

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ICAP Equities Asia Limited - ICAP Equities Asia Limited (ARN042) and ICAP Securities Hong Kong Limited (AME961) amalgamated on 1 October 2018, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is ICAP Securities Hong Kong Limited (BNL468).	ARN042	Licensed Corporation	1, 2
ICAP Securities Hong Kong Limited - ICAP Securities Hong Kong Limited (AME961) and ICAP Equities Asia Limited (ARN042) amalgamated on 1 October 2018, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is ICAP Securities Hong Kong Limited (BNL468).	AME961	Licensed Corporation	1, 2, 7
J Capital Research Limited	AYS956	Licensed Corporation	4
Mirabaud (Asia) Limited	AIR927	Licensed Corporation	1, 4
Patrol Capital Management Limited	BFW378	Licensed Corporation	9
TVM Capital China Limited	BGP100	Licensed Corporation	4
AGARWAL Arvind	ARN300	Licensed Representative	1, 2
AN Su Yeon	ARG193	Licensed Representative	9
AU Chak Shing	AZX857	Licensed Representative	3
AU Cheuk Hei	AVP020	Licensed Representative	1, 2, 4, 9
AU Wilson Wai Kwan	ATY188	Licensed Representative	1
BAEK June Seok	AJC640	Licensed Representative	4, 9
BAKER Hugh Richard	BEN579	Licensed Representative	1, 4
BATHWAL Minal	APA145	Licensed Representative	9
BISCOE Samuel Charles Richard	BMK906	Licensed Representative	1
BOYES KEITH CHARLES	BJR850	Licensed Representative	1, 4
BURR Alan John Phillip	AJO422	Licensed Representative	7
CHAI Hui	BGB074	Licensed Representative	1, 4
CHAI Yingnan	BJV222	Licensed Representative	1, 4, 6
CHAN Cheuk Yin	BLI898	Licensed Representative	1, 4
CHAN Chi Fai, Ellis	ABY428	Licensed Representative	1, 2
CHAN Cho Kit, Anthony	ACQ057	Licensed Representative	4
CHAN Hin Fai	AXV429	Licensed Representative	3
CHAN Kaai Tat	BLB652	Licensed Representative	1, 4
CHAN Kai Cheung	APO196	Licensed Representative	1, 4, 9
CHAN Lai Ying	BJM188	Licensed Representative	4, 9
CHAN San Fung	BLN451	Licensed Representative	1
CHAN Suet Ming, Ellis	AAD841	Licensed Representative	1, 2
CHAN Sze Nung	BGG398	Licensed Representative	1
CHAN Tze Ying, Charlotte	AVE184	Licensed Representative	1, 2, 3
CHAN Yan Yan, Priscilla	AMI853	Licensed Representative	4, 9
CHAN Yuet Hang, Danny	AJJ615	Licensed Representative	1, 6
CHANG Heakyu	AXH982	Licensed Representative	10
CHANG James Hyeong Uk	AVQ605	Licensed Representative	9
CHAU Gar Lee	BJU394	Licensed Representative	1, 4
CHAU King Yu	BES133	Licensed Representative	1
CHAU Kwok Wai	ADV112	Licensed Representative	1
CHEN Chutian	BGI769	Licensed Representative	1, 6
CHEN Dan	BHT649	Licensed Representative	1, 4, 6
CHEN Hui-ming	ALW538	Licensed Representative	1
CHEN Junfeng	BHV146	Licensed Representative	1, 4, 6
CHEN Liming	BID773	Licensed Representative	2
CHEN Najia	BHH393	Licensed Representative	1, 6
CHEN Shuqi	BGQ883	Licensed Representative	1
CHEN Xi	BFZ506	Licensed Representative	1
CHEN Xiaoxiao	BDL433	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Yanqi	AYI422	Licensed Representative	1, 4, 9
CHEN Yuxuan	BEO298	Licensed Representative	6
CHENG Hoi Yan, Florence	APY706	Licensed Representative	1, 4
CHENG Wai Yang	ART646	Licensed Representative	9
CHENG Yanyun	BFP161	Licensed Representative	9
CHEONG Lap Yan, William	APP011	Licensed Representative	1
CHEUNG Chun Chun Vivian	BLL579	Licensed Representative	1, 4
CHEUNG Chung Man, Caroline	AGP013	Licensed Representative	1, 6
CHEUNG Hoi Kit	BIZ340	Licensed Representative	1, 4
CHEUNG Ka Hei	BIL766	Licensed Representative	9
CHEUNG Kin Hang, Kenneth	BEW358	Licensed Representative	1, 4, 9
CHEUNG Lai Ming, Jojo	AQW187	Licensed Representative	1, 2
CHEUNG Sek Yuen, Martin	APH639	Licensed Representative	1, 2
CHEUNG Shui Lin	AAH813	Licensed Representative	1
CHEUNG Yuk Har Jemma	BKE346	Licensed Representative	1
CHIA Ann-May Rachel	BFI584	Licensed Representative	1, 2
CHIA Wei Chen	ALZ911	Licensed Representative	9
CHIK Yan Yin	BEC940	Licensed Representative	1
CHING Chung Man, Suan	BEC916	Licensed Representative	1
CHO Lap Kwan	BFE047	Licensed Representative	1
CHO Ping	BCH648	Licensed Representative	1
CHOI Jiwon	BBS901	Licensed Representative	1, 2
CHOI Raymond Yat-hong	AVY109	Licensed Representative	1, 4, 9
CHOI Shui Ming	AOJ553	Licensed Representative	1
CHONG Ka Fai	APY423	Licensed Representative	1
CHONG Man Ting	BKL829	Licensed Representative	1
CHOW Kong	AMS405	Licensed Representative	1, 4
CHOY Mei Ngan	BJR450	Licensed Representative	1, 4
CHUA Yi Zhi, Maria	ASU036	Licensed Representative	1, 4
CHUANG King Hing	BMZ587	Licensed Representative	1, 6
CHUANG Pak Lam	BJM404	Licensed Representative	1, 4
CHUEH Yen-Lan	BJI976	Licensed Representative	1, 4
COCHRANE Ian Mark	AEH979	Licensed Representative	1, 4
COLLETT Benjamin Robert	ANM944	Licensed Representative	1, 2
CRISPIN James Anthony	ANU647	Licensed Representative	1, 4
CUI Wenqian	BLD180	Licensed Representative	4, 9
CUI Yichen	BFR109	Licensed Representative	4, 9
CURTIS Matthew Simon	BAC077	Licensed Representative	1, 4
DAWES Pinky	BLC909	Licensed Representative	1, 4
DE SILVA Edmund Daniel	BIB149	Licensed Representative	1, 2
DENG Bo Hong	AXV644	Licensed Representative	1, 2
DENG Yuan	BJS894	Licensed Representative	4, 9
DENG Yunze	BHV408	Licensed Representative	1, 4, 6
DHIR Sachin	ARF792	Licensed Representative	9
DIB Ilhem	BHF785	Licensed Representative	1, 4, 6
DIGENAN Thomas	BHD359	Licensed Representative	1, 4
DONG Xiao	BEJ224	Licensed Representative	1
EL-KHOURY Jean	AWO016	Licensed Representative	9
EOM Woo Sik	BJK660	Licensed Representative	1
FAN Jia	BEB083	Licensed Representative	1, 2
FANG Gene	ASS901	Licensed Representative	10
FANG Wei	AOT337	Licensed Representative	2, 9
FANG Yuan	ASS675	Licensed Representative	1, 4
FENG Lingtian	AYQ548	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
FENG Zhenyuan	BHT621	Licensed Representative	1, 6
FOK King Man, Ronald	AGE025	Licensed Representative	1, 2
FOK Kwan Yu	BIR851	Licensed Representative	1, 4, 5, 9
FOK Sze Ting	ANG611	Licensed Representative	1, 4, 9
FONG Emi Dochi	ARO581	Licensed Representative	1, 9
FONG Yuk, Sunny	ADK348	Licensed Representative	1
FU Cheuk Yau, Erica	BLB689	Licensed Representative	1, 2
FU Ming	BCJ028	Licensed Representative	1
FU See Yuen	BMS311	Licensed Representative	1, 2, 3, 4
FUJII Shunsuke	BDM851	Licensed Representative	1, 2
FUNG Ching Man, Nancy	AJL010	Licensed Representative	1, 2
GAO Jing	BKF946	Licensed Representative	1, 9
GAO Yang	BHX710	Licensed Representative	1, 4, 6
GIBBS Jonathan	BIG073	Licensed Representative	1
GIERHAKE Ortwin	AVT234	Licensed Representative	1, 2, 4, 6
GILL Amar Singh	AHB999	Licensed Representative	1, 6
GOH Hanli Jason	BLF635	Licensed Representative	1
GRISHIN Ivan	BGZ079	Licensed Representative	1, 4
GUERBER Vincent Eric Arthur	BFA178	Licensed Representative	1, 4
GUPTA Manish	AVU992	Licensed Representative	1
HAN Bowen	BHV171	Licensed Representative	1, 4, 6
HAN Wei Li Michelle	BJS911	Licensed Representative	1
HAN Yao Guang	BIQ629	Licensed Representative	1, 6
HAU To He, Willie	AAF719	Licensed Representative	1
HAYASHI Yasuko	AXU937	Licensed Representative	1, 7
HE Dan	BGR476	Licensed Representative	1
HE Diana Liu	BEW504	Licensed Representative	4, 9
HE Kai	BLD346	Licensed Representative	1, 4
HE Lanshi	BFX501	Licensed Representative	1, 2, 5
HE Xiaojuan	BHK396	Licensed Representative	1, 2
HE Zhaoqiang	BAQ188	Licensed Representative	1, 4
HENELY Lee Paul	BHH489	Licensed Representative	1, 4, 6
HIEDA Ryoko	BFW866	Licensed Representative	9
HO Chun Tung	BDC890	Licensed Representative	1, 4
HO Ka Yu Eric	AVI795	Licensed Representative	4, 6, 9
HO Kum Loon, Patrick	AGH918	Licensed Representative	4, 9
HO Lydia	APF058	Licensed Representative	4
HO Mei Hung	AZW243	Licensed Representative	1
HO Stephanie	AVW495	Licensed Representative	1, 4
HO Suk Man, Betty	ARX005	Licensed Representative	2
HO Yat Hei	BCK251	Licensed Representative	1, 4
HON Wan Man	AXC912	Licensed Representative	1, 4
HONG Xueting	BGR488	Licensed Representative	9
HOU Xiaoning	BMB739	Licensed Representative	1, 6
HOU Xiaoting	BGB587	Licensed Representative	1, 4
HU Jian	BBU623	Licensed Representative	10
HUANG Danyi	BAF173	Licensed Representative	4, 6, 9
HUANG Dizhou	BJN286	Licensed Representative	1, 4, 9
HUANG Weipeng	BGP175	Licensed Representative	1, 4
HUANG Yaoxin	BCC718	Licensed Representative	1, 4
HUANG Zhaojie	AUV510	Licensed Representative	1, 4, 6
HUI Sai Kit	BHQ315	Licensed Representative	1, 2
IEONG Ut Hong	APC632	Licensed Representative	1, 9
IP Hon Man, Andy	ACK026	Licensed Representative	1, 2, 3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ITO Yuka	BJC229	Licensed Representative	1, 4
JIA Shuang	BHT619	Licensed Representative	1, 6
JIANG Yiqin	BBL138	Licensed Representative	6
JIN Jiaying	BGZ757	Licensed Representative	1
JONES Nicholas Paul	BBS616	Licensed Representative	1
KAM Suet Ying	BLA367	Licensed Representative	1, 4
KAN Lok Him	BHK149	Licensed Representative	1, 2
KAO An-Chi, Anne	ADX082	Licensed Representative	6
KAPLONY Krisztina	BMS668	Licensed Representative	1, 4
KATSUMATA BARBIEUX Isabelle Michiko	BAP131	Licensed Representative	10
KHATOUN Bassel	BHD773	Licensed Representative	9
KIM Boyoung	BCD599	Licensed Representative	4
KIM James Jung-Beck	AEV420	Licensed Representative	1, 4
KIM Yyong Andrew	ASF531	Licensed Representative	1, 2, 9
KITOV Alex Nikolaev	BKY265	Licensed Representative	1, 9
KO Hyun Woo	BJR302	Licensed Representative	1, 2
KO Yau Wing	AGJ385	Licensed Representative	1
KOH Ee Ling Elaine	BFF183	Licensed Representative	10
KOH Hui Shan Rachel	BFY118	Licensed Representative	1, 2, 4, 5
KU Jun Ho	BGE029	Licensed Representative	2, 5
KUAI Zhen	BMZ642	Licensed Representative	1, 6
KUMAR Manish	BFN098	Licensed Representative	4, 9
KWAI Wai	BDY940	Licensed Representative	1
KWAN Chi Chuen	AMB101	Licensed Representative	1, 3
KWAN Siu Tat, Tommy	BAR660	Licensed Representative	1, 2
KWOK Conny	BII890	Licensed Representative	1, 4
KWOK Ki Lung	BKP650	Licensed Representative	4, 9
KWOK Tse Yeung Sally	BCZ768	Licensed Representative	1, 4
KWONG Yiu Hung, Peter	BFJ156	Licensed Representative	1
LAI Cheuk Pan	AXF380	Licensed Representative	3
LAI Chun Hing	AVM461	Licensed Representative	1, 4, 9
LAI Kar Kei	AJX013	Licensed Representative	1, 4
LAI Sin Ying	BAL021	Licensed Representative	1, 4
LAI Wai Tsz	ACU891	Licensed Representative	1, 2
LAI Yiu Kei	AEJ370	Licensed Representative	1, 2
LAM Cedric Yee Ip	ANG296	Licensed Representative	1, 6
LAM Ho Kan	BMQ110	Licensed Representative	1, 2, 3, 4
LAM Joy Yan	BHT087	Licensed Representative	1, 4, 9
LAM Man Yee	BHI965	Licensed Representative	1
LAM Sheung Tak, Anthony	BCV661	Licensed Representative	1
LAM Sin Tak	AGQ605	Licensed Representative	1
LAM Sze Kuen	BJE123	Licensed Representative	1, 4, 9
LAM Ting Fung	BKW514	Licensed Representative	6
LANGE Julien	BGC442	Licensed Representative	1, 2, 4
LANUSSE-CROUSSE Marc Robert Raymond	BFB086	Licensed Representative	1
LAU Gwen	BFK205	Licensed Representative	1, 4
LAU Ka Yan	BIN606	Licensed Representative	10
LAU Kin Kwan, Karen	AXH328	Licensed Representative	1, 2, 3
LAU Kit San	AVI656	Licensed Representative	1, 4
LAU Man Tat	BJE753	Licensed Representative	6
LAU Ngan Chi	AZT356	Licensed Representative	1
LAU Sabrina Yin Kwan	BLN468	Licensed Representative	4
LAW Kit Wai, Stephanie	AGN911	Licensed Representative	1, 2
LAW Pik Lin	ADR405	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LE ROUX Erwann Valentin Blaise	BEQ294	Licensed Representative	2, 7
LECOQ Charles Makoto	AXA178	Licensed Representative	1
LEE Benjamin Kwang-Pin	ALG327	Licensed Representative	9
LEE Cheuk Hei	BIW515	Licensed Representative	1
LEE Cheuk Lam	AZB521	Licensed Representative	1
LEE Chin Hang	BNI825	Licensed Representative	6
LEE Chun Man	BAL777	Licensed Representative	10
LEE Ho	BHN975	Licensed Representative	2, 5
LEE Hsiao Yun	AZN381	Licensed Representative	1
LEE Junho	BFL091	Licensed Representative	4
LEE Ka	BMQ628	Licensed Representative	1
LEE Kam Shing, Alfa	ARO608	Licensed Representative	1
LEE Kok Shiong	BLH996	Licensed Representative	2
LEE Li-Chen	BMF154	Licensed Representative	1
LEE Pik Yu	AOE217	Licensed Representative	1, 4
LEE Seung Won	BIC536	Licensed Representative	1
LEE Siu Keung	AFG628	Licensed Representative	4, 9
LEE Wut Keung	AOB432	Licensed Representative	1, 2, 4
LEE Yee Hing Susan	ANA390	Licensed Representative	1
LEUNG Chun Wing	AFU537	Licensed Representative	3
LEUNG Ho Wing	BNF543	Licensed Representative	1, 6
LEUNG Hong Kan	AZM887	Licensed Representative	1, 2
LEUNG Hong Man	BLR333	Licensed Representative	1
LEUNG Kai Fung, Kelvin	BHX028	Licensed Representative	1, 4, 6
LEUNG Kwan Yee, Queenie	BDQ955	Licensed Representative	1
LEUNG Wing Yan	BIH511	Licensed Representative	1
LEUNG Yiu Chung, Paddy	ACR661	Licensed Representative	1, 2
LI Cheuk Yin, Clive	BAJ284	Licensed Representative	1, 2
LI Chia Chen	BGG699	Licensed Representative	4, 9
LI Chun Ming	AZT880	Licensed Representative	1
LI Guanghua	BHX066	Licensed Representative	9
LI Jin	BMW719	Licensed Representative	1, 2
LI Jing	AMI391	Licensed Representative	4, 9
LI Junhui	BKT337	Licensed Representative	1, 4, 9
LI Ka Fai	BJK446	Licensed Representative	1
LI Qun	BIW229	Licensed Representative	4, 9
LI Ruishen	AYW254	Licensed Representative	6
LI Tieqiang	BJT718	Licensed Representative	4, 9
LI Xiaolin	BGT919	Licensed Representative	1, 4
LI Xunming	BFX730	Licensed Representative	1
LI Zheren	AWP314	Licensed Representative	4
LI Zhige	BGI744	Licensed Representative	1, 4, 9
LI Ziqi	BGM417	Licensed Representative	1
LIAN Pengfei	BLM867	Licensed Representative	1
LIAO Shifan	AWO654	Licensed Representative	4, 9
LIEN Bing-kai	BKY218	Licensed Representative	1
LIN Guoguo	BHK400	Licensed Representative	1, 2
LIN Huanjie	BJE019	Licensed Representative	1, 2, 3
LIO Lok Tin	BKV993	Licensed Representative	1, 4
LIU Hanqing	BAC327	Licensed Representative	6
LIU Jiyang	BHZ223	Licensed Representative	1, 4
LIU Meizhu	BJB729	Licensed Representative	6
LIU Xi	BKW445	Licensed Representative	1, 6
LIU Zhousheng	AZD456	Licensed Representative	1, 2, 3, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LO King San	BHT733	Licensed Representative	4, 9
LOH Howard Howe Yeung	BCA495	Licensed Representative	1, 2
LOPEZ-BALBOA Andrew Vincent	BHX428	Licensed Representative	1, 6
LOWE Diya	BFH215	Licensed Representative	1, 4
LOZANO CARBASSE Oriol	BDW985	Licensed Representative	1, 2
LU Xiaolong	BFW319	Licensed Representative	1, 4, 9
LUI Jeffrey Ka-wing	BEU954	Licensed Representative	1, 4, 6
LUI Shun Fai	BHW894	Licensed Representative	1
LUI Wing Tsang	BIW830	Licensed Representative	1, 2
LUK Wai Sing	AZC567	Licensed Representative	1
LUO Jiaming	AXK970	Licensed Representative	9
LYNCH WESTOBY Glenna	ANP624	Licensed Representative	1
MA Mali	BNB200	Licensed Representative	1, 4
MA Siqi	AVS796	Licensed Representative	1, 6
MAN Wing Chun	BGT547	Licensed Representative	1, 4
MAO Xinkai	BHO131	Licensed Representative	1, 4, 9
MAYORGA ROMERO Fernando	AXI210	Licensed Representative	10
MCCASKER Grant David	ATL666	Licensed Representative	4
MEPANI Pravin	ALW554	Licensed Representative	9
MO Man Yi	BCZ767	Licensed Representative	1, 2
MORIMURA Naoki	BHF948	Licensed Representative	10
MOU Yang	BHU695	Licensed Representative	1, 6
MOU Yuguang	BIK255	Licensed Representative	1, 6
NADARAJAH Rayhan	BHY231	Licensed Representative	1, 4
NAISBITT Mark Montgomery	BJL324	Licensed Representative	2
NG Chi Cheng	ALK588	Licensed Representative	1, 2, 9
NG Ho Yan	BBJ815	Licensed Representative	1
NG Maria Wai-yan	ANQ237	Licensed Representative	1, 6
NG Ngan Suen	ALL403	Licensed Representative	1
NG Pou I	BAE702	Licensed Representative	1
NG SIU NA SARNIAN	BMC120	Licensed Representative	1, 7
NG Wang Chiu	BKS775	Licensed Representative	1
NG Wang Wai	AQN332	Licensed Representative	1
NG Wee Siang	BGG583	Licensed Representative	10
NG Xin Yao	BGQ882	Licensed Representative	9
NGAI Ki Sum	AYV481	Licensed Representative	1, 4
NIU Chenlin	BGB029	Licensed Representative	4, 6
OHARA Kayoko	BIA073	Licensed Representative	4, 9
OLSTEIN Stephen Jeffery	BID599	Licensed Representative	1, 4
OUYANG Yi	BCN611	Licensed Representative	1
PAN Chenyue	AWE766	Licensed Representative	1, 4, 9
PAN Kunpeng	BIH852	Licensed Representative	1, 2
PANG Ka Kin	BBW419	Licensed Representative	1, 4, 9
PANG Lok	APD487	Licensed Representative	1
PANG Ving Chor Lucille	BEP078	Licensed Representative	1
PANG Wing Kuen	AZR491	Licensed Representative	6
PANTAWONGDECHA Raktapa	BBR329	Licensed Representative	1, 6
PARIKH Nirav Janak	ATM312	Licensed Representative	1, 2
PENG Chi Chao	BJL700	Licensed Representative	1, 2
POON Mei Ho	BIV309	Licensed Representative	1, 4
POON Ho Ming Samuel	BBQ618	Licensed Representative	4, 9
POON King Wei	AZO582	Licensed Representative	1
PRELLER Raoul Gustav	AJL240	Licensed Representative	1, 2, 7
PRESTON Nicholas James	BFG829	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
QIAN Mingwei	ANZ962	Licensed Representative	9
QIAO Zhe	AXB868	Licensed Representative	1
QIU Dongrong	BFZ356	Licensed Representative	1, 4
QIU Xiaocun	BFX994	Licensed Representative	1, 2, 9
QUACH Victoria Mingwei	BJH400	Licensed Representative	1, 4, 9
RATHORE Rahul	BMG231	Licensed Representative	9
RAVIOLI Christine Marie-madeleine	AQQ023	Licensed Representative	4, 9
RICHARDS Stephanie Ryan	BLT160	Licensed Representative	1, 4
ROCHE Timothy Joseph	BHF910	Licensed Representative	10
SAADANE Mohammed Fatih Zineddine	BNJ831	Licensed Representative	1, 2
SAUL Matthew David	AHK747	Licensed Representative	9
SCHLAIKIER Hans Georg	ATS862	Licensed Representative	1, 4
SEN Anik Kumar	BMO299	Licensed Representative	1, 4
SENG Lai Kit, Victor	BCF869	Licensed Representative	1, 9
SHEN Yi	BCS498	Licensed Representative	6
SHEN Yong	ADY935	Licensed Representative	9
SHIH Mei Ki	AQI965	Licensed Representative	1
SHUAI Qi Terry	AVD106	Licensed Representative	6
SO King Leung	AAH264	Licensed Representative	1, 4
SRIVASTAVA Ambreesh	AXH987	Licensed Representative	10
STEEL Andrew Richard	AXI087	Licensed Representative	10
STRAUSS Paul Emmanuel Marie	BAZ866	Licensed Representative	1, 2
SU Xuejing	BEZ373	Licensed Representative	4
SUEN Vincent Wing Chiu	ALZ708	Licensed Representative	1, 2, 4, 5, 9
SUEN Wo Ping, Shofia	AFU310	Licensed Representative	1, 2
SUN Jian	BME193	Licensed Representative	1, 6
SUN Koon Kwan	ALL054	Licensed Representative	1, 2
SUN Lefei	AXI385	Licensed Representative	1, 4, 9
SUN Mengqi	BLA755	Licensed Representative	1
SUNG Adrian Kam Tung	BDQ733	Licensed Representative	6
TAM Ronald Hon Sum	AVV845	Licensed Representative	1, 2
TAN Hanhao	AOU797	Licensed Representative	1, 4
TAN Khye Hee	AGM447	Licensed Representative	4, 9
TANG Him, John	ALU515	Licensed Representative	1, 2, 4, 9
TANG Ho Wai, Wilson	BFT884	Licensed Representative	1, 6
TANG Zhongwei	BKJ475	Licensed Representative	9
TANTLEFF Jacob William	BIO896	Licensed Representative	1, 7
TERRY Luke Anthony	APB405	Licensed Representative	9
THOMAS JR Orville Emanuel	AXX649	Licensed Representative	1, 2
THORPE CHI Yan Ting, Joyce	AFB595	Licensed Representative	1, 4, 9
TONG Yuhao	BGH344	Licensed Representative	6
TRESSIERES Raphael Georges	BIZ032	Licensed Representative	6
TSANG Hok Man	BLE130	Licensed Representative	1, 9
UGAYAMA Kazuya	BEC906	Licensed Representative	1, 2
WAN Tze Ching	BKN597	Licensed Representative	1
WANG Cuina	BLL620	Licensed Representative	1, 2
WANG Hsueh Ting	BIH510	Licensed Representative	6
WANG Jun	BEO183	Licensed Representative	4, 9
WANG Marilyn Wei Ling	BBB324	Licensed Representative	1
WANG Miaozi	BFJ414	Licensed Representative	1, 4
WANG Pan	AZM547	Licensed Representative	1, 6
WANG Rui	AXO614	Licensed Representative	6
WANG Shali	BMP755	Licensed Representative	4, 9
WANG Wai Kwong, Bryan	AQR571	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Yannan	BID497	Licensed Representative	4
WANG Yike	AQF550	Licensed Representative	1, 4, 9
WANG Zheng	BLO994	Licensed Representative	9
WAY James Timothy	BIK014	Licensed Representative	1, 9
WEI Panwang	BIJ804	Licensed Representative	1
WOLF Matthew David	ARO798	Licensed Representative	9
WONG Cheuk Chung	ALQ595	Licensed Representative	1
WONG Ho Ting	BBP899	Licensed Representative	1, 4
WONG Jansen	BET482	Licensed Representative	1
WONG Ka Ming	BJZ072	Licensed Representative	9
WONG Kai Siu, Kelvin	BFX834	Licensed Representative	1, 4, 9
WONG Kang Shing	ANR511	Licensed Representative	1
WONG Ki Ling	ANG605	Licensed Representative	1, 4
WONG Lai Kan	BLG344	Licensed Representative	1, 4
WONG Po Hung	AGY911	Licensed Representative	1, 2
WONG Suk Wah, Joyce	AUJ119	Licensed Representative	9
WONG Tsz Hei Herbert	BJX760	Licensed Representative	4, 9
WOO Kai En Jonathan	BLI896	Licensed Representative	1, 4, 9
WOO Kai Wah, Patrick	ANG489	Licensed Representative	4
WOODWARD Paul Martin	BFB200	Licensed Representative	1, 4
WU Jialu	BBL853	Licensed Representative	6
WU Ting	AMF592	Licensed Representative	1, 4, 6
XIAO Yunchao	BGP665	Licensed Representative	1, 4, 9
XIE Che	BJC693	Licensed Representative	1, 9
XIE Jinhui	AQO522	Licensed Representative	9
XIE Yong	BJJ479	Licensed Representative	10
XU Jing	AWX946	Licensed Representative	6
XU Jun	BMP513	Licensed Representative	1
XU Lily	BJB451	Licensed Representative	1, 6
XU Nan	ATM316	Licensed Representative	4
XU Wen	BJA056	Licensed Representative	1, 6
XU Yixian	BHT647	Licensed Representative	1, 4, 6
XU Yongjun	APP925	Licensed Representative	1, 9
YAMAMOTO Witranan	ARM699	Licensed Representative	1
YAN Wujuyi	BLZ741	Licensed Representative	1, 4, 9
YAN Yin Ha, Winnie	ABF145	Licensed Representative	1
YANG Geyue	BHV155	Licensed Representative	1, 6
YANG Peikai	BHT314	Licensed Representative	1
YANG Sigao	BCV189	Licensed Representative	4, 9
YANG Yipeng	AUI276	Licensed Representative	1, 4
YE Jianrong	BHK406	Licensed Representative	1, 2
YEK Wai Tung	BKG026	Licensed Representative	1, 2
YEO Sui Chuan	BJI244	Licensed Representative	4, 9
YEUNG Man Wai Winnie	BHU833	Licensed Representative	1, 4, 9
YEUNG Peter Lin	APA144	Licensed Representative	1, 6
YEUNG Ping Pui	AOD539	Licensed Representative	1
YEUNG Shek Wai	BDI910	Licensed Representative	5, 9
YEUNG Sum Kin, Steven	ATD969	Licensed Representative	1, 2
YEUNG Yuen Nam	AYX185	Licensed Representative	1
YIM Sai Fan	AQI113	Licensed Representative	1
YIM Yeuk King, Claire	AlB370	Licensed Representative	1
YIP Byron	AYQ739	Licensed Representative	1, 4
YIP Chak Hang	AMK299	Licensed Representative	1, 4, 9
YIP Heng	AUD022	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIP Wing Yan	AHW496	Licensed Representative	1, 3
YU Man Sze	BGK779	Licensed Representative	1, 4
YU Honghao	BHV582	Licensed Representative	1
YU Jingzhu	BGE478	Licensed Representative	1, 4, 6
YU Lam Man	AFR493	Licensed Representative	1
YUAN Ren Jie	BDL789	Licensed Representative	10
YUEN Wai Yee	BGY193	Licensed Representative	1
YUNG Ming Hong	BLH229	Licensed Representative	1
ZANONI Raphael	BHS141	Licensed Representative	1, 4, 9
ZHAI Daqi	BIE441	Licensed Representative	6
ZHANG Junchen	BCJ651	Licensed Representative	1, 4, 6
ZHANG Lei	AZK162	Licensed Representative	10
ZHANG Sonia Jingshu	BGB073	Licensed Representative	4, 6
ZHANG Yige	BNK785	Licensed Representative	1, 6
ZHANG Yiming	BHQ351	Licensed Representative	1, 4
ZHANG Zhehang	BID297	Licensed Representative	3
ZHAO Qian	BMM556	Licensed Representative	6
ZHAO Ruiwen	BLD341	Licensed Representative	1
ZHAO Shengjie	BFW849	Licensed Representative	6
ZHAO Yang	AZX409	Licensed Representative	4
ZHAO Yi	BIQ192	Licensed Representative	1
ZHENG Hongjian	BCS478	Licensed Representative	1, 2
ZHENG Kaihua	BEG411	Licensed Representative	1, 6
ZHOU Haoyun	BLM077	Licensed Representative	1, 6
ZHOU Yuhui	BFV906	Licensed Representative	1, 6
ZHU Mengyu	BBS508	Licensed Representative	1, 4, 9
ZHU Xiwen	BHB788	Licensed Representative	1
ZHUANG Jie	BKV931	Licensed Representative	1, 6
ADAM Frederic Cyrille G.	BHK606	Licensed Representative - Responsible Officer	9
BARRAI Francesco	AQU124	Licensed Representative - Responsible Officer	1, 9
BRICE Christopher Richard	BAM422	Licensed Representative - Responsible Officer	1
BURR Alan John Phillip	AJO422	Licensed Representative - Responsible Officer	2
CAI Weisong	BJH161	Licensed Representative - Responsible Officer	1, 4
CHAN Ding Bong, Andrew	AGL865	Licensed Representative - Responsible Officer	4, 9
CHAN Pui Yee Winnie	BHK661	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Wai Chow, Johnnie	AAD186	Licensed Representative - Responsible Officer	1
CHEN Geoffrey Pau Hsiu	AQN001	Licensed Representative - Responsible Officer	9
CHEN Hui-ming	ALW538	Licensed Representative - Responsible Officer	4, 9
CHENG Wai Man	ANK374	Licensed Representative - Responsible Officer	9
CHIU Chak Sum	AAF579	Licensed Representative - Responsible Officer	1, 4
CHOW Man Lung	AYA769	Licensed Representative - Responsible Officer	4, 9
CHUM Pak Hing, Terrace	ACZ116	Licensed Representative - Responsible Officer	1, 4, 5, 9
FAN Kwan Ming	ADJ672	Licensed Representative - Responsible Officer	4
FANG Wei	AOT337	Licensed Representative - Responsible Officer	1
GILL Amar Singh	AHB999	Licensed Representative - Responsible Officer	4
GREGORY Philip Leeton	ANZ638	Licensed Representative - Responsible Officer	6
HO Mei Ling, Edwina	AEK540	Licensed Representative - Responsible Officer	1, 4
HO Tse Chung, Billy	AAW389	Licensed Representative - Responsible Officer	4, 9
HSU WONG Yu Chen, Kay	AAH379	Licensed Representative - Responsible Officer	1
JIANG Tong	BKU847	Licensed Representative - Responsible Officer	4
KAO David Sheue-song	BGQ852	Licensed Representative - Responsible Officer	4, 9
KIM James Jung-Beck	AEV420	Licensed Representative - Responsible Officer	9
KIM Sam Yong Sang	BAB171	Licensed Representative - Responsible Officer	1, 2, 4
KOO Moo Yu	AAA742	Licensed Representative - Responsible Officer	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAN Willy Yu-wei	AFT617	Licensed Representative - Responsible Officer	9
LAW Pik Lin	ADR405	Licensed Representative - Responsible Officer	3
LEE David Hyung-Tek	AOV740	Licensed Representative - Responsible Officer	4
LUK Pui Yee, Yvonne	AMM424	Licensed Representative - Responsible Officer	3
MAGUIRE John Martin	ADC364	Licensed Representative - Responsible Officer	1, 6
MAK Tat Cheung	ADX217	Licensed Representative - Responsible Officer	1, 4, 5, 6, 9
MORIN Douglas Craham	AEJ927	Licensed Representative - Responsible Officer	4
MUNDY David James	BEX113	Licensed Representative - Responsible Officer	1, 4, 9
NG Chi Kwan, Stephen	AAZ517	Licensed Representative - Responsible Officer	1, 2, 3
NICHOLS David	AGJ649	Licensed Representative - Responsible Officer	9
SAUL Matthew David	AHK747	Licensed Representative - Responsible Officer	1
TSANG Wai Sze, Selina	ACJ622	Licensed Representative - Responsible Officer	1, 4, 5, 9
VERVOORN Jelle	AYE788	Licensed Representative - Responsible Officer	1, 4, 9
WANG Bin	AZI719	Licensed Representative - Responsible Officer	5
WARNER Vincent James	ADW053	Licensed Representative - Responsible Officer	4, 9
WONG Charles Teng-iao	AUG868	Licensed Representative - Responsible Officer	4
WONG Siu Chung, Anthony	AQP643	Licensed Representative - Responsible Officer	1, 4, 9
XU Yongjun	APP925	Licensed Representative - Responsible Officer	2
YEUNG Hong Sun	AIY790	Licensed Representative - Responsible Officer	1
YEUNG Queenie Wan Man	ANE253	Licensed Representative - Responsible Officer	1
YEUNG Tsang Keung	ACA708	Licensed Representative - Responsible Officer	1, 4
YIP Byron	AYQ739	Licensed Representative - Responsible Officer	6
YU Mei Ki Maggie	AYT941	Licensed Representative - Responsible Officer	1, 2
ZATORSKA Katarzyna Anna	BET565	Licensed Representative - Responsible Officer	4
ZHANG David	AFM920	Licensed Representative - Responsible Officer	1, 4, 6
ZHOU Peng	AUS211	Licensed Representative - Responsible Officer	6
ABRAMOWITZ Alex Myles	BNN479	Licensed Representative (Provisional Licence)	1, 4, 6
ADAMS Michael Geoffrey William	AVO883	Licensed Representative (Provisional Licence)	1, 6
AHN Hyung Tae	BDZ932	Licensed Representative (Provisional Licence)	1, 4, 6
ALEXANDER Laurence	BNL492	Licensed Representative (Provisional Licence)	4
AMIN Biren Navnit	BNL370	Licensed Representative (Provisional Licence)	4
ANG King Lun	BNL406	Licensed Representative (Provisional Licence)	1, 2
ARIMA Daiki	BNL586	Licensed Representative (Provisional Licence)	1, 4, 6
ARIMITSU Ken	ATI163	Licensed Representative (Provisional Licence)	9
ARTHUR Anthony James	BNM919	Licensed Representative (Provisional Licence)	1, 4
ASHWORTH William David	BBN729	Licensed Representative (Provisional Licence)	1, 4
AU Ka Chun	BNO609	Licensed Representative (Provisional Licence)	1
AU Sau Ha	BNO579	Licensed Representative (Provisional Licence)	1, 4
AU YEUNG Ting	BNL447	Licensed Representative (Provisional Licence)	1
BAI Jie	BGB222	Licensed Representative (Provisional Licence)	1, 4, 6
BANGLANI Saurabh	BNN025	Licensed Representative (Provisional Licence)	1
BAO Jing	BNK500	Licensed Representative (Provisional Licence)	1
BAO Shijie	BNN323	Licensed Representative (Provisional Licence)	4, 5, 9
BAO Weiwei	AYS974	Licensed Representative (Provisional Licence)	4, 9
BAUER Brandon Charles	BNI755	Licensed Representative (Provisional Licence)	1, 6
BERDER Antoine Eric Francois Marie	BNN308	Licensed Representative (Provisional Licence)	1, 4, 9
BHAMMER Vishal Mahendra Kumar	AHF765	Licensed Representative (Provisional Licence)	9
BI Chen	BNF184	Licensed Representative (Provisional Licence)	1, 4
BLEHAUT Gabriel Rong Yun	BNM173	Licensed Representative (Provisional Licence)	1, 4
BOSMA Wouter Hendrikus Nicolaas	BNO573	Licensed Representative (Provisional Licence)	1, 2, 7
BRACH Loic Yves Aurelien	BNP881	Licensed Representative (Provisional Licence)	1, 4, 9
BRAND Mathieu Edouard Nicolas	BNO502	Licensed Representative (Provisional Licence)	6
BROWN Mark Sturdevant	BNH642	Licensed Representative (Provisional Licence)	4
CAI Han	BNO401	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAO Yutian	BNJ876	Licensed Representative (Provisional Licence)	6
CHAN Alison Chiu Yu	BNO027	Licensed Representative (Provisional Licence)	1, 4
CHAN Hop Keung	AND455	Licensed Representative (Provisional Licence)	1
CHAN Janice Wing Yee	APV159	Licensed Representative (Provisional Licence)	4, 9
CHAN Ka Chun	BNK737	Licensed Representative (Provisional Licence)	6
CHAN Lai Yin	BNN404	Licensed Representative (Provisional Licence)	1, 2, 3
CHAN Mo Tze, Wendy	AFL901	Licensed Representative (Provisional Licence)	1, 4
CHAN Sau Shan	AGC410	Licensed Representative (Provisional Licence)	4, 9
CHAN Tsz Him	BNJ067	Licensed Representative (Provisional Licence)	1, 4
CHAN Woei	BFA802	Licensed Representative (Provisional Licence)	9
CHAN Zhen Wei	BGH259	Licensed Representative (Provisional Licence)	1, 2, 9
CHANG Chih Jou	BNP020	Licensed Representative (Provisional Licence)	1, 4, 6
CHAO Yi	BNI723	Licensed Representative (Provisional Licence)	1, 4
CHEN Agnes	AWB693	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Boyu	BKQ751	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Da	BNL355	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Jie	BND493	Licensed Representative (Provisional Licence)	1, 2
CHEN Juncheng	BNF077	Licensed Representative (Provisional Licence)	1, 4
CHEN Long	BNN107	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Minzhi	AYJ302	Licensed Representative (Provisional Licence)	1, 4
CHEN Ping	AlB835	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEN Sijia	BGL658	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Tianyun	BNI800	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Wei Lun	BNP013	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Wenbo	BNK779	Licensed Representative (Provisional Licence)	1, 4
CHEN Xi	BDA727	Licensed Representative (Provisional Licence)	1
CHEN Xu	BNO684	Licensed Representative (Provisional Licence)	4
CHEN Yau Ming	BNM201	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yifei	BNJ939	Licensed Representative (Provisional Licence)	1, 2
CHEN Yilan	BNP022	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yingyong	BNL497	Licensed Representative (Provisional Licence)	9
CHEN Yiping	AYT134	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Zhenyang	BNE480	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhipeng	BNL458	Licensed Representative (Provisional Licence)	1, 4
CHENG Ka Yan	BNO768	Licensed Representative (Provisional Licence)	2, 5
CHENG Karen	BNP158	Licensed Representative (Provisional Licence)	1, 6
CHENG Wai Bun	ARD828	Licensed Representative (Provisional Licence)	2
CHENG Xiaohan	BNO501	Licensed Representative (Provisional Licence)	4
CHENG Yin-Ting	BNO320	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Hor Yee, Patricia	ANE746	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Ka Yue	BNL368	Licensed Representative (Provisional Licence)	1
CHEUNG Nga Wun Amanda	BNP733	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Ngai Yin	BNK571	Licensed Representative (Provisional Licence)	10
CHEUNG Siu Man	BNO146	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Tsung On Steven	BNN717	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Tsz Lam	BNJ550	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Wing Ho, Billy	ACJ034	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Yau Lung	AQA982	Licensed Representative (Provisional Licence)	1, 9
CHEUNG Yee Shun, Wilson	AOJ215	Licensed Representative (Provisional Licence)	1
CHIN Sze Wei	BNN527	Licensed Representative (Provisional Licence)	1, 4, 9
CHIRAPAISARNKUL Arin	BNK986	Licensed Representative (Provisional Licence)	1, 2
CHIU Chun Yu	BNO286	Licensed Representative (Provisional Licence)	1, 2
CHIU Kai Hei	BNL469	Licensed Representative (Provisional Licence)	6
CHIU Lai Tim	BNO181	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOI Ming Tai	BDC658	Licensed Representative (Provisional Licence)	1, 4
CHOI Yu Pong	BNN718	Licensed Representative (Provisional Licence)	1
CHOU Yun-san	BNN782	Licensed Representative (Provisional Licence)	1, 6
CHOW Lok Yim	BNN348	Licensed Representative (Provisional Licence)	1, 4, 6
CHOW Wing Nga Alison	AXR663	Licensed Representative (Provisional Licence)	1
CHU Kai Lun	BNJ736	Licensed Representative (Provisional Licence)	1
CHU Shan Shan, Marjorie	AJK801	Licensed Representative (Provisional Licence)	1, 4, 9
CHUI Lai Chun Hadiee	BKK042	Licensed Representative (Provisional Licence)	1
CHUI Sin Ying	BNP730	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Chi Ho	BNP023	Licensed Representative (Provisional Licence)	1
CHUNG Ka Yu	BNO907	Licensed Representative (Provisional Licence)	10
CHUNG Yan Yee, Andrew	ACW577	Licensed Representative (Provisional Licence)	4
COCHI Xavier Claude Andre	BNM946	Licensed Representative (Provisional Licence)	1, 2
COULDREY Ranulf David Richard	BKY385	Licensed Representative (Provisional Licence)	1, 4, 6
DAYAL Rohan	BNO412	Licensed Representative (Provisional Licence)	1, 6
DENG Qitong	BNJ835	Licensed Representative (Provisional Licence)	1, 2
DENG Yu	BNP015	Licensed Representative (Provisional Licence)	1, 2
DING Ning	BNN540	Licensed Representative (Provisional Licence)	1, 4
DONG Jianqiang	BNG685	Licensed Representative (Provisional Licence)	1
DONG Jingjing	BNN569	Licensed Representative (Provisional Licence)	1, 4, 6
DONG Michael	BNN820	Licensed Representative (Provisional Licence)	1, 6
DU Haiyang	BNO911	Licensed Representative (Provisional Licence)	6
DU PLESSIS Kim Alexandra	BNO800	Licensed Representative (Provisional Licence)	1, 4
DUAN Sinong	BNI585	Licensed Representative (Provisional Licence)	1
DUBIN Jared Ross	BNN537	Licensed Representative (Provisional Licence)	9
FAN Jingran	BNB893	Licensed Representative (Provisional Licence)	1, 6
FAN Ying	AZN142	Licensed Representative (Provisional Licence)	9
FANG Fang	BFX004	Licensed Representative (Provisional Licence)	9
FONG Yuen Yi	BNO898	Licensed Representative (Provisional Licence)	10
FRAIRE Matias Gabriel	BNM192	Licensed Representative (Provisional Licence)	1, 6
FU Zhu	BNH893	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Bo Yi	BNP169	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GAO Boyang	BNO889	Licensed Representative (Provisional Licence)	10
GAO Lu	BNO307	Licensed Representative (Provisional Licence)	1, 2
GAO Tianhang	BNH282	Licensed Representative (Provisional Licence)	4
GENG Jiachen	BNM912	Licensed Representative (Provisional Licence)	1, 4, 9
GOH WEE LIAM	BNK731	Licensed Representative (Provisional Licence)	1, 4, 9
GOMA Joseph Auger	BKC778	Licensed Representative (Provisional Licence)	1
GOUGH James Ashley Peter	AHJ403	Licensed Representative (Provisional Licence)	1, 2, 7
GRAFTON James Christopher	AQJ413	Licensed Representative (Provisional Licence)	1
GREEN Roni Simon	BNL480	Licensed Representative (Provisional Licence)	9
GU Lijian	BNO716	Licensed Representative (Provisional Licence)	1, 4, 6
GU Wentian	BNH300	Licensed Representative (Provisional Licence)	4
GUO Xinlong	BNN747	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Yifan	BNG244	Licensed Representative (Provisional Licence)	4
GUO Yingqiang	BNO844	Licensed Representative (Provisional Licence)	1, 4
GUO Zhang	BNL519	Licensed Representative (Provisional Licence)	1
GUO Zhen	BNN906	Licensed Representative (Provisional Licence)	4
GUPTA Pushkar	BNP768	Licensed Representative (Provisional Licence)	1, 2
HAO Boyuan	BNM908	Licensed Representative (Provisional Licence)	1, 4, 9
HAO Jimmy Hsien Yi	ATM933	Licensed Representative (Provisional Licence)	1, 4, 9
HARVIE Andrew Charles	BMH512	Licensed Representative (Provisional Licence)	1
HE Lizhou	BNP170	Licensed Representative (Provisional Licence)	1, 4, 9
HE Min	AZK772	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HE Muzhi	BND561	Licensed Representative (Provisional Licence)	1, 6
HE Shan	BNO483	Licensed Representative (Provisional Licence)	1, 4
HE Sida	BNN764	Licensed Representative (Provisional Licence)	1, 6
HE Yingzhuang	BNO186	Licensed Representative (Provisional Licence)	1, 2, 4
HE Ziyu	BNF440	Licensed Representative (Provisional Licence)	1, 4
HIROKAWA Yu	BNO582	Licensed Representative (Provisional Licence)	9
HIRST Linmarie	BNM172	Licensed Representative (Provisional Licence)	2, 5
HO Choy Mei Sarah	BNO303	Licensed Representative (Provisional Licence)	1, 2
HO Kar Ming	BNN793	Licensed Representative (Provisional Licence)	1, 2
HO Lok Lam	BNN771	Licensed Representative (Provisional Licence)	1
HO Ting Lok	BNN775	Licensed Representative (Provisional Licence)	1, 4
HO Wing Shan	AED583	Licensed Representative (Provisional Licence)	1, 4
HOLMES John Palmer	ADW190	Licensed Representative (Provisional Licence)	4, 9
HONG Shaohuai	ATI031	Licensed Representative (Provisional Licence)	4
HONG Yufan	BNK793	Licensed Representative (Provisional Licence)	2
HSIA Wucheng	BNJ760	Licensed Representative (Provisional Licence)	4
HU Hanwen	BDZ919	Licensed Representative (Provisional Licence)	1, 6
HU Huiying	BNF828	Licensed Representative (Provisional Licence)	4, 9
HU Qiuyu	BNM917	Licensed Representative (Provisional Licence)	4
HU Wai Lee, Barry	AHF352	Licensed Representative (Provisional Licence)	1
HU Zhen	BNM906	Licensed Representative (Provisional Licence)	4, 5, 9
HU Zhihui	BNN545	Licensed Representative (Provisional Licence)	9
HUA Shitian	BMQ575	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Kaihong	BNJ746	Licensed Representative (Provisional Licence)	4
HUANG Kunqi	BNN760	Licensed Representative (Provisional Licence)	1, 6
HUANG Sunpeng	BNH090	Licensed Representative (Provisional Licence)	1, 6
HUANG Xin	BMV679	Licensed Representative (Provisional Licence)	6
HUANG Xinyi	BNC835	Licensed Representative (Provisional Licence)	1, 6
HUANG Xudong	BND548	Licensed Representative (Provisional Licence)	1, 4
HUANG Yingcong	BNN967	Licensed Representative (Provisional Licence)	1
HUANG Yunlu	BNI811	Licensed Representative (Provisional Licence)	1, 2, 7
HUANG Zheng	BNF933	Licensed Representative (Provisional Licence)	1, 2
HUI Kwun Sing	BND854	Licensed Representative (Provisional Licence)	9
HUNG Chun Ho	BNO534	Licensed Representative (Provisional Licence)	1, 2
HUNG Pui Lam	BNN072	Licensed Representative (Provisional Licence)	1
HUO Dengjin	BAN046	Licensed Representative (Provisional Licence)	6
IWAO Kentaro	BLC869	Licensed Representative (Provisional Licence)	1, 2
IYER Ananthanarayan Srinivasan	BNL005	Licensed Representative (Provisional Licence)	2, 5
JAIN Shubham	BNM913	Licensed Representative (Provisional Licence)	9
JIAN Carson Shaoyang	BNF871	Licensed Representative (Provisional Licence)	1, 4, 9
JIAN Qiao	BNO564	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Di	BNN791	Licensed Representative (Provisional Licence)	1, 6
JIANG Haiyuan	BNK729	Licensed Representative (Provisional Licence)	1, 2, 4
JIANG Ran	BNL365	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Xuefeng	BNN572	Licensed Representative (Provisional Licence)	1, 4
JIM Lik Fu	BNN109	Licensed Representative (Provisional Licence)	1, 4, 5, 9
JIN Jiaqi	BNN353	Licensed Representative (Provisional Licence)	1, 2
JUAN Hillary Ching	BNM921	Licensed Representative (Provisional Licence)	1, 4
JUNG Yi-Ping	ATR846	Licensed Representative (Provisional Licence)	4
KAN Ching Fai, Mark	BNN853	Licensed Representative (Provisional Licence)	1, 4, 9
KANG Haojun	BNO596	Licensed Representative (Provisional Licence)	1, 4
KANG Seung Yeon	BNN541	Licensed Representative (Provisional Licence)	1, 4
KANG You Jin	BNN544	Licensed Representative (Provisional Licence)	1, 4
KEDIA Prashant Alok	BNN909	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
KELLY	BMT541	Licensed Representative (Provisional Licence)	1, 4
KIM Joon Young	BNJ268	Licensed Representative (Provisional Licence)	1, 4
KIM Min Soo	BNP458	Licensed Representative (Provisional Licence)	4, 9
KIM Warren Ho-Joong	AVY508	Licensed Representative (Provisional Licence)	1
KLEIN TANK Alexander Henricus Antonius	BNN177	Licensed Representative (Provisional Licence)	9
KO Wing Man	BNO090	Licensed Representative (Provisional Licence)	9
KOSOWSKY Tamara Terri	BNJ737	Licensed Representative (Provisional Licence)	1
KOTECHA Anuj Ashok	AXS269	Licensed Representative (Provisional Licence)	1
KOTWAL Rahul	BNO319	Licensed Representative (Provisional Licence)	1, 4
KUBOTA Akiko	BNJ337	Licensed Representative (Provisional Licence)	1, 2, 9
KWAN Kai Yiu	AVH540	Licensed Representative (Provisional Licence)	1, 2
KWOK Hang Kin	BNN101	Licensed Representative (Provisional Licence)	1, 2
LAI Tung Shing	BNP374	Licensed Representative (Provisional Licence)	1, 2
LAI Yi-Ting	BNP460	Licensed Representative (Provisional Licence)	1, 2, 7
LAM Choi Ha	AED681	Licensed Representative (Provisional Licence)	1, 4
LAM Gary Kang Wai	BAT451	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Ka Yiu	BNL134	Licensed Representative (Provisional Licence)	1, 4
LAM Kong Wah	BNO549	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LAM Wing Sze	BAK601	Licensed Representative (Provisional Licence)	1
LAM Yuk Shing	BNO273	Licensed Representative (Provisional Licence)	1, 6
LAMARLERE Remy	BNP304	Licensed Representative (Provisional Licence)	9
LAU Hoi Chi	BGN354	Licensed Representative (Provisional Licence)	3
LAU Chi Hong	BNO588	Licensed Representative (Provisional Licence)	4, 9
LAU Chun Ho	BNO450	Licensed Representative (Provisional Licence)	1
LAU Tung Tung, Christy	BNK697	Licensed Representative (Provisional Licence)	1, 4, 9
LE Xuan Hai Au	BNN722	Licensed Representative (Provisional Licence)	1, 3
LEE Chia-Hao	BNP707	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Ching Hin	BNP855	Licensed Representative (Provisional Licence)	1, 2
LEE Eun Young	APW799	Licensed Representative (Provisional Licence)	4
LEE Heng Wei	BNN844	Licensed Representative (Provisional Licence)	1, 6
LEE Jamin	BNN326	Licensed Representative (Provisional Licence)	1
LEE Ka Yee, Jacqueline	AOS409	Licensed Representative (Provisional Licence)	1, 2
LEE Pei Zhen Shynna April	BNJ257	Licensed Representative (Provisional Licence)	1, 2, 3
LEE Siu Lun, Stanley	BKZ014	Licensed Representative (Provisional Licence)	1, 2
LEE Wai Ling	BNM266	Licensed Representative (Provisional Licence)	4
LEE Wing Man	BNN318	Licensed Representative (Provisional Licence)	1
LEIGH Anthony Ronald	AFP275	Licensed Representative (Provisional Licence)	1, 4
LEUNG Chi Man Fred	BNN959	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Hoi Yan	BNO070	Licensed Representative (Provisional Licence)	1, 2
LEUNG Kiu Sum	ALI069	Licensed Representative (Provisional Licence)	9
LEUNG Wai Chung	BNO002	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEUNG Wing Shan	BNM218	Licensed Representative (Provisional Licence)	4
LI Alan Meng	BNL366	Licensed Representative (Provisional Licence)	1, 4, 6
LI Cheng	BNO577	Licensed Representative (Provisional Licence)	1, 4
LI Chi Wa	BNN723	Licensed Representative (Provisional Licence)	1, 2
LI Dengji	BMT588	Licensed Representative (Provisional Licence)	1, 6
LI Jiewei	BNL142	Licensed Representative (Provisional Licence)	1
LI Jingyi	BNN754	Licensed Representative (Provisional Licence)	1, 6
LI Mengjie	BNG397	Licensed Representative (Provisional Licence)	4, 9
LI Mengzhu	BNM966	Licensed Representative (Provisional Licence)	1, 6
LI Qiao	BNO029	Licensed Representative (Provisional Licence)	1, 2
LI Renwang	BNN146	Licensed Representative (Provisional Licence)	4, 9
LI Rong	BNF381	Licensed Representative (Provisional Licence)	1, 2
LI Seymour	BNO608	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Shenghan	BNO957	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shilin	BNJ940	Licensed Representative (Provisional Licence)	1
LI Shuangxing	BGC184	Licensed Representative (Provisional Licence)	1, 9
LI Shuhao	BNO837	Licensed Representative (Provisional Licence)	6
LI Siying	BHU352	Licensed Representative (Provisional Licence)	1
LI Xianglong	BNN295	Licensed Representative (Provisional Licence)	1, 4
LI Xiao	BBR333	Licensed Representative (Provisional Licence)	9
LI Yang	BNG506	Licensed Representative (Provisional Licence)	1, 6
LI Yi	BNJ999	Licensed Representative (Provisional Licence)	1, 6
LI Yidian	BNJ737	Licensed Representative (Provisional Licence)	4
LI Yin Ting Martin	BNP406	Licensed Representative (Provisional Licence)	1, 2, 9
LI Ying	BEJ773	Licensed Representative (Provisional Licence)	1
LI Yishan	BNK001	Licensed Representative (Provisional Licence)	4
LI Yuanshan	BNN773	Licensed Representative (Provisional Licence)	1
LI Yuk Kwan	BNM904	Licensed Representative (Provisional Licence)	6
LI Yunlu	BNN133	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yuyang	BNN892	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zicong	BNK081	Licensed Representative (Provisional Licence)	1, 6
LI Zining	BNL590	Licensed Representative (Provisional Licence)	1, 4, 9
LI Ziyi	BNP008	Licensed Representative (Provisional Licence)	4, 9
LI Ziyue	BNO562	Licensed Representative (Provisional Licence)	1, 4
LIAN Minwei	BFF356	Licensed Representative (Provisional Licence)	1
LIANG Qingyang	BNN877	Licensed Representative (Provisional Licence)	1, 6
LIANG Shiyuan	BNJ578	Licensed Representative (Provisional Licence)	9
LIANG Weiyuan	BNF426	Licensed Representative (Provisional Licence)	4, 9
LIANG Yuanyuan	BNL111	Licensed Representative (Provisional Licence)	10
LIAO Ling	BNH159	Licensed Representative (Provisional Licence)	4
LIAO Shuchen	BNF982	Licensed Representative (Provisional Licence)	1, 4, 6
LIAO Yixing	BNN849	Licensed Representative (Provisional Licence)	1, 6
LIN Ang-Chi	BNJ382	Licensed Representative (Provisional Licence)	4
LIN Binbin	BFY985	Licensed Representative (Provisional Licence)	1, 6
LIN Huijuan	BNM899	Licensed Representative (Provisional Licence)	4, 9
LIN Tong	BNO843	Licensed Representative (Provisional Licence)	6
LIN Xinxiang, Leroy	BNL351	Licensed Representative (Provisional Licence)	2, 5
LING Gabriel Shui Cheung	BNL459	Licensed Representative (Provisional Licence)	10
LING Yee Man, May	ANG116	Licensed Representative (Provisional Licence)	1, 2
LIU Chang	BNB249	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Chengxuan	BNL367	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Feiyang	BNJ990	Licensed Representative (Provisional Licence)	1, 6
LIU Guanqi	BNL452	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Ho Fung Alvin	BNN657	Licensed Representative (Provisional Licence)	9
LIU Jingyi	BNO058	Licensed Representative (Provisional Licence)	1, 2
LIU Jining	BNK980	Licensed Representative (Provisional Licence)	1, 6
LIU Lianrui	BNO584	Licensed Representative (Provisional Licence)	1, 6
LIU Ning	BNO014	Licensed Representative (Provisional Licence)	1, 6
LIU Qiong	BNN250	Licensed Representative (Provisional Licence)	4, 5, 9
LIU Ruiqi	BNF981	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Sha	BNG756	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Shangquan	BNG036	Licensed Representative (Provisional Licence)	1, 6
LIU Shuyi	BNL432	Licensed Representative (Provisional Licence)	1, 4
LIU Wujun	BNL008	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Xi	BKW445	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Xiyu	BNN606	Licensed Representative (Provisional Licence)	4, 9
LIU Xiyuan	BNO403	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Yang	BNO842	Licensed Representative (Provisional Licence)	1, 6
LIU Yicheng	BNI544	Licensed Representative (Provisional Licence)	1, 6
LIU Yinan	BNF250	Licensed Representative (Provisional Licence)	1, 6
LIU Yixi	BNN401	Licensed Representative (Provisional Licence)	1
LIU Zhengye	BNN048	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zongyuan	BNO601	Licensed Representative (Provisional Licence)	6
LONG Felicity Louise	BNO374	Licensed Representative (Provisional Licence)	1
LONG Siyu	BNL068	Licensed Representative (Provisional Licence)	1, 4, 6
LOU Yilin	BNO289	Licensed Representative (Provisional Licence)	1, 6
LU Peiting	BNH086	Licensed Representative (Provisional Licence)	4, 9
LU Zhuoyi	BNP024	Licensed Representative (Provisional Licence)	1, 6
LUDEMA Gregory Alan	BNO917	Licensed Representative (Provisional Licence)	1, 2
LUI Hiu Wah Della	BNO616	Licensed Representative (Provisional Licence)	1
LUN Nga See	BNL195	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Cheng	BNO774	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Chenyan	BND569	Licensed Representative (Provisional Licence)	1, 6
LUO Sha	BNL483	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Zhenyu	AHL732	Licensed Representative (Provisional Licence)	4, 9
LYU Jinling	BNN450	Licensed Representative (Provisional Licence)	1, 6
MA Li	BNN862	Licensed Representative (Provisional Licence)	1, 6
MA Teng	BFC772	Licensed Representative (Provisional Licence)	4
MAHIDHARA Radhika	BNN231	Licensed Representative (Provisional Licence)	1
MALIK Osman Riaz	ARD259	Licensed Representative (Provisional Licence)	1, 4
MAN Chi Hung	BNO069	Licensed Representative (Provisional Licence)	1, 2, 4
MCWILLIAM James Richard	AVY126	Licensed Representative (Provisional Licence)	1
MELHUIISH Alexandra Hero Catriona	BNN589	Licensed Representative (Provisional Licence)	1, 4
MEN Zhaoyang	BNG215	Licensed Representative (Provisional Licence)	9
MILLS Michael Jeffrey	AOY777	Licensed Representative (Provisional Licence)	1, 4, 9
MITSUSADA Kenji	BAC404	Licensed Representative (Provisional Licence)	1, 9
MOTWANI Romil Ramesh	BNO709	Licensed Representative (Provisional Licence)	1
MU Yihan	BNN643	Licensed Representative (Provisional Licence)	4, 9
MUI Jonathan	BNN406	Licensed Representative (Provisional Licence)	1, 4, 9
NAGAI Yuichiro	BNK088	Licensed Representative (Provisional Licence)	4
NAN Wanjiao	BNJ752	Licensed Representative (Provisional Licence)	1, 4, 6
NATALE Allison Marie	BNO124	Licensed Representative (Provisional Licence)	1, 9
NG Ka Pik, Betty	AAJ686	Licensed Representative (Provisional Licence)	1, 4, 5
NG Kwok Lam	APM132	Licensed Representative (Provisional Licence)	9
NG Tsun Ming	BNN908	Licensed Representative (Provisional Licence)	1
NGOH You'an	AWB831	Licensed Representative (Provisional Licence)	9
OH Sang Hoon	AXH658	Licensed Representative (Provisional Licence)	4
OHATA Tomonori	BNK090	Licensed Representative (Provisional Licence)	4
OU Wenxu	BNO293	Licensed Representative (Provisional Licence)	1, 4, 9
PAN Jie	BNO111	Licensed Representative (Provisional Licence)	1
PAN Ninghe	BNH310	Licensed Representative (Provisional Licence)	4
PAN Ying	BGE440	Licensed Representative (Provisional Licence)	4
PAN Yongyong	BNK769	Licensed Representative (Provisional Licence)	1, 4
PANG Kwan	AWN156	Licensed Representative (Provisional Licence)	1, 4, 9
PANG-STOCKFORD Sonja Casey	BNN170	Licensed Representative (Provisional Licence)	1, 4, 9
PARK Min	AFA670	Licensed Representative (Provisional Licence)	1, 2, 4, 5
PARK Yoo Bin	BNO892	Licensed Representative (Provisional Licence)	1, 2, 7
PATEL Ajay Bhupendra	BNN966	Licensed Representative (Provisional Licence)	1
PENG Jianhong	BNN213	Licensed Representative (Provisional Licence)	4, 9
PHAN Nang Dat	BNM909	Licensed Representative (Provisional Licence)	1, 2
POON Ha Fung	AFK090	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
PRACHAKIT Lalitta	BNN396	Licensed Representative (Provisional Licence)	1
PU Der-Yu	BNO719	Licensed Representative (Provisional Licence)	4
PUN Yu San	AXT651	Licensed Representative (Provisional Licence)	1, 2
QI Linna	BNO050	Licensed Representative (Provisional Licence)	6
QIAN Yewen	ASP246	Licensed Representative (Provisional Licence)	1, 6
QIN Yu	BNL547	Licensed Representative (Provisional Licence)	1, 6
QU Jiaqi	BGH782	Licensed Representative (Provisional Licence)	1, 6
QU Jiabin	BNJ302	Licensed Representative (Provisional Licence)	1, 6
RAFIE ELIZEI Alborz	BAA270	Licensed Representative (Provisional Licence)	9
RAMAMOORTHY Swapna	BNH701	Licensed Representative (Provisional Licence)	1, 2
RATHI Sourabh	BNN322	Licensed Representative (Provisional Licence)	1, 2, 7
REN Danlin	BNF068	Licensed Representative (Provisional Licence)	1, 4
RU Tao	AZP245	Licensed Representative (Provisional Licence)	1, 6
SADHUKHAN Subhankar	BNH967	Licensed Representative (Provisional Licence)	9
SAHAGHIAN Antoine Gael	BNO673	Licensed Representative (Provisional Licence)	1, 2
SAKAGUCHI Junichi	BNL516	Licensed Representative (Provisional Licence)	1, 4, 9
SANTOSH KAMAT Karthik	BNN560	Licensed Representative (Provisional Licence)	1, 2
SASAJIMA Katsuhito	BNN182	Licensed Representative (Provisional Licence)	1
SAXENA Akshay	BNO869	Licensed Representative (Provisional Licence)	1, 4, 6
SHANG Ning	AVL540	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Jinting	BNP257	Licensed Representative (Provisional Licence)	4, 9
SHEN Sicong	BNF348	Licensed Representative (Provisional Licence)	4
SHI Chen	BNP131	Licensed Representative (Provisional Licence)	1, 6
SHI Ruichao	ATH910	Licensed Representative (Provisional Licence)	9
SHI Zhiming	BNB758	Licensed Representative (Provisional Licence)	1
SHU Yinglan	BNJ980	Licensed Representative (Provisional Licence)	4
SMITH Robert Adam	BCB694	Licensed Representative (Provisional Licence)	1, 4
SO WAI YU	BME650	Licensed Representative (Provisional Licence)	1, 2
SONG Hyun Mok	BNM211	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Jian	BMV912	Licensed Representative (Provisional Licence)	4
SU Dong	BNO602	Licensed Representative (Provisional Licence)	1, 4
SU Jiarui	BNP783	Licensed Representative (Provisional Licence)	1, 4
SUBRAMONIAM Sunita	BDZ962	Licensed Representative (Provisional Licence)	1, 4
SUEN Chak Yeung	AUB978	Licensed Representative (Provisional Licence)	1, 2, 4
SUEN Kar Man Christine	BNO921	Licensed Representative (Provisional Licence)	4, 9
SUN Ge	BNH009	Licensed Representative (Provisional Licence)	4, 9
SUN Qiong	BNJ871	Licensed Representative (Provisional Licence)	1, 2
SUN Siqi	BNJ900	Licensed Representative (Provisional Licence)	1
SUN Xinyue	BNN093	Licensed Representative (Provisional Licence)	1, 4
SUNDARAM Santhosh Kumar	BNO086	Licensed Representative (Provisional Licence)	1, 4
SUNG Chih Ling	BNK730	Licensed Representative (Provisional Licence)	1, 4
SURANA Sandeep	BNO018	Licensed Representative (Provisional Licence)	1, 2
SUZUKI Yuta	BNP860	Licensed Representative (Provisional Licence)	6
TAI Te-Chun	AlB824	Licensed Representative (Provisional Licence)	1, 2, 4, 5
TAI Wai Lok	BNO979	Licensed Representative (Provisional Licence)	1
TAKAMI Yuta	BNK971	Licensed Representative (Provisional Licence)	1, 2
TAM Justin Man Ho	BNN907	Licensed Representative (Provisional Licence)	1, 2
TAM Pak Chuen	AAE860	Licensed Representative (Provisional Licence)	1
TAM Tsz Cheong	BNK220	Licensed Representative (Provisional Licence)	1, 4
TAN Deyi	APZ847	Licensed Representative (Provisional Licence)	1, 4, 5
TAN Hui Shang Serene	BNO561	Licensed Representative (Provisional Licence)	1
TAN Jeffrey Wei Sing	AGN701	Licensed Representative (Provisional Licence)	1, 4
TAN Tian	BNJ761	Licensed Representative (Provisional Licence)	1, 4
TAN Wei Chong Fabian	BNO712	Licensed Representative (Provisional Licence)	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN Zhuo	BNM972	Licensed Representative (Provisional Licence)	4
TANAKA Eiji	AQY208	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Kwan Pang	BNL243	Licensed Representative (Provisional Licence)	1
TANG Lai Woon	BNO297	Licensed Representative (Provisional Licence)	1
TANG Long Yin	BNL491	Licensed Representative (Provisional Licence)	4
TANG Sai Chung	BBE593	Licensed Representative (Provisional Licence)	1, 2
TANG Tat Hung Darren	BKA592	Licensed Representative (Provisional Licence)	1, 2
TANG Wai Yau	BHS911	Licensed Representative (Provisional Licence)	1, 6
TANG Wing Cheong	BND201	Licensed Representative (Provisional Licence)	1, 2
TAO Xiaojue	BNi839	Licensed Representative (Provisional Licence)	1, 2, 4, 5
THEAGESAN Sumita	BNO593	Licensed Representative (Provisional Licence)	1, 4
TIAN Yu	BNM995	Licensed Representative (Provisional Licence)	1, 6
TO Yuen Ting	BIS544	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Cheng	BNM260	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Heyang	BNG509	Licensed Representative (Provisional Licence)	1, 6
TOW Wen Wei	BNM175	Licensed Representative (Provisional Licence)	1, 4, 9
TOWNSEND Tomasz Gary	BNN795	Licensed Representative (Provisional Licence)	1
TSAI Che Nan	BNN713	Licensed Representative (Provisional Licence)	9
TSAI Song-Yi	BNP094	Licensed Representative (Provisional Licence)	1, 2
TSAI Tsung Lin	BNO015	Licensed Representative (Provisional Licence)	1, 4
TSANG Ching Fai	AI0026	Licensed Representative (Provisional Licence)	1, 4
TSANG Ka Ho	BGB435	Licensed Representative (Provisional Licence)	1, 2
TSANG Sek Yan	BFK484	Licensed Representative (Provisional Licence)	10
TSE Ka Shing Jason	BNO867	Licensed Representative (Provisional Licence)	1, 4, 6
TSE Kit Yuk	ATO410	Licensed Representative (Provisional Licence)	1, 4
TURNER Alexandra Louise	BGM618	Licensed Representative (Provisional Licence)	1
VEYRET Pierre	BNO571	Licensed Representative (Provisional Licence)	1, 2
VINCENT Pierre-victor Nicolas Marie	BNO849	Licensed Representative (Provisional Licence)	2
WAI Ching Kit Ashley	BNO854	Licensed Representative (Provisional Licence)	4, 9
WAN Pak Hang	BNC266	Licensed Representative (Provisional Licence)	9
WANG Huijing	BND383	Licensed Representative (Provisional Licence)	1, 4
WANG James Zong Hao	BNP171	Licensed Representative (Provisional Licence)	4
WANG Jiayu	BNG707	Licensed Representative (Provisional Licence)	1, 6
WANG Luoduan	BNO717	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Mengyao	BNO283	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Ruoyu	BNF032	Licensed Representative (Provisional Licence)	1, 6
WANG Shiyao	BDP424	Licensed Representative (Provisional Licence)	4
WANG Shujie	BNP253	Licensed Representative (Provisional Licence)	4
WANG Tai Jen	BNP287	Licensed Representative (Provisional Licence)	1
WANG Tianfang	BNN586	Licensed Representative (Provisional Licence)	1, 6
WANG Ting	BBP537	Licensed Representative (Provisional Licence)	1, 6
WANG Wenwen	BNH260	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaolei	BNN901	Licensed Representative (Provisional Licence)	1, 4
WANG Yichen	BNJ874	Licensed Representative (Provisional Licence)	1, 2, 7
WANG Yueh-wen	BNL353	Licensed Representative (Provisional Licence)	2, 5
WANG Yuning	BNH429	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yusi	BNJ267	Licensed Representative (Provisional Licence)	1, 6
WANG Yuxuan	AVS196	Licensed Representative (Provisional Licence)	9
WANG Zhaoqiang	BNM252	Licensed Representative (Provisional Licence)	4, 9
WANG Zhuyan	BND729	Licensed Representative (Provisional Licence)	1, 6
WANG Zihao	BNM203	Licensed Representative (Provisional Licence)	4, 9
WAT Tsun Tao	BNO906	Licensed Representative (Provisional Licence)	1, 4
WEE Liang Sin	AHK048	Licensed Representative (Provisional Licence)	1, 4
WEI Bo	BNJ747	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WEI Chao	BNO688	Licensed Representative (Provisional Licence)	1, 2
WEI Chi Wen	AOA830	Licensed Representative (Provisional Licence)	1
WEI Xianyong	BNG029	Licensed Representative (Provisional Licence)	1, 6
WEN Qiaojun	BNI358	Licensed Representative (Provisional Licence)	4
WONG Cheuk Kwan	BCT992	Licensed Representative (Provisional Licence)	9
WONG Chi Tung, Sam	BFU597	Licensed Representative (Provisional Licence)	1
WONG Chun Hei	BNP562	Licensed Representative (Provisional Licence)	1, 6
WONG Fong Ki	BNN662	Licensed Representative (Provisional Licence)	1, 4
WONG Hau Ting Shirley	BNN912	Licensed Representative (Provisional Licence)	1, 2
WONG Man Fong	BNP514	Licensed Representative (Provisional Licence)	1
WONG Siu Yue Michael	BNO859	Licensed Representative (Provisional Licence)	4, 9
WONG Tak Sing	BNH917	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Tsz Sum	BNO490	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Chung	AXT241	Licensed Representative (Provisional Licence)	9
WONG Yuen Ting, Brenda	BNO001	Licensed Representative (Provisional Licence)	1, 4, 9
WU Shuang	BNO745	Licensed Representative (Provisional Licence)	1
WU Xiyu	BNN577	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yanchao	BNF174	Licensed Representative (Provisional Licence)	1, 6
WU Yi	BDP233	Licensed Representative (Provisional Licence)	1
WU Zhenbang	BNN055	Licensed Representative (Provisional Licence)	1, 4, 6
WU Ziqing	BNO978	Licensed Representative (Provisional Licence)	4, 9
XIA Gaohua	BNO603	Licensed Representative (Provisional Licence)	6
XIAN Junqi	BNM265	Licensed Representative (Provisional Licence)	1, 4
XIANG Zhen	BNL498	Licensed Representative (Provisional Licence)	1, 6
XIAO Di	BNH359	Licensed Representative (Provisional Licence)	1, 2
XIAO Jiaqi	BNO685	Licensed Representative (Provisional Licence)	1, 2
XIAO Wen	BJU213	Licensed Representative (Provisional Licence)	1, 4, 9
XIAO Zhuoheng	BNN875	Licensed Representative (Provisional Licence)	1, 4, 6
XU Diyu	BNN874	Licensed Representative (Provisional Licence)	1, 4, 6
XU Junjie	BNL583	Licensed Representative (Provisional Licence)	1, 4, 9
XU Li	BNF087	Licensed Representative (Provisional Licence)	1
XU Ning	BNP715	Licensed Representative (Provisional Licence)	6
XU Siyang	BNI569	Licensed Representative (Provisional Licence)	1, 6
XU Xiaoxi	ANR491	Licensed Representative (Provisional Licence)	1, 4, 9
XU Xiuqi	BNO488	Licensed Representative (Provisional Licence)	1
XU Yina	BNO566	Licensed Representative (Provisional Licence)	4
XU Yingfei	BNO572	Licensed Representative (Provisional Licence)	1, 9
XU Yining	BNO527	Licensed Representative (Provisional Licence)	1, 4, 6
XUE Yuan	BNF072	Licensed Representative (Provisional Licence)	1, 4
YAJIMA Tomoki	BNP002	Licensed Representative (Provisional Licence)	1, 4
YAMAUCHI Natsumu	BNP383	Licensed Representative (Provisional Licence)	1
YAN Jiahui	BNF177	Licensed Representative (Provisional Licence)	1, 4
YANG Bojun	BNO404	Licensed Representative (Provisional Licence)	1, 6
YANG Jae Kyoo	BCE785	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Jingxi	BNN299	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Kaisheng	BNN233	Licensed Representative (Provisional Licence)	1, 2, 7
YANG Liyun	BNC798	Licensed Representative (Provisional Licence)	6
YANG Xuping	ALO476	Licensed Representative (Provisional Licence)	1
YANG Yi	BNM123	Licensed Representative (Provisional Licence)	4, 9
YANG Yunfan	BNI529	Licensed Representative (Provisional Licence)	1, 6
YANGYANG Hanguang	BNN302	Licensed Representative (Provisional Licence)	1
YAU Kwun Chi Eric	BNN260	Licensed Representative (Provisional Licence)	1, 4
YAU Wai Ching	ASZ106	Licensed Representative (Provisional Licence)	1, 4, 9
YE Dazheng	BNP400	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YE Lin	BNN692	Licensed Representative (Provisional Licence)	1, 4
YE Qiaoling	BNJ186	Licensed Representative (Provisional Licence)	1, 6
YEUNG Hiu Wai	BNN157	Licensed Representative (Provisional Licence)	1, 6
YEUNG Po Yin Poey	BNO292	Licensed Representative (Provisional Licence)	1, 4, 9
YIN Haochuan	BNP854	Licensed Representative (Provisional Licence)	1, 2, 7
YIN Zeyu	BNO373	Licensed Representative (Provisional Licence)	1, 4
YING Jian Ling James	BNP759	Licensed Representative (Provisional Licence)	4, 9
YING Zhijie	BNO299	Licensed Representative (Provisional Licence)	6
YIP Chun Pang	BNJ918	Licensed Representative (Provisional Licence)	1, 4
YIP Keiann	BNH901	Licensed Representative (Provisional Licence)	1
YIP Ki	AUF153	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YIP Sin Wa	BNO475	Licensed Representative (Provisional Licence)	1
YIU Hoi Yip	BNL488	Licensed Representative (Provisional Licence)	1, 4, 6
YOSHIDA Aki-Joe	BNI677	Licensed Representative (Provisional Licence)	1, 4
YOSHIDA Natsumi	BNO110	Licensed Representative (Provisional Licence)	1, 4, 6
YOU Hanqian	BNO515	Licensed Representative (Provisional Licence)	9
YU Chenxing	BNM204	Licensed Representative (Provisional Licence)	4
YU Fei	BNL476	Licensed Representative (Provisional Licence)	1, 6
YU Lina	BNN590	Licensed Representative (Provisional Licence)	6
YU Pedro	BNO056	Licensed Representative (Provisional Licence)	1, 6
YUAN Jing	AQF656	Licensed Representative (Provisional Licence)	6
YUAN Xiang	BNK935	Licensed Representative (Provisional Licence)	1
YUNG Jacqueline Wai See	BNO482	Licensed Representative (Provisional Licence)	2, 5
YUNG Wai Ting Winnie	BNL323	Licensed Representative (Provisional Licence)	10
ZENG Yuexin	BIN294	Licensed Representative (Provisional Licence)	1, 2, 7
ZHAN Hua	BNK310	Licensed Representative (Provisional Licence)	2
ZHANG Hanzhu	BNO985	Licensed Representative (Provisional Licence)	1, 2
ZHANG Jiaying	BNN287	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Jie	BNH373	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jing	BNG711	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jingting	BNO703	Licensed Representative (Provisional Licence)	6
ZHANG Kun	BNL518	Licensed Representative (Provisional Licence)	1, 2
ZHANG Peng	BNF922	Licensed Representative (Provisional Licence)	1, 6
ZHANG Quan	BEM321	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ruixin	BNN881	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shiyu	BNO193	Licensed Representative (Provisional Licence)	4
ZHANG Siran	BNE925	Licensed Representative (Provisional Licence)	1, 4
ZHANG Teng	BNL453	Licensed Representative (Provisional Licence)	6
ZHANG Tian	BNO780	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Xia	BNN534	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaobei	BEZ151	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xiaojun	BCC256	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xiaotong	BNO491	Licensed Representative (Provisional Licence)	4
ZHANG Xinru	BNN851	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xuebin	BNH087	Licensed Representative (Provisional Licence)	1
ZHANG Xueyu	BNO595	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG YAN	BNO557	Licensed Representative (Provisional Licence)	6
ZHANG Yi Jie	BNF430	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yige	BNK785	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yongcheng	BNO698	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Zhao	BNH608	Licensed Representative (Provisional Licence)	4
ZHANG Zhenyi	BNL577	Licensed Representative (Provisional Licence)	4, 9
ZHAO Anbo	BNN592	Licensed Representative (Provisional Licence)	4
ZHAO Jianan	BNL487	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Juyi	BNO905	Licensed Representative (Provisional Licence)	10
ZHAO Mengdi	BNO845	Licensed Representative (Provisional Licence)	6
ZHAO Wenbo	BNI561	Licensed Representative (Provisional Licence)	1, 6
ZHAO Xinyue	BNN872	Licensed Representative (Provisional Licence)	1, 4
ZHAO Zeping	BNM248	Licensed Representative (Provisional Licence)	4
ZHENG Xiaoling	BNH663	Licensed Representative (Provisional Licence)	1, 6
ZHENG Xiaowei	BNN087	Licensed Representative (Provisional Licence)	9
ZHENG Yulu	BNL148	Licensed Representative (Provisional Licence)	1
ZHOU Mengxuan	BNN282	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Mingda	BND394	Licensed Representative (Provisional Licence)	1
ZHOU Ran	BNN005	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Yang	BNF447	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yang	BNJ698	Licensed Representative (Provisional Licence)	1, 2
ZHOU Yanru	BNN868	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yong	BMI923	Licensed Representative (Provisional Licence)	1, 9
ZHU Guoyi	BNN524	Licensed Representative (Provisional Licence)	4, 9
ZHU Lizheng	BNN848	Licensed Representative (Provisional Licence)	1, 4
ZHU Yifan	BNP001	Licensed Representative (Provisional Licence)	1, 6
ZHU Yuchen	BJY722	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Dong	BNN869	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Jiejing	BKF285	Licensed Representative (Provisional Licence)	1, 2
ZHUANG Lingyi	BMV470	Licensed Representative (Provisional Licence)	1, 9
ZONG Yuzhang	BNO311	Licensed Representative (Provisional Licence)	1, 4, 9
ZOU Qihan	BNP172	Licensed Representative (Provisional Licence)	6
ZUO Mingzhi	BNN320	Licensed Representative (Provisional Licence)	6
AHMAD HASHIM Noor Hashima Binti	BNP595	Licensed Representative (Temporary Licence)	1
AREVALO Alejandro	BME537	Licensed Representative (Temporary Licence)	1
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
ARROWSMITH Natalie Jane	AIQ958	Licensed Representative (Temporary Licence)	1
BABBER ANUJ	BNQ949	Licensed Representative (Temporary Licence)	1
BACOW Jay Fleet	BNQ664	Licensed Representative (Temporary Licence)	1, 4, 5
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BARRETT Lisa Dawn	BNR463	Licensed Representative (Temporary Licence)	10
BRAIN Paul Robert	BLD597	Licensed Representative (Temporary Licence)	1, 4
BRIGHTON Todd Matthew	BNP332	Licensed Representative (Temporary Licence)	4
BRISCOE Andrew William Paul	AZD018	Licensed Representative (Temporary Licence)	1
BROWN James Edward Peter	BNO736	Licensed Representative (Temporary Licence)	1, 4
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
BUTCHER Penelope Jane	ANG299	Licensed Representative (Temporary Licence)	1, 4
BUTTERWORTH Gregory John	ANJ643	Licensed Representative (Temporary Licence)	1
BYRNE James Robert	BNP385	Licensed Representative (Temporary Licence)	4
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative (Temporary Licence)	1
CEREZO Rafael Alejandro	BMD882	Licensed Representative (Temporary Licence)	1
CHALOKEPUNRAT Sirichai	BGX415	Licensed Representative (Temporary Licence)	4
CHANG Jenny	BNH478	Licensed Representative (Temporary Licence)	10
CHASE Brian Fitzgerald	BNQ779	Licensed Representative (Temporary Licence)	1, 4
CHEANG Olivia Tong	BNP613	Licensed Representative (Temporary Licence)	4
CHEN Xinchun	BMT453	Licensed Representative (Temporary Licence)	6
CHIA Kiara Kim Szu Shyan	AVT057	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHOPRA Swati	BAW702	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
COGHILL James Arthur Lawrence	AWC079	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CROSS Sebastien Andrew	BML626	Licensed Representative (Temporary Licence)	4
CUMERLATO Riccardo	BNS403	Licensed Representative (Temporary Licence)	1
CYPRYS Michael James	BJN484	Licensed Representative (Temporary Licence)	1, 4
DIGENAN Thomas	BHD359	Licensed Representative (Temporary Licence)	1, 4
DODDS Philip Marcus	BGJ122	Licensed Representative (Temporary Licence)	1
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
ESER Anton Norman Karl	BNO583	Licensed Representative (Temporary Licence)	1
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FAIRCLOUGH Jason Robert	BFP935	Licensed Representative (Temporary Licence)	4
FOX Glenn Michael	BIZ968	Licensed Representative (Temporary Licence)	1
FREMDER Dean Jason	BKE230	Licensed Representative (Temporary Licence)	1
GILBERT Benjamin Joseph William	AVB999	Licensed Representative (Temporary Licence)	4
GOONAN Anthony John	BMF399	Licensed Representative (Temporary Licence)	1
GOYAL Megha	BKR107	Licensed Representative (Temporary Licence)	1
GRASHOFF Dennis	BGH024	Licensed Representative (Temporary Licence)	1
GRIFFITHS Matthew William Wilson	BLI070	Licensed Representative (Temporary Licence)	1
GUERRINI Gerard Alix	BNP243	Licensed Representative (Temporary Licence)	1, 4
GUISSI Mehdi	BNP420	Licensed Representative (Temporary Licence)	1
GUTMAN Simeon Ari	BFO614	Licensed Representative (Temporary Licence)	1, 4
HADASSIN Saul	BNP164	Licensed Representative (Temporary Licence)	4
HADLEY Paul Jason	ANU724	Licensed Representative (Temporary Licence)	1
HAGIWARA Koichiro	BHH064	Licensed Representative (Temporary Licence)	4
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HARIDASAN Pranav	BNR540	Licensed Representative (Temporary Licence)	1
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Temporary Licence)	1, 4
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	1
HASHIMOTO Takashi	BIJ499	Licensed Representative (Temporary Licence)	4
HEELAN Benjamin Michael	BIN836	Licensed Representative (Temporary Licence)	4
HEO Na Rae	BNR821	Licensed Representative (Temporary Licence)	4
HILL John Andrew	BMT336	Licensed Representative (Temporary Licence)	1
HIRATA Shingo	AYG042	Licensed Representative (Temporary Licence)	4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	4
ISON Jonathan Alexander Nicholas	BNQ658	Licensed Representative (Temporary Licence)	1, 2
IWASAKI Kaori	BNO785	Licensed Representative (Temporary Licence)	4
JACKSON Paul James	BLF141	Licensed Representative (Temporary Licence)	1, 4
JAMES Robert	BNR791	Licensed Representative (Temporary Licence)	1
JIVRAJ Farouk Tazdin	BNR804	Licensed Representative (Temporary Licence)	1
JOHNSON Alexander George	BMS279	Licensed Representative (Temporary Licence)	4
JONES Benjamin Charles	BNP284	Licensed Representative (Temporary Licence)	1
KANGVANPANICH Pontip	BNO939	Licensed Representative (Temporary Licence)	4
KANNOURAKIS Joshua Charles	BMT559	Licensed Representative (Temporary Licence)	4
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KHOO-AIDELQJE Eileen Ai Yin	BAD617	Licensed Representative (Temporary Licence)	1, 4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KITANO Daisaku	BKL416	Licensed Representative (Temporary Licence)	1
KLEIN Jordan Michael	BNO493	Licensed Representative (Temporary Licence)	4
KONG Robert Patrick	BDQ917	Licensed Representative (Temporary Licence)	4
KURIHARA Satoshi	BJF229	Licensed Representative (Temporary Licence)	4
KURZ Peter Michael	ACN979	Licensed Representative (Temporary Licence)	4
LEE Moses	BDE482	Licensed Representative (Temporary Licence)	2, 5
LEE Seok Won	BNN710	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	4
LEE Young Gon	BNO013	Licensed Representative (Temporary Licence)	1, 2
LENVALSKAJA Uliana	BKZ557	Licensed Representative (Temporary Licence)	4
LI Fangzhou	BNP714	Licensed Representative (Temporary Licence)	1, 2
LIN Chia-Hua	BNN330	Licensed Representative (Temporary Licence)	1
LIPTON Matthew Thomas	BNI289	Licensed Representative (Temporary Licence)	1, 4
LIU Chiung-Fang	BMV071	Licensed Representative (Temporary Licence)	4
LIU Wai Kin, Ricky	BJD903	Licensed Representative (Temporary Licence)	1
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MACLEAN Murdo Alexander	BGK887	Licensed Representative (Temporary Licence)	1, 4
MAHAJAN Arjun Yash	BMV172	Licensed Representative (Temporary Licence)	1, 4
MAIR Hamish John Garrow	BNP041	Licensed Representative (Temporary Licence)	1
MALCOLM James David	BJL213	Licensed Representative (Temporary Licence)	4
MANSOUR Charles Marcus	BNH611	Licensed Representative (Temporary Licence)	1
MANZONE Jaime Che	BMU994	Licensed Representative (Temporary Licence)	4
MARTIN Robert Franklin	BNQ392	Licensed Representative (Temporary Licence)	4
MARTIN Troy Richard William	BHG437	Licensed Representative (Temporary Licence)	1
MATHRANI Nilima Ajay	BMT591	Licensed Representative (Temporary Licence)	1, 4
MCCASKER Grant David	ATL666	Licensed Representative (Temporary Licence)	4
MEHTA Rishi Kumar	BNP224	Licensed Representative (Temporary Licence)	1, 2
MILNE Anne Jean	BLE943	Licensed Representative (Temporary Licence)	4
MIVELAZ Gregoire Bernard	ASD683	Licensed Representative (Temporary Licence)	1
MOON Chung Joo	BNP182	Licensed Representative (Temporary Licence)	1, 4
MORA Nicolas Jean Marie	BNQ666	Licensed Representative (Temporary Licence)	1, 4
MORIMOTO Tatsuya	BDW746	Licensed Representative (Temporary Licence)	1
MORTON Michael Paul	BNO486	Licensed Representative (Temporary Licence)	4
MOUNTAIN Arthur James	BNI733	Licensed Representative (Temporary Licence)	1, 2
MULHOLLAND David Terence	BMJ692	Licensed Representative (Temporary Licence)	4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NEUMAYER Elliott Richard	BMV317	Licensed Representative (Temporary Licence)	1
NGUYEN Philip Duc Hoang	BNE678	Licensed Representative (Temporary Licence)	1, 2
ONG Li Ting Clarinda	BMD904	Licensed Representative (Temporary Licence)	1
OSIANDER Ernst Josef	BEE962	Licensed Representative (Temporary Licence)	1
PARANTHOIENE Andrew Leigh	BNR464	Licensed Representative (Temporary Licence)	10
PARKER Keith Joseph	BNP181	Licensed Representative (Temporary Licence)	4
PATEL Sharad Nainesh	BNQ911	Licensed Representative (Temporary Licence)	1, 2
PATWARI Priyank	BHK693	Licensed Representative (Temporary Licence)	1
PHELPS Mark Ivor	AZE639	Licensed Representative (Temporary Licence)	1
PLUMBE Timothy James Samuel	BIM306	Licensed Representative (Temporary Licence)	4
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
PRUNRATANAMALA Kasem	BMW395	Licensed Representative (Temporary Licence)	4
REEMER Jeffrey Ross	BIR381	Licensed Representative (Temporary Licence)	1, 4
ROMERO Julian Paul Juan Edouard	BNP755	Licensed Representative (Temporary Licence)	1
RUSSELL Matthew Alan	BMR122	Licensed Representative (Temporary Licence)	1
SABNIS Avadhoot Madhukar	BNR384	Licensed Representative (Temporary Licence)	4
SAIZ NICOLAS Jose Maria	BHQ100	Licensed Representative (Temporary Licence)	4
SAMBOR Jean-Charles Pierre	BIQ355	Licensed Representative (Temporary Licence)	4
SATKO Erjon	BNQ789	Licensed Representative (Temporary Licence)	4
SCHAUFELBERGER Marie-Laure	BNR635	Licensed Representative (Temporary Licence)	1
SCICOLONE David Samuel	BMR946	Licensed Representative (Temporary Licence)	1, 4
SEO Jong Dae	AUV972	Licensed Representative (Temporary Licence)	2
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHAH Sneha	BNR366	Licensed Representative (Temporary Licence)	1
SHIEH Ming-Yung	AY1567	Licensed Representative (Temporary Licence)	1
SINISI Vincent James	BNP241	Licensed Representative (Temporary Licence)	1, 4
SPODEK Stuart	BBL378	Licensed Representative (Temporary Licence)	1, 4
SPREADBURY Gary	BNP240	Licensed Representative (Temporary Licence)	1
STAFFORD Craig Martin	ATC363	Licensed Representative (Temporary Licence)	4
STIMPSON Andrew David	BGM799	Licensed Representative (Temporary Licence)	4
TANAKA Chikai	AWO799	Licensed Representative (Temporary Licence)	4
TANTISUWANNAKUL Uraiwan	BNP395	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
THAPAR Vinay	BNR482	Licensed Representative (Temporary Licence)	1
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Temporary Licence)	4
TSURUO Mitsunobu	BHD023	Licensed Representative (Temporary Licence)	4
VERBERK Victor	BN0793	Licensed Representative (Temporary Licence)	1
WANG Haoming	BNP232	Licensed Representative (Temporary Licence)	1, 2
WARD Peter Gerard	BNP036	Licensed Representative (Temporary Licence)	2, 5
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WHITE Tamera Jane	AVQ210	Licensed Representative (Temporary Licence)	1
WHITTON Zoe Anna	BNR248	Licensed Representative (Temporary Licence)	4
WHYMARK Casey Nevell	BGJ216	Licensed Representative (Temporary Licence)	1
WILDGEN Isabelle	BEO904	Licensed Representative (Temporary Licence)	1, 4
WILLIAMSON Sarah Kim	BDP921	Licensed Representative (Temporary Licence)	1
WINOKER Steven Eric	AUT771	Licensed Representative (Temporary Licence)	4
WOOD Andrew Dennis	AYM450	Licensed Representative (Temporary Licence)	4
WOOD Robert Philip	BNR607	Licensed Representative (Temporary Licence)	1, 4
WRENN James Joseph	BJT987	Licensed Representative (Temporary Licence)	1
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YAMAUCHI Riki	BNQ777	Licensed Representative (Temporary Licence)	1
ZHANG Luxi	BNQ400	Licensed Representative (Temporary Licence)	1, 4