

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
Global Mastermind Asset Management Limited	BIH206	Licensed Corporation	9
GLOBAL MASTERMIND FUTURES LIMITED	BLF848	Licensed Corporation	2
Grand Fund Hong Kong Management Limited	BII094	Licensed Corporation	9
Herald Delight Asset Management Limited	BNZ263	Licensed Corporation	9
RHB Asset Management Limited	AQJ902	Licensed Corporation	1, 4, 9
RHB Capital Hong Kong Limited	AGC194	Licensed Corporation	1, 6
Senrigan Capital Group Limited	ATI601	Licensed Corporation	9
TANGSHANG ASSETS MANAGEMENT LIMITED	BML134	Licensed Corporation	9
TANGSHANG FUTURES LIMITED	BNQ804	Licensed Corporation	2
TANGSHANG SECURITIES LIMITED	BML135	Licensed Corporation	1, 4
Tibra Trading Hong Kong Limited	AOV957	Licensed Corporation	1, 2
Xuantie International Investment Co., Ltd	BNN599	Licensed Corporation	2, 5
Taiwan Cooperative Bank, Ltd.	AJH884	Registered Institution	1
BAI Xiaopu	AYJ561	Licensed Representative	9
BATTENFELD Brenton George	AOP135	Licensed Representative	9
BERNARD Vianney Gil Jean-Martin	BPJ629	Licensed Representative	1, 4, 9
BERTONE Yannick Anthony Pierre	BMM181	Licensed Representative	1, 2
BINNION David Raymond	ALY545	Licensed Representative	1
BO Qiaochu	AZJ491	Licensed Representative	1, 6
BOUEE Charles-Edouard Pascal Marie Daniel	BPQ364	Licensed Representative	4, 9
BRAILEY Simon Geoffrey	AVD351	Licensed Representative	1, 4
CAI Miao	BKH212	Licensed Representative	1, 6
CANG Tianyang	BAZ566	Licensed Representative	1, 2, 4
CHAN Adrian Tsang Kwong	AVM931	Licensed Representative	1, 4, 9
CHAN Charles Yip Ming	BOB432	Licensed Representative	1
CHAN Chi Shing	AON830	Licensed Representative	2
CHAN Chi Wai, William	BFF177	Licensed Representative	1, 4
CHAN Chu Kit	BHW774	Licensed Representative	1
CHAN Ho Yin	BPD768	Licensed Representative	1, 2
CHAN Kam Ming Eric	BMX490	Licensed Representative	1, 4
CHAN Shing Ho Howard	BPT284	Licensed Representative	1
CHAN Sik Yan, Icy	AIU417	Licensed Representative	1
CHAN Sin Ting Kitty	BNC483	Licensed Representative	1, 4, 9
CHAN Wai Kit, Winkins	AQD954	Licensed Representative	1
CHAN Wai Nga, Christy	AQG586	Licensed Representative	1, 4
CHAN Yee Wai	BOB561	Licensed Representative	4
CHAN Ying Yu	BIJ543	Licensed Representative	1, 3
CHANG Chiu Hing	AEO710	Licensed Representative	1, 2
CHANG John Jae	BDY729	Licensed Representative	1, 4
CHEN Han	BOE243	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEN I Li	BNV442	Licensed Representative	1, 4, 9
CHEN Jesse C.	BID398	Licensed Representative	9
CHEN John Po-chuan	ANU569	Licensed Representative	4, 9
CHEN Naiyuan	BNR281	Licensed Representative	1, 4, 6
CHEN Qi	BOT095	Licensed Representative	1
CHEN Zhexu	BNO686	Licensed Representative	1, 4, 9
CHENG Chi Ho	AVL287	Licensed Representative	4, 9
CHENG Chi Ming	AKP072	Licensed Representative	1, 2
CHENG Kar Min, Joseph	ABZ842	Licensed Representative	1, 2
CHENG Michael Siang Chai	BOS498	Licensed Representative	1, 4
CHENG Sze, Stephen	BBJ913	Licensed Representative	1
CHENG Tin Ho	BOR898	Licensed Representative	1
CHENG Xiyang	BHC248	Licensed Representative	9
CHEUNG Ho Chuen	BHR928	Licensed Representative	4
CHEUNG Sek Yuen, Martin	APH639	Licensed Representative	1, 2
CHOW Douglas Ding-Kay	AJL423	Licensed Representative	1, 4, 9
CHOW Wai Hung	AAH986	Licensed Representative	1
CHOW Wai Leong, Eric	AWW844	Licensed Representative	1, 4, 9
CHU Cheuk Hung	BDL291	Licensed Representative	4, 9
CHU Shu Kwan	BBX967	Licensed Representative	1
CHUI Ming Him, Gordon	BIJ692	Licensed Representative	1
CHUNG Long Fung	BNI578	Licensed Representative	1, 4, 6
CHUNG Wing Yan	AOT526	Licensed Representative	4
CLISSOLD Samuel Dane	BNU388	Licensed Representative	4
D'ANETHAN Justin Jean F.	BOP856	Licensed Representative	1, 2
DING Tianyi	BLR694	Licensed Representative	1, 4, 9
DUNBAR Stuart	BGD489	Licensed Representative	1
FAN Kam Wing	AAC803	Licensed Representative	1, 2
FAN Long	BNM963	Licensed Representative	1, 6
FANG Wenhui	BNN921	Licensed Representative	6
FITZGERALD Michael Charles	BGP129	Licensed Representative	1, 4, 9
FRANCO DEMICHELIS Luca	ARU568	Licensed Representative	9
FU Pengkai	BNY736	Licensed Representative	1, 6
FUNG Sing Chung	ANE087	Licensed Representative	1
GAN Liang	BJW266	Licensed Representative	4, 9
GAO Chong	BPJ831	Licensed Representative	4, 9
GHOSH Soujit	AOJ195	Licensed Representative	1, 2, 4
GU Shuopeng	BJW732	Licensed Representative	4
GUAN Yaoyu	BOJ184	Licensed Representative	1, 2
GUO Mengxi	BNJ391	Licensed Representative	6

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUO Min	BPB539	Licensed Representative	4
HAN Tian	BMP527	Licensed Representative	6
HE Weiyi	BKU102	Licensed Representative	1, 4, 9
HO Chi Tong, Alfred	AAC822	Licensed Representative	1, 2
HO Frankie Chung Fat	AYN333	Licensed Representative	1, 6
HO Hung Wei	BDI075	Licensed Representative	1, 4, 6
HO Lai Yan, Theona	AOL083	Licensed Representative	1, 4
HO Tung Hing	AYD867	Licensed Representative	1, 2
HONG Albert	ADI057	Licensed Representative	1
HU Xiao	BHM641	Licensed Representative	1
HU Xiaohao	BGD826	Licensed Representative	1
HUANG Chun Yi	AOV622	Licensed Representative	1, 4
HUH Jun Nyeong	BJK597	Licensed Representative	6
HUNG Chin Chi	BML862	Licensed Representative	4, 9
IMOTO Masaomi	BOU139	Licensed Representative	9
IP Moses Alan	BMB936	Licensed Representative	1, 2
JIA Xinping	BNX455	Licensed Representative	6
JIANG Xiaole	BON526	Licensed Representative	4
JIAO Shang	BKM830	Licensed Representative	1, 6
JIO Rina Lookman	BPX089	Licensed Representative	1, 4
JUE Stephen Tukqun	AIQ688	Licensed Representative	1, 4, 9
KANG You Jin	BNN544	Licensed Representative	1, 4
KAPUR Gautam Pradeep Kumar	BNY553	Licensed Representative	1, 7
KIM Jiyeon	BAD419	Licensed Representative	1, 4
KONG Chi Ho	AXI329	Licensed Representative	1, 2
KOO Chia Ling, William	AAG078	Licensed Representative	1
KU Chiu Shing	AEW218	Licensed Representative	1
KU Ka Sing	BNT015	Licensed Representative	1
KWAN Ching Yi	BOT543	Licensed Representative	1, 2
KWAN Wai Man	ACJ105	Licensed Representative	9
KWOK Ka Lun	AMP301	Licensed Representative	1, 9
KWOK Wai Fai	BCR063	Licensed Representative	1, 2, 4
KWONG Ben Man Yip	AUS297	Licensed Representative	4, 9
KWONG Ka Fai	BPM659	Licensed Representative	4
LAI Chengdi	BDL588	Licensed Representative	4
LAI Ka Chung	BFU181	Licensed Representative	4
LAI Yee Yung	BHC251	Licensed Representative	9
LAM Chi Keung	AQX036	Licensed Representative	9
LAM Ka Ming	AEU728	Licensed Representative	1, 2
LAM Kwan Yee	ALQ828	Licensed Representative	1, 4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LAO Jingbo	BJW007	Licensed Representative	9
LAU Fu Keung	AVW356	Licensed Representative	1, 4, 9
LAU Hung	BJK229	Licensed Representative	1, 4, 9
LAU Nim Cho	ANU429	Licensed Representative	1, 2
LAU Oi Chu	AOK970	Licensed Representative	1, 2
LAU Tat Yan	AEZ136	Licensed Representative	1
LAU Yan Ling	BAI014	Licensed Representative	1, 2
LAW Ernest Kwan Hon	AFT604	Licensed Representative	9
LAW Wai King, Jennier	ACI587	Licensed Representative	1, 2, 3
LEE Andy	BEB396	Licensed Representative	1, 4
LEE Brendan	AXD882	Licensed Representative	1
LEE Chang Won	AMB774	Licensed Representative	1, 6
LEE Chung Yee	BPY470	Licensed Representative	1
LEE Jaewook	BPO620	Licensed Representative	1, 4, 9
LEE Wing Him, Timothy	AYS240	Licensed Representative	1, 4, 6
LEUNG Ho Juen	BLL871	Licensed Representative	1, 2
LEUNG Kai Pong	BCG375	Licensed Representative	1
LEUNG Kin Shing, Jason	AOC862	Licensed Representative	1, 4, 9
LEUNG Mei Sze, Kennis	AFU704	Licensed Representative	1, 4, 9
LI Bo	BLK721	Licensed Representative	1, 4
LI Chi Man, Barry	AFN901	Licensed Representative	1, 2
LI Chi Wa	BNN723	Licensed Representative	1, 2
LI Jianping	BMQ132	Licensed Representative	4, 9
LI Mengfei	BJO232	Licensed Representative	1
LI Siqi	BOF938	Licensed Representative	1, 4, 6
LI Wai Sun	AMQ349	Licensed Representative	1, 2
LI Weixuan	BPB916	Licensed Representative	1, 4, 9
LI Xin	BNY209	Licensed Representative	1
LI Xuhua	BPL807	Licensed Representative	9
LIM Wen-tzen	ALN484	Licensed Representative	1, 4
LIN Li	BOB598	Licensed Representative	1, 4, 6
LIN Ming-Shao	BOZ249	Licensed Representative	1, 2
LIN Na	BBR324	Licensed Representative	4
LIN Yaojie	BOH991	Licensed Representative	1, 4, 6
LING Colin Celan	ABU679	Licensed Representative	3
LING Yuqi	BNQ981	Licensed Representative	1, 4
LIU Chang	BHT606	Licensed Representative	1, 6
LIU Hongkun	BNS453	Licensed Representative	1, 2
LIU Minyan	AEV509	Licensed Representative	10
LIU Taojun	BHX628	Licensed Representative	1, 4, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Yanping	BPJ467	Licensed Representative	1, 6
LIU Ying-Hsiao	BIW956	Licensed Representative	1, 4, 9
LIU Yuan	ANM106	Licensed Representative	1
LKHAGVAJAV ENKHTUR	BPK653	Licensed Representative	4, 9
LO Chi Hang	AFV477	Licensed Representative	4
LO Ho Cheung	BPM726	Licensed Representative	9
LO Jun Hao	BJP802	Licensed Representative	6
LO Ka Wai, Simon	ATO705	Licensed Representative	4
LU Liyan	BGI430	Licensed Representative	1, 4, 9
LU Ning	ARP152	Licensed Representative	1, 4
LU Weixiang	BJX290	Licensed Representative	1, 4, 6
LU Zhenxiang	BLI726	Licensed Representative	4
LUK Wai Chuen, Sunny	ADZ963	Licensed Representative	1
MA Ho Yan Connie	AZG407	Licensed Representative	1, 4
MA Ying	BOP135	Licensed Representative	2, 5
MAK Hoi Ching	AXS958	Licensed Representative	1, 4, 9
MAK Pui Hang Eric	APQ674	Licensed Representative	9
MAK Siu Fai, Charles	AQP686	Licensed Representative	1
MAO Zhe	BNT074	Licensed Representative	1, 4
MARK Chun Wai, Paul	AEK141	Licensed Representative	1, 2, 4, 5
MCGEOCH Angus Hamilton	AYP088	Licensed Representative	1
MOLDOVAN Jonathan	ASZ094	Licensed Representative	9
MOLE Harvey Edward	BNC224	Licensed Representative	1, 4
NG Francis Chi Yin	AXX647	Licensed Representative	1, 4, 9
NG Ka Sing	BDX997	Licensed Representative	1, 4
NG Ka Yu	AVE769	Licensed Representative	6
NG Sui Wing	BOI358	Licensed Representative	1, 4
NG Zhi Wei Aaron	BPJ671	Licensed Representative	9
NGAI Wing Sum	BNQ788	Licensed Representative	1, 2, 4, 9
OBANDO Marta Joann	AEH939	Licensed Representative	4, 9
O'SHEA Stephen Gerard	ABM948	Licensed Representative	1, 2
OUYANG Qingtian	BLV152	Licensed Representative	6
OUYANG Tangke	BNQ579	Licensed Representative	1, 6
PAK Ka Lee	BDH206	Licensed Representative	1, 2, 4, 9
PANG Ching Man	AQS351	Licensed Representative	1, 4, 9
PANG Donald Kei-Lun	BFG301	Licensed Representative	1, 2, 3, 4
PASSERI Carlo Alberto	BOI947	Licensed Representative	4, 9
PICKWORTH Simon Murray	AWT354	Licensed Representative	1, 2
PING Xiaochuan	BOU803	Licensed Representative	9
POON Choi Yung	ADZ501	Licensed Representative	1, 2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
PRANOTO Malvin Wisnuyudha	BNH736	Licensed Representative	1, 4
RAMIREZ Carlo Maria	AIS071	Licensed Representative	9
SAISUPHATPHOL Worawat	AYE598	Licensed Representative	4
SEE Yi Ning Joanna	BPK482	Licensed Representative	1, 4
SEN Arya	BAF500	Licensed Representative	4
SHAH Mrunal Hareshkumar	AYQ435	Licensed Representative	1
SHANG Linzheng	BON473	Licensed Representative	1, 4, 6
SHAO Huabin	AXM025	Licensed Representative	1
SHAO Shiyu	BBQ354	Licensed Representative	1, 4, 6
SHAO Sining	BNZ119	Licensed Representative	1, 4
SHIH Ting Yu	BPD708	Licensed Representative	1, 4, 6
SHUM Pak Wai Prudence	BPK529	Licensed Representative	6
SIU Wai Pan	BKG270	Licensed Representative	1
SMITH Alistair Campbell	BIE667	Licensed Representative	1
SO Adrian Lap Kuen	BKR795	Licensed Representative	1, 4
SO Ping Kei	AGH344	Licensed Representative	1
SOULAS CHRISTOPHER	BOT668	Licensed Representative	1, 7
STADLER Francesco Antonio Alois	BPV392	Licensed Representative	4, 9
STALEY Todd Alan	BIV621	Licensed Representative	1, 4, 9
STUBBS Matthew Leslie	BIN588	Licensed Representative	1
SUBBARAMAN Sahana Lakshmi	BOK335	Licensed Representative	1, 4
SUEN Chung Fai	AOY061	Licensed Representative	4
SUEN Wai Kin	BDP679	Licensed Representative	2, 4, 9
SUM Hong Ning	AJJ807	Licensed Representative	4, 9
SUN Jia	BOL365	Licensed Representative	4
SWAMINATHAN Arun Srinivas	AXP958	Licensed Representative	9
TABEI Naohiko	BHY363	Licensed Representative	1, 4
TAI Tak Yee, Yvonne	ADU050	Licensed Representative	1, 4
TAM Chung Yin	ARD541	Licensed Representative	1, 4, 9
TAM Ka Yan	BNP677	Licensed Representative	1, 4
TAM Wing Kin	BAF087	Licensed Representative	1, 4
TANG Xudong	BOB104	Licensed Representative	1, 2
TANG Yuk Sang	ASO687	Licensed Representative	1, 4, 9
TAU Weihua Robert	ARN628	Licensed Representative	9
TIAN Lei	BPK390	Licensed Representative	1, 4
TONG Pui Yin	BLE755	Licensed Representative	1
TSANG Fan On, Vincey	AYI964	Licensed Representative	1
TSANG Ho Fung	ASH812	Licensed Representative	1
TSANG Wai Keung	BNH509	Licensed Representative	1
TSE Fung Sum, Flora	AVP874	Licensed Representative	6

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE Tim	AIX140	Licensed Representative	9
TSOI Shing Hong, Bruce	BJQ238	Licensed Representative	1
TSUI Justin	BNS707	Licensed Representative	2
TUNG Hiu Chung	AGC648	Licensed Representative	1
WALSH Noel Edward	BEN585	Licensed Representative	2
WAN Chun Man	ATY752	Licensed Representative	1, 2
WANG Bin	BMW065	Licensed Representative	1, 4, 6
WANG Cheng	BNH070	Licensed Representative	1, 4, 6
WANG Vanessa	BIS581	Licensed Representative	1, 4, 9
WANG Xiaorui	BNH572	Licensed Representative	2
WANG Yao	BAE170	Licensed Representative	1, 4, 6
WANG Yingfeng	BNY361	Licensed Representative	9
WANG Zongchao	BPX920	Licensed Representative	4
WEI Ran	BNS446	Licensed Representative	1, 2
WEI Wei	BMH195	Licensed Representative	9
WEN Jie	BOA467	Licensed Representative	4, 9
WONG Chi Ming	BEA494	Licensed Representative	1
WONG Chun Yip	ALA729	Licensed Representative	1, 2
WONG Hon Leung	AXV390	Licensed Representative	1, 6
WONG Ka Po	AJE893	Licensed Representative	1, 2
WONG Kai Yuen	BPN374	Licensed Representative	1
WONG Kim Sing	ALF360	Licensed Representative	1
WONG Suling	ACJ388	Licensed Representative	4
WONG Sze Ngar	AQS366	Licensed Representative	1
WONG Wing Ming	BIW234	Licensed Representative	1, 4, 9
WONG Yan Long Ivan	BNH606	Licensed Representative	1, 4, 9
WONG Yan Tik	BPK707	Licensed Representative	1, 2
WONG Yan Yi, Betty	ACC262	Licensed Representative	1
WONG Yeung	BOB548	Licensed Representative	1, 2
WONG Yin Lok, Leo	AKQ543	Licensed Representative	1
WONG Yiu Tung	BCT857	Licensed Representative	1, 6
WU Hin Lun	BES356	Licensed Representative	1
XIA Rui	BES464	Licensed Representative	1, 6
XIA Tian	AYJ595	Licensed Representative	4
XIAN Ming	BDV840	Licensed Representative	1
XIAO Shunyu	BKO986	Licensed Representative	9
XIONG Junjie	BEZ862	Licensed Representative	9
XU Feili	BNJ670	Licensed Representative	1, 4
XU Ruiyi	BLM930	Licensed Representative	1, 4
YAN Ming	BJX910	Licensed Representative	1, 2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YANG Jiaying	BOR671	Licensed Representative	1, 4
YANG Liu	BOO238	Licensed Representative	9
YANG Yu	AZF236	Licensed Representative	4, 9
YANG Zelong	BEC370	Licensed Representative	1
YAO Li	BGB019	Licensed Representative	1, 4
YE Jiannan	BMZ639	Licensed Representative	1, 6
YEUNG Man Hon	BPI929	Licensed Representative	1
YEUNG Wai Hung	BBZ171	Licensed Representative	1
YIN Zeyu	BNO373	Licensed Representative	1, 4
YIP Shiu Lun	AOB531	Licensed Representative	9
YIP Wing Sze, Venz	AWD043	Licensed Representative	1, 2, 4
YIU Ting Kwan	BOT741	Licensed Representative	4, 9
YONG Xin Hui	BNB700	Licensed Representative	2, 5
YU Gregory Hock-ken	ANX686	Licensed Representative	2, 5
YU Yat Lun	ANR310	Licensed Representative	1
YU Zijun	BHH819	Licensed Representative	1, 2
YUAN Li Yi	BGP109	Licensed Representative	1, 4, 9
YUNG Man Ching	BFP330	Licensed Representative	1, 4
ZAHEER Sajjad Ashish	ATO193	Licensed Representative	1, 2
ZHANG Chune	BOU062	Licensed Representative	1, 4
ZHANG Kaixian	BHY755	Licensed Representative	1, 6
ZHANG Ruolan	BER414	Licensed Representative	1, 2, 4
ZHANG Sijia	BKF448	Licensed Representative	1, 2
ZHANG Sijun	BPE808	Licensed Representative	4, 9
ZHANG Tian	BMS675	Licensed Representative	2
ZHANG Tianqing	BMW075	Licensed Representative	1, 4, 6
ZHANG Wenyi	AYF068	Licensed Representative	1, 4
ZHANG Xiaolei	BAW941	Licensed Representative	9
ZHANG Yanwen	BFY131	Licensed Representative	1, 2
ZHANG Yidong	BOS630	Licensed Representative	1, 4
ZHANG Yilei	BNL465	Licensed Representative	4, 6
ZHANG Yunhe	BPL857	Licensed Representative	1, 2
ZHAO Bingnan	BOF532	Licensed Representative	4
ZHAO Chun	BLR261	Licensed Representative	4
ZHENG Runze	BEJ782	Licensed Representative	1, 6
ZHENG Yang	BAA900	Licensed Representative	1, 4
ZHOU Bei	ASZ105	Licensed Representative	1, 4, 9
ZHOU Chanyan	ARM234	Licensed Representative	1, 9
ZHOU Jiacheng	BJV252	Licensed Representative	1, 6
ZHU Shengchu	AUI034	Licensed Representative	1, 4, 6



**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
BOH In Cher	BDO022	Licensed Representative - Responsible Officer	1
CHAN Chi Keung	ARJ968	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Chi Shing	AON830	Licensed Representative - Responsible Officer	1
CHAN Ka Leung	AEC408	Licensed Representative - Responsible Officer	1, 2, 4, 5
CHENG Chang Tze Tsu	AAD161	Licensed Representative - Responsible Officer	1
CHIANG Shek Lun, Allan	AAF306	Licensed Representative - Responsible Officer	1, 2, 3
CHIEN Chunwang	BNH267	Licensed Representative - Responsible Officer	2, 5
CHOW Sai Hung, Ernest	AOQ965	Licensed Representative - Responsible Officer	1, 4
EMMETT Anthony James	AVX215	Licensed Representative - Responsible Officer	1, 2
FANG Wenhui	BNN921	Licensed Representative - Responsible Officer	4, 9
FEI Peng	AWH287	Licensed Representative - Responsible Officer	4, 9
HO Yuk Yin, Connie	AMA089	Licensed Representative - Responsible Officer	1, 4
HONG Ming Kiu, May	AAV579	Licensed Representative - Responsible Officer	1
HOUSE Garret Claude	ARK372	Licensed Representative - Responsible Officer	1, 4
HU Han	AGN033	Licensed Representative - Responsible Officer	9
HUA Xinghong	BLV920	Licensed Representative - Responsible Officer	1
HUANG Chun Yi	AOV622	Licensed Representative - Responsible Officer	9
HUI Tsz Chun	ALP760	Licensed Representative - Responsible Officer	1, 2
HUNG Lo Fung	AAV596	Licensed Representative - Responsible Officer	1, 4
KIELY Lucas Alexander	BAD884	Licensed Representative - Responsible Officer	4, 9
KONG Jin Jean	AOU116	Licensed Representative - Responsible Officer	1, 4, 9
KWAN Wai Man	ACJ105	Licensed Representative - Responsible Officer	1, 2, 3, 4, 5
LAI Chengdi	BDL588	Licensed Representative - Responsible Officer	9
LAM King Wu	ANK149	Licensed Representative - Responsible Officer	1
LANDMAN Andrew Raymond	AYR800	Licensed Representative - Responsible Officer	1, 4, 9
LAU Yuen Hung	ADC124	Licensed Representative - Responsible Officer	1
LEVY Frederic David	ARJ654	Licensed Representative - Responsible Officer	9
LI Shiqiu	BEN197	Licensed Representative - Responsible Officer	4, 9
LI Siu Kei	AIR226	Licensed Representative - Responsible Officer	1, 4
LI Yi	BIO106	Licensed Representative - Responsible Officer	4, 9
LI Zhengrong	BEC138	Licensed Representative - Responsible Officer	6
LIANG Hong	AJD293	Licensed Representative - Responsible Officer	1, 4
LIANG Zheng Jie	AAH546	Licensed Representative - Responsible Officer	1, 4, 9
LIN Chen-Chien	BON690	Licensed Representative - Responsible Officer	4, 9
LING Colin Celan	ABU679	Licensed Representative - Responsible Officer	1, 2
LIU Yuan	ANM106	Licensed Representative - Responsible Officer	9
LO Sing	AEM141	Licensed Representative - Responsible Officer	4, 9
LO Wai Keung	ACO161	Licensed Representative - Responsible Officer	2
LU Lingfei	BNJ768	Licensed Representative - Responsible Officer	1, 4, 9
MAK Pui Hang Eric	APQ674	Licensed Representative - Responsible Officer	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
MAN Chung Ho	AFQ894	Licensed Representative - Responsible Officer	6
MAN Kam Chiu	AAX339	Licensed Representative - Responsible Officer	2
MARTIN Guillaume Claude Jean-Marie	ARK560	Licensed Representative - Responsible Officer	1, 2
NG Yuen Hing	AGA590	Licensed Representative - Responsible Officer	4, 9
OGYU Kenju	ADQ016	Licensed Representative - Responsible Officer	1, 4
ORCHARD Timothy John	AVJ690	Licensed Representative - Responsible Officer	4, 5, 9
POON Pak Ho, Raymond	ACO263	Licensed Representative - Responsible Officer	6
SHAO Huabin	AXM025	Licensed Representative - Responsible Officer	2
SONG Michael Y	BKT329	Licensed Representative - Responsible Officer	1
SUEN Wai Kin	BDP679	Licensed Representative - Responsible Officer	1
SULLIVAN Andrew Michael	AEV843	Licensed Representative - Responsible Officer	1, 4
TAKAMATSU Shigeyuki	ABI038	Licensed Representative - Responsible Officer	1, 4
TOKIKUNI Tsukasa	BCU035	Licensed Representative - Responsible Officer	1
TONG Ho Yin	AQH049	Licensed Representative - Responsible Officer	1, 2, 4, 9
TSANG Wing Yiu	AME242	Licensed Representative - Responsible Officer	1, 4
TSE Tim	AIX140	Licensed Representative - Responsible Officer	1, 4
WAN Chi Kwan	ARQ153	Licensed Representative - Responsible Officer	1, 4
WANG Chun-Way	BGX780	Licensed Representative - Responsible Officer	1, 4, 9
WARBANOFF Peter	BAT271	Licensed Representative - Responsible Officer	9
WARNES Peter James	AFG745	Licensed Representative - Responsible Officer	4, 9
WONG Suling	ACJ388	Licensed Representative - Responsible Officer	1
WONG Tse Fung	AQV681	Licensed Representative - Responsible Officer	1
YANG Dan	BFL252	Licensed Representative - Responsible Officer	9
YI Chuanghua	AYR034	Licensed Representative - Responsible Officer	1
YIH Susanna Suk Chi	BHF979	Licensed Representative - Responsible Officer	1, 2
YIP Ka Lam	AJA184	Licensed Representative - Responsible Officer	4, 9
YIU Ming Yee	ACS672	Licensed Representative - Responsible Officer	1, 4, 9
YU Xiaoyang	AFH183	Licensed Representative - Responsible Officer	6
ZHANG Xin, Eric	ARQ383	Licensed Representative - Responsible Officer	1, 6
ACKERMANN Thorsten Oliver	BQE746	Licensed Representative (Provisional Licence)	1
AIYAPPAN Ashwin	BLZ384	Licensed Representative (Provisional Licence)	1, 4, 9
ARAYA OLIVARES Rafaello Javier	BPW896	Licensed Representative (Provisional Licence)	1, 4, 9
AU YEUNG Yin Yi	BQJ706	Licensed Representative (Provisional Licence)	1, 6
BARTLESON III Edward Charles	BQD281	Licensed Representative (Provisional Licence)	1, 4
BEHARIE Sacha Alexander	BAH589	Licensed Representative (Provisional Licence)	1, 4
BENJAMIN Marc David	BQF774	Licensed Representative (Provisional Licence)	1, 2
BLANCHARD Scott Allen	ADT574	Licensed Representative (Provisional Licence)	1, 4
BROOKE Charles Stephen Anthony	BQE331	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Zhiwei	BQF064	Licensed Representative (Provisional Licence)	6
CARVALHO FURQUIM Lucas Henrique	BNJ260	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CAUZOT Maxime Olivier	BQC232	Licensed Representative (Provisional Licence)	1, 2
CHAK Kwai Ting John	BQH314	Licensed Representative (Provisional Licence)	1
CHAN Chak Man	BQG618	Licensed Representative (Provisional Licence)	4, 5, 9
CHAN Chi Ping	BQI566	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHAN Ka Chun Kenneth	BQG834	Licensed Representative (Provisional Licence)	1, 4
CHAN Kevin Felix	ARH113	Licensed Representative (Provisional Licence)	9
CHAN Ming Lun	BQG762	Licensed Representative (Provisional Licence)	1
CHAN Siu Fai, Victor	BQG619	Licensed Representative (Provisional Licence)	1, 4
CHAN Sybil Chiu Tsui	BQI781	Licensed Representative (Provisional Licence)	1, 4
CHAN Yee Hang Alice	BQG595	Licensed Representative (Provisional Licence)	1
CHAN Yi Man Naomi	BQG706	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Yik Chun	BQF137	Licensed Representative (Provisional Licence)	1, 2
CHANG Pui Chun Jenny	ARU007	Licensed Representative (Provisional Licence)	1, 2
CHAU Chung Yan	BPT380	Licensed Representative (Provisional Licence)	1, 2
CHAU Yiu Tak James	BQE790	Licensed Representative (Provisional Licence)	1, 2
CHEN Chen	BQG406	Licensed Representative (Provisional Licence)	1, 6
CHEN Eric Kay	ANT456	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Ge	AMO864	Licensed Representative (Provisional Licence)	6
CHEN Rui	BQG839	Licensed Representative (Provisional Licence)	9
CHEN Suihao	BQF125	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Weiyi	BQF123	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yu	BLW740	Licensed Representative (Provisional Licence)	4
CHEN Yucong	BQE111	Licensed Representative (Provisional Licence)	4
CHENET Thomas	BQF142	Licensed Representative (Provisional Licence)	1, 2, 7
CHENG Kai Him	BBP547	Licensed Representative (Provisional Licence)	1, 4
CHENG Meng	BQG623	Licensed Representative (Provisional Licence)	1, 2, 4
CHENG Yu Chieh	BQG737	Licensed Representative (Provisional Licence)	1, 2
CHENG Yuen Ting	BQF458	Licensed Representative (Provisional Licence)	1
CHEUNG Christopher Ka Tsun	AFY937	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Hoi Ki	BQF263	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Lai Yan Charles	AAV372	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Ming Ming	BHL200	Licensed Representative (Provisional Licence)	4, 5, 9
CHEUNG Tsz Wah, Christine	BQG302	Licensed Representative (Provisional Licence)	6
CHING Po Yin	BFS735	Licensed Representative (Provisional Licence)	1, 4
CHO Chun Yin Terence	BQG616	Licensed Representative (Provisional Licence)	1
CHOI Heung Kam, Iris	AXR109	Licensed Representative (Provisional Licence)	1, 4
CHOI Hyung-Jin	BQG332	Licensed Representative (Provisional Licence)	1, 4, 5
CHOI Ning Yi	BQG617	Licensed Representative (Provisional Licence)	1, 4
CHOI Sijin	BIQ117	Licensed Representative (Provisional Licence)	4, 9
CHOI Wing Chung	BQI320	Licensed Representative (Provisional Licence)	1, 4, 9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHOU Michael	BBW923	Licensed Representative (Provisional Licence)	1, 4, 9
CHOW Oi Ki	BQE977	Licensed Representative (Provisional Licence)	1
CHU Gavin Shun-Chiu	APQ060	Licensed Representative (Provisional Licence)	1, 4
CHU Kin Fun, John	AEE801	Licensed Representative (Provisional Licence)	1, 4
CHUA Han Shen	BQF018	Licensed Representative (Provisional Licence)	4, 9
DALTON Kurt Evan	AWK466	Licensed Representative (Provisional Licence)	1
DEL VILLAR DURA Yago Guillermo	BQG025	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Xiang	BQG224	Licensed Representative (Provisional Licence)	1, 4, 6
DING Xipu	BQD388	Licensed Representative (Provisional Licence)	1, 4
DONG Hang	BQE569	Licensed Representative (Provisional Licence)	1, 4, 6
DONG Xiaonan	BQC216	Licensed Representative (Provisional Licence)	1, 6
DONG Xiaoyue	BQF448	Licensed Representative (Provisional Licence)	4, 5, 9
DU Yun	BQG409	Licensed Representative (Provisional Licence)	4
DUNDA Stanley Abdoel Rasid	AEE724	Licensed Representative (Provisional Licence)	1
FANG Wenyun	BPJ883	Licensed Representative (Provisional Licence)	1, 6
FERGUSON Mark David Corson	BCY149	Licensed Representative (Provisional Licence)	1, 6
FOK Kam Kong	BJD167	Licensed Representative (Provisional Licence)	1, 2
FUNG Ka Po	BIN307	Licensed Representative (Provisional Licence)	1
FUNG Wing Yan	BQH319	Licensed Representative (Provisional Licence)	6
GAIO Alexandre Gilbert Gerard	BQC467	Licensed Representative (Provisional Licence)	1, 2
GAO Jing	BQF078	Licensed Representative (Provisional Licence)	1, 6
GILLET Emmanuel Jean Joseph	BQG644	Licensed Representative (Provisional Licence)	1, 4
GLEESON James Anthony	AHT088	Licensed Representative (Provisional Licence)	1, 2
GONG Xiaoyuan	BQF299	Licensed Representative (Provisional Licence)	1, 6
GRIDNEVA Elizaveta	BQF598	Licensed Representative (Provisional Licence)	1, 2
GUI Xiaofang	BQF073	Licensed Representative (Provisional Licence)	6
GUO Chao	BQF967	Licensed Representative (Provisional Licence)	1
GUO Li	BKB774	Licensed Representative (Provisional Licence)	1, 2
GUO Peijie	BQH234	Licensed Representative (Provisional Licence)	4, 9
GUO Qianyin	BOR360	Licensed Representative (Provisional Licence)	1, 4, 9
GUPTA Vikash	BPY677	Licensed Representative (Provisional Licence)	9
HAN Raymond Rui	BQF226	Licensed Representative (Provisional Licence)	1, 4, 6
HAN Tianyi	BQH352	Licensed Representative (Provisional Licence)	1, 4, 9
HAZARI Hamzah Aziz	BPJ387	Licensed Representative (Provisional Licence)	1, 4, 6
HE Hanjing	BQE836	Licensed Representative (Provisional Licence)	1, 4
HE Rui	BQF487	Licensed Representative (Provisional Licence)	1, 4, 6
HE Yingqing	BQF095	Licensed Representative (Provisional Licence)	6
HENDERSON Paul Ifedayo	BQG734	Licensed Representative (Provisional Licence)	1, 2, 6
HIBBERD Sydney Xu Xi	BQD352	Licensed Representative (Provisional Licence)	1, 4
HO Ka Hang	ANH116	Licensed Representative (Provisional Licence)	1, 4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Wai Hon	BQF616	Licensed Representative (Provisional Licence)	1
HOLLAND Mark Thomas	ALW180	Licensed Representative (Provisional Licence)	1
HONG Huiyao	BQC411	Licensed Representative (Provisional Licence)	1, 6
HOSOYA Takafumi	BQG387	Licensed Representative (Provisional Licence)	9
HU Mengnan	BQF156	Licensed Representative (Provisional Licence)	1, 2
HUANG Chung En	AWW100	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Xian Jia	AQH654	Licensed Representative (Provisional Licence)	4, 9
HUANG Yao-shin	BQF229	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Yuxin	BQC410	Licensed Representative (Provisional Licence)	1, 6
HUI Suet Wah	BQF758	Licensed Representative (Provisional Licence)	1, 2
HUI Tin Kay	AFS483	Licensed Representative (Provisional Licence)	1, 4, 9
JIAN Yufei	BQF415	Licensed Representative (Provisional Licence)	1, 6
JIANG Renzhe	BQG290	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Wanning	BMH757	Licensed Representative (Provisional Licence)	9
JIANG Xuefeng	BNN572	Licensed Representative (Provisional Licence)	4
JIANG Yongshan	BQG265	Licensed Representative (Provisional Licence)	1, 4
JIANG Yulan	BPY858	Licensed Representative (Provisional Licence)	1, 6
JIANG Zhuo	BQG880	Licensed Representative (Provisional Licence)	1, 4, 9
JONES Edward Harry James	AVV550	Licensed Representative (Provisional Licence)	1
KANKIPATI Ravi Thimma Raju	BEV954	Licensed Representative (Provisional Licence)	1, 4
KATO Akira	BPY006	Licensed Representative (Provisional Licence)	1
KEI Shing Cho	BQE540	Licensed Representative (Provisional Licence)	1, 4, 6
KENT William Angus	ABA456	Licensed Representative (Provisional Licence)	1
KIM Insik	BQG738	Licensed Representative (Provisional Licence)	1
KINOSHITA Taro	BQD545	Licensed Representative (Provisional Licence)	1
KO Tsun Hei	BQG422	Licensed Representative (Provisional Licence)	1, 2
KONG Yau Sen	BQG260	Licensed Representative (Provisional Licence)	1, 2
KUNG Chen Hua	BQG291	Licensed Representative (Provisional Licence)	9
KWAK Dae Young	BQI742	Licensed Representative (Provisional Licence)	9
KWAN Kai Ho	BQI174	Licensed Representative (Provisional Licence)	1
KWONG Wang Pok	AAD943	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Chi Ngai	BQI386	Licensed Representative (Provisional Licence)	1, 2
LAI Ching Chung	BQM679	Licensed Representative (Provisional Licence)	4, 9
LAI King Kai	AHC350	Licensed Representative (Provisional Licence)	1, 4
LAI Wai Hin	BQG622	Licensed Representative (Provisional Licence)	1
LAI Yuen Chun	BQF957	Licensed Representative (Provisional Licence)	1, 2
LAM Chi Ming	AZJ283	Licensed Representative (Provisional Licence)	1, 4
LAM Jarvis Chun Hang	BQG739	Licensed Representative (Provisional Licence)	4
LAM Ka Yiu	BQG360	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Kwan Wai	BQG523	Licensed Representative (Provisional Licence)	1, 6

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Sze Ki, Eudora	BQE427	Licensed Representative (Provisional Licence)	1, 4
LAM Tung Lui, Nana	All654	Licensed Representative (Provisional Licence)	4, 9
LAM Yuk Hang	BQH374	Licensed Representative (Provisional Licence)	1, 2
LAN Shan	BQE747	Licensed Representative (Provisional Licence)	4
LAU Kin Bong, Joe	AFO311	Licensed Representative (Provisional Licence)	4, 9
LAU Shuk Man	BQG507	Licensed Representative (Provisional Licence)	1
LAU Wai Ho	BLJ840	Licensed Representative (Provisional Licence)	1, 6
LAU Wai Lun	BQD589	Licensed Representative (Provisional Licence)	1
LEE Brian Henry	BQC264	Licensed Representative (Provisional Licence)	1
LEE Janice Yuan Rong	AWH075	Licensed Representative (Provisional Licence)	4, 9
LEE Shen Yi Ada	BBG810	Licensed Representative (Provisional Licence)	9
LEE Trevor Cheuk Him	BQF161	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Yat Hin	BPR454	Licensed Representative (Provisional Licence)	1
LEI Xuan	BPN630	Licensed Representative (Provisional Licence)	1, 4, 9
LEONG Yui Ern	BQE968	Licensed Representative (Provisional Licence)	1, 4
LEUNG Man Hei	BQI135	Licensed Representative (Provisional Licence)	1, 2
LEUNG Tak Wing	BQF271	Licensed Representative (Provisional Licence)	1, 6
LEW Lok Sun	BQK849	Licensed Representative (Provisional Licence)	1, 2
LI Hemin	BQG067	Licensed Representative (Provisional Licence)	1, 4
LI Hongchao	BQF103	Licensed Representative (Provisional Licence)	6
LI Jiasen	BQG128	Licensed Representative (Provisional Licence)	1, 6
LI Li	BIR520	Licensed Representative (Provisional Licence)	1, 4, 6
LI Lingfang	BQE751	Licensed Representative (Provisional Licence)	1
LI Mengning	BQE970	Licensed Representative (Provisional Licence)	6
LI Tao	BQF980	Licensed Representative (Provisional Licence)	1, 4, 6
LI Tsz Kiu Cherry	BQB524	Licensed Representative (Provisional Licence)	1, 4
LI Xinwei	BQJ722	Licensed Representative (Provisional Licence)	1, 2
LI Yani	BQF223	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yanping	BQI173	Licensed Representative (Provisional Licence)	1, 2
LI Yinkang	BQE960	Licensed Representative (Provisional Licence)	1, 6
LI Yuk Ting Claudia	BQG247	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LI Zhongnan	BQF456	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhou	BQG370	Licensed Representative (Provisional Licence)	4
LI Zongwei	BPX927	Licensed Representative (Provisional Licence)	1, 6
LIANG Junyao	BQI440	Licensed Representative (Provisional Licence)	1, 4
LIANG Rebecca Yue	BQI176	Licensed Representative (Provisional Licence)	1, 4
LIANG Xiaotong	BQE804	Licensed Representative (Provisional Licence)	9
LIANG Yu	BQF629	Licensed Representative (Provisional Licence)	1, 4
LIAO Jieke	BQG620	Licensed Representative (Provisional Licence)	1, 4
LIN Kana	BDO347	Licensed Representative (Provisional Licence)	4, 5, 9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Susan Shan-ju	AOG287	Licensed Representative (Provisional Licence)	1
LING Wai Kwun	BQE969	Licensed Representative (Provisional Licence)	1
LIO Ka Wai	BQB863	Licensed Representative (Provisional Licence)	1, 4, 9
LIU CHAN	BQE822	Licensed Representative (Provisional Licence)	1, 4
LIU Ka Nam	BQF276	Licensed Representative (Provisional Licence)	9
LIU Kui	BQG668	Licensed Representative (Provisional Licence)	1
LIU Ting	BOL066	Licensed Representative (Provisional Licence)	1, 4
LIU Xing Ling	AKJ153	Licensed Representative (Provisional Licence)	1
LIU YANG	BPU782	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zi Yue	BPY505	Licensed Representative (Provisional Licence)	1, 6
LO Yu Hin, Alvin	BHD848	Licensed Representative (Provisional Licence)	1, 4
LOO Brandon Dominic	BQE538	Licensed Representative (Provisional Licence)	1, 4, 6
LU Kebin	BQH117	Licensed Representative (Provisional Licence)	1, 2
LU Yufeng	BGF969	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Leslie	BQG251	Licensed Representative (Provisional Licence)	1, 2
LUI Siu Fu	BQG665	Licensed Representative (Provisional Licence)	1, 4
LUO Jie	BQC686	Licensed Representative (Provisional Licence)	1, 4
MA Hiu Kwan	BQE393	Licensed Representative (Provisional Licence)	1
MA Qi	BQG684	Licensed Representative (Provisional Licence)	1
MANN Gregory James	ARR531	Licensed Representative (Provisional Licence)	1
MAO Yue	BQF077	Licensed Representative (Provisional Licence)	1, 6
MATTOLI Enrico Andrea	ASD757	Licensed Representative (Provisional Licence)	6
MC DERMOTT Toni Frances	BPR462	Licensed Representative (Provisional Licence)	1, 6
MEI Xin	BQE385	Licensed Representative (Provisional Licence)	4
MENG Fanzhong	BQG081	Licensed Representative (Provisional Licence)	1, 6
METHAJARUNON Kasidit	BEZ233	Licensed Representative (Provisional Licence)	9
MIU Ki Wai	ARW795	Licensed Representative (Provisional Licence)	4, 9
MOK Hoi Ming, Herman	BQG131	Licensed Representative (Provisional Licence)	1, 4
NG Cheuk Yiu	BQF002	Licensed Representative (Provisional Licence)	1, 2
NG Chi Hung	BOJ714	Licensed Representative (Provisional Licence)	1, 4
NG Chung Yuen	BQG615	Licensed Representative (Provisional Licence)	1
NG Tsz Kin	AZD014	Licensed Representative (Provisional Licence)	4, 5, 9
NG Wai Lam William	BQG263	Licensed Representative (Provisional Licence)	1, 4
NI Zhangting	BQF087	Licensed Representative (Provisional Licence)	1, 4, 6
NIE Shuyue	BQE181	Licensed Representative (Provisional Licence)	1, 4
NIE ZHAOYI	BQH122	Licensed Representative (Provisional Licence)	4
NIU Zhuolin	BQG669	Licensed Representative (Provisional Licence)	4
OR Felix	ADH585	Licensed Representative (Provisional Licence)	1, 2, 7
OUYANG Hongyi	BQG420	Licensed Representative (Provisional Licence)	1, 6
PAI Vivek Mangalore	BQG964	Licensed Representative (Provisional Licence)	9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
PAN Baodong	BQI506	Licensed Representative (Provisional Licence)	1, 2
PANG Pui Yue	BOA514	Licensed Representative (Provisional Licence)	6
PANG Xinyi	BLQ513	Licensed Representative (Provisional Licence)	4
PANUPORNPRAPONG Vipaporn Margaret	AVG599	Licensed Representative (Provisional Licence)	1
PARK Sang Joon	BQG563	Licensed Representative (Provisional Licence)	1, 4, 9
PARK Yoonjin	BQG961	Licensed Representative (Provisional Licence)	1, 4
PEEL Edward James Willoughby	AYS709	Licensed Representative (Provisional Licence)	1, 4
PENG Sihao	BQI033	Licensed Representative (Provisional Licence)	6
PERETTI Andrew	BQF292	Licensed Representative (Provisional Licence)	1, 2, 9
POON Cheuk Nam, Miranda	AFD430	Licensed Representative (Provisional Licence)	1, 4
PU Ruihang	BQE570	Licensed Representative (Provisional Licence)	1, 4, 6
QI Fei	BQG898	Licensed Representative (Provisional Licence)	4, 5, 9
QIAN Fangzheng	BQJ978	Licensed Representative (Provisional Licence)	1, 2
QIU Zhongtian	BQE723	Licensed Representative (Provisional Licence)	1, 2
QU Yang	BQG083	Licensed Representative (Provisional Licence)	1, 6
RAI NEEL KALRA	BQE377	Licensed Representative (Provisional Licence)	9
RAKE Brendan Thomas	AJD854	Licensed Representative (Provisional Licence)	1
RAMCHANDANI Krisch Rajesh	BQG313	Licensed Representative (Provisional Licence)	4
RELO Xavier	BQC398	Licensed Representative (Provisional Licence)	1, 2
ROBERTS Alistair Charles Alton	AVU825	Licensed Representative (Provisional Licence)	1, 4
RONG Xiaolong	BQF454	Licensed Representative (Provisional Licence)	1, 4, 6
SANG Zijing	BIX210	Licensed Representative (Provisional Licence)	4, 5, 9
SANNE Herman Michael	BQG532	Licensed Representative (Provisional Licence)	1
SHANG Yong	BKB392	Licensed Representative (Provisional Licence)	4, 5, 9
SHAO Jin	BQH142	Licensed Representative (Provisional Licence)	4, 9
SHAO Ye	BQC703	Licensed Representative (Provisional Licence)	1, 6
SHAO Yunxiao	BQF158	Licensed Representative (Provisional Licence)	1, 2
SHEFFIELD Samuel Thomas	BQE109	Licensed Representative (Provisional Licence)	1
SHI Guoping	BQC070	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Xinyu	BQF455	Licensed Representative (Provisional Licence)	1, 4, 6
SHISHKOV Svetoslav Dimitrov	BQH327	Licensed Representative (Provisional Licence)	1
SHIU Yau Wah	AFP821	Licensed Representative (Provisional Licence)	1, 2, 4
SIEW Huiheng	BPW870	Licensed Representative (Provisional Licence)	1, 2
SINGH Amit Kishan	BPX348	Licensed Representative (Provisional Licence)	1, 4
SIRVINT Michael David	BEO574	Licensed Representative (Provisional Licence)	1
SOLOVEV Aleksei	BQF356	Licensed Representative (Provisional Licence)	1, 2, 7
SONG Weishi	BQG075	Licensed Representative (Provisional Licence)	1, 4
SONG Wenqian	BQG888	Licensed Representative (Provisional Licence)	1, 4, 6
STEMPIEN Jan Pawel	BQI010	Licensed Representative (Provisional Licence)	4
SU Pan	BDE650	Licensed Representative (Provisional Licence)	1, 6



**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUEN Lok Ho, Howard	BQG764	Licensed Representative (Provisional Licence)	1, 4, 9
SUH Jungwon	BAV645	Licensed Representative (Provisional Licence)	9
SUN Runke	BQI103	Licensed Representative (Provisional Licence)	1
SUN Yi	BCC489	Licensed Representative (Provisional Licence)	1, 4
SUN Yilin	BQG070	Licensed Representative (Provisional Licence)	1, 6
TAI Wai See, Germaine	AMC821	Licensed Representative (Provisional Licence)	4
TAM Weng U Deolinda	BQI564	Licensed Representative (Provisional Licence)	1, 2, 3
TAN Yat Quan	AMW935	Licensed Representative (Provisional Licence)	1, 4
TANG Junyi	BQG754	Licensed Representative (Provisional Licence)	1, 6
TANG Pui Yip	BQG134	Licensed Representative (Provisional Licence)	1, 4
TANG Yiu Man, Ernest	ANP309	Licensed Representative (Provisional Licence)	9
TAO Xinyi	BQD326	Licensed Representative (Provisional Licence)	1, 4
TAYJASANANT Thaniya	ARA485	Licensed Representative (Provisional Licence)	1
TIAN Zhijun	BPS498	Licensed Representative (Provisional Licence)	1, 2
TIN Wai Sun	BQG627	Licensed Representative (Provisional Licence)	1, 2, 4
TING Sai Man	ADZ993	Licensed Representative (Provisional Licence)	1, 2, 4
TO Hon San	BQG126	Licensed Representative (Provisional Licence)	1, 4
TONG Yuhao	BQG752	Licensed Representative (Provisional Licence)	1, 6
TSAI Yueh-Lin	BQG606	Licensed Representative (Provisional Licence)	1
TSANG Pak Hei, Steven	BQG551	Licensed Representative (Provisional Licence)	1, 4
TSE Wing Yan	BPK866	Licensed Representative (Provisional Licence)	1, 2
TSENG Man-ting	ARR378	Licensed Representative (Provisional Licence)	4, 9
VENKATRAMAN Shailesh	AMY984	Licensed Representative (Provisional Licence)	1, 6
WADE John Howard	BEG040	Licensed Representative (Provisional Licence)	1, 4
WAGNER John Duncan	ACJ744	Licensed Representative (Provisional Licence)	4
WALLACE Mark Stephen	ANF262	Licensed Representative (Provisional Licence)	1, 4
WANG CHEN	BQF303	Licensed Representative (Provisional Licence)	1, 6
WANG Congyi	BQG349	Licensed Representative (Provisional Licence)	1, 6
WANG Daoheng	BEV972	Licensed Representative (Provisional Licence)	1, 2
WANG Junyu	BQD350	Licensed Representative (Provisional Licence)	1, 4
WANG Peizhou	BQE921	Licensed Representative (Provisional Licence)	4, 9
WANG Qian	BPH416	Licensed Representative (Provisional Licence)	1
WANG QING	BQF740	Licensed Representative (Provisional Licence)	4
WANG Tianyi	BNF960	Licensed Representative (Provisional Licence)	4
WANG Wanzhou	BQD367	Licensed Representative (Provisional Licence)	1, 4
WANG Xilin	BQC374	Licensed Representative (Provisional Licence)	1, 4
WANG Yingkai	BQE105	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yuhui	BQE249	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zhaokai	BQH094	Licensed Representative (Provisional Licence)	1, 4, 9
WEI Binjie	BQI436	Licensed Representative (Provisional Licence)	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WEI Jia	BCE780	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Xiaodong	BQD185	Licensed Representative (Provisional Licence)	1
WEI Ziting	BQF743	Licensed Representative (Provisional Licence)	1, 4, 6
WIDJAJA Sophia	ASO710	Licensed Representative (Provisional Licence)	1, 2
WIEMER Charles Daniel	ANQ742	Licensed Representative (Provisional Licence)	1, 4
WONG Kwong Lai	BQE274	Licensed Representative (Provisional Licence)	1, 4
WONG Lai Fun	BQG755	Licensed Representative (Provisional Licence)	1, 4
WONG Lai Shan	BQG366	Licensed Representative (Provisional Licence)	4, 9
WONG Lok Huai, Francis	BQE246	Licensed Representative (Provisional Licence)	4, 6
WONG Man Ching, Stephanie	BQI569	Licensed Representative (Provisional Licence)	1, 2, 3
WONG Man Yee Paula	AQE956	Licensed Representative (Provisional Licence)	4, 9
WONG Ming Tsun	BQF117	Licensed Representative (Provisional Licence)	1, 4
WONG Shuk Yan	BQE113	Licensed Representative (Provisional Licence)	1, 2
WONG Tsz Lun, Harrison	BQG271	Licensed Representative (Provisional Licence)	1
WONG Wai Ki Angela	BPY622	Licensed Representative (Provisional Licence)	1, 4, 9
WU Caojian	BQF790	Licensed Representative (Provisional Licence)	1, 4, 6
WU Kwok Yan, Eric	AVL147	Licensed Representative (Provisional Licence)	1, 4
WU LINGYU	BQE828	Licensed Representative (Provisional Licence)	1, 4
WU Qikun	BQI397	Licensed Representative (Provisional Licence)	4
WU Ruofan	BQB036	Licensed Representative (Provisional Licence)	1, 2
WU Shang	BPY402	Licensed Representative (Provisional Licence)	1, 4
WU Yao	BQE051	Licensed Representative (Provisional Licence)	1, 2, 7
WU Yifeng	BQC438	Licensed Representative (Provisional Licence)	9
WU Ying	BQE869	Licensed Representative (Provisional Licence)	1
XIAO Weiyi	BQF480	Licensed Representative (Provisional Licence)	1, 2
XIAO Xiao	BQE919	Licensed Representative (Provisional Licence)	1, 6
XIE Ke	BQG670	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Songruo	BQE350	Licensed Representative (Provisional Licence)	1, 6
XIE Yunjia	BQH346	Licensed Representative (Provisional Licence)	1
XIN Peng	BMB690	Licensed Representative (Provisional Licence)	1, 2
XIONG Menghan	BQC706	Licensed Representative (Provisional Licence)	1, 6
XU MENGROU	BQF164	Licensed Representative (Provisional Licence)	1, 4
XU Xueqing	BQI515	Licensed Representative (Provisional Licence)	1, 4
XU Yachuan	BGI316	Licensed Representative (Provisional Licence)	1, 4
XUE Bai	BPI655	Licensed Representative (Provisional Licence)	1, 4
XUE Ying	BQG621	Licensed Representative (Provisional Licence)	1, 4
YAM Margaret	BQF593	Licensed Representative (Provisional Licence)	1, 6
YAMASHITA Ryosuke	BQE468	Licensed Representative (Provisional Licence)	1, 4, 6
YAN Yiran	BQG293	Licensed Representative (Provisional Licence)	1, 6
YAN Yuguang	BET078	Licensed Representative (Provisional Licence)	4, 9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Fangzheng	BQE740	Licensed Representative (Provisional Licence)	1
YANG Mujun	BQH170	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Tianwei	BQG295	Licensed Representative (Provisional Licence)	4
YANG Xinyi	BQG763	Licensed Representative (Provisional Licence)	4
YANG Yusang	BQJ740	Licensed Representative (Provisional Licence)	1, 6
YANG Zhou	BQF153	Licensed Representative (Provisional Licence)	1, 2
YAO Su Lan	BQK858	Licensed Representative (Provisional Licence)	1, 4, 9
YE Xiao	BQF882	Licensed Representative (Provisional Licence)	4
YEE Kei Yin	BQG521	Licensed Representative (Provisional Licence)	4
YEUNG Ka Fung	BQC183	Licensed Representative (Provisional Licence)	1
YEUNG Pan Pan	BQG647	Licensed Representative (Provisional Licence)	1
YIN Congzhe	BQH097	Licensed Representative (Provisional Licence)	1, 4, 9
YIU Hoi Yip	BNL488	Licensed Representative (Provisional Licence)	4, 5, 9
YU Fiona Fei Yeung	BQD363	Licensed Representative (Provisional Licence)	1, 4
YU Hua	BQE172	Licensed Representative (Provisional Licence)	1, 2, 7
YU Ken Ling, Kelvin	BQG786	Licensed Representative (Provisional Licence)	1, 4, 9
YUEN Shuk Kam, Nicole	ACH708	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Guangyao	BQB403	Licensed Representative (Provisional Licence)	4
ZHANG Han	BPX392	Licensed Representative (Provisional Licence)	9
ZHANG Huachen	BGU214	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Jinqin	BQB103	Licensed Representative (Provisional Licence)	1, 4
ZHANG Junwei	BQG823	Licensed Representative (Provisional Licence)	1, 4
ZHANG Shuyan	BQG803	Licensed Representative (Provisional Licence)	1
ZHANG Wei	BQE370	Licensed Representative (Provisional Licence)	1
ZHANG Wenting	BQA906	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaoxuan	BQG262	Licensed Representative (Provisional Licence)	4
ZHANG Xiaoyu	BPV041	Licensed Representative (Provisional Licence)	6
ZHANG Yanchuan	BQF287	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yang	BQG368	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yawen	BQF728	Licensed Representative (Provisional Licence)	4
ZHANG Yuxuan	BQG626	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Yuzheng	BQC198	Licensed Representative (Provisional Licence)	1
ZHANG Zheming	BQF082	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ziwei	BQG817	Licensed Representative (Provisional Licence)	1, 2
ZHAO CHEN	BQH899	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Yang	BHR796	Licensed Representative (Provisional Licence)	4, 5, 9
ZHAO Yumeng	BQE976	Licensed Representative (Provisional Licence)	1
ZHENG Jiwei	BQG649	Licensed Representative (Provisional Licence)	6
ZHENG Junbo	BQF272	Licensed Representative (Provisional Licence)	1
ZHENG Yanran	BQH928	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
ZHENG Yu	BQI535	Licensed Representative (Provisional Licence)	1, 4, 9
ZHI Sheng	BQF020	Licensed Representative (Provisional Licence)	1
ZHONG Xian	BQF019	Licensed Representative (Provisional Licence)	4
ZHOU Hanyi	BQE962	Licensed Representative (Provisional Licence)	1, 4
ZHOU Jialiang	BQG463	Licensed Representative (Provisional Licence)	1, 2
ZHOU Qian	BQH378	Licensed Representative (Provisional Licence)	1, 4
ZHOU Xinwen	BCB923	Licensed Representative (Provisional Licence)	1
ZHOU Yun Yun	BQI479	Licensed Representative (Provisional Licence)	6
ZHU Xuhua	AUK284	Licensed Representative (Provisional Licence)	1, 4
ZHU Zhaoming	BQI451	Licensed Representative (Provisional Licence)	4
ZHUANG Dan	BHH668	Licensed Representative (Provisional Licence)	4
ZHUO Yue	BQF288	Licensed Representative (Provisional Licence)	1, 2, 7
ZOU Jiayi	BQD112	Licensed Representative (Provisional Licence)	1, 4, 9
AKHAVI Reza	BPX741	Licensed Representative (Temporary Licence)	6
DUAN Conghui	BQF177	Licensed Representative (Temporary Licence)	6
EARL Alex Joseph	BPX745	Licensed Representative (Temporary Licence)	6
GLENN Rachel Anna	BPX743	Licensed Representative (Temporary Licence)	6
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
SAN JUAN Jonathan Banez	BPX747	Licensed Representative (Temporary Licence)	6
SONG Shaoling	BQD390	Licensed Representative (Temporary Licence)	4
SUZUKI Seiichiro	AUK287	Licensed Representative (Temporary Licence)	4
THAPAR Vinay	BNR482	Licensed Representative (Temporary Licence)	4
ZHAO Yu	BPX746	Licensed Representative (Temporary Licence)	6