

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
AIC Capital Asia Limited	AWD972	Licensed Corporation	9
BHI Investment Advisors (Asia) Limited	AVS685	Licensed Corporation	1, 4
CIAM Capital Management Limited	AVY703	Licensed Corporation	4, 9
Handpicked Asset Management Limited	AWF641	Licensed Corporation	9
Hillhouse Capital Management Limited	AWG926	Licensed Corporation	9
Legend Crown International Development Limited	AVW468	Licensed Corporation	1, 2
MCL Brokerage Limited	AFK563	Licensed Corporation	1
Marex Hong Kong Limited	AWH257	Licensed Corporation	2
Rockhampton Management Limited	AWF343	Licensed Corporation	4, 9
Saxo Capital Markets HK Limited	AVD061	Licensed Corporation	1, 3
Shinhan BNP Paribas Asset Management (Hong Kong) Limited	AVY549	Licensed Corporation	4, 9
Shining Capital Management Hong Kong Limited	AWD579	Licensed Corporation	9
Tianda Securities Limited	AVT666	Licensed Corporation	1, 2
ABITBOL Othoniel	AWE966	Licensed Representative	1, 2, 7
AGARI Daisuke	AWH021	Licensed Representative	1
AHUJA Varun	AWK895	Licensed Representative	4
ARCULLI Kim Melanie	AAA119	Licensed Representative	1, 4
AU Kwok Keung, Edmond	AWL332	Licensed Representative	1, 4, 9
AU Tsz Chung	AWO394	Licensed Representative	1, 2
AU-YEUNG Tin Lung, Tino	AVB806	Licensed Representative	1, 2
AWANOHARA Naoko	AWE541	Licensed Representative	1
BANNO Kohei	AWL102	Licensed Representative	1
BUT YUN MING	AWN208	Licensed Representative	1
CAI Deyan	AWM792	Licensed Representative	4, 9
CESAR Joao Paulo Cardoso Monteclaro	AWK004	Licensed Representative	1, 4, 9
CHABANCE Fabrice Joseph	AWM847	Licensed Representative	1, 2, 7
CHAI Nan	AWQ109	Licensed Representative	6
CHAI Yunmin	AWC185	Licensed Representative	4, 5, 9
CHAN Che Hong, Bruce	AKQ590	Licensed Representative	1, 2
CHAN Chi Ho	ASI143	Licensed Representative	1
CHAN Ching Sum Sam	AWO231	Licensed Representative	6
CHAN Chung Lam	AFS558	Licensed Representative	1
CHAN Fung Yee	AWN383	Licensed Representative	1
CHAN Hing Hung	AWJ215	Licensed Representative	2
CHAN Hong Nei, Connie	AWL055	Licensed Representative	6
CHAN Jeffrey Thomas	AWK379	Licensed Representative	9
CHAN Ka Cheong	AWL330	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Ka Ho	AWL141	Licensed Representative	1
CHAN Kang Ming	AWN391	Licensed Representative	1
CHAN King Sum Kelvin	AWK776	Licensed Representative	1
CHAN Kwan Yeung, Arnold	AWN910	Licensed Representative	1, 9
CHAN Lee Keung	AFO341	Licensed Representative	1, 4
CHAN Mei Po	AWP711	Licensed Representative	1
CHAN Ngai Lung	AWO108	Licensed Representative	1
CHAN Pak Shing	AWJ632	Licensed Representative	1
CHAN Pui Man	AWN215	Licensed Representative	1
CHAN Sai Toi, Kaylie	AWK451	Licensed Representative	1
CHAN Siu Lung	AWL331	Licensed Representative	1
CHAN Tai On Teddy	ARN063	Licensed Representative	1
CHAN Tat Lai	AWN496	Licensed Representative	1, 4
CHAN Timothy Tsz Kit	AWM787	Licensed Representative	1, 2
CHAN Tin Ying, Zachary	AWK465	Licensed Representative	1, 4, 9
CHAN Wah Lee	AWJ776	Licensed Representative	1
CHAN Wai Keung	AWN095	Licensed Representative	1
CHAN Wai Sing	AWJ805	Licensed Representative	2
CHAN Wing Hei	AWL048	Licensed Representative	1, 4, 9
CHAN Wing Sze	AWJ404	Licensed Representative	1
CHAN Wing Yin	AWO977	Licensed Representative	1, 4
CHAN Xin Yi, Cheryl Dawn	AWL069	Licensed Representative	1, 4
CHAN Yin Chun	AWN395	Licensed Representative	1
CHAU HEI LAM	AWN209	Licensed Representative	1
CHAU Kit Ling	AWL990	Licensed Representative	1, 2
CHAU Yin Ping Jacqueline	AVE565	Licensed Representative	1
CHEN Jiachun	AWE776	Licensed Representative	1
CHEN Liang	AWM930	Licensed Representative	1, 2
CHEN Nan	ARG251	Licensed Representative	1, 2
CHEN Szu-Wei	AWO626	Licensed Representative	4
CHEN Xinrui	AWL318	Licensed Representative	2
CHEN Yanhu	AWJ173	Licensed Representative	1, 6
CHEN Yikai	AWL918	Licensed Representative	1, 2
CHENG Cheng	AWK326	Licensed Representative	4
CHENG Chor Sing	AGT891	Licensed Representative	1
CHENG Kwok Fun	ANZ648	Licensed Representative	9
CHENG Man Ying	AWN216	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Wai Shing, Matthew	APB688	Licensed Representative	1
CHENG Wing	AKJ064	Licensed Representative	4
CHEUNG Chi Wing, Cherry	AWO028	Licensed Representative	1, 4
CHEUNG Ho Ming	AWN217	Licensed Representative	1
CHEUNG Homond	AWK002	Licensed Representative	1, 2, 7
CHEUNG Ka Shing	AWN498	Licensed Representative	1, 2
CHEUNG Kam Wai	AWL269	Licensed Representative	1
CHEUNG Lai Sim	AWK929	Licensed Representative	4
CHEUNG Man	AWL487	Licensed Representative	1
CHEUNG Man Chee	AWN402	Licensed Representative	1
CHEUNG Man Chung	AWL835	Licensed Representative	1
CHEUNG Man Hon	AWI069	Licensed Representative	2
CHEUNG Po Shan	AWN398	Licensed Representative	1
CHEUNG Pui Yee	AWN396	Licensed Representative	1
CHEUNG Sze Fan	ADI822	Licensed Representative	4, 9
CHEUNG Tung Mui	AWK172	Licensed Representative	1
CHHAOCHHARIA Gautam	AWK911	Licensed Representative	4
CHILVERS Craig Aaron Shane	AWL056	Licensed Representative	9
CHING Kam Lun	AWK240	Licensed Representative	1, 4
CHIU Doris	AWK467	Licensed Representative	1, 2
CHIU Shui Kei	AOH254	Licensed Representative	1, 2
CHIU Wai Man	AWN399	Licensed Representative	1
CHOI Bo Sung	AWK857	Licensed Representative	1, 4
CHOI Wai Chiu	AWP269	Licensed Representative	1, 2
CHOI Yuk Ting	AWO224	Licensed Representative	1
CHONG Chi Kin	AWD496	Licensed Representative	1, 2
CHONG Ian	AOY113	Licensed Representative	1, 4
CHONG Ming Hang	AWJ006	Licensed Representative	4
CHOW Chi Wa	AWL146	Licensed Representative	1
CHOW OI LAN, IDDY	AWN397	Licensed Representative	1
CHOW Oscar	AWP108	Licensed Representative	4
CHOW Wing Suen Amy	AWO954	Licensed Representative	1, 6
CHOY Lai Ching	AWN400	Licensed Representative	1
CHU LAI SAN, TERESA	AWN382	Licensed Representative	1
CHU Lei Mei	AWJ929	Licensed Representative	1, 4
CHU Mei Ling	ADB016	Licensed Representative	1
CHU Yuen Fung	AWI144	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUA Nelson Junior	AQW532	Licensed Representative	1
CHUI KWAI SEUNG, CATHERINE	AWN409	Licensed Representative	1
CHUI Karmen Dorothy	AWP705	Licensed Representative	6, 9
CHUI Siu Ying	AED603	Licensed Representative	1
CHUN Kin Keung	AWN401	Licensed Representative	1
CHUNG KWAI CHUN	AWN411	Licensed Representative	1
CHUNG Kim Nga	AWT061	Licensed Representative	1
CHUNG Soon Hyung	AWJ606	Licensed Representative	1, 4, 9
CHUNG Tsun Stephen	AWN749	Licensed Representative	1
COOMBS Jonathan Lee	ATE957	Licensed Representative	1
DAI Chun Yin	AWM158	Licensed Representative	1
DESMADRYL Xavier Alain Jacques	AWK991	Licensed Representative	1, 4, 9
DING Shuang	AWO081	Licensed Representative	4
DING Zhiyuan	ASK507	Licensed Representative	4
DONG Qing	AWL635	Licensed Representative	9
DULIEU Billy Ronald	AWM143	Licensed Representative	2
DWIVEDI Manas	AWK555	Licensed Representative	1, 4
EL KHOURY Jean	AWO016	Licensed Representative	1, 2
EZZIANE Nadjib	AWJ626	Licensed Representative	1
FAN Chunlin	AGX677	Licensed Representative	6
FOK Yui Kwong	AWK438	Licensed Representative	1
FONG Chan Wah, Simon	AVV978	Licensed Representative	1
FONG WING KEUNG, LOUIS	AWN410	Licensed Representative	1
FONG Wai Yin	AWO852	Licensed Representative	1
FU Ka Chun	AWJ844	Licensed Representative	1
FUKUSHI Yutaka	AWN205	Licensed Representative	1, 4, 6
FUN Sze Wai, Silvia	AWK593	Licensed Representative	4
FUNG Hing Pang	AWI749	Licensed Representative	1, 4
FUNG King Bun, Gary	AWK826	Licensed Representative	4, 9
FUNG Ling	AWM805	Licensed Representative	1
FUNG Shing Wai	AWJ859	Licensed Representative	1, 2
FUNG Siu Lung, Ivan	AWK740	Licensed Representative	2
FUNG Yuk Ping	AWN405	Licensed Representative	1
GAGNON David	AWL439	Licensed Representative	1, 4, 9
GALLAGHER Richard William Leslie	AOH529	Licensed Representative	1
GAO Lina	AWK250	Licensed Representative	1, 2
GAO Meng	AWK830	Licensed Representative	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
GENG Tingting	AWL497	Licensed Representative	1, 2, 7
GERRARD Paul Anthony	AWN386	Licensed Representative	1
GUO Xiaoxuan	AWK906	Licensed Representative	9
GUO Yun	AWD211	Licensed Representative	6
HAIMELIN Thomas Charles	AVU838	Licensed Representative	3
HASWANI Bhavna	AWO035	Licensed Representative	1
HE Xie	AWL630	Licensed Representative	4
HO Chun To	AWK554	Licensed Representative	1, 4
HO Hoi Ki	AWN408	Licensed Representative	1
HO Kin Man	AWG976	Licensed Representative	1
HO Kin Ting	AWJ614	Licensed Representative	1
HONG Maria Myung Sun	AWK331	Licensed Representative	1, 4
HSU Hsu-yu	AWN379	Licensed Representative	1
HSU Yu Chun	AWK457	Licensed Representative	4, 9
HU Bin	AWJ017	Licensed Representative	1, 4
HU Yi Na	AWM092	Licensed Representative	4, 9
HU Yuchun	AWO392	Licensed Representative	6
HUANG Chi Chen	AWL536	Licensed Representative	1, 2
HUANG Wen Yau	AWL066	Licensed Representative	4
HUANG Yingying	AWK778	Licensed Representative	1, 6
HUI KIN FUK	AWM899	Licensed Representative	1
HUI Wing Chun, Eric	AWP473	Licensed Representative	1, 6
HUI Yee Ting	AWL151	Licensed Representative	1
HWANG Su-u Jim	AWN207	Licensed Representative	4, 9
IBUKI Jumpei	AWO623	Licensed Representative	9
IP Wing Kei	AUP520	Licensed Representative	1
JACOB Josh Purackal	AWN394	Licensed Representative	1, 4, 9
JAISINGH Rohit	AIZ536	Licensed Representative	1
JIANG Sheng	AWN098	Licensed Representative	4
JIANG Wei	AWS208	Licensed Representative	1, 4
JIM Wai Chun	AWN336	Licensed Representative	1
JONES William Rhys	AWI933	Licensed Representative	1, 2
KALE Shrikant Baburao	AWL660	Licensed Representative	4
KAM MEI YEE	AWN389	Licensed Representative	1
KANNANGARA Chamath	AWO752	Licensed Representative	1, 2
KANZAKI Shimpei	AWN525	Licensed Representative	1, 4
KASDIN Matthew Hedley	AWJ133	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM Hee Kwon	AWL172	Licensed Representative	1, 4
KIRKBY Matthew	ADY177	Licensed Representative	1, 6
KU Yu Wa Samson	ADI106	Licensed Representative	1
KUANG Tao	AWL776	Licensed Representative	1
KUNIMOTO (ALAWDEEN) Reza	AUO037	Licensed Representative	1, 4, 7
KWAN Chi Ling	AQR879	Licensed Representative	1
KWAN Chi Wai	AOB259	Licensed Representative	6
KWAN Hann Long	AVD142	Licensed Representative	1, 3
KWAN Pao Chuen	AWL985	Licensed Representative	1
KWOK CHEUNG Tak May	AQJ220	Licensed Representative	4, 9
KWOK Chi Fun	AWM932	Licensed Representative	1
KWOK Chi Yeung Stephen	AWJ108	Licensed Representative	1
KWOK Chung Man	AWN381	Licensed Representative	1
KWOK Ka Ying, Kendra	AWL154	Licensed Representative	1
KWOK Tse Kin	AJE080	Licensed Representative	4
KWOK Yu Kit	AWO959	Licensed Representative	1
KWONG Chin Fung	AWK998	Licensed Representative	1, 2
KWONG Pui Chuen	AWL133	Licensed Representative	1, 2
LAI Ki Fung, Kevin	AJC117	Licensed Representative	1, 2, 4, 5
LAI Lam	AWO127	Licensed Representative	1
LAI Pui Kiu	AWK999	Licensed Representative	1, 2
LAI Siu Ping	AWM934	Licensed Representative	1
LAI Yat-hei Gary	AWQ072	Licensed Representative	2
LAM Chi Kwong	AWM895	Licensed Representative	1
LAM Chun Wah	AWP712	Licensed Representative	1
LAM Fong Tat	AJM293	Licensed Representative	4
LAM Hung Fai	AWK996	Licensed Representative	1, 4, 9
LAM Jessica Yu Wei	AKI444	Licensed Representative	1, 6
LAM Ki Yuen	AWO017	Licensed Representative	1
LAM Man Ting	AFL668	Licensed Representative	1, 4
LAM Sau Kuen	AWG849	Licensed Representative	1
LAM Shun Hei	APD341	Licensed Representative	1
LAM Sin Wa	AWO867	Licensed Representative	1
LAM Sing Kuen	AFT889	Licensed Representative	1
LAM Yin	AWL740	Licensed Representative	1, 4, 9
LAM Yu Mei	AWO284	Licensed Representative	1
LARDY Patrick	AWN750	Licensed Representative	1, 2, 7

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Chi Pun	AWK994	Licensed Representative	1
LAU HOI WAN	AWM898	Licensed Representative	1
LAU Hang, Henry	AWJ860	Licensed Representative	1, 6
LAU Hynn Lunn Allan	AWL792	Licensed Representative	6
LAU Ka Man	AWP715	Licensed Representative	1
LAU Kai Meng	AWL846	Licensed Representative	1
LAU Tsz Ho	AWO218	Licensed Representative	1
LAU Wang Yip	AVY122	Licensed Representative	1
LAU Yin Ping	AGU594	Licensed Representative	1
LE MOAN Emile	AWQ071	Licensed Representative	1, 4
LE Yongqiang	AVS864	Licensed Representative	1, 2
LEE Christopher Shawn	AWK042	Licensed Representative	1, 4, 9
LEE Chui Shan	AWO107	Licensed Representative	4, 9
LEE Chun Wah	AFR066	Licensed Representative	1, 9
LEE Hyun Jae	AWK779	Licensed Representative	1
LEE Jennifer Ann	AWL482	Licensed Representative	1, 4
LEE Kim Fai	AGX524	Licensed Representative	4
LEE Pei Yuan	AWJ832	Licensed Representative	1, 4, 9
LEE Pei-Chen	AWK547	Licensed Representative	1
LEE Siu Hong	AWJ603	Licensed Representative	1
LEE Wai Kin	APD151	Licensed Representative	1
LEE Wai Kit	AWM935	Licensed Representative	1
LEE Wai Kit, Patrick	AWK707	Licensed Representative	9
LEE Wai Leung Michael	AWJ511	Licensed Representative	1
LEE Wilson Kwok Wai	AWK840	Licensed Representative	1, 4, 9
LEE Yin Lam, Jackie	AWL173	Licensed Representative	1
LEMEUNIER Maxime Pierre Alexandre	AWL301	Licensed Representative	1, 2, 7
LEUNG Koon Kiu	AWK392	Licensed Representative	1, 4
LEUNG Pan Ling, Brian	AWN531	Licensed Representative	1, 4, 6
LEUNG Shu Tak	AWM894	Licensed Representative	4
LEUNG Shuk Fan	AWL420	Licensed Representative	1, 2
LEUNG Siu Tak	AWJ858	Licensed Representative	1, 2
LI Chi Hang	AWK766	Licensed Representative	1
LI Grady V	AWM157	Licensed Representative	1, 2, 4, 5, 6, 9
LI Hang	AWK314	Licensed Representative	4
LI Jiun-Wei	AJE687	Licensed Representative	9
LI Ka Chung	AWJ631	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Qing	AWH884	Licensed Representative	4
LI Sisi	AWL795	Licensed Representative	1, 2
LI Siu Kim	AFW899	Licensed Representative	9
LI Suet Wing Mathew	AWO223	Licensed Representative	1
LI Tsz Wai	AWL543	Licensed Representative	1, 2
LI Wai Ling, Winnie	AWK718	Licensed Representative	1
LI Xiaoguang	AWL741	Licensed Representative	9
LI Xuefei	AWN481	Licensed Representative	1
LI Yanjie	AUS278	Licensed Representative	1, 6
LI Yongkun	AWL100	Licensed Representative	4, 9
LI Yui	AWN888	Licensed Representative	1, 2
LIAN Liangqing	AWJ609	Licensed Representative	1
LIN Hou Pu, Jonathan	AWO625	Licensed Representative	9
LIN Lin	AWO642	Licensed Representative	1, 4, 6
LING HING CHEUNG	AWN388	Licensed Representative	1
LIU Feizhi	AWD212	Licensed Representative	6
LIU Han-Chih	AWJ230	Licensed Representative	4
LIU Kwok Chuen	AOB584	Licensed Representative	1
LIU Ni Sha	AGQ395	Licensed Representative	1
LIU Sun Ting, Ignatius	AWN746	Licensed Representative	1, 2
LIU Xiao Ning	AWL455	Licensed Representative	4
LIU Yili	AIU618	Licensed Representative	4, 5, 9
LLOYD Benjamin Buckler	AWN319	Licensed Representative	1, 2
LO Ka Lai	AWP714	Licensed Representative	1
LO MAN FONG, CINDERELLA	AWM897	Licensed Representative	1
LO Tim Bo	AWL484	Licensed Representative	1, 2, 7
LOK Yiu Fai	AWO863	Licensed Representative	1, 4
LU David	AWK008	Licensed Representative	4, 9
LU Han	AWD213	Licensed Representative	6
LU Tad	AWL790	Licensed Representative	6
LUA Sheng Join	AWD622	Licensed Representative	1, 6
LUI Yin Jing Jezzie	AWO222	Licensed Representative	1
LUK Tit Pui	AOY548	Licensed Representative	1
LUK Yin Wang	AMS602	Licensed Representative	4
LUO Hua	AWJ906	Licensed Representative	2
MA Chung Chi, Adrian	APL879	Licensed Representative	1, 2
MA Hang Li	AWL809	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
MA Xianyu	AWF217	Licensed Representative	1, 4, 6
MAGNUS George Anthony	AWP242	Licensed Representative	4
MAK Ka Yan	AWI142	Licensed Representative	1
MAK Wai Kit	AWK248	Licensed Representative	1
MAK Yiu Hong	AWL694	Licensed Representative	1, 2
MAK Yu Ling, Elaine	AWO109	Licensed Representative	1
MALAMUT Scott Hunter	AWN519	Licensed Representative	1, 6
MAN KIN FUN	AWN380	Licensed Representative	1
MANSUKHANI Monish Manik	AWL683	Licensed Representative	1
MARSH JR James Milton	AWK209	Licensed Representative	4
MATANEY Pankaj Gul	AWK557	Licensed Representative	1, 4
MAU Pui Ming	AWK639	Licensed Representative	1
MAXWELL Ross	AWP247	Licensed Representative	1, 2
MCGRATH Stuart James	ARO705	Licensed Representative	1
MITCHELL Patrick Callahan	AVA493	Licensed Representative	1, 2
MOK Kwan Yu	AWO387	Licensed Representative	1
MOK Yun Cheong, George	AWH558	Licensed Representative	1, 2
MOLIN Gregory, Benoit, Benjamin	AWL272	Licensed Representative	1, 2
MUI Tsz Ching	AWN715	Licensed Representative	1, 2
MULLANEY David	AWO856	Licensed Representative	1, 7
MUTREJA Anuj	AFT540	Licensed Representative	9
NAKAMURA Spark Takuya	AVJ263	Licensed Representative	1, 2, 4, 5
NAKANISHI Hajime	AWN423	Licensed Representative	1, 2, 4
NAKAYAMA Mari	AWN424	Licensed Representative	1, 4, 6
NG Cheong Hing	AWO203	Licensed Representative	1
NG Chi Wai	AWL992	Licensed Representative	1
NG Kwai Ting	AWK009	Licensed Representative	1
NG Lik Hang	AWP775	Licensed Representative	4
NG Man Kit	AWK456	Licensed Representative	1
NG Po Ling, Betsy	AWO381	Licensed Representative	1
NG Sau Mui	APL380	Licensed Representative	1
NG Tsz Ting	AWO389	Licensed Representative	1
NG Wing Kin	AWN677	Licensed Representative	1
NG Wing Sze, Ophelia Jess	AWO118	Licensed Representative	1, 2
NG Yee Ting	AWL516	Licensed Representative	1, 2
NGAI Chi Hung	ADJ670	Licensed Representative	1, 4
Ngai Hau Chuen	ALQ313	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
OH Christopher Chin Huat	AWJ697	Licensed Representative	4
OMOTO Atsushi	ANU254	Licensed Representative	1, 2
ONG Cheong Teck David	AWK235	Licensed Representative	1, 4
PAK Hung Kong	AFW554	Licensed Representative	4, 9
PAN Zhongning	AWG910	Licensed Representative	4, 5, 9
PANG Jimmy Kar-yin	ANV218	Licensed Representative	4, 9
PANG Kwan	AWN156	Licensed Representative	1, 4, 6
PANG Wai Yuen	AWN316	Licensed Representative	6
PARAVANI Simona	AWK354	Licensed Representative	1, 4, 9
PARK Hye Yon	AWM153	Licensed Representative	1, 7
PARK Ji Yeop	AWK780	Licensed Representative	1, 4
PARK Jung Mi	AWL531	Licensed Representative	1, 4
PATEL Rahul Bharat	AWO647	Licensed Representative	9
PEERAN Syed Ibrahim	AWH502	Licensed Representative	1, 6
PERRIER Amelie	AVZ289	Licensed Representative	1, 2
PONG Chi San	AWN407	Licensed Representative	1
PONTER Mark Charles	AWM067	Licensed Representative	1
POON Cheuk Fai	ALV009	Licensed Representative	1, 6
POON Chun Sun, Eric	AEQ238	Licensed Representative	1
PRITCHARD Simon James	AEY358	Licensed Representative	1, 4
QI Xin	AWL701	Licensed Representative	4
QI Xuan	ATW424	Licensed Representative	1
RAMDEV Amit Kumar	AWK762	Licensed Representative	4
RAO Meixiu	AWD944	Licensed Representative	1, 4
RHEE Vincent Suk Kyu	AWJ997	Licensed Representative	1, 7
RIESNER Michael Lothar Kurt	AWK175	Licensed Representative	1
ROBBINS William Ralph Quentin	APJ520	Licensed Representative	1, 4
RYU Benedict, Hanseok	AWL168	Licensed Representative	1, 4
SAMANT Medha Aditya	ACP452	Licensed Representative	1, 9
SEKIGUCHI Jin	AWK001	Licensed Representative	1, 4
SEOW Zhi Xiang	AWO859	Licensed Representative	1, 2
SHAH Anand	AKQ902	Licensed Representative	1, 2
SHARMA Unmesh Yagya	AWL273	Licensed Representative	4
SHE Weichao	AWL894	Licensed Representative	4
SHEN Wenting	AWI982	Licensed Representative	1, 4
SHI Mengjie	AWL334	Licensed Representative	4
SHING Yin Man	AWO622	Licensed Representative	6, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
SIN Wai Mei	AWO645	Licensed Representative	1
SIU Chi Wai	AWK579	Licensed Representative	1, 6
SIU Ka Keung, Quester	AWL155	Licensed Representative	1
SIU Luen Wun, Wanda	AWL991	Licensed Representative	1
SO Gideon K	APU886	Licensed Representative	1, 2
SO HOI CHING	AWL893	Licensed Representative	1
SU Xin	AVZ612	Licensed Representative	1, 6
SUEN Kin Pong	ACO272	Licensed Representative	1
SUEN Sat Wai, Waisy	AWL854	Licensed Representative	1, 4
SUM Poon Yun	AED718	Licensed Representative	9
SUN Fei	AWK584	Licensed Representative	4
SUN Timothy	ADQ628	Licensed Representative	4
SUNG Chang Hwan	AWI986	Licensed Representative	1, 4, 9
SURBER Markus	AWK173	Licensed Representative	1
TAI Kit Han	AWL052	Licensed Representative	1
TAM King Hung, Andrew	ACU693	Licensed Representative	1, 4
TAM Wai Kit, Jonathan	AWL263	Licensed Representative	1, 4, 6
TAN Shuyue	AWP011	Licensed Representative	9
TANAKA Satoshi	AWN493	Licensed Representative	1, 2
TANG Chi Kit, James	AVZ317	Licensed Representative	1
TANG Po Lam, Paulia	AWM084	Licensed Representative	2
TANG Wing Him	AWO196	Licensed Representative	9
TANG Yu Hin, Tenny	AWL868	Licensed Representative	1, 2, 3
TANUJAYA TJIOENG Johny	AWN206	Licensed Representative	1
TAW Hock Chiang, Timothy	AWO019	Licensed Representative	1
TAY Lim How	AVV973	Licensed Representative	1
TO Cho Ki	AWL839	Licensed Representative	1, 2
TO Kwong Yiu	APB667	Licensed Representative	1
TO Oi Yee	ANE291	Licensed Representative	1, 4
TODD Jason Edmund	ATO944	Licensed Representative	4
TOH Jane	AWN315	Licensed Representative	1, 4, 6
TOH Jianxun	AWL872	Licensed Representative	1, 6
TONG Chi Shing	AWL897	Licensed Representative	1
TSANG Chung Ming	AWM149	Licensed Representative	1
TSANG Sze Wai, Billy	AIR095	Licensed Representative	1, 4, 9
TSANG Thomas Cheuk Man	AWM801	Licensed Representative	6
TSANG Wai Yee, Faredah	AWL906	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Wing Chi	AOB547	Licensed Representative	4
TSE Chi Kin, Henry	ABA164	Licensed Representative	2
TSE Jonathan Kamhay	AWJ763	Licensed Representative	1
TSE Wai Chu	AWL899	Licensed Representative	1
TSO Kin Tung	AWL054	Licensed Representative	1
TSOI Ho	AWK311	Licensed Representative	4
TSOI Yuk Chi	AWN912	Licensed Representative	4
TSUI Kwan Tung, Gwendolen	AWI658	Licensed Representative	4, 9
TUNTASOOD Rada	AWL101	Licensed Representative	4, 9
UMETSU Cameron Neil	ARP038	Licensed Representative	4
WAN Man Sze, Esther	AWN091	Licensed Representative	1, 4, 9
WAN Pui Lun Joe	AWK219	Licensed Representative	6
WAN Suk Har	AWL878	Licensed Representative	1
WAN Wai Mai Regina	AWL917	Licensed Representative	1
WAN Yu Chuk	AWO110	Licensed Representative	1
WANG Bo	AGD229	Licensed Representative	4
WANG Ju	AWN314	Licensed Representative	4
WANG Rui	AWO957	Licensed Representative	1
WANG Shizhen	AWK324	Licensed Representative	4
WANG Tianbing	AWO087	Licensed Representative	1, 4
WANG Wei	AWL287	Licensed Representative	1, 6
WANG Weigang	ANS227	Licensed Representative	6
WANG Wenbo	APM099	Licensed Representative	1, 4
WANG Yan	AWK696	Licensed Representative	4, 9
WANG Yan	AWM002	Licensed Representative	1
WANG Yanping	AWO201	Licensed Representative	2
WANG Zimu	AWP780	Licensed Representative	2
WATANABE Junko	AWN422	Licensed Representative	1, 2, 4
WEATHERSTON Alan Robert	ALU612	Licensed Representative	1, 4, 9
WILSON Henry William Humphrey	AWO461	Licensed Representative	4
WONG Chak Sum	AWL267	Licensed Representative	1
WONG Ka King, Dickson	AWN748	Licensed Representative	1
WONG Kam Suen, David	AFN655	Licensed Representative	1
WONG Koon Ming	AWO747	Licensed Representative	1
WONG Koon Wah	ACK493	Licensed Representative	1
WONG Kwok Wai	AAK068	Licensed Representative	1
WONG Man Tai, Eric	AWO987	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Mau Ying	AWN369	Licensed Representative	1
WONG Ming Chak, Raphael	AWL810	Licensed Representative	1, 2
WONG Nam Fu, Andy	ADB026	Licensed Representative	1, 2
WONG Pik Yu	AWN393	Licensed Representative	1
WONG Po Chuen, Paul	AWL549	Licensed Representative	1
WONG Pok Wang	AWP272	Licensed Representative	1, 4
WONG Pui Wan	AWL858	Licensed Representative	1, 4
WONG Sau Ching	AWL183	Licensed Representative	1
WONG Sau Ying	AWM937	Licensed Representative	1
WONG Siu Tat	AWL142	Licensed Representative	3
WONG Siu Yin	AWL732	Licensed Representative	1
WONG Sung Kuk	AWH034	Licensed Representative	1
WONG Tan	AWN322	Licensed Representative	4
WONG Thomas Chi Kit	AWN504	Licensed Representative	1
WONG Tin Kong	AWJ611	Licensed Representative	1
WONG Wing Man	AWL652	Licensed Representative	1, 2
WONG Yik Shing	AWO225	Licensed Representative	1
WONG Yuet Ling	ART233	Licensed Representative	9
WOO Hei Man	APL925	Licensed Representative	1
WU Jennifer York Kei	AHX685	Licensed Representative	6
WU Kelvin Hsu	AWO388	Licensed Representative	1
WU Yangdong	AWN210	Licensed Representative	1, 2, 7
WU Yanjia	AWN526	Licensed Representative	1, 9
XIE ZiYang	APV816	Licensed Representative	4
XU Chendi	AWL336	Licensed Representative	1, 6
XU Shaoyi	AON008	Licensed Representative	6
XU Yingbo	AVV560	Licensed Representative	4
XUE Fei	ASP680	Licensed Representative	4
XUE Yang	AWK548	Licensed Representative	1, 4, 6
YAN Chee Yung	ADZ217	Licensed Representative	1
YAN Gaojian	AVV553	Licensed Representative	4
YANG Clarence	AWN419	Licensed Representative	4, 9
YANG Fan	AWO125	Licensed Representative	1
YANG Fengchun	AWJ220	Licensed Representative	4
YANG Xiaojing	AWN157	Licensed Representative	1, 2
YANG Zhao	AWK896	Licensed Representative	1
YAO Shaohua	AWK450	Licensed Representative	1, 4, 5, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
YAP Wai Tso, Joseph	ADB531	Licensed Representative	4
YAU Tat Keung	AWO953	Licensed Representative	1
YE Nayun	AWG076	Licensed Representative	1, 4, 6
YE Zuobin	AWK611	Licensed Representative	1
YETMAN Mark Stephen	ACT009	Licensed Representative	1
YEUNG Cheuk Fun, Vincent	AWM896	Licensed Representative	1
YEUNG Ka Chun	AWL733	Licensed Representative	1
YEUNG Ka Man, Theresa	ASH883	Licensed Representative	1
YEUNG Kim Wa	AWJ986	Licensed Representative	1, 2
YEUNG Lai Man	AWP881	Licensed Representative	6
YEUNG Nga Yi	ACP957	Licensed Representative	1
YEUNG WING LUN, LARS	AWL700	Licensed Representative	1
YIP Chun Man	AWO866	Licensed Representative	1
YIU Ka Wa	AWK590	Licensed Representative	1, 4
YIU Kwok Pan	AGT888	Licensed Representative	1
YONG Fen Choong	AOM504	Licensed Representative	1, 2
YOO Seungyeon	AWN340	Licensed Representative	1
YU Adrienne Jia Yan	ARQ478	Licensed Representative	1, 6
YU Chi King, Danny	AWK997	Licensed Representative	1
YU Chun Wai	AWL989	Licensed Representative	1
YU Ruofan	AWO106	Licensed Representative	1, 4
YU Wai Hung	AWN747	Licensed Representative	1
YUEN Wai Kin	AWL270	Licensed Representative	1
YUNG Jeffrey Yan-Leun	AWL879	Licensed Representative	1, 2
YUNG Man Yee	AWO584	Licensed Representative	1
ZENG Liyi	AWC178	Licensed Representative	1
ZENG Zhenxing	AWO241	Licensed Representative	1, 2
ZHAN Wenwen	AWL338	Licensed Representative	4
ZHANG Charles	AWP279	Licensed Representative	1
ZHANG Fan	AWL268	Licensed Representative	1, 6
ZHANG Jinliang	AVY896	Licensed Representative	6
ZHANG Longteng	AWN911	Licensed Representative	1, 6
ZHANG Xianning	AWS214	Licensed Representative	1, 4
ZHANG Xiuping	AJL094	Licensed Representative	1, 4, 6
ZHANG Yiding	AWN022	Licensed Representative	4
ZHAO Nan	AWN313	Licensed Representative	1, 4, 6
ZHAO Yu	AWL739	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Lili	AWJ166	Licensed Representative	4
ZHENG Yinan	ATH408	Licensed Representative	1, 6
ZHONG Yiran	AWJ594	Licensed Representative	4
ZHOU Chang Qing	AWN724	Licensed Representative	1, 2
ZHOU Weiguo	APO574	Licensed Representative	1
ZHOU Xingyuan	AWK993	Licensed Representative	1
ZHOU Xiuxia	AWO226	Licensed Representative	6
ZHU Jian	AWK597	Licensed Representative	1
ZHU Junyan	AWD993	Licensed Representative	4
BITAN Elie	ABN658	Licensed Representative - Responsible Officer	1, 4
CHAN Chein Kwong William	AVK496	Licensed Representative - Responsible Officer	4, 9
CHEN Lizhong	AUT628	Licensed Representative - Responsible Officer	9
CHUNG Fu Wing	ARK757	Licensed Representative - Responsible Officer	9
DAO Chinh Hung	AWD981	Licensed Representative - Responsible Officer	9
FORDAY Eric Donald	ALW736	Licensed Representative - Responsible Officer	4, 9
GAO Vincent Leyi	AWF906	Licensed Representative - Responsible Officer	9
GHIRARDI Daniel Alain	ADX446	Licensed Representative - Responsible Officer	4, 9
GOTHARD Christopher Neil Averill	AVU837	Licensed Representative - Responsible Officer	3
HARRISON Keith Anthony David	ABM623	Licensed Representative - Responsible Officer	1, 4
HEE John Alexander	ARF268	Licensed Representative - Responsible Officer	1, 2
HEW Yew Wah	AWE266	Licensed Representative - Responsible Officer	1
HO Joyce	AFP723	Licensed Representative - Responsible Officer	9
HO Kim Kwong	AWG904	Licensed Representative - Responsible Officer	9
HORNSLETH Lars	AVD125	Licensed Representative - Responsible Officer	1
JANG Deogjin	AVY648	Licensed Representative - Responsible Officer	4, 9
KOGA Akira	AWK856	Licensed Representative - Responsible Officer	1, 4
KWON Oh Jin	ASE684	Licensed Representative - Responsible Officer	1, 4
LAI Wai Hung, Tony	AEE612	Licensed Representative - Responsible Officer	1, 2
LAM Fung	AEZ198	Licensed Representative - Responsible Officer	4, 9
LEE Chi-Wei	ALW544	Licensed Representative - Responsible Officer	9
LEE Chie-Kang, Francis	AIM240	Licensed Representative - Responsible Officer	1, 3
LI Tsz Lam	AWL333	Licensed Representative - Responsible Officer	4, 9
LI Xinghang	AWH538	Licensed Representative - Responsible Officer	1, 9
LIN Charson	AKW613	Licensed Representative - Responsible Officer	9
LO Wing Yat	AEK275	Licensed Representative - Responsible Officer	4, 9
MA David Y	AWF910	Licensed Representative - Responsible Officer	9
MARUYAMA Masashi	ACB305	Licensed Representative - Responsible Officer	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
PARK Jae Wu	AVY657	Licensed Representative - Responsible Officer	4, 9
SATAKE Ken	ACJ391	Licensed Representative - Responsible Officer	9
TAN Eddy Kong Yiam	AWJ407	Licensed Representative - Responsible Officer	1, 4
TAN Meng Lee	AWD977	Licensed Representative - Responsible Officer	9
TUCKER Andrew James Francis	AHM771	Licensed Representative - Responsible Officer	1, 4
UMATANI Toru	AVD144	Licensed Representative - Responsible Officer	3
WAN Sok Mun	AVD145	Licensed Representative - Responsible Officer	1, 3
WENG Xiangwei	AFZ494	Licensed Representative - Responsible Officer	9
YIU Man Man	AJO082	Licensed Representative - Responsible Officer	4
YU Hoi Tong Abby	APV479	Licensed Representative - Responsible Officer	4, 9
YU Yuk Fan, David	ADE769	Licensed Representative - Responsible Officer	9
ACKERMAN Assaf	AWP517	Licensed Representative (Provisional Licence)	1
ALLEN Julia Marie	AWQ438	Licensed Representative (Provisional Licence)	1, 2, 7
ANDROSIUK Carl Matthew	AWS219	Licensed Representative (Provisional Licence)	1
ARAKI Tetsuo	AWQ684	Licensed Representative (Provisional Licence)	1
ASPINALL Steven Andrew	AWP804	Licensed Representative (Provisional Licence)	1, 2
BARATTE Nicolas Guy Gabriel	AWN751	Licensed Representative (Provisional Licence)	1, 4
BAUMEISTER Andrea Carla	AWQ257	Licensed Representative (Provisional Licence)	1, 2
BAYES Jonathan Sutton	AWP415	Licensed Representative (Provisional Licence)	9
BECCHETTI James Patrick	AWQ678	Licensed Representative (Provisional Licence)	1, 2
BRODA Roland Thomas	AWO960	Licensed Representative (Provisional Licence)	1, 2
BROOKS Philip Andrew	ALJ927	Licensed Representative (Provisional Licence)	1, 4
BYUN Kara Kyung Wha	APJ708	Licensed Representative (Provisional Licence)	1
CALDER Kieran John	APF162	Licensed Representative (Provisional Licence)	4
CHAN Chun Wai	AWO082	Licensed Representative (Provisional Licence)	4
CHAN Fung Yee	AWN383	Licensed Representative (Provisional Licence)	1
CHAN Kang Ming	AWN391	Licensed Representative (Provisional Licence)	1
CHAN King Cheung	AWS947	Licensed Representative (Provisional Licence)	1
CHAN Lai Ming	AWS908	Licensed Representative (Provisional Licence)	1
CHAN Shun Man	AWP249	Licensed Representative (Provisional Licence)	1, 4
CHAN Sze Chung	AWQ116	Licensed Representative (Provisional Licence)	1
CHAN Sze Chung	AWS037	Licensed Representative (Provisional Licence)	3
CHAN Tiffany Ting	AWP283	Licensed Representative (Provisional Licence)	1
CHAN Wai	ADW197	Licensed Representative (Provisional Licence)	1, 2
CHAN Wan Fay, Catherine	AWR691	Licensed Representative (Provisional Licence)	1, 2
CHAN Yin Chun	AWN395	Licensed Representative (Provisional Licence)	1
CHANG Hui	AWS290	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHANG Kuang Hsian	AWS584	Licensed Representative (Provisional Licence)	4, 9
CHANG Shian Ming, James	ADG054	Licensed Representative (Provisional Licence)	9
CHANG Tsun Wah, Terence	AWP241	Licensed Representative (Provisional Licence)	4
CHAPMAN Leo	AWP232	Licensed Representative (Provisional Licence)	1, 3
CHAU Kwok Yip	AWP928	Licensed Representative (Provisional Licence)	1, 2
CHEAH Sin Ying	AQU254	Licensed Representative (Provisional Licence)	1
CHEE Shui Chu	AWP584	Licensed Representative (Provisional Licence)	1
CHELLAPPA Govindarajan	AUX265	Licensed Representative (Provisional Licence)	4
CHEN Bingjian	AWQ432	Licensed Representative (Provisional Licence)	4
CHEN Cheng Jorge	AWP643	Licensed Representative (Provisional Licence)	1
CHEN Fanny Lu Hong	AWQ391	Licensed Representative (Provisional Licence)	1, 6
CHEN Hsiao Ju	AWS022	Licensed Representative (Provisional Licence)	1
CHEN Shi	AWQ302	Licensed Representative (Provisional Licence)	4, 9
CHEN Szu-Wei	AWO626	Licensed Representative (Provisional Licence)	4
CHEN Xinwei	APJ308	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Xueqian	AWP698	Licensed Representative (Provisional Licence)	4, 9
CHEN Yi	AWP386	Licensed Representative (Provisional Licence)	4
CHEN Zeen	AWR548	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhen Hai	AWM874	Licensed Representative (Provisional Licence)	1, 2
CHENG Chor Sing	AGT891	Licensed Representative (Provisional Licence)	1
CHENG Siu Fung	AWS792	Licensed Representative (Provisional Licence)	1, 2
CHENG Tan Feng	AWP683	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Ching Fai, Daniel	ANC002	Licensed Representative (Provisional Licence)	1
CHEUNG Cho Chi	AWQ260	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ka Shing	AWN498	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Kenneth Kin Chung	AWQ542	Licensed Representative (Provisional Licence)	1
CHEUNG Kwan Siu	AWR853	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wai Kit	ANY303	Licensed Representative (Provisional Licence)	4
CHIANG Lai Kit	AWS781	Licensed Representative (Provisional Licence)	1
CHILD Adam David	AWS710	Licensed Representative (Provisional Licence)	4, 9
CHIU Siu Po, Steve	AAJ831	Licensed Representative (Provisional Licence)	4, 9
CHIU Yiu Wing, Charles	AEE312	Licensed Representative (Provisional Licence)	1, 4
CHOI Kai Hang	AWP243	Licensed Representative (Provisional Licence)	1, 2
CHOI Wai Chiu	AWP269	Licensed Representative (Provisional Licence)	1, 2
CHOPRA Vikramjeet Singh	AWQ370	Licensed Representative (Provisional Licence)	4
CHOW Oscar	AWP108	Licensed Representative (Provisional Licence)	4
CHOW Wing Suen Amy	AWO954	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Wing To	AWP278	Licensed Representative (Provisional Licence)	1, 4
CHU LAI SAN, TERESA	AWN382	Licensed Representative (Provisional Licence)	1
CHU Melissa	ARJ507	Licensed Representative (Provisional Licence)	1, 2
CHU Moon Lin	AWP233	Licensed Representative (Provisional Licence)	1
CHU Sing Yung	AQJ223	Licensed Representative (Provisional Licence)	1, 2
CHU Wan Yin	AWS031	Licensed Representative (Provisional Licence)	1, 4
CHUANG Ker-Yu	AVW335	Licensed Representative (Provisional Licence)	9
CHUN Julian Howe	AOB660	Licensed Representative (Provisional Licence)	1
CHUNG Kim Nga	AWT061	Licensed Representative (Provisional Licence)	1
CHUNG Wai Tong	ARF932	Licensed Representative (Provisional Licence)	1
CLERC Alexis, Serge, Cyril	AWP271	Licensed Representative (Provisional Licence)	1, 2, 7
COLLETT Michael James	AUB134	Licensed Representative (Provisional Licence)	1
COOK Wai Ming, Adrian	AWQ522	Licensed Representative (Provisional Licence)	1, 2
CORRIGAN Peter Antony	AWP893	Licensed Representative (Provisional Licence)	1, 2
CUI Yi	AWR695	Licensed Representative (Provisional Licence)	1, 6
DING Shuang	AWO081	Licensed Representative (Provisional Licence)	4
DORAMUS William Edward	AWP319	Licensed Representative (Provisional Licence)	1, 2, 7
DURANTEZ Marc	AWP887	Licensed Representative (Provisional Licence)	1, 2
EL KHOURY Jean	AWO016	Licensed Representative (Provisional Licence)	1, 2
FUNG Koon Yan	AWS896	Licensed Representative (Provisional Licence)	1
FUNG Shing Wai	AWJ859	Licensed Representative (Provisional Licence)	1, 2
GANDHI Sonia	AWR811	Licensed Representative (Provisional Licence)	1
GAO Pei	AWP702	Licensed Representative (Provisional Licence)	2
GAO Shu	AWQ627	Licensed Representative (Provisional Licence)	6
GENG Tingting	AWL497	Licensed Representative (Provisional Licence)	1, 2, 7
GOH Kwang Yi, Angeline	AWQ429	Licensed Representative (Provisional Licence)	1, 4
GREENE Scott Garel	AWP822	Licensed Representative (Provisional Licence)	1, 6
GUAN Ying	AWQ675	Licensed Representative (Provisional Licence)	1
GUPTA Ashish	AWJ087	Licensed Representative (Provisional Licence)	4
GVOZDEN Alexander Robin	ADR449	Licensed Representative (Provisional Licence)	9
HE Saiyi	AWS747	Licensed Representative (Provisional Licence)	4
HE Xin	AWN877	Licensed Representative (Provisional Licence)	1, 6
HE Zhengwei	AWQ292	Licensed Representative (Provisional Licence)	1
HENZE Frank	AWQ625	Licensed Representative (Provisional Licence)	1, 4
HILAN Matthew John	AWQ105	Licensed Representative (Provisional Licence)	4
HO Ching Yee	AWP275	Licensed Representative (Provisional Licence)	1, 4
HO Derek	AEO600	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Kar Ying	AWQ467	Licensed Representative (Provisional Licence)	1, 4
HO Sai Man	AWQ108	Licensed Representative (Provisional Licence)	9
HO Yuen Ying, Elaine	AWQ618	Licensed Representative (Provisional Licence)	1, 2
HONARI Pedram Peter	ATB630	Licensed Representative (Provisional Licence)	1, 4, 7
HSU Chun-Ming	AWS371	Licensed Representative (Provisional Licence)	4
HSU Chung-Wei	APR573	Licensed Representative (Provisional Licence)	1
HSUEH Yun-chi	AWP703	Licensed Representative (Provisional Licence)	1
HU Bin	AWJ017	Licensed Representative (Provisional Licence)	1, 4
HU Jie	AWQ290	Licensed Representative (Provisional Licence)	4
HU Weijun	AWS043	Licensed Representative (Provisional Licence)	1, 4
HU Weiwei	AWQ269	Licensed Representative (Provisional Licence)	1, 6
HU Yi Na	AWM092	Licensed Representative (Provisional Licence)	4, 9
HUANG Kai	AOB359	Licensed Representative (Provisional Licence)	4, 9
HUANG Kin Hing	AWS038	Licensed Representative (Provisional Licence)	3
HUANG Liang	AWQ795	Licensed Representative (Provisional Licence)	1, 6
HUANG Lini	AWR867	Licensed Representative (Provisional Licence)	1, 6
HUANG Xiaoxiang	AWP888	Licensed Representative (Provisional Licence)	1, 6
HUANG Yiran	AWS789	Licensed Representative (Provisional Licence)	1
HUANG Yuhua	AWR982	Licensed Representative (Provisional Licence)	2
HUI Chun	AWQ526	Licensed Representative (Provisional Licence)	1, 2, 3
HUI Kam Wing, Benjamin	ACB593	Licensed Representative (Provisional Licence)	1, 2, 4
HUI Kin Sing	AWR738	Licensed Representative (Provisional Licence)	1, 2
HUI Ting Siu	AWP885	Licensed Representative (Provisional Licence)	1, 2, 3
HUI Wing Chun, Eric	AWP473	Licensed Representative (Provisional Licence)	1, 6
HUYNH Yu-ching	AWP034	Licensed Representative (Provisional Licence)	1
HWANG Seung-hoon	AWR623	Licensed Representative (Provisional Licence)	1
JAIN Pankaj	AWN126	Licensed Representative (Provisional Licence)	1, 2
JAMES Joel Graeme	AWS778	Licensed Representative (Provisional Licence)	1
JIA Jian	AWP432	Licensed Representative (Provisional Licence)	9
JIANG Chao	AWP310	Licensed Representative (Provisional Licence)	2
JIANG Wei	AWS208	Licensed Representative (Provisional Licence)	1, 4
JIN Tianyin	AWP268	Licensed Representative (Provisional Licence)	4
JIN Ziyin	AWQ069	Licensed Representative (Provisional Licence)	1, 7
JUNG Chungkoo Eugene	AWP250	Licensed Representative (Provisional Licence)	4
KAM MEI YEE	AWN389	Licensed Representative (Provisional Licence)	1
KANZAKI Shimpei	AWN525	Licensed Representative (Provisional Licence)	1, 4
KAO Margaret Ju-Han	AQD029	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM Jong Kuk	AFC107	Licensed Representative (Provisional Licence)	1, 4
KIM Michael Sang Eun	AWP824	Licensed Representative (Provisional Licence)	1, 2
KIRKBY Matthew	ADY177	Licensed Representative (Provisional Licence)	1, 6
KNIGHT Clifford Justin	AUZ508	Licensed Representative (Provisional Licence)	4
KONG Kathleen	AWS260	Licensed Representative (Provisional Licence)	4
KOO Man Chi, Michael	AWS283	Licensed Representative (Provisional Licence)	1
KOO Mary Tin Yee	AWR535	Licensed Representative (Provisional Licence)	1, 6
KUMAR Nitin	AWS104	Licensed Representative (Provisional Licence)	4
KUNIMOTO (ALAWDEEN) Reza	AUO037	Licensed Representative (Provisional Licence)	1, 4, 7
KWAN Kin Kei	ACU638	Licensed Representative (Provisional Licence)	1, 2, 4
KWEK Kon Chao	AWQ621	Licensed Representative (Provisional Licence)	1
KWOK Chi Wang	ACX686	Licensed Representative (Provisional Licence)	2, 3
KWOK Chung Man	AWN381	Licensed Representative (Provisional Licence)	1
KWOK Man Chun, Felix	AWS974	Licensed Representative (Provisional Licence)	4, 9
KWONG Kin Hung, Ricky	AQR307	Licensed Representative (Provisional Licence)	1
LAHAR Rebecca Anne	AWS697	Licensed Representative (Provisional Licence)	1, 4
LAI Chi Wang, Alen	AWQ674	Licensed Representative (Provisional Licence)	1
LAM Cheuk Wain, Wayne	AWQ258	Licensed Representative (Provisional Licence)	4, 5, 9
LAM Chui Wah	AMM393	Licensed Representative (Provisional Licence)	1
LAM Ho Yin	AWR864	Licensed Representative (Provisional Licence)	1, 2
LAM Ki Yuen	AWO017	Licensed Representative (Provisional Licence)	1
LAM Kwong Yu	AFD849	Licensed Representative (Provisional Licence)	1, 4
LAM Pui San	AWR815	Licensed Representative (Provisional Licence)	1
LAM Shu Hon	AWP285	Licensed Representative (Provisional Licence)	1, 2
LAM Sin Wa	AWO867	Licensed Representative (Provisional Licence)	1
LAM Suk Yee Martha	AWS703	Licensed Representative (Provisional Licence)	1, 6
LAM Wai On	AWQ111	Licensed Representative (Provisional Licence)	1, 2
LARDY Patrick	AWN750	Licensed Representative (Provisional Licence)	1, 2, 7
LARSEN Steffen Kolbe	AWP884	Licensed Representative (Provisional Licence)	1
LAU Tik Sze	AWS532	Licensed Representative (Provisional Licence)	1
LAU Tin Lung	AWQ115	Licensed Representative (Provisional Licence)	1, 4
LAU Tsz Ho	AWO218	Licensed Representative (Provisional Licence)	1
LAU Wai Ting	AWQ077	Licensed Representative (Provisional Licence)	1
LAU Wing Shan	AFE210	Licensed Representative (Provisional Licence)	1
LAURENT-BELLUE Edouard Marie Pierre	AWR814	Licensed Representative (Provisional Licence)	1, 2
LAW Andrew Corbet	ACX031	Licensed Representative (Provisional Licence)	1
LAW On Yee	AWQ303	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Wing Leung	AWS180	Licensed Representative (Provisional Licence)	1
LEE David Hyung-Tek	AOV740	Licensed Representative (Provisional Licence)	1, 4
LEE Jinsoo	AWP417	Licensed Representative (Provisional Licence)	1, 2
LEE Kong Faat	AWS550	Licensed Representative (Provisional Licence)	1, 2
LEE Kun Ho	AWQ423	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Pui Kwan	APU612	Licensed Representative (Provisional Licence)	1
LEE Wai Kin	APD151	Licensed Representative (Provisional Licence)	1
LEHMANN Yves Roger	AWS977	Licensed Representative (Provisional Licence)	1
LEUNG Alexander Tsang Ho	AWS284	Licensed Representative (Provisional Licence)	1
LEUNG Chi Hang	AWQ289	Licensed Representative (Provisional Licence)	1
LEUNG Ka Woon	AWO653	Licensed Representative (Provisional Licence)	1
LEUNG Pan Ling, Brian	AWN531	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Patricia, Yuk Yee	AWP012	Licensed Representative (Provisional Licence)	1, 4
LI Gary	AWP284	Licensed Representative (Provisional Licence)	1
LI Jia	AWQ113	Licensed Representative (Provisional Licence)	1, 2
LI Jianyang	AWS028	Licensed Representative (Provisional Licence)	1, 6
LI Junfeng	AWP671	Licensed Representative (Provisional Licence)	1, 4
LI King Him	AWS455	Licensed Representative (Provisional Licence)	3
LI Ko Wing, George	AWR727	Licensed Representative (Provisional Licence)	1, 4
LI Po Yi	AWQ112	Licensed Representative (Provisional Licence)	1, 2
LI Qian	ARI666	Licensed Representative (Provisional Licence)	1
LI Shuk Man, Clara	AJO156	Licensed Representative (Provisional Licence)	1
LI Shuxuan	AWR533	Licensed Representative (Provisional Licence)	4
LI Siu Kim	AFW899	Licensed Representative (Provisional Licence)	9
LI Suet Wing Mathew	AWO223	Licensed Representative (Provisional Licence)	1
LI Zhenyu	AKN225	Licensed Representative (Provisional Licence)	1
LI Zheren	AWP314	Licensed Representative (Provisional Licence)	1, 4
LIANG Man Wei, Jonathan	AFR655	Licensed Representative (Provisional Licence)	1, 4
LIANG Shui Foon	ADV772	Licensed Representative (Provisional Licence)	1, 4
LIANG Wei	AWR549	Licensed Representative (Provisional Licence)	4
LIAO Meng-jung	AWP686	Licensed Representative (Provisional Licence)	1, 4
LIM Jin Yin Elaine	AWQ436	Licensed Representative (Provisional Licence)	1, 2
LIM YOU JIE	AWP718	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Hou Pu, Jonathan	AWO625	Licensed Representative (Provisional Licence)	9
LIN Lin	AWO642	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Xiaowen	AWS856	Licensed Representative (Provisional Licence)	1, 6
LIN Yang	AWR728	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Yu Chih	AWQ528	Licensed Representative (Provisional Licence)	1
LING HING CHEUNG	AWN388	Licensed Representative (Provisional Licence)	1
LIU Ming Lung	AWS393	Licensed Representative (Provisional Licence)	4
LIU Shuai	AWS026	Licensed Representative (Provisional Licence)	1, 6
LIU Sun Ting, Ignatius	AWN746	Licensed Representative (Provisional Licence)	1, 2
LIU Xuanhua	AWR729	Licensed Representative (Provisional Licence)	1, 2
LIU Xueying	AWP685	Licensed Representative (Provisional Licence)	1, 6
LIU Yusheng	AWQ090	Licensed Representative (Provisional Licence)	4
LLOYD Benjamin Buckler	AWN319	Licensed Representative (Provisional Licence)	1, 2
LO Ching Hin	AQD470	Licensed Representative (Provisional Licence)	1, 2
LO Siu Lam	AWQ268	Licensed Representative (Provisional Licence)	1
LOK Kai Ming, Jacky	AWS176	Licensed Representative (Provisional Licence)	1
LU Zhuo	AWQ378	Licensed Representative (Provisional Licence)	1, 6
LUCAS Ken Lawrence	AVM645	Licensed Representative (Provisional Licence)	1, 4, 7
LUI Yin Jing Jezzie	AWO222	Licensed Representative (Provisional Licence)	1
LUO Juan	AWQ261	Licensed Representative (Provisional Licence)	1
LYONNET Boris Nicolas	AOD135	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MA David	AWP273	Licensed Representative (Provisional Licence)	1
MAGNUS George Anthony	AWP242	Licensed Representative (Provisional Licence)	4
MAN KIN FUN	AWN380	Licensed Representative (Provisional Licence)	1
MAN Ka Lai	AWP883	Licensed Representative (Provisional Licence)	1, 2
MAN Tak Yiu	AWQ431	Licensed Representative (Provisional Licence)	1
MAXWELL Ross	AWP247	Licensed Representative (Provisional Licence)	1, 2
MCKERROW Ian Alexander Chiene	AMS460	Licensed Representative (Provisional Licence)	4
MENG Bingyu	AWS029	Licensed Representative (Provisional Licence)	1, 2
MILLION David Brian	AWQ114	Licensed Representative (Provisional Licence)	1, 2
MISHRA Neelkanth	ALW780	Licensed Representative (Provisional Licence)	4
MITTAL Poonit	AWS023	Licensed Representative (Provisional Licence)	1, 2
MO Yifan	AWP607	Licensed Representative (Provisional Licence)	1, 6
MONG Ka Ho	AWS551	Licensed Representative (Provisional Licence)	1, 2
MU Xiao	AWS429	Licensed Representative (Provisional Licence)	1, 2
MULLANEY David	AWO856	Licensed Representative (Provisional Licence)	1, 7
MUTREJA Anuj	AFT540	Licensed Representative (Provisional Licence)	9
NAGASAWA Yo	AWQ106	Licensed Representative (Provisional Licence)	4
NAIR Srijith Surendranathan	AWQ525	Licensed Representative (Provisional Licence)	1, 6
NAM Soyoungh	AWO639	Licensed Representative (Provisional Licence)	1, 2
NANDANWAR Ajay Sudhanwa	AWR812	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Bun Ngai, Penelope	AWP287	Licensed Representative (Provisional Licence)	1
NG Siu Fai	AWS462	Licensed Representative (Provisional Licence)	1, 4
NG Wai Kwong	AWP695	Licensed Representative (Provisional Licence)	1, 2
NG Wing Sze, Ophelia Jess	AWO118	Licensed Representative (Provisional Licence)	1, 2
Ngai Hau Chuen	ALQ313	Licensed Representative (Provisional Licence)	1
OKADA Masakatsu	AWP882	Licensed Representative (Provisional Licence)	4
OUNG Park Un Brian	AWT087	Licensed Representative (Provisional Licence)	1, 2
PANG Sui Ting	ATB914	Licensed Representative (Provisional Licence)	1
PATEL Rushi Chandragupta	AWQ620	Licensed Representative (Provisional Licence)	1, 2, 7
PAYNE Nicholas	ATM942	Licensed Representative (Provisional Licence)	1
POON Tse King	AWP815	Licensed Representative (Provisional Licence)	1, 2
POOPOKSAKUL Salinee	AWQ670	Licensed Representative (Provisional Licence)	4
POWELL Jason Richard Henry	AWP639	Licensed Representative (Provisional Licence)	1, 4
PUN Kar Kiu, Jose	AWR716	Licensed Representative (Provisional Licence)	4
QIAN Fengqi	AWO582	Licensed Representative (Provisional Licence)	1, 4
QIAO Sharon Ding	AWR739	Licensed Representative (Provisional Licence)	1, 6
QIN Ai Hua	AWS555	Licensed Representative (Provisional Licence)	4
QU Wei	AWQ291	Licensed Representative (Provisional Licence)	1, 4, 6
RAKE Brendan Thomas	AJD854	Licensed Representative (Provisional Licence)	1
ROBERTSON Charles Struan Davey	AWS599	Licensed Representative (Provisional Licence)	4
ROBINSON Louise Catherine	AWP244	Licensed Representative (Provisional Licence)	1, 2
ROWOLD Niklas Roman	AWP694	Licensed Representative (Provisional Licence)	1
SABHARWAL Rajat	AWP818	Licensed Representative (Provisional Licence)	4
SANDEMAN Stuart Charles	AWS470	Licensed Representative (Provisional Licence)	1, 2
SARKAR Mainak	AWQ521	Licensed Representative (Provisional Licence)	1, 2
SCHOLEY Richard Brendan	AWP282	Licensed Representative (Provisional Licence)	4
SCHUETZ Arthur Josef Hermann	AWS902	Licensed Representative (Provisional Licence)	1, 6
SE THO Mun Kit	AWS554	Licensed Representative (Provisional Licence)	4, 9
SEET Wei Wen, Jordan	AWQ103	Licensed Representative (Provisional Licence)	1
SEOW Zhi Xiang	AWO859	Licensed Representative (Provisional Licence)	1, 2
SERONG Kenneth Thomas	ACV005	Licensed Representative (Provisional Licence)	1, 4, 6
SHABAHAT Sayeed	AWQ278	Licensed Representative (Provisional Licence)	4
SHAH Anand	AKQ902	Licensed Representative (Provisional Licence)	1, 2
SHAW Natalie Ann	AGB890	Licensed Representative (Provisional Licence)	1, 7
SHI Yun-Fu	ANM243	Licensed Representative (Provisional Licence)	2, 5
SHUI Wai Miu	AWP036	Licensed Representative (Provisional Licence)	1
STARR Michael Lewis	AWP051	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
STERNBY Bjoern Petter Waldemar	AWS041	Licensed Representative (Provisional Licence)	1, 4, 6
STILES Andrew Guy	ABE303	Licensed Representative (Provisional Licence)	1
SU Yanyu	AWQ093	Licensed Representative (Provisional Licence)	4, 9
SUEN Kin Pong	ACO272	Licensed Representative (Provisional Licence)	1
SUN Jianyun	AWR720	Licensed Representative (Provisional Licence)	1, 6
SUN Yu	AWS600	Licensed Representative (Provisional Licence)	6
SY Daniel Chua	AWQ447	Licensed Representative (Provisional Licence)	1, 2
SZE Cho Man, Colin	AAE164	Licensed Representative (Provisional Licence)	1, 2, 4, 6, 9
TAI Jennifer	AWS020	Licensed Representative (Provisional Licence)	9
TAM Chit Wa	APQ493	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Ka Li, Cindy	AWS582	Licensed Representative (Provisional Licence)	1
TAN Johny	AWP899	Licensed Representative (Provisional Licence)	4
TAN Perry Michael	AWP889	Licensed Representative (Provisional Licence)	4, 9
TANG Chunhua	AWP783	Licensed Representative (Provisional Licence)	9
TANG Kai Kwong	AWP840	Licensed Representative (Provisional Licence)	1, 6
TANG Minyi	AWS939	Licensed Representative (Provisional Licence)	1, 2
TANG Yik Hang	AWQ107	Licensed Representative (Provisional Licence)	6
TIEN Chung Yu, Osmund	ABX352	Licensed Representative (Provisional Licence)	1, 4
TIMM Roman Sebastian	AWS454	Licensed Representative (Provisional Licence)	1, 2, 4
TO Kwong Yiu	APB667	Licensed Representative (Provisional Licence)	1
TOH Jane	AWN315	Licensed Representative (Provisional Licence)	1, 4, 6
TRAN Fon Francis	AWR700	Licensed Representative (Provisional Licence)	1, 2
TREACY Shaun Reginald	AWO648	Licensed Representative (Provisional Licence)	1, 4, 6
TSANG Wai Hang, Wayne	AOB854	Licensed Representative (Provisional Licence)	1, 2, 7
TSOI Yuk Chi	AWN912	Licensed Representative (Provisional Licence)	4
TSUI Ching Wan	APG565	Licensed Representative (Provisional Licence)	9
TSUI Ka Lun	AWP641	Licensed Representative (Provisional Licence)	1
TZE Hei	AWQ683	Licensed Representative (Provisional Licence)	1, 2, 4, 9
UMETSU Cameron Neil	ARP038	Licensed Representative (Provisional Licence)	4
USHIRO Hideaki	AFY323	Licensed Representative (Provisional Licence)	4, 6
VENTOURAS Panagiotis	AWP816	Licensed Representative (Provisional Licence)	1, 6
WAH Sai Kit	ANJ563	Licensed Representative (Provisional Licence)	1, 2
WAI Kwai Fong	AWQ619	Licensed Representative (Provisional Licence)	6
WANG Bo	AGD229	Licensed Representative (Provisional Licence)	4
WANG Chih-Lin	AWP681	Licensed Representative (Provisional Licence)	4
WANG Dawei	AWT175	Licensed Representative (Provisional Licence)	9
WANG Ju	AWN314	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Qin	AWP856	Licensed Representative (Provisional Licence)	2
WANG Rui	AWO957	Licensed Representative (Provisional Licence)	1
WANG Yu	AWT003	Licensed Representative (Provisional Licence)	1, 6
WANG Zhuojun	AWS992	Licensed Representative (Provisional Licence)	4, 9
WELLS Peter John	AWO080	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WELLS Steven Peter	AWS034	Licensed Representative (Provisional Licence)	1, 4
WEN Kuo-Hui	APB222	Licensed Representative (Provisional Licence)	1
WERRMANN Alf	AWQ445	Licensed Representative (Provisional Licence)	1, 2
WILSON Henry William Humphrey	AWO461	Licensed Representative (Provisional Licence)	4
WINSLEY David Benjamin	AWS593	Licensed Representative (Provisional Licence)	1, 2
WITTET Mark James Oakley	AWR862	Licensed Representative (Provisional Licence)	1, 2
WONG Jinrong, Andy	ANX808	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Ming	AWP779	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Wai, Evelyn	AFJ223	Licensed Representative (Provisional Licence)	1, 2
WONG Kam Fa	AWQ374	Licensed Representative (Provisional Licence)	1, 2
WONG Koon Wah	ACK493	Licensed Representative (Provisional Licence)	1
WONG LOK YAT	ALQ123	Licensed Representative (Provisional Licence)	1
WONG Man Tai, Eric	AWO987	Licensed Representative (Provisional Licence)	1, 2
WONG Pik Yu	AWN393	Licensed Representative (Provisional Licence)	1
WONG Po Hoi, Raymond	AGN457	Licensed Representative (Provisional Licence)	9
WONG Pok Wang	AWP272	Licensed Representative (Provisional Licence)	1, 4
WONG Tsz Mei	AWQ797	Licensed Representative (Provisional Licence)	1
WONG Tuk Yu, Alvin	AHH534	Licensed Representative (Provisional Licence)	1, 2
WONG Wai Hin	AWS039	Licensed Representative (Provisional Licence)	4
WONG Wai Lun	ASO648	Licensed Representative (Provisional Licence)	2, 3
WONG Wing	AWP719	Licensed Representative (Provisional Licence)	1, 6
WU Di	AWR518	Licensed Representative (Provisional Licence)	9
WU Di	AWT094	Licensed Representative (Provisional Licence)	1, 6
WU Jennifer York Kei	AHX685	Licensed Representative (Provisional Licence)	6
WU Lici Leon Edward	AJD966	Licensed Representative (Provisional Licence)	4, 9
WU Yangdong	AWN210	Licensed Representative (Provisional Licence)	1, 2, 7
XIONG Li	AWQ251	Licensed Representative (Provisional Licence)	1, 2
XIONG Liting	AWT047	Licensed Representative (Provisional Licence)	4, 9
XU Juan	AWP286	Licensed Representative (Provisional Licence)	1, 4
YANG Clarence	AWN419	Licensed Representative (Provisional Licence)	4, 9
YANG Jing	AWP387	Licensed Representative (Provisional Licence)	4
YANG Shiau-ju	AWQ672	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
YAU Siu Yeung, Shari	AWP697	Licensed Representative (Provisional Licence)	1, 4
YE Qing	AWS033	Licensed Representative (Provisional Licence)	4
YEUNG Lai Man	AWP881	Licensed Representative (Provisional Licence)	6
YEUNG Pui Tak	AJI792	Licensed Representative (Provisional Licence)	1, 2, 4
YEUNG Shui Kay	AUH366	Licensed Representative (Provisional Licence)	1
YEUNG Tsz Kong	AWP540	Licensed Representative (Provisional Licence)	1, 6
YEUNG Wing Ling	AWR506	Licensed Representative (Provisional Licence)	1, 2
YIM Christopher Seeching	AWP270	Licensed Representative (Provisional Licence)	4
YIP Teen Hang	AWQ104	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YIU Peter	AWR850	Licensed Representative (Provisional Licence)	1, 4, 5
YONG Fen Choong	AOM504	Licensed Representative (Provisional Licence)	1, 2
YOO Hyek	AWP700	Licensed Representative (Provisional Licence)	1, 2
YOO Seungyeon	AWN340	Licensed Representative (Provisional Licence)	1
YOON Suyeon	AWQ430	Licensed Representative (Provisional Licence)	1, 6
YU Ming Hui	AWP642	Licensed Representative (Provisional Licence)	1
YU Xiaohan	AWR725	Licensed Representative (Provisional Licence)	9
YU Yue	AWS030	Licensed Representative (Provisional Licence)	1, 2
YUEN Ka Lok, David	ALW487	Licensed Representative (Provisional Licence)	1, 4
ZENG Xiankui	AWP778	Licensed Representative (Provisional Licence)	1
ZHANG Charles	AWP279	Licensed Representative (Provisional Licence)	1
ZHANG Jingshao	AWS796	Licensed Representative (Provisional Licence)	3
ZHANG Longteng	AWN911	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xianning	AWS214	Licensed Representative (Provisional Licence)	1, 4
ZHAO Nan	AWN313	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Ruochuan	AWR979	Licensed Representative (Provisional Licence)	1, 6
ZHENG Yinan	ATH408	Licensed Representative (Provisional Licence)	1, 6
ZHOU Gang	AWP701	Licensed Representative (Provisional Licence)	1, 2
ZHOU Tianyu	AWT111	Licensed Representative (Provisional Licence)	4
ZUO Si	AWR730	Licensed Representative (Provisional Licence)	1, 2
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	1
ALYSON SHIN Kum Yen	AWP653	Licensed Representative (Temporary Licence)	4
BAURMEISTER Eric Johannes	AQS707	Licensed Representative (Temporary Licence)	1
CAFFERY Daniel Patrick	AWQ790	Licensed Representative (Temporary Licence)	1, 6
CALICH Claudia	AOY027	Licensed Representative (Temporary Licence)	1
CHO Heejoon	AWN534	Licensed Representative (Temporary Licence)	1
CLINT Oswald Conan	AVH615	Licensed Representative (Temporary Licence)	4
DEROLD Christian	AVW188	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
ELLIS James William Edward	AWP670	Licensed Representative (Temporary Licence)	4
FIONA LEONG Wai Yen	AWP652	Licensed Representative (Temporary Licence)	4
FRANCIS Kayoko	AON817	Licensed Representative (Temporary Licence)	1
GUPTA Anagha Avinash	AWO078	Licensed Representative (Temporary Licence)	4
HAMURO Masayo	AVW066	Licensed Representative (Temporary Licence)	1
HARRINGTON JR Thomas James	AWA209	Licensed Representative (Temporary Licence)	1
HAYASHI Koji	AVL891	Licensed Representative (Temporary Licence)	1
HIROKANE Masaharu	AWO791	Licensed Representative (Temporary Licence)	4
HOSHI Naoki	AWP716	Licensed Representative (Temporary Licence)	1
ISAYAMA Yuichiro	AWO868	Licensed Representative (Temporary Licence)	1, 4
LEE Terry Tai-Suk	AMY783	Licensed Representative (Temporary Licence)	1
MA Jie	AVD108	Licensed Representative (Temporary Licence)	4
MC CORMICK James Michael	APZ228	Licensed Representative (Temporary Licence)	4
MCTAGGART Paul Joseph	AUW886	Licensed Representative (Temporary Licence)	4
MIKAMI Jiro	AQS872	Licensed Representative (Temporary Licence)	1
NARITA Yasuhiro	ARQ487	Licensed Representative (Temporary Licence)	4
NG Yong Yin	AWP649	Licensed Representative (Temporary Licence)	4
PETRINA CHONG Pek Ling	AWP648	Licensed Representative (Temporary Licence)	4
PETRONIKOLOS Athanasios	AWQ219	Licensed Representative (Temporary Licence)	1, 4
RICHARDSON Peter George Lister	AUD567	Licensed Representative (Temporary Licence)	1
SAKAGAMI Ryota	AWO794	Licensed Representative (Temporary Licence)	4
SAKAI Hidetsugu	AWQ788	Licensed Representative (Temporary Licence)	1
SHAO Jiamin	AVD115	Licensed Representative (Temporary Licence)	4
SHOJI Yu	AWE654	Licensed Representative (Temporary Licence)	1
SIGEL Matthew Joel	AWQ373	Licensed Representative (Temporary Licence)	4
SOGA Yuki	AWP238	Licensed Representative (Temporary Licence)	4
TANAKA Chikai	AWO799	Licensed Representative (Temporary Licence)	4
TANAKA Katsunori	AWP696	Licensed Representative (Temporary Licence)	1, 4
TEASDALE Dean Malcolm	AWP777	Licensed Representative (Temporary Licence)	1, 2
UGURTAS Ecehan	APV617	Licensed Representative (Temporary Licence)	1, 4
USHIRO Hideaki	AFY323	Licensed Representative (Temporary Licence)	4, 6
WRIGHT Finlay Francis Harry	AWL692	Licensed Representative (Temporary Licence)	1, 4, 6