


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
Daewoo Securities (Hong Kong) Limited - Daewoo Securities (Hong Kong) Limited (ACO292) and Mirae Asset Wealth Management (HK) Limited (ANR723) amalgamated on 1 March 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Mirae Asset Wealth Management (HK) Limited (BJB333).	ACO292	Licensed Corporation	1, 4, 6, 9
Hutchin Hill Capital HK Limited	BEL377	Licensed Corporation	9
Mirae Asset Wealth Management (HK) Limited - Mirae Asset Wealth Management (HK) Limited (ANR723) and Daewoo Securities (Hong Kong) Limited (ACO292) amalgamated on 1 March 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Mirae Asset Wealth Management (HK) Limited (BJB333).	ANR723	Licensed Corporation	1, 4, 9
Paulson Asia Limited	AWN913	Licensed Corporation	1
STJ Advisors (Asia) Limited	AWW665	Licensed Corporation	6
AGARWAL Shubham	BFX405	Licensed Representative	1, 2
ALFONSO PEREZ Gerardo	AYQ576	Licensed Representative	1
ANG Khai Jing	BF1715	Licensed Representative	1
AOKI Keita	BGV042	Licensed Representative	1, 2
AU Kwok Yu, Francis	ADB037	Licensed Representative	1, 4, 6
BEHBEHANI Mohammed Cyrus	BEV349	Licensed Representative	1, 6
BHATTACHARYA Indradeb	BBI769	Licensed Representative	9
BLASETTI Karim	BBB060	Licensed Representative	1, 4, 6
BUTTERWORTH Gregory John	ANJ643	Licensed Representative	1, 2
CAMPBELL Colin Joseph	BDZ908	Licensed Representative	1, 2
CAO Hong Wei	AHJ150	Licensed Representative	4, 9
CAO Liyuan	AZB537	Licensed Representative	1
CASTLE David Timothy	AVG912	Licensed Representative	1
CEURVORST Alistair Paul	BGE584	Licensed Representative	1, 4, 9
CHAN Cheuk Fai Andy	BGI432	Licensed Representative	1, 2, 4
CHAN Chun Yiu	BHA090	Licensed Representative	1
CHAN Cindy	BFE151	Licensed Representative	1, 4, 9
CHAN Doris Natalie	BEX908	Licensed Representative	1, 4
CHAN King Ming	BGY995	Licensed Representative	9
CHAN King Sum Kelvin	AWK776	Licensed Representative	1, 4
CHAN Sau Wai	BFY839	Licensed Representative	1, 4, 6
CHAN Shue Tong	AYS422	Licensed Representative	1, 2, 4
CHAN Shuk Ting	BHX061	Licensed Representative	9
CHAN Shun Faat	BEG613	Licensed Representative	4, 9
CHAN Tat Kei	AUZ927	Licensed Representative	1, 2
CHAN Wai Kit	AZC562	Licensed Representative	1
CHAN Wai Ming	AWW277	Licensed Representative	1
CHAN Yat Fung, Gary	AIC320	Licensed Representative	1, 2
CHAN Yik Lam	BFE179	Licensed Representative	1, 4
CHAN Yin Ming	ARO378	Licensed Representative	1
CHANG Mee Yun Lisa	BFT765	Licensed Representative	1, 4
CHANG Jinpeng	BDH835	Licensed Representative	9
CHARBONNEAU Kevin Jacques Albert	BEL472	Licensed Representative	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
CHEE Shui Chu	AWP584	Licensed Representative	1
CHEN Jeff Hungche	ALK183	Licensed Representative	1, 4, 6
CHEN Jianping	BHQ444	Licensed Representative	1, 4, 9
CHEN Yi Lin	BCP771	Licensed Representative	1, 2, 9
CHEN Zhulin	BFP682	Licensed Representative	1, 4
CHENG Shun Lai	AZP084	Licensed Representative	1, 2, 9
CHENG Yee Fai, Fred	AOU708	Licensed Representative	1, 2
CHEUNG Ho Wan	BFM417	Licensed Representative	1
CHEUNG Kai Li, Carrie	AMN619	Licensed Representative	1, 4, 9
CHEUNG Kar Wah, Allista	BEB411	Licensed Representative	6
CHEUNG Tak Yeung, Robinson	BFN644	Licensed Representative	1, 4
CHEUNG Yee Ling	BAT447	Licensed Representative	1
CHEUNG Yuen	BGN044	Licensed Representative	1
CHING Michael Man-Li	BAM914	Licensed Representative	9
CHING Yuk Ming	APQ323	Licensed Representative	1
CHOW Alan Kai Yuu	BDL020	Licensed Representative	9
CHOW Kin Hoi	ALS590	Licensed Representative	1
CHRISTIE Ian Andrew	AZB957	Licensed Representative	4
CHUI Yin Fong	AGG947	Licensed Representative	1, 2
CONWAY Allan Brian	AMS702	Licensed Representative	1
CUA Sarah Jane Tio	BGM413	Licensed Representative	6
DAI Shasha	BDU222	Licensed Representative	1, 6
DAI Wen	BF1915	Licensed Representative	1, 4
DEMPSEY Mark Laurence	AGX721	Licensed Representative	6
DEN Izumi	AWH496	Licensed Representative	1
DENG Kaizhong	BBS672	Licensed Representative	1, 4
D'HAUSSY Charles Francois Valery	BFZ323	Licensed Representative	1, 4
DIDONNA Carlo	BFT669	Licensed Representative	1, 2
DING Ye	AQN539	Licensed Representative	1
DJELASSI Emile	AYA600	Licensed Representative	1
DOU Shuai	BDY353	Licensed Representative	1, 4, 6
DULIEU Billy Ronald	AWM143	Licensed Representative	2
ENDO Yoshiya	BDL126	Licensed Representative	4, 9
ERRANI Gian Rodolfo	BFE827	Licensed Representative	1, 4, 9
FAN Carol	AXU891	Licensed Representative	1, 4
FONG Chan Wah, Simon	AVV978	Licensed Representative	1
FOO Lih Huoy	BFC906	Licensed Representative	1, 6
FU Tsz Hei	BGA854	Licensed Representative	1, 2
FUJII Keith Takashi	BBF523	Licensed Representative	1, 2
FUNG Shun Shan	APC139	Licensed Representative	1
FUNG Wai Sum	BHR489	Licensed Representative	1
GAO Xiangyu	BDW696	Licensed Representative	1, 6
GARG Anupam	AGP783	Licensed Representative	1, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
GODFREY Simon Francois	APK476	Licensed Representative	1
GOEL Kshitij	AQM623	Licensed Representative	4, 9
GRIPPER Russell Raymond	ANN040	Licensed Representative	1
GUO Chengdong	BCH428	Licensed Representative	4, 9
GUO Xiaoxuan	AWK906	Licensed Representative	1
GUPTA Sidharth	BET723	Licensed Representative	1, 4
HAN Liqiao	AXA298	Licensed Representative	1, 2
HANSEN Stefan	BEI886	Licensed Representative	1
HAU Wing Chung Vincent	BHW855	Licensed Representative	3, 4
HAWINKELS Stephen John	AVJ563	Licensed Representative	1, 2
HIRAOKA Emiko	ALQ881	Licensed Representative	1
HO Winston Hon Tao	BDK999	Licensed Representative	1
HO Yin Shan, Cookie	ANG573	Licensed Representative	1, 4
HOTTA Kenjin Tom	AZV542	Licensed Representative	4
HRIC Pearl	BDF845	Licensed Representative	1, 4
HU Menglan	AYX759	Licensed Representative	1
HU Ying	BBD869	Licensed Representative	9
HUANG Haiyan	AQO055	Licensed Representative	1
HUANG Jiakuan	BCO773	Licensed Representative	1
HUI Jonathan Kim Pong	AJF934	Licensed Representative	9
HUI Lok Yi	BEB068	Licensed Representative	1, 2
HUI Selina	BFN411	Licensed Representative	1, 2, 3
HUI Yuk Cho	AAK315	Licensed Representative	1
HUNG Kai Ming, Raymond	AXO515	Licensed Representative	4, 9
HUNG Lin Tiu	AAB853	Licensed Representative	1, 2
HUNG Shin Mei	BDC865	Licensed Representative	1, 4
HUNG Tsun Hay	BGU300	Licensed Representative	1, 4
IO Wing Shan	BAH628	Licensed Representative	4, 9
JAGNANI Ashish Satyaprakash	AVQ211	Licensed Representative	4
Ji Yuanyuan	AYI807	Licensed Representative	4
JIN Dayong	BBJ693	Licensed Representative	1, 4
JIRAJARUS Ploenjai	BAD448	Licensed Representative	4
JOSEPH Tobin Augustine	BCW972	Licensed Representative	10
JU Xinghai	AZX868	Licensed Representative	4
JUNG Henry Won	AQA466	Licensed Representative	1
JUNG Insuk	BIN964	Licensed Representative	1
JYU Yaling	BFY284	Licensed Representative	1
KATO Takaya	AXC437	Licensed Representative	1, 2
KIM Hee Seung	BEB745	Licensed Representative	2, 5
KIM Hyung Sub	AZS837	Licensed Representative	1, 2
KING Betty	ADJ204	Licensed Representative	1, 4
KITAOKA Yoshiyuki	BFE449	Licensed Representative	1
KONG Kar Bong	AOT506	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
KUEH Tan Yuan	BDX514	Licensed Representative	1, 4
KUK Joseph Wing	BCN688	Licensed Representative	1, 6
Kumar Sundaram	BFH403	Licensed Representative	1, 2
KUNG Yuen Shun Maggie	BEW524	Licensed Representative	1, 4
KWAI Wai	BDY940	Licensed Representative	1, 4
KWOK Siu Man	ASL774	Licensed Representative	1, 2
KWOK Wing Sheung	BDE816	Licensed Representative	1, 4
LAI Sharon	BGW403	Licensed Representative	1, 2, 4, 5
LAIRD Ian James	BHU475	Licensed Representative	1
LAM Alvin Chun Hin	BGZ159	Licensed Representative	1, 2, 4, 5
LAM Hau Wa	BAD898	Licensed Representative	1, 9
LAM Kwan Yau	BFY123	Licensed Representative	1, 6
LAM Siu Ling, Teresa	AXC395	Licensed Representative	1
LAM Tsz Wing	AMF327	Licensed Representative	1, 4
LAM Wan Yee, Cynthia	AHV111	Licensed Representative	1
LAU Chun Hang	BGP475	Licensed Representative	3
LAU Chun Yu	ANK121	Licensed Representative	1
LAU Ka Hin, Anthony	AIH934	Licensed Representative	1
LAU Yuen Shan	AZX476	Licensed Representative	1
LAW Kin Keung	AMN716	Licensed Representative	1, 2
LAW Yin Ping	ARN597	Licensed Representative	1, 2
LAY Siew Ling	BEO793	Licensed Representative	1, 4
LECLERCQ Jessica Mandy	BGW436	Licensed Representative	1, 2, 7
LEE Emily Duk Yee	ANK435	Licensed Representative	1
LEE Geun Cheol	ACZ581	Licensed Representative	1, 2
LEE Ho Bong	BGZ940	Licensed Representative	2
LEE Hon Yat	AXZ602	Licensed Representative	1
LEE Hye Soo	AXV597	Licensed Representative	1, 6
LEE Kevin Tim	ALW203	Licensed Representative	1
LEE Kwok Wang, Jason	AFC167	Licensed Representative	1, 2
LEE Richard Anthony Treadgold	AFT495	Licensed Representative	1, 4, 6
LEE Wutae	BDW706	Licensed Representative	4, 6
LEE Yee Man	AWS239	Licensed Representative	1
LEI For	AIU418	Licensed Representative	1
LEUNG Enrica Mankay	APY817	Licensed Representative	1
LEUNG Hiu Fung, Steven	BDN057	Licensed Representative	1, 9
LEUNG Ho Yip	AQJ033	Licensed Representative	1, 2
LEUNG Tsun Yin	BHS496	Licensed Representative	2
LEUNG Yau Chuen Jasper	AWD565	Licensed Representative	1
LI Chunwang	BES126	Licensed Representative	1
LI Jirong	BES933	Licensed Representative	10
LI Ka Yan	BID105	Licensed Representative	1
LI Lucy Wang	BGI907	Licensed Representative	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LI Wei	AVE819	Licensed Representative	1, 4
LI Xiaolong	BBJ074	Licensed Representative	1, 2, 3
LI Ye	ALK520	Licensed Representative	4
LI You	BGL796	Licensed Representative	1, 4, 6
LI Yuanfei	AML292	Licensed Representative	1, 6
LIANG Chun-wei	BEN888	Licensed Representative	1, 4, 6
LIANG Shuk Fun	AED474	Licensed Representative	1
LIM Yung Chuen	BHU482	Licensed Representative	1
LIN Hao	BDP061	Licensed Representative	1, 6, 9
LING Sze Chi	ANE753	Licensed Representative	1, 6
LIU Bo	BGA920	Licensed Representative	4, 9
LIU Kwok Ying, Novem	AOA961	Licensed Representative	1
LIU Yee Ki	BEB767	Licensed Representative	1, 2
LIU Yijing	BFW665	Licensed Representative	1, 6
LIU Yiyang	BHB774	Licensed Representative	1, 4, 9
LO Lai Ming	AOO258	Licensed Representative	1, 2, 9
LO Yee Ling	ABF349	Licensed Representative	1
LONG Fei	ARI079	Licensed Representative	1, 2
LOO Sai Cheong	AFL150	Licensed Representative	1, 4
LU Cheng	BIG466	Licensed Representative	1, 4, 6
LU Shezhi	BEW113	Licensed Representative	1, 4, 9
LU Yi Chun	AZX329	Licensed Representative	4, 9
LU Yufeng	BGF969	Licensed Representative	1, 4, 6
LUO Shengjie	BFU576	Licensed Representative	1
LYU Tianyu	BGY378	Licensed Representative	1, 4, 9
MA Ching Lun, Alan	AOG773	Licensed Representative	2, 3, 9
MA Un Kong	BHJ817	Licensed Representative	1, 4
MA Wai Fong	AHU150	Licensed Representative	4, 9
MAK Dick Wai David	BFE992	Licensed Representative	1
MAK Pui Kiu Karina	BHN838	Licensed Representative	4, 9
MATSUDA Minoru	ADJ260	Licensed Representative	1, 4, 6
MC DERMOTT Glen Michael	ARM737	Licensed Representative	1, 4
MCCOURT Ryan James	BGJ363	Licensed Representative	1, 4, 9
MCCULLOUGH Daniel James Francis	BFU353	Licensed Representative	1, 6
MILES Michael Christopher	AZT872	Licensed Representative	1, 4
MONOT Cosme Hubert Roger Pierre	AVF688	Licensed Representative	9
MONTAZEM Golnar	BBJ309	Licensed Representative	1, 2, 4
NG Gordon Ching Hang	ART557	Licensed Representative	4, 5, 9
NG Kai Yan	AAE153	Licensed Representative	1
NG Ming Hui Sancia	BGS699	Licensed Representative	1
NG Ming Yan	BHZ147	Licensed Representative	1
NG Pak Kei	APG232	Licensed Representative	1, 2, 4
NG Thuan Cheong	BGG676	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
NG Tsz Ying	BGO741	Licensed Representative	6
NGAI Chi Hung	ADJ670	Licensed Representative	1, 4
NGAN Chi Shing	BGG365	Licensed Representative	1
NING Jing	BDW965	Licensed Representative	1, 6
OH Ji Won	BGB258	Licensed Representative	1
OOI Ling Hui	BDJ466	Licensed Representative	1, 4
OOI Chenn Siong	AXR955	Licensed Representative	1, 4, 6
O'REGAN Isabel Orla	BHE063	Licensed Representative	1
PANG Ho Lam, Alex	BEK820	Licensed Representative	3
PARK Taeyoung	AVJ261	Licensed Representative	1, 2
PEMBERTON Christian Matthew	AMS716	Licensed Representative	1
PENG Dandan	BHP163	Licensed Representative	1, 6
PENG Haiting	AWP093	Licensed Representative	9
PENG Ting Fang	BHH313	Licensed Representative	1
PONG Man Tat	AMK434	Licensed Representative	1, 2
POON Dickson Pearson Guanda	BFY982	Licensed Representative	1, 6
POVILAITIS Almintas	AVT506	Licensed Representative	1, 4
RAMSEY Michael Ryan	ALW391	Licensed Representative	1, 2
REEVES Andrew James	AJK532	Licensed Representative	1, 2
RIZALDY Arman	AQD645	Licensed Representative	9
ROBERTS Alexander Donald	ARB825	Licensed Representative	1, 4, 7
RONG Yongyi	BCH578	Licensed Representative	1
RYAN Liam Jack	BHZ541	Licensed Representative	1, 4, 9
SHEFFIELD Nicholas Jonathan	ARQ986	Licensed Representative	4, 6
SHI Menghan	BGE814	Licensed Representative	1, 4, 6
SHIBUYA Naoki	BBA100	Licensed Representative	1, 7
SHIMAMOTO Kurumi	BEV991	Licensed Representative	1
SHIMIZU RYO	AZQ640	Licensed Representative	1, 2
SHIU Gi Pin	APW369	Licensed Representative	1
SIDDONS Robert Leon	AXC429	Licensed Representative	1
SIMSON Adam Armstrong	BBQ429	Licensed Representative	1, 9
SIRETT William George	ARN753	Licensed Representative	1, 2
SIU Scottie King Chung	AZC908	Licensed Representative	9
SJOESTROEM Mats Johan	AMF102	Licensed Representative	4, 9
SMITH Joshua Hayden	AQT055	Licensed Representative	1, 4
SO Man Ting	BHM659	Licensed Representative	1
SONG Kyung A	AYS026	Licensed Representative	1, 4
SOON Dominic Chee Hoong	ASU503	Licensed Representative	4, 9
STEINBRECHER Mike	BEC051	Licensed Representative	1, 2
STIFANUS Sulisty	BEE271	Licensed Representative	4
SU Junjie	BGJ390	Licensed Representative	1, 6
SU Yiyue	BFN394	Licensed Representative	1, 4, 6
SUAREZ David Naguit	AVG597	Licensed Representative	1, 2, 7



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
SUDIMAN Johan	BAQ674	Licensed Representative	1, 4
SUI Long Ching, Isaac	BBJ073	Licensed Representative	9
SZETO Ka Yin, Karen	AZB699	Licensed Representative	1, 4
TAI On Yue	AFQ295	Licensed Representative	1
TAM Wing Him, Michael	AAA192	Licensed Representative	1, 2
TAM Yuk Yin	BDW776	Licensed Representative	1
TAN Peng Wei	BAO576	Licensed Representative	1, 4
TAN Yan	AXV124	Licensed Representative	1, 2
TAN Ying	BAU127	Licensed Representative	4
TANG Ming Ki	BFN093	Licensed Representative	1
TANG Shuangshuang	BGP508	Licensed Representative	1, 4
TANG Siu Kei	AXF535	Licensed Representative	1, 2
TANG Yazhe	BGI612	Licensed Representative	4
TANG Zhangliang	AYS878	Licensed Representative	1, 4
THOM Yvonne Elizabeth	ARI572	Licensed Representative	1, 2
TO Tsz Yin	BGX942	Licensed Representative	1, 4
TONG Choi Hung	BGB348	Licensed Representative	1
TRECOURT Pierre Jean Daniel	AUV127	Licensed Representative	1
TSAI Lei Chi	ACJ950	Licensed Representative	3
TSANG Cheung Man	AJM169	Licensed Representative	1, 4
TSANG Choi Ha	AVM439	Licensed Representative	1, 2
TSE Mei Ki	BCO693	Licensed Representative	1
TSOI Yiu Yeung	AWH564	Licensed Representative	1
TSUI Nga Wan Yvonne	BEZ374	Licensed Representative	1
VINE Richard Edward	BEN871	Licensed Representative	2
WALLACE Mark Stephen	ANF262	Licensed Representative	1
WAN Kwok Po	BBL667	Licensed Representative	2
WANG Chang Geng	ARG554	Licensed Representative	1
WANG Frank Wei-Kang	AFT830	Licensed Representative	1, 6
WANG Haixu	BEX781	Licensed Representative	4
WANG Kangyi	BBX613	Licensed Representative	1
WANG Lin	BIM406	Licensed Representative	4, 9
WANG Min	AMP537	Licensed Representative	4, 9
WANG Qian	BGE911	Licensed Representative	4
WANG Qibin	ARJ833	Licensed Representative	1
WANG Steven Dasong	AOV649	Licensed Representative	1, 4, 6
WANG Ziteng	BHN716	Licensed Representative	4
WEGENER Oliver Steven	BBT289	Licensed Representative	1, 2, 9
WENG Tian	BHN791	Licensed Representative	4
WILL Florian	BGA462	Licensed Representative	1, 4
WILLS David Richard	ANE567	Licensed Representative	1
WONG Ho Ka	BER989	Licensed Representative	4, 9
WONG Wai Fai	BHB961	Licensed Representative	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Cheuk Lam	ASX897	Licensed Representative	1, 9
WONG Cheuk Wah, Eric	BHH639	Licensed Representative	1
WONG Cheuk Yin	BEH605	Licensed Representative	1, 4
WONG Cheuk Yiu	BGI414	Licensed Representative	4
WONG Ching Wah	ATH364	Licensed Representative	1, 4
WONG Chui Yin	BHQ212	Licensed Representative	1
WONG Chun Chai	BHA464	Licensed Representative	1, 4
WONG Ho Chun	AZF907	Licensed Representative	4, 9
WONG Kin Pan	BFZ622	Licensed Representative	1
WONG Kwok Keung	ACC472	Licensed Representative	1
WONG Leo	BGW807	Licensed Representative	1
WONG Mei Po	AFJ295	Licensed Representative	2, 3, 9
WONG Ming Lok	AVB804	Licensed Representative	1, 2
WONG Shuk Ha	ACC771	Licensed Representative	1
WONG Siu Fai	AFA120	Licensed Representative	1
WONG Yik Lin	BFX480	Licensed Representative	4, 9
WOOD Juliana Veronica	AXY890	Licensed Representative	4, 9
WRIGHT Richard James	ANK077	Licensed Representative	1, 2
WU Jiangsuo	BGO781	Licensed Representative	1, 6
WU Qiong	AQI261	Licensed Representative	1, 6
WU Sinan	BEN909	Licensed Representative	1, 6
WU Xiaozheng	AQM287	Licensed Representative	4, 9
WU Ye Tian	BDM023	Licensed Representative	1
WU Zhengning	BGV722	Licensed Representative	1
XIA Qingyu	AXI269	Licensed Representative	1, 2, 4, 9
XIE Meiting	BGM550	Licensed Representative	1
XU Ben	BBH786	Licensed Representative	4
XU Zhetong	BFD376	Licensed Representative	1, 6
YAN Yan	BGR482	Licensed Representative	3
YAN Nan	BFD014	Licensed Representative	1, 4, 9
YAN Pui Mun	AGP878	Licensed Representative	1
YANG Xin	ARM038	Licensed Representative	4, 9
YAO Li	BBX410	Licensed Representative	6
YAO Simone Tong	BEF804	Licensed Representative	4, 9
YE Pengcheng	AZM557	Licensed Representative	6
YEP Hing Leung	BAV215	Licensed Representative	1, 4, 5, 9
YEUNG Hang Wing	AHS153	Licensed Representative	1
YIN Mengwei	BDP501	Licensed Representative	1, 6
YIU Ka Lai	AGG807	Licensed Representative	1, 4
YOON Junhyuk	BEZ482	Licensed Representative	1
YOON Sae Young	BBP745	Licensed Representative	1, 2
YOON Seok Bae	BCA484	Licensed Representative	1, 4, 6
YU Jiapeng	AZW132	Licensed Representative	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
YUE Krista Wan Wen	AFW544	Licensed Representative	1, 2
YUEN Kia Yee, Claudia	ARF130	Licensed Representative	6
YUNG Pui Man	ATX999	Licensed Representative	1
ZHANG Honghao	BEN559	Licensed Representative	1, 4
ZHANG Jiayi	BGW820	Licensed Representative	1, 2
ZHANG Kenan	BHE065	Licensed Representative	1, 4, 9
ZHANG Peijia	BGL100	Licensed Representative	6
ZHANG Tianfei	BEV696	Licensed Representative	4, 9
ZHANG Weifeng	AOT367	Licensed Representative	1, 2
ZHANG Yinfeng	BEZ670	Licensed Representative	1
ZHANG Yusong	BGE809	Licensed Representative	1, 4, 6
ZHANG Zhi	BDT703	Licensed Representative	1, 6
ZHAO Feng	BBQ607	Licensed Representative	9
ZHAO Geng	BEW200	Licensed Representative	2, 5
ZHAO Kainan	AVN246	Licensed Representative	1, 6
ZHAO Tong	BHR770	Licensed Representative	1, 4, 9
ZHENG Yupeng	BGO678	Licensed Representative	1
ZHOU Hao	BBD871	Licensed Representative	9
ZHOU MENGXI	BFZ211	Licensed Representative	1, 2
ZHOU Qian	BDV676	Licensed Representative	1, 4, 6
ZHU Guoji	BCG002	Licensed Representative	9
ZHU Shixing	BGG642	Licensed Representative	1, 6
ZHU Yue	BID410	Licensed Representative	4, 9
BARTEL Christopher Scott	AZO573	Licensed Representative - Responsible Officer	4, 9
CHAN Ching Kit	ABG565	Licensed Representative - Responsible Officer	4, 9
CHAN Tat Kei	AUZ927	Licensed Representative - Responsible Officer	4, 9
CHEN Sijia	AYA775	Licensed Representative - Responsible Officer	1, 4, 6
CHENG Wai Fai, William	ACI097	Licensed Representative - Responsible Officer	4, 9
CHEUNG Yan Yu, Sarah	AXN010	Licensed Representative - Responsible Officer	6
CHIU Ka Man, Christine	ARV873	Licensed Representative - Responsible Officer	3
CUI Xiaofeng	AYE509	Licensed Representative - Responsible Officer	4, 9
DU Trinh C	ADI099	Licensed Representative - Responsible Officer	4, 9
FAN Carol	AXU891	Licensed Representative - Responsible Officer	9
GERHARDT Thomas	ADY988	Licensed Representative - Responsible Officer	1, 4, 9
GONG Zongfan	AXA315	Licensed Representative - Responsible Officer	4, 9
GUBERMAN Howard Roy	ARO463	Licensed Representative - Responsible Officer	9
JANG Deogjin	AVY648	Licensed Representative - Responsible Officer	4, 9
JIANG Yongkai	ANU143	Licensed Representative - Responsible Officer	1, 4
JIN Zhenxing	ARR544	Licensed Representative - Responsible Officer	4, 9
KANKIPATI Ravi Thimma Raju	BEV954	Licensed Representative - Responsible Officer	9
KWAN Chun Yue, Patrick	ACN915	Licensed Representative - Responsible Officer	1, 6
LAU Chun Yu	ANK121	Licensed Representative - Responsible Officer	9
LEI For	AIU418	Licensed Representative - Responsible Officer	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LI Yuk Keung, Brian	AEC983	Licensed Representative - Responsible Officer	1
LOOK Po Shan, Pauline	AMM445	Licensed Representative - Responsible Officer	9
MA Ching Lun, Alan	AOG773	Licensed Representative - Responsible Officer	1, 4
MIN Ji Hong	ADU316	Licensed Representative - Responsible Officer	4, 9
OZAKI Tadayuki	BBU613	Licensed Representative - Responsible Officer	4, 9
PAGE Edward Jonathan	AFI916	Licensed Representative - Responsible Officer	4
ROSENBERG Zachry David	ALP666	Licensed Representative - Responsible Officer	1, 4, 6
SMITH Laurence Joseph	BHK765	Licensed Representative - Responsible Officer	1
STOWE Sau Yan, Samantha	ACN447	Licensed Representative - Responsible Officer	1, 2, 9
SU Alice Jia	ATO816	Licensed Representative - Responsible Officer	1, 4, 6
SUN Yanfeng	ATL994	Licensed Representative - Responsible Officer	1, 4, 6
SUNG Ching Hua	AOT500	Licensed Representative - Responsible Officer	1, 4
TAKAHASHI Shigenori	AQZ962	Licensed Representative - Responsible Officer	4, 9
TANG Zhangliang	AYS878	Licensed Representative - Responsible Officer	6
TSANG Yuk Fong, Ely	AEF141	Licensed Representative - Responsible Officer	1
TSUI On Kwok Timothy Brian	ATB512	Licensed Representative - Responsible Officer	4, 9
VINE Richard Edward	BEN871	Licensed Representative - Responsible Officer	1
VOSSWINKEL Joern-Reto Akira	ADG735	Licensed Representative - Responsible Officer	4, 9
WANG Chih Hsiang	APJ781	Licensed Representative - Responsible Officer	4
WANG Chih-Min	BFR044	Licensed Representative - Responsible Officer	4, 9
WILLS David Richard	ANE567	Licensed Representative - Responsible Officer	9
WONG Mei Po	AFJ295	Licensed Representative - Responsible Officer	1
WOO Kar Leung, Philip	AGN890	Licensed Representative - Responsible Officer	1
XIAO Lan	AQP591	Licensed Representative - Responsible Officer	1, 4, 6
XIE Jianying	AQB143	Licensed Representative - Responsible Officer	9
ZHANG Jinhong	BHR791	Licensed Representative - Responsible Officer	9
ANDERSON HANNAH JESS	BJB153	Licensed Representative (Provisional Licence)	1, 4, 9
ANUGERAH Billy	BIY120	Licensed Representative (Provisional Licence)	1
ASHWORTH Simon Henry Charles	ATH999	Licensed Representative (Provisional Licence)	2, 5
AU Hoi Tung	BDV504	Licensed Representative (Provisional Licence)	1, 2
BACHELIER Bruno Jean Marie	ARE462	Licensed Representative (Provisional Licence)	9
BAI Xiaolan	BIY887	Licensed Representative (Provisional Licence)	4, 9
BAKKER Jarrod Kane	BJC069	Licensed Representative (Provisional Licence)	1, 2
BOND Matthew James William	BJB297	Licensed Representative (Provisional Licence)	1, 4
CAMPBELL Carolyn Leigh	BIM466	Licensed Representative (Provisional Licence)	1, 2
CAO John	AKW734	Licensed Representative (Provisional Licence)	6
CAO Sheng	BIZ295	Licensed Representative (Provisional Licence)	9
CAO Yining	BJD165	Licensed Representative (Provisional Licence)	6
CHAN Cho Wai, Jo-jo	AEM930	Licensed Representative (Provisional Licence)	1
CHAN Chun Yin, Allen	BIZ614	Licensed Representative (Provisional Licence)	1, 4
CHAN Hoi Lik	BJC397	Licensed Representative (Provisional Licence)	1
CHAN Man Kit	BIY774	Licensed Representative (Provisional Licence)	1, 2
CHAN Mei Yin	ARV721	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
CHAN Wan Yan, Rachel	AUP390	Licensed Representative (Provisional Licence)	1
CHAN WING HIM	BJB921	Licensed Representative (Provisional Licence)	2
CHAN Yat Wai	BIZ508	Licensed Representative (Provisional Licence)	1
CHAN Yik Kin	BIZ917	Licensed Representative (Provisional Licence)	1
CHAN YUE HON	BJB031	Licensed Representative (Provisional Licence)	1, 7
CHANG Yun-Wei	BIZ266	Licensed Representative (Provisional Licence)	1
CHAO Chien-Yu	BIB132	Licensed Representative (Provisional Licence)	1, 6
CHAO Zi Hoe Alvin	BIZ755	Licensed Representative (Provisional Licence)	4
CHEANG Yee Chung	AHV027	Licensed Representative (Provisional Licence)	1, 6
CHEN Sibo	BIY890	Licensed Representative (Provisional Licence)	1
CHEN Yue	BAA910	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhihu	BAH710	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Daming	BIV236	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Haiwen	BIN077	Licensed Representative (Provisional Licence)	1, 4
CHENG Jen-Chieh	BIY917	Licensed Representative (Provisional Licence)	1, 6
CHEONG Wei Yue	BDU950	Licensed Representative (Provisional Licence)	1
CHEUNG Kam San	BJC186	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Wai Yi	BCV713	Licensed Representative (Provisional Licence)	1, 4
CHIA Xiang, Benny	BIZ752	Licensed Representative (Provisional Licence)	1, 2
CHIN Hyun-Joon	ARN998	Licensed Representative (Provisional Licence)	1, 4
CHING Wing Sze	AXG550	Licensed Representative (Provisional Licence)	1, 4
CHIU Chee Keung, Benjamin	AMS034	Licensed Representative (Provisional Licence)	1, 2
CHIU Krystal Yu Pui	ALN581	Licensed Representative (Provisional Licence)	1
CHIU Man Wai Janice	BJD985	Licensed Representative (Provisional Licence)	6
CHOI Lai Ling	AFW854	Licensed Representative (Provisional Licence)	1, 4
CHOU Pei Shen	BJB366	Licensed Representative (Provisional Licence)	6
CHOW Wing To	AWP278	Licensed Representative (Provisional Licence)	1, 4
CHU Kin Shing Stephen	BJD164	Licensed Representative (Provisional Licence)	1
CHU Wai Hei	BJC071	Licensed Representative (Provisional Licence)	1, 4, 6
CHUNG Jason	BJB856	Licensed Representative (Provisional Licence)	1, 4, 6
COHEN-ADDAD Nicolas Paul	AHR321	Licensed Representative (Provisional Licence)	1, 2
DASH Kaushik	APK998	Licensed Representative (Provisional Licence)	1, 6
DE POY Erik Dean	BJB190	Licensed Representative (Provisional Licence)	4
DE SEQUERA-UGARTE Juan Andres	BJC175	Licensed Representative (Provisional Licence)	6
DE WAARD Ferdinand	ASM100	Licensed Representative (Provisional Licence)	2
DELORENZI Raffaele	BJD135	Licensed Representative (Provisional Licence)	4, 9
DENG Yuanyuan	BIZ022	Licensed Representative (Provisional Licence)	1, 6
DENTANANAN Peerawat	BIZ969	Licensed Representative (Provisional Licence)	4
DING Ling	BJB899	Licensed Representative (Provisional Licence)	1, 2
DOKANIA Gunjan	BJB452	Licensed Representative (Provisional Licence)	1, 2
DONG Shi	BIZ711	Licensed Representative (Provisional Licence)	1, 4, 6
DONG Yu	BJC698	Licensed Representative (Provisional Licence)	1
DOWDEN Clare	BIT489	Licensed Representative (Provisional Licence)	1, 2, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
DU Wenzhuo	BHB625	Licensed Representative (Provisional Licence)	4
EVANS Stuart	BJC221	Licensed Representative (Provisional Licence)	2, 5
FITKARIWALA Ankit	BJC894	Licensed Representative (Provisional Licence)	4
FOK Kam Kong	BJD167	Licensed Representative (Provisional Licence)	1, 2
FONG Shin Tsing, Bonnie	AIY237	Licensed Representative (Provisional Licence)	1
FONTANILLA Jose-Paolo Cuyegkeng	BJA058	Licensed Representative (Provisional Licence)	4
FORSYTH David Spence	BJB689	Licensed Representative (Provisional Licence)	1
FU Hoi Yeung	BJC220	Licensed Representative (Provisional Licence)	1
FUNG Wing Wa	BJC188	Licensed Representative (Provisional Licence)	1, 2, 4
GAO Xiang	BIC191	Licensed Representative (Provisional Licence)	1
GAO Yuan	BJE597	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Jianfang	BIY948	Licensed Representative (Provisional Licence)	1, 6
GUO Xingyi	BEM537	Licensed Representative (Provisional Licence)	1, 2, 4
HIL Chi Yung	AAJ464	Licensed Representative (Provisional Licence)	1, 4
HIRIART Mark Francis Richard	APO666	Licensed Representative (Provisional Licence)	1, 2, 7
HO Ching To	BIZ763	Licensed Representative (Provisional Licence)	1
HO Ho	BJC947	Licensed Representative (Provisional Licence)	9
HO Hoi Lam Rachel	BJB410	Licensed Representative (Provisional Licence)	4, 9
HO Pei Hwa	BJA061	Licensed Representative (Provisional Licence)	4
HO Raymond Ka Wing	AFY752	Licensed Representative (Provisional Licence)	1, 4, 9
HONG Ru	BJC336	Licensed Representative (Provisional Licence)	4
HSU Calvin James	ADM062	Licensed Representative (Provisional Licence)	1, 4, 9
HU Bin	BHF818	Licensed Representative (Provisional Licence)	1, 6
HUA Wen	APK749	Licensed Representative (Provisional Licence)	1, 6
HUANG Wenyin	BJB195	Licensed Representative (Provisional Licence)	10
JACQUOT Thomas	BJA992	Licensed Representative (Provisional Licence)	10
JI Hong	BJC548	Licensed Representative (Provisional Licence)	1
JIANG Wenxian	BIZ758	Licensed Representative (Provisional Licence)	1, 4
JIANG Ye	AYN421	Licensed Representative (Provisional Licence)	1, 4, 9
JIN Zilin	BIZ251	Licensed Representative (Provisional Licence)	1, 4, 6
KAM Fung Man	BJC938	Licensed Representative (Provisional Licence)	1, 2
KANG Di	BIY770	Licensed Representative (Provisional Licence)	9
KAUTZKY Christina Marie	AXT655	Licensed Representative (Provisional Licence)	1, 4
KE Xiao	BIG114	Licensed Representative (Provisional Licence)	1
KEITH Bryan Wilson	BIY574	Licensed Representative (Provisional Licence)	1
KERRIGAN Daniel Burke	BIZ540	Licensed Representative (Provisional Licence)	1, 2
KIM Jun Ku	BJA747	Licensed Representative (Provisional Licence)	1, 4
KONG Yong Jun	BJB662	Licensed Representative (Provisional Licence)	1, 2
KUO Tzu Chi	BJC939	Licensed Representative (Provisional Licence)	9
KUROIWA Motohiro	BJC782	Licensed Representative (Provisional Licence)	1, 4, 6
KWAN Ling Yi	AHI298	Licensed Representative (Provisional Licence)	1, 2
KWOK Wai Tung	BJB193	Licensed Representative (Provisional Licence)	6
KWONG Wai Man	ALU750	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LAI Ching Man	AET937	Licensed Representative (Provisional Licence)	1, 4
LAI Chun Hei	BIV412	Licensed Representative (Provisional Licence)	1, 9
LAU Ka Bo Gilbert	BJB668	Licensed Representative (Provisional Licence)	6
LAU WING CHUNG	BJC513	Licensed Representative (Provisional Licence)	1, 4
LEE Benjamin Yi-Hung	BJC087	Licensed Representative (Provisional Licence)	9
LEE Christy	BHF337	Licensed Representative (Provisional Licence)	1
LEE Chun Hoi	AJD777	Licensed Representative (Provisional Licence)	3
LEE Kun Tai Steven	BJA762	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Shun Hang	BGD494	Licensed Representative (Provisional Licence)	1
LEE Ying Yee	BIY684	Licensed Representative (Provisional Licence)	1, 6
LENG Xiangyu	BJB293	Licensed Representative (Provisional Licence)	9
LEUNG Derek Ying Wai	ACX247	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ka Chun Sunny	AQN302	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Sandy So Kam	AHC209	Licensed Representative (Provisional Licence)	9
LEUNG Yi Nga Eva	BJF248	Licensed Representative (Provisional Licence)	9
LEWIS Gareth Rhys	AOX202	Licensed Representative (Provisional Licence)	4, 9
LI Christopher Ho-shing	BJB842	Licensed Representative (Provisional Licence)	1
LI Huili	BJB492	Licensed Representative (Provisional Licence)	6
LI Jiexin	BJC190	Licensed Representative (Provisional Licence)	1, 4, 7
LI Longjin	BIY462	Licensed Representative (Provisional Licence)	1, 4
LI Longzhen	BJD807	Licensed Representative (Provisional Licence)	1, 4, 6
LI Mei Hing	ALP487	Licensed Representative (Provisional Licence)	1
LI Na	BIY841	Licensed Representative (Provisional Licence)	4, 9
LI Na	BJB579	Licensed Representative (Provisional Licence)	1, 4
LI Ran	BIZ040	Licensed Representative (Provisional Licence)	1, 4
LI Runnan	BIZ046	Licensed Representative (Provisional Licence)	1, 6
LI Yilin	BJD375	Licensed Representative (Provisional Licence)	1, 6
LI Zhiying	BJD149	Licensed Representative (Provisional Licence)	1, 6
LIAN Bo	BBM345	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Xuena	BJD178	Licensed Representative (Provisional Licence)	1, 2, 4
LIM Juen Lynn	BJE417	Licensed Representative (Provisional Licence)	1, 6
LIN Huanjie	BJE019	Licensed Representative (Provisional Licence)	1, 2
LIN Jingjun	ANR626	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Min	BJB497	Licensed Representative (Provisional Licence)	6
LIN Ruobing	BJE183	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Shan	BIR018	Licensed Representative (Provisional Licence)	4
LIN Xiaying	BIX840	Licensed Representative (Provisional Licence)	4
LING Yu Hin	BJC896	Licensed Representative (Provisional Licence)	6
LIU Zhiyang	BIZ274	Licensed Representative (Provisional Licence)	1, 6
LIU Dudu	BJC942	Licensed Representative (Provisional Licence)	1
LIU Jisheng	BJC784	Licensed Representative (Provisional Licence)	4
LIU Ming	BJD414	Licensed Representative (Provisional Licence)	1, 6
LIU Wai Ho	AWA607	Licensed Representative (Provisional Licence)	1, 4, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LIU Wen	BJB902	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Zhihong	AEC891	Licensed Representative (Provisional Licence)	9
LU Junhua	AVX214	Licensed Representative (Provisional Licence)	1, 4, 9
LU Yiwen	BIY787	Licensed Representative (Provisional Licence)	1, 2
LUNDY Travis Stuart	AOH253	Licensed Representative (Provisional Licence)	4, 9
LV Liufeng	BJE217	Licensed Representative (Provisional Licence)	1, 4, 9
MA Jinxuan	BIU772	Licensed Representative (Provisional Licence)	1, 4, 6
MARCHAND Xavier	BJC977	Licensed Representative (Provisional Licence)	1, 2, 7
MARTIN Adam Hugh	BEV776	Licensed Representative (Provisional Licence)	1
MENDOZA BEGGIATO Juan Manuel	AXK855	Licensed Representative (Provisional Licence)	1, 4, 9
MIYAKE Hiroyuki	BJC697	Licensed Representative (Provisional Licence)	9
MO Mei Ling	AZY143	Licensed Representative (Provisional Licence)	1
MOROZ ANDREW	BJC709	Licensed Representative (Provisional Licence)	9
MURATA Takuya	BJC703	Licensed Representative (Provisional Licence)	1, 4
NG Man Yan	BIE094	Licensed Representative (Provisional Licence)	1
NG Kei Man	BHC209	Licensed Representative (Provisional Licence)	1
NG Wai Man	AXV193	Licensed Representative (Provisional Licence)	1
NGAN Tsung Wen, Melody	AJL556	Licensed Representative (Provisional Licence)	1, 4, 6
NING Bo	BIZ024	Licensed Representative (Provisional Licence)	1, 6
PANDA Angkit	ARG689	Licensed Representative (Provisional Licence)	1, 7
PANDHI Rahul	BJA015	Licensed Representative (Provisional Licence)	1, 2
PEH Chong Ghee	ADV635	Licensed Representative (Provisional Licence)	4
POON Hau Tin	BJB394	Licensed Representative (Provisional Licence)	1, 2
POON Kai Chung	ADV914	Licensed Representative (Provisional Licence)	9
POPPEL Michael	BJC783	Licensed Representative (Provisional Licence)	1, 2
PUN Yung Yin	BJC895	Licensed Representative (Provisional Licence)	1, 2
QIN Ai Jun	BJC383	Licensed Representative (Provisional Licence)	4
QIN Bo	BIW606	Licensed Representative (Provisional Licence)	1, 4, 6
RACCAH Saul George	APD694	Licensed Representative (Provisional Licence)	6
ROBLES MONSALVE Nicolas	BIY675	Licensed Representative (Provisional Licence)	1, 6
ROGERS Oliver James	BJC053	Licensed Representative (Provisional Licence)	1, 2
RUAN Baigang	BIZ378	Licensed Representative (Provisional Licence)	1, 4, 9
SANSONI Sebastien Pierre Valery S.	BJA010	Licensed Representative (Provisional Licence)	1
SHAO Ziheng	BJC228	Licensed Representative (Provisional Licence)	6
SHEK Ting Man	BJC694	Licensed Representative (Provisional Licence)	4
SHIH Keng-Yu	BAC090	Licensed Representative (Provisional Licence)	4
SIN Kam Kei Susan	BIZ620	Licensed Representative (Provisional Licence)	1, 2
SIT Kin Fung	BJC893	Licensed Representative (Provisional Licence)	1, 2, 4
SNOWDON Andrew Mark	BJB152	Licensed Representative (Provisional Licence)	1
SO Suet Lam	BDH015	Licensed Representative (Provisional Licence)	1, 4, 6
SO Wai Pui Herbert	BJB543	Licensed Representative (Provisional Licence)	1, 4, 9
SON Yoon Kyu	BJC181	Licensed Representative (Provisional Licence)	2, 5
SONG Frank	AXK328	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
SONG Sijia	BIY897	Licensed Representative (Provisional Licence)	1, 6
SOTNEVA Yulia	BIZ107	Licensed Representative (Provisional Licence)	1, 6
STEEN Jeremy David	BHR493	Licensed Representative (Provisional Licence)	2
SUEN Pik Wang	AVG406	Licensed Representative (Provisional Licence)	1, 4
SUEN Tin Yan	AXR986	Licensed Representative (Provisional Licence)	1
SUN Fangjie	BIV204	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Shu I	BDR559	Licensed Representative (Provisional Licence)	1, 4
SZOT Jeffrey Michael	BIZ192	Licensed Representative (Provisional Licence)	1, 2
TAM Howard	BJA785	Licensed Representative (Provisional Licence)	1, 6
TAN You Khai	BFE580	Licensed Representative (Provisional Licence)	1, 2
TANG Zhenyi	BES442	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Zhi	AWW136	Licensed Representative (Provisional Licence)	1, 4, 9
TJON Hui Ya Janice	BIY425	Licensed Representative (Provisional Licence)	1
TO Jun For	BIZ551	Licensed Representative (Provisional Licence)	1, 4
TOW Terence Michael	BFE450	Licensed Representative (Provisional Licence)	9
TSOI Ha Chun Roman	BJB404	Licensed Representative (Provisional Licence)	1, 4
TU Yung Cheng	BJD975	Licensed Representative (Provisional Licence)	9
URQUHART Nathan Kyle	BJB189	Licensed Representative (Provisional Licence)	1, 9
VINCELLI Stefano	BDH346	Licensed Representative (Provisional Licence)	1
WAN KAMARUZAMAN Wan Ahmad Shukri	BJC172	Licensed Representative (Provisional Licence)	4, 9
WANG Chuan	BIW808	Licensed Representative (Provisional Licence)	1, 6
WANG Shuai	AOH868	Licensed Representative (Provisional Licence)	4
WANG Shuaifan	BIW766	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Shuhua	BJC950	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xingjie	BIZ041	Licensed Representative (Provisional Licence)	1, 6
WANG Yiwei	BJD177	Licensed Representative (Provisional Licence)	1
WEI Xiao	BIX272	Licensed Representative (Provisional Licence)	9
WONG Hiu Wa	BJB491	Licensed Representative (Provisional Licence)	1, 4
WONG Chi Hung	BJB187	Licensed Representative (Provisional Licence)	1, 4
WONG Chi Kong	BJC707	Licensed Representative (Provisional Licence)	6
WONG Chun Kit	BJC702	Licensed Representative (Provisional Licence)	6
WONG HO LUN	BJF230	Licensed Representative (Provisional Licence)	1, 6
WONG Tsz Ying, Phoebe	BJC370	Licensed Representative (Provisional Licence)	1, 6
WU Nga Kam, Anna	AFP897	Licensed Representative (Provisional Licence)	1
WU Qingyan	BIW801	Licensed Representative (Provisional Licence)	1, 6
WU Tzu Chun	BJA729	Licensed Representative (Provisional Licence)	1, 6
WU Xiaoran	BIW733	Licensed Representative (Provisional Licence)	1, 4, 6
XIA Jiajie	BJB584	Licensed Representative (Provisional Licence)	1, 4
XIA Yun	BJA039	Licensed Representative (Provisional Licence)	9
XU Lily	BJB451	Licensed Representative (Provisional Licence)	1, 6
XU Lu	BJC558	Licensed Representative (Provisional Licence)	1, 4, 6
XU Wenyin	BJD206	Licensed Representative (Provisional Licence)	4, 9
XU Ye	BIZ034	Licensed Representative (Provisional Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
YAM Mei Yan	BJB499	Licensed Representative (Provisional Licence)	6
YAM Ping Hung	BJC340	Licensed Representative (Provisional Licence)	1
YAN Ruoxi	BJC232	Licensed Representative (Provisional Licence)	4, 9
YANG Han	BIX762	Licensed Representative (Provisional Licence)	4
YANG Shijing	AUU963	Licensed Representative (Provisional Licence)	2, 5
YANG Tung Han	BJD657	Licensed Representative (Provisional Licence)	1, 6
YANG Yiting	BIZ379	Licensed Representative (Provisional Licence)	1, 4
YANG Yuanhao	BJB251	Licensed Representative (Provisional Licence)	1, 6
YAO Alex Krystal	ACS438	Licensed Representative (Provisional Licence)	4, 9
YE Dui	BJC092	Licensed Representative (Provisional Licence)	1, 6
YE Fangxin	BIY958	Licensed Representative (Provisional Licence)	1, 6
YEUNG Choi Fung, Amy	ANN663	Licensed Representative (Provisional Licence)	1, 4
YEUNG Ka Chun	BGY824	Licensed Representative (Provisional Licence)	4, 9
YEUNG Ka Li, Carrie	BJD411	Licensed Representative (Provisional Licence)	1, 4
YEUNG Tsz Fung	BJC398	Licensed Representative (Provisional Licence)	1, 6
YEUNG Yeung	BJE026	Licensed Representative (Provisional Licence)	1, 6
YIP Chi Yin	AUS286	Licensed Representative (Provisional Licence)	1, 2
YIP Gloria Sze Wan	BJC786	Licensed Representative (Provisional Licence)	1
YIP Timothy Churk Yue	APF351	Licensed Representative (Provisional Licence)	1, 4
YU Liping	BIX637	Licensed Representative (Provisional Licence)	1, 2
YU Wenrui	BIZ616	Licensed Representative (Provisional Licence)	4
YUE Yuan	BJB569	Licensed Representative (Provisional Licence)	4, 9
ZHAI Mo	BIX847	Licensed Representative (Provisional Licence)	1, 6
ZHAN Botao	BJE192	Licensed Representative (Provisional Licence)	1, 4
ZHANG Muyun	BJD658	Licensed Representative (Provisional Licence)	1, 6
ZHANG RUIZHI	BIY029	Licensed Representative (Provisional Licence)	1, 2
ZHANG Shuangyi	BIY856	Licensed Representative (Provisional Licence)	4, 9
ZHANG Tingfeng	BJB494	Licensed Representative (Provisional Licence)	6
ZHANG Yan	BIX275	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yidong	BIS749	Licensed Representative (Provisional Licence)	4
ZHANG Yuanyun	BJD173	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG ZICHEN	BJB888	Licensed Representative (Provisional Licence)	2, 5
ZHAO Dan	BIW809	Licensed Representative (Provisional Licence)	4
ZHAO Lei	BIX254	Licensed Representative (Provisional Licence)	9
ZHOU Weiguang	BIZ585	Licensed Representative (Provisional Licence)	1, 6
ZHOU ZHE	BJD408	Licensed Representative (Provisional Licence)	4
ZHU Feng	BIW999	Licensed Representative (Provisional Licence)	2
ZHUANG SONG	ATJ432	Licensed Representative (Provisional Licence)	1, 2
ZHUANG Yuan	BIU781	Licensed Representative (Provisional Licence)	1, 4, 6
ADEWALE Amanda Patricia	BBO925	Licensed Representative (Temporary Licence)	1
ALI Salman	BDY341	Licensed Representative (Temporary Licence)	4
ANG Carl Jiren	BHT357	Licensed Representative (Temporary Licence)	4
ARAKI Kenji	BJF239	Licensed Representative (Temporary Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
ARYA Vivek	BEN904	Licensed Representative (Temporary Licence)	1, 4
BALDINI Edward Bolles	BJG641	Licensed Representative (Temporary Licence)	1, 4
BARATON Xavier Francois	AVC110	Licensed Representative (Temporary Licence)	1
BARRY James Timothy	BHR373	Licensed Representative (Temporary Licence)	1, 4
BEHERA Roshan Raj	ARP091	Licensed Representative (Temporary Licence)	1, 4
BENTVELZEN Rachel Anne	BJF272	Licensed Representative (Temporary Licence)	4
BUCKLAND James Stephen	BGV232	Licensed Representative (Temporary Licence)	1
BUSH Robert James	BJG174	Licensed Representative (Temporary Licence)	1
CANAS BOTTOS Manuel	BHT995	Licensed Representative (Temporary Licence)	1
CARROLL Edmund Marsden	BGU584	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHAPPEL Nicholas Robin	BJI415	Licensed Representative (Temporary Licence)	1, 4, 6
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHIU Po-ju	BJD825	Licensed Representative (Temporary Licence)	1
CHRISTOFEL Justin William	BFF381	Licensed Representative (Temporary Licence)	1, 4
COTE Christopher Michael	BJD776	Licensed Representative (Temporary Licence)	1, 4, 6
COX Ashley James	AQS487	Licensed Representative (Temporary Licence)	1, 2
CURRY Jonathan Iain	BHD383	Licensed Representative (Temporary Licence)	1
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DAI Ziyun	AOE024	Licensed Representative (Temporary Licence)	1
DAS SURYADEEP	BJH104	Licensed Representative (Temporary Licence)	1
DE LA HAYE SAINT HILAIRE Adrien Bernard Bertrand Robert	BJE670	Licensed Representative (Temporary Licence)	1
DELMAS Guillaume Gerard Vincent	BJC224	Licensed Representative (Temporary Licence)	1, 4
DEPAEPE Alexis Rene Christian	APF813	Licensed Representative (Temporary Licence)	1
DIDELOT Nicolas Mathieu	BHO975	Licensed Representative (Temporary Licence)	1, 4
DIMENT Brett	BJE024	Licensed Representative (Temporary Licence)	1
DIVERS Alan Richard	BIZ926	Licensed Representative (Temporary Licence)	1, 2
EDRIDGE Dominic Andrei	AWA561	Licensed Representative (Temporary Licence)	4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FARES Makram	BJG189	Licensed Representative (Temporary Licence)	1
GARFIN Mitchell Seth	BJE835	Licensed Representative (Temporary Licence)	1, 4
GARG Manoj Rajkamal	BBZ707	Licensed Representative (Temporary Licence)	1, 4
GOLDSWORTHY Peter James	BJC944	Licensed Representative (Temporary Licence)	1, 4
GOODACRE Samuel Magnum	BFE172	Licensed Representative (Temporary Licence)	1
HADIWIDJOJO Pramadwiandika	BEV992	Licensed Representative (Temporary Licence)	1, 4
HAGIWARA Manabu	BEI574	Licensed Representative (Temporary Licence)	4
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Temporary Licence)	1
HE Yue	BIZ760	Licensed Representative (Temporary Licence)	4
HIRAKAWA Shoji	AOR316	Licensed Representative (Temporary Licence)	4
HOPKINS Robert Adam	BJG470	Licensed Representative (Temporary Licence)	1, 4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	1, 4
IMAZU Nanako	AVU642	Licensed Representative (Temporary Licence)	1
JANGALE Gaurav Kundalik	BJC327	Licensed Representative (Temporary Licence)	4
KAUNE Federico	AOS290	Licensed Representative (Temporary Licence)	1, 4
KAYNES David Charles	BDA619	Licensed Representative (Temporary Licence)	4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	1, 4
KHAN Shamaila Ashar	BEB228	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	1, 4
KOJIMA Nobuko	BAJ425	Licensed Representative (Temporary Licence)	1
KONG Robert Patrick	BDQ917	Licensed Representative (Temporary Licence)	4
KORSCHOLT Kai Folker	BFB232	Licensed Representative (Temporary Licence)	1, 4
KURIHARA Satoshi	BJF229	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LASSANSKE Aaron John	BDN955	Licensed Representative (Temporary Licence)	1, 4
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE Jeremy Ryan	BHC802	Licensed Representative (Temporary Licence)	1, 4
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LEE Ming-Hsun	BAO744	Licensed Representative (Temporary Licence)	1, 4
LEE Moses	BDE482	Licensed Representative (Temporary Licence)	2, 5
LEE Sangyup	BJD730	Licensed Representative (Temporary Licence)	1
LEGGATE Douglas George Blyth	BCZ763	Licensed Representative (Temporary Licence)	1, 4
LENTZOS Theodosios	BJE705	Licensed Representative (Temporary Licence)	1, 4, 6
LESMANA Yulius	BHG072	Licensed Representative (Temporary Licence)	1, 2
LI Mingrong	BII162	Licensed Representative (Temporary Licence)	1, 6
LIN Chin-Yu	BJC342	Licensed Representative (Temporary Licence)	1
LIU Wai Kin Ricky	BJD903	Licensed Representative (Temporary Licence)	1
LUDLAM Christopher David	BGV479	Licensed Representative (Temporary Licence)	1
LUND Angus John	BJE671	Licensed Representative (Temporary Licence)	1
MA Beijia	BID097	Licensed Representative (Temporary Licence)	1, 4
MA Kunpeng	BIZ759	Licensed Representative (Temporary Licence)	4
MACLEAN Murdo Alexander	BGK887	Licensed Representative (Temporary Licence)	1, 4
MALHOTRA Gaurav	BEB422	Licensed Representative (Temporary Licence)	4
MARTIN Jennifer O'Hara	BJH134	Licensed Representative (Temporary Licence)	1
MASLEN Benjamin Gulliver	BDQ628	Licensed Representative (Temporary Licence)	1
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MAZOY SANCHEZ Jose Manuel	BIN599	Licensed Representative (Temporary Licence)	1
MCLEISH Neil Alan	BAJ429	Licensed Representative (Temporary Licence)	1
MEYER MATTHIAS	BJI236	Licensed Representative (Temporary Licence)	1, 4
MIAO Junwei	BJD793	Licensed Representative (Temporary Licence)	1
MINAGAWA Ryoza	BFA665	Licensed Representative (Temporary Licence)	4
MIURA Nobuyoshi	BDU297	Licensed Representative (Temporary Licence)	4
MOBLEY Amina Erica	BJG670	Licensed Representative (Temporary Licence)	1, 2
MOHAMMAD Sajjad	BDM517	Licensed Representative (Temporary Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017

CE Name	CE No.	License Type	Types of Regulated Activity
MOHAN Wamsi	BHD332	Licensed Representative (Temporary Licence)	1, 4
MUKHLIS Helmi Arman	BDY347	Licensed Representative (Temporary Licence)	4
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NELSON Nicholas Scott	AXI169	Licensed Representative (Temporary Licence)	4
NELSON Thomas Michael	BEG498	Licensed Representative (Temporary Licence)	4
NEWMAN Stuart Graham	BJG761	Licensed Representative (Temporary Licence)	1, 4
NG JINGHUA, EUGENE	BJC723	Licensed Representative (Temporary Licence)	1, 2
NISHIMURA Keitaro	AYT962	Licensed Representative (Temporary Licence)	1
NISHIYAMA Kengo	BCE750	Licensed Representative (Temporary Licence)	4
NONAKA Hayato	BAB641	Licensed Representative (Temporary Licence)	1
OHTAKA Kenichi	BHY394	Licensed Representative (Temporary Licence)	1
OLNEY Karen Michele	AZV673	Licensed Representative (Temporary Licence)	4
ORTUOSTE Vicente Niccolo	BJD146	Licensed Representative (Temporary Licence)	1
PAIN Matthew Henry	AWS453	Licensed Representative (Temporary Licence)	1
PALMUCCI Fabrizio	BJE896	Licensed Representative (Temporary Licence)	1
PANGILINAN Ron Andrew Rosario	BFR071	Licensed Representative (Temporary Licence)	1, 2
PAOLINI Luca	BJD662	Licensed Representative (Temporary Licence)	1
PHUA Yee Farn	BJD619	Licensed Representative (Temporary Licence)	10
PODRUG Frane	BDH801	Licensed Representative (Temporary Licence)	1, 4
POSCH Paul	BIY899	Licensed Representative (Temporary Licence)	1, 2
PREUSSER Ralf Alexander	BGJ102	Licensed Representative (Temporary Licence)	1, 4
QUINLAN Matthew Dyson	BJG746	Licensed Representative (Temporary Licence)	1
REISZ Paul William	BHQ136	Licensed Representative (Temporary Licence)	1, 4
RESOVAC DENIS	BJG376	Licensed Representative (Temporary Licence)	1, 4
RICCI Jason Steven	BJE668	Licensed Representative (Temporary Licence)	1, 2
ROUTLEDGE Brian	BJD983	Licensed Representative (Temporary Licence)	1, 4, 5
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1, 2
SALES Michael James	BEZ878	Licensed Representative (Temporary Licence)	1
SANKAR Sreerishnan	BAP018	Licensed Representative (Temporary Licence)	1, 4
SASAKI Keiko	AWH343	Licensed Representative (Temporary Licence)	1
SATHAWORAWONG Pimolrat	BEM318	Licensed Representative (Temporary Licence)	4
SAVONE Furio Nicholas	BJE266	Licensed Representative (Temporary Licence)	1
SHAH Sheena	BJD081	Licensed Representative (Temporary Licence)	1
SHIMIZU Yasunori	BJF243	Licensed Representative (Temporary Licence)	1, 2
SULLIVAN Michael David	BJE958	Licensed Representative (Temporary Licence)	1
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Temporary Licence)	4
TAKAGI Naomi	AWU656	Licensed Representative (Temporary Licence)	4
TAN Toon Leng	BDM837	Licensed Representative (Temporary Licence)	4
TAYLOR Andrew Brian	AYN586	Licensed Representative (Temporary Licence)	1, 2
TE John Victor Cabarles	BJC545	Licensed Representative (Temporary Licence)	1, 4
TELFER Patrick	ASY387	Licensed Representative (Temporary Licence)	1
THACKRAY Simon George	AWU696	Licensed Representative (Temporary Licence)	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TONG Stephen Michael	BDO729	Licensed Representative (Temporary Licence)	1
TSANG Siu Hin Gary	BJG568	Licensed Representative (Temporary Licence)	1, 4
TSUI Terence Mun-sion	BDD866	Licensed Representative (Temporary Licence)	1
TSURUO Mitsunobu	BHD023	Licensed Representative (Temporary Licence)	4
USHIODA Ikuyo	BJG672	Licensed Representative (Temporary Licence)	1
WANG Shiyan	BDP424	Licensed Representative (Temporary Licence)	4
WATANABE Hideharu	BHC546	Licensed Representative (Temporary Licence)	1
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	1, 4
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1
WILSON Barnaby James	BJG982	Licensed Representative (Temporary Licence)	1, 4
WILSON Ralph	BCJ291	Licensed Representative (Temporary Licence)	1, 2
WOLAK John Richard	AMZ905	Licensed Representative (Temporary Licence)	1
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	1, 4
YAMAMURA Junko	BES915	Licensed Representative (Temporary Licence)	4
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1
YEO Yeong Sang	BEX917	Licensed Representative (Temporary Licence)	4
YUEN Yan Chi	BIG336	Licensed Representative (Temporary Licence)	5