ743	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Jincheng	ATT807	Licensed Representative (Provisional Licence)	1, 4
ZHANG Ke	BQL710	Licensed Representative (Provisional Licence)	4, 9
ZHANG Xianning	AWS214	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yuzheng	BQC198	Licensed Representative (Provisional Licence)	1
ZHAO CHEN	BQH899	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Fangyi	BQK243	Licensed Representative (Provisional Licence)	1, 6
ZHAO Haoxing	BQL534	Licensed Representative (Provisional Licence)	1, 6
ZHAO Jiahe	BQM994	Licensed Representative (Provisional Licence)	4
ZHAO Ying	BNR594	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Shuo	BQL745	Licensed Representative (Provisional Licence)	1, 4
ZHENG Yu	BQI535	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Yumeng	BQJ772	Licensed Representative (Provisional Licence)	4
ZHI Sheng	BQF020	Licensed Representative (Provisional Licence)	1
ZHOU Bingyu	BQL705	Licensed Representative (Provisional Licence)	1, 2
ZHOU Fan	BQL610	Licensed Representative (Provisional Licence)	1, 4
ZHOU Fanyi	BQI368	Licensed Representative (Provisional Licence)	1, 2
ZHOU Xuhui	BQL583	Licensed Representative (Provisional Licence)	4
ZHOU Yun Yun	BQI479	Licensed Representative (Provisional Licence)	6
ZHU Jiaer	BQL306	Licensed Representative (Provisional Licence)	1, 2, 4
ZHU Xun	BQK711	Licensed Representative (Provisional Licence)	4
ZHU Zhi	BQL603	Licensed Representative (Provisional Licence)	1, 4, 9
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
SONG Shaoling	BQD390	Licensed Representative (Temporary Licence)	4
SUZUKI Seiichiro	AUK287	Licensed Representative (Temporary Licence)	4