## Register of Investment Business Firms authorised under Section 10 of the Investment Intermediaries Act, 1995 (as amended)

The Register of Investment Business Firms is maintained by the Central Bank of Ireland pursuant to Section 17(1) of the Investment Intermediaries Act 1995, as amended (the "Act") and is a register of Investment Business Firms authorised under Section 10 of the Act. Included in the Register of Investment Business Firms is the Register of Tied Agents maintained pursuant to Section 25(H)(1) of the Investment Intermediaries Act 1995 (as

amended)

Ref No.	Name & Address	Institution	Authorisation	<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C70109	A Plus Financial Services Limited A Plus Financial Services Ltd Sutton Dublin 13 D13T8K7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C87222	A.G.S. Financial Services Limited Block B The Crescent Building Northwood Santry Dublin 9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C50814	AAA C.K. Life Pensions and Mortgages Limited t/a CK Financial Solutions No. 1 St Johns Mullingar Co Westmeath Ireland	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C64292	Aaron McCann t/a AEM Financial Planning 114 The Capel Building Mary's Abbey Dublin 7 D07YV70	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5312	ABBEY-MURPHY CARLOW LTD. 48 Tullow Street Tullow Carlow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C195691	ABEGLM Limited EBS Main Street Castlebar Mayo	Investment Intermediary	29 Jul 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker		
C2090	Abingdon Insurances Ltd Mangan Upper Ballygarrett Gorey Co Wexford Y25 H516	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C34425	ABM Financial Advisers Limited 30 Princes Street Cork T12V30C	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29670	Absolute Mortgages Limited 78 Prospect Hill Galway H91WR6P	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C85404	Accumulus Limited t/a Accumulus Financial Planners Pembroke Hall Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C34506	Accuro Retirement Funding Limited Brook House Unit C4 Donnybrook Commercial Centre Douglas Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C54573	Active Pension Investment Limited t/a API Lorien Dunboyne Co Meath A86DR77	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C1310	Acumen & Trust Designated Activity Company 4A Sandyford Business Centre Sandyford Dublin D18K856	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2161	Adelphi Financial Brokers Limited t/a Adelphi Financial Brokers 8 Adelphi Quay Waterford X91VE86	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1867	Advance Insurance Brokers Limited 3300 Lake Drive Dublin 24 D24TD21	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C52846	Advanced Financial Planning Limited t/a Donnellan & Co Office Suite 3 Loughrea Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C43593	Advent Financial Services Limited t/a Advent Financial Planning 87 Heathfield Kinnegad Westmeath N91VH74	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C29638	Aidan Moore t/a Aidan Moore Financial Brokers 60 Gleann na Ri Dublin D18KONI	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4147	AIDAN MURPHY INSURANCES LIMITED Unit 51 Penrose Quay Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker		

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C72031	Ailesbury Capital Limited t/a ITSYOURFUTURE, Ailesbury Capital Fitzwilliam Hall Fitzwilliam Place Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C119178	AJ Short & Associates Limited 131 Rathgar Rd Rathgar Dublin D06C3F6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C96466	AJP Consultancy Limited t/a AJP Consultancy Services, Greene Finance, Greene Finance and Property, YOMO Thomas Street Dundalk Louth A91YN34	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C652	Alan Duggan Finance Limited 31 Patrick Street Kilkenny City Kilkenny	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C96695	Alan Sweeney Financial Services Limited Bailis Athlumney Navan Meath C15Y60W	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1404	Alan Tierney & Partners Limited 2a Parkview House Clonskeagh Dublin 4 D04X7V2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	Authorised Activities	
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C180657	Alchemi Financial Services Limited 17 Upper Baggot Street Dublin 4 D04V4P5	Investment Intermediary	29 Nov 2018	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C46802	Alchemy Financial Partners Limited t/a Alchemy Financial, Finance Adviser The View Malahide Marina Village Malahide Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C164419	All Financials G F Limited t/a All Financials, getamortgage, getapension, getlifecover 63 St Assam's Park Raheny Dublin 5	Investment Intermediary	30 Jun 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C6833	Allen Retirement & Finance Limited 8 Sandford Road Ranelagh D06R2H4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C111779	Alpha Wealth Limited t/a Alpha Health 9 Eastgate Avenue Little Island Cork T45YN92	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C434027	Alter Domus Depositary Services (Ireland) Limited 4600 Airport Road Cork Airport Business Park Cork	Section 10 Investment Firm DAOFI	16 Jun 2021	No	(h) Custodial Operations	<ul> <li>(a) Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(I) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(j) Certificates</li> <li>(ji) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(l) Hybrid Instruments</li> </ul>	
C152481	Alter Domus Fund Services (Ireland) Limited 1st Floor 118 Lower Baggot Street Dublin 2	Section 10 Investment Firm N/A	7 Oct 2016	No	(g) Collective Investment Schemes	(c) Collective Investments	
C152255	Ambrose Financial Services Limited t/a JA Financial Merchamp House Clontarf Dublin D03E0X3	Investment Intermediary	6 Sep 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C71705	Amicis Financial Planning Limited 17 South Bank Crosses Green Cork City Cork T12YX24	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C134224	Anchor Life and Pensions Limited t/a Anchor Life, Anchor Life Financial Services 4 Skillings House Howth Road Dublin D05R2N2	Investment Intermediary	11 Feb 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		n Client Money	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C46580	Andrew Johnston Wealth Management Limited 60 Pebble Bay Co Wicklow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C49542	Annemarie McGeehan Limited t/a Rite Financial Solutions Rite Financial Solutions Ballybofey Donegal F93KF54	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C57910	Anthony Cashman t/a Coolbawn Financial Services 10 Broderick Street Midleton Cork P25WA00	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C39770	Anthony Jones t/a AMJ Financial Unit 2A, Block 2 Kerlogue Business Park Drinagh Wexford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1298	Aon Ireland Limited t/a Aon Affinity, Aon, Unity Insurances, Aon Claims Management, Aon Risk Solutions, Bar of Ireland Financial Services Metropolitan Building Dublin 1	Investment Intermediary	24 Feb 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C46570	Apex Fund Services (Ireland) Limited 2nd Floor, Block 5 Irish Life Centre Dublin 1 Ireland	Section 10 Investment Firm N/A	30 Jul 2007	No	(g) Collective Investment Schemes	(c) Collective Investments	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C181714	Apollo Financial Services Limited Unit F Strand House Ratoath Meath A85WC56	Investment Intermediary	5 Feb 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C194892	Arc Financial Planning Limited t/a Arc Financial Planning, Financial Life 51 Bracken Rd Dublinn 1	Investment Intermediary	8 May 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C145719	Ardbrack Financial Limited 5 Guardwell Kinsale Cork P17P778	Investment Intermediary	5 Apr 2016	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C153071	Arena Financial Services Limited Suite 108 Dublin Airport Business Park Cloghran Dublin	Investment Intermediary	22 Dec 2016	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C430228	Argeau Advisory Limited 20 Hatch Street Lower Dublin	Investment Intermediary	7 Jan 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C183982	Argyle Financial Services DAC 6 Argyle Square Dublin 4 D04W2P9	Investment Intermediary	27 Mar 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(m) Insurance Policies</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C47638	Aria Capital Limited 66 Fitzwilliam Square North Dublin D02AT27	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C37767	Ark Finance Limited t/a Ark Finance 12 Lower Hatch Street Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C54698	Art of Wealth Limited Dunlair House Old Athlumney Navan Meath C15YC91	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C39049	Ashmore Mortgage & Financial Services Limited t/a Ashmore Financial Services 1st Floor 10 Templeshannon Quay Enniscorthy Co Wexford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C29812	Assured Financial Solutions Limited t/a Assured Financial Solutions 2nd Floor Main Street Ballincollig Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

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		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C4727	Aston Lark Europe Limited t/a CRS Yachts, Sanctum Health, Sanctum Superyacht Insurance, Protean Risk, Haven Knox- Johnston, Aston Lark Ireland, Build Zone, Robertson Low, Self-Build Zone, Wright Insurance Brokers, Lark Music 10 The Courtyard Kilcarbery Park Nangor Road Dublin D22T860	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C6622	Atrium Wealth Management Limited 131 Rathgar Road Ranelagh Dublin 6 D06PH32	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C30521	Aviate Credit Union Limited Cloghran House Corballis Way Dublin Airport Co. Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting		
C29880	Aviva Direct Ireland Limited Galway West Business Park Western Distributor Road Knocknacarra Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C37208	Axiom Private Clients Limited Axiom Private Clients Ltd Tellengana House Blackrock Road Blackrock Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C41164	B. O'Brien Financial Consultants Limited EBS Building Society Unit 7 Killiney Shopping Centre Killiney Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C22683	B.E.S. Management Designated Activity Company 5th Floor Beaux Lane House Mercer Street Lower Dublin 2	Section 10 Investment Firm N/A	7 Mar 1996	No	(i) Designated Investment Funds	(a) Transferable Securities	
C50465	B.O.K. Financial Services Limited Church Street Gort Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C143849	Baggot Asset Management Limited t/a Baggot Investment Partners, Financial Planners, Financial Advisor 38 Fitzwilliam Square Dublin D02NX53	Investment Intermediary	22 Mar 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C13895	Ballyshannon & Killybegs Credit Union Limited Main Street Killybegs Co Donegal	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

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		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C45264	Bank of America Custodial Services (Ireland) Limited 7th Floor 2 Park Place Hatch Street Dublin 2		12 Apr 2007	Yes	(h) Custodial Operations	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(I) Borrow/Lend Trans</li> <li>Securities</li> <li>(jj) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(I) Hybrid Instruments</li> </ul>	
C29974	Barrington Insurances Limited t/a Barrington Insurance Brokers Unit 1 Coolmine Enterprise Centre Blanchardstown Dublin 15 D15WN6W	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C71941	Barry Doak 44 Eastham Court Bettystown Meath A92P657	Investment Intermediary	18 Feb 2015	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C52031	Barry Donoghue t/a Donoghue Financial Doon Raheen Raheen Mountrath Laois	Investment Intermediary	2 Dec 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C72296	Barry Walsh t/a Barry Walsh Financial Services 13 Parnell Street Waterford City Waterford X91K6YW	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

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		Type Date Category & Sub-Category		Rules	Business Service	Investment Instruments	
C1771	Barry, Ross & Co. Limited 11 Meadowfield Sandyford Dublin D18PD76	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C2641	Base Plan Limited t/a Baseplan Baseplan Limited Bridge House Beech Hill Road Donnybrook D04R2F8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C188935	BCA Life and Pensions Limited Clonminch Hi-Technology Park Clonminch Tullamore Co. Offaly	Investment Intermediary	10 Sep 2019	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li><li>(f) Deposit Agent/Broker</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C145948	BCK Financial Planning Limited First Floor, Unit F9 Riverview Business Park Nangor Road Dublin 12 D12KD51	Investment Intermediary	12 Jul 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C447432	Bells Cross Capital Limited t/a Barry-John Ryan Financial Planning Bells Cross Mallow Co. Cork	Investment Intermediary	25 Jun 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C127617	Bellwether Financial Planning Limited t/a Bellwether 38/39 Fitzwilliam Square Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C144126	Bernard & Pauline Financial Services DAC Fancroft Roscrea Tipperary E53AY27	Investment Intermediary	19 Jul 2016	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C31582	Bernard Connaughton t/a Connaughton Financial Services 2 The Nurseries Killarney Road Bray Wicklow A98Y1E2	Investment Intermediary	23 Aug 2018	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C52412	Bespoke Investments Limited 16 Roden Place Dundalk Louth	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C53309	Betty Martin Financial Services Limited Unit 24 Golden Island Shopping Center Athlone Westmeath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C5067	Billy Johnston t/a Billy Johnston & Co. Upper Main Street Milltown Donegal Town Donegal F94XWH5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C183182	Billy Phelan Financial Services Limited 9 Muckross Court Waterford	Investment Intermediary	18 Apr 2019	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		<b>Business Service</b>	Investment Instruments	
C30035	Biz Broker Limited t/a Clarke & Conlon Insurance Brokers Clarke & Conlon Pearse Street Ballina Mayo F26P210	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C44035	BKF Wealth Management Limited t/a BKK Financial Advisors Heritage Business Park Bessboro Road Blackrock Cork T12P1HX	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C38251	Blackhall Financial Services Limited t/a Blackhall Financial Services Blackhall Court Blackhall Mullingar Westmeath N91X9FP	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C436225	Blue Chair Financial Limited t/a Blue Chair Mortgages 13c Main Street Ongar Village Dublin 15	Investment Intermediary	30 Mar 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C195029	Blue Oak Counsel Limited BLUE OAK COUNSEL LTD UNIT F4 MAYNOOTH BUSINESS CAMPUS STRAFFAN ROAD MAYNOOTH CO. KILDARE W23 X7Y5	Investment Intermediary	11 Dec 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution Authorisation		Authorise	Authorised Activities		
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C29531	Bluechip Financial Consultants Limited t/a Bluechip Financial Consultants 24 Priory Business Park Stillorgan Co Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C94380	Blueprint Capital Limited t/a Blueprint Financial Planning, Financial Fitness 2nd Floor 13 North Street Skibbereen Cork	Investment Intermediary	1 Dec 2017	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C50477	Bluett Conran Financial Services Limited 52 James Place East Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C118735	Bluewater Financial Planning Limited Suite 17, The Cubes Offices Beacon South Quarter Sandyford Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C49986	BMC Financial Planning Limited Kilmallock Retail Park Kilmallock Co. Limerick V35VY72	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1626	BMCI Insurance & Investments Limited t/a BMCI, BMCI Insurance & Investments Suite 6 12-15 Killegland Street Ashbourne Meath A84HH77	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C72520	BNP Paribas Fund Administration Services (Ireland) Limited t/a Prime Fund Services Trinity Point 10-11 Leinster Street South Dublin 2	Section 10 Investment Firm N/A	31 Mar 2011	No	(g) Collective Investment Schemes	(c) Collective Investments	
C21397	BNY Mellon Fund Services (Ireland) DAC One Dockland Central Guild Street IFSC Dublin 1	Section 10 Investment Firm N/A	23 Oct 1996	No	(g) Collective Investment Schemes	(c) Collective Investments	
C13779	Borrisokane Credit Union Limited Main Street Borrisokane Co Tipperary	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C45822	Boyne Mortgages Limited t/a Boyne Mortgage Services 18 Five Oaks Village Dublin Road Drogheda Co Louth	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C55488	Brazil Financial Planning Limited t/a Brazil Financial, The Money Mentor 27 Glendoher Avenue Rathfarnham Dublin 16	Investment Intermediary	26 Aug 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C122952	Brendan Dunleavy Insurance Investment Ltd Drumboe Lower Ballybofey Co Donegal F93V8WR	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C91537	Brendan Hackett t/a Hackett Financial Services Green St Callan Co Kilkenny Callan Kilkenny R95WDP3	Investment Intermediary	26 Aug 2015	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4165	Brendan J. Kelly Business Consultant/ Insurance Broker Limited t/a Planalife Planalife Athlone Westmeath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C3076	Brendan O'Connell Insurances Limited Main Street Kilcullen Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C2190	Brendan Reidy t/a Reidy Insurance Parliament House Parliament Street Kilkenny	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C126937	Brendan Toolan Nirvana Lodge Greystones Wicklow A63TH90	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C117691	Breon Manning Financial Limited t/a Manning Financial 74 South Mall Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C7286	Brian Hamilton t/a Hamilton Financial Services 64 Belfield Park Co Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C72554	Brian Higginbotham t/a Wealthcare Consulting Unit 23 Newcastle Park Galway H91W6KD	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C31448	Brian J. Pierce Financial Services Limited t/a Stability Financial Sandymount Village Centre Dublin 4 D04YA27	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C93720	Brian O'Loughlin t/a BL Financial Management 12 Churchtown Drive Churchtown Dublin 15 D14Y989	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C49902	Brian Sherlock t/a Sherlock Hamilton & Company Merchant House Old Market Street Sligo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C12675	Broker Assist Limited t/a One Network, One Platform, Broker Assist, Lending Hand, Lending Hand Mortgages 120/121 Baggot Street Lower Dublin 2 D02 FD45	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C21681	Brown Brothers Harriman Fund Administration Services (Ireland) Limited 30 Herbert Street Dublin 2	Section 10 Investment Firm N/A	13 Sep 1996	No	(g) Collective Investment Schemes		

Ref No.	Name & Address	InstitutionAuthorisationTypeDateCategory &Sub-Category		Authorise	d Activities	<b>Tied Agents</b>	
			Rules	Business Service	Investment Instruments		
C21680	Brown Brothers Harriman Trustee Services (Ireland) Limited 30 Herbert Street Dublin 2	Section 10 Investment Firm N/A	24 Mar 2000	No	(h) Custodial Operations	(a) Transferable Securities	
C56611	Bruen Financial Services Limited Unit 9 Cathedral Buildings Abbeygate Street Lower Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C27428	Burke Pensions & Financial Services Ltd 15 Sandyford Office Park Blackthorn Avenue Dublin 18 D18AE27	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds	
C47496	BVP Investments Limited t/a "BVP" or "Business Venture Partners" BVP Investments Limited Unit 4 Aspen Court Bray Road Dublin 18	Section 10 Investment Firm N/A	15 Nov 2007	No	(i) Designated Investment Funds		
C55205	C.O.S. Financial Solutions Limited t/a Rockbridge Financial Planning 15 Herbert Place Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		on Client Money Rules	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C26097	CACEIS Ireland Limited One Custom House Plaza International Financial Services Centre Dublin 1	Section 10 Investment Firm N/A	2 Jul 2001	No	(g) Collective Investment Schemes	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(I) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(ji) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(l) Hybrid Instruments</li> </ul>	
C191261	Cadence Capital Advisors Limited No. 9 Fitzwilliam Square East Dublin D02FX96	Investment Intermediary	2 Sep 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C429511	Camino Investment Advisors Limited Unit 206 New Street Mall 26 New Street Malahide Co Dublin	Investment Intermediary	3 Feb 2021	No	Investment Advice (6) Investment advice.	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C9913	Campion Insurances Limited t/a Bestquote.ie, Campion Insurance, ISME Insurance Services, Future, Venture 2nd Floor Otter House Naas Road Dublin 22 D22CR92	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C121916	Cantwell Financial Advisors Limited 3 Main Street Tramore Waterford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C41480	Capital Financial Planning Limited t/a Capital Financial, Capital Insurances 9 Grange Road Office Park Rathfarnham Dublin D16NA46	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C85803	Capital Options Limited 20 Harcourt Street Dublin 2 D02H364	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2655	Cara Life Assurances Consultants Limited 17 Beech Park Avenue Beech Park Avenue Foxrock Dublin D18K2F9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C45988	Carey Corbett Financial Solutions Ltd t/a Carey Corbett Financial Solutions First Floor Roslevan Shopping Centre Tulla Road Ennis Clare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1629	Carron Insurances Limited 79 Main Street Blackrock Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C640	Carrowmore Financial Services Limited 1 - 3 Finglas Main Centre Finglas Dublin 11	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C188016	Castle Capital Limited t/a Castle Capital Financial Planning Unit 1B The Mulcair Centre Annacotty Business Park Limerick V94W52C	Investment Intermediary	19 Jul 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29640	Cathedral Financial Consultants Ltd 16 Roden Place Townspark Dundalk Louth A91N9CV	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C163953	Caulfield Financial Limited "Farmleigh" Ballygoman Barntown Co Wexford	Investment Intermediary	12 May 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C42813	Caveo Financial Service Limited t/a Rebate Financial Solutions, Trade With Me Financial Solutions 1 Moorefield Terrace Edward Street Newbridge Kildare W12P940	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C13805	Celbridge District Credit Union Limited Main Street Celbridge Co Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C54849	Centaur Fund Services Limited t/a Centaur Fund Services Limited 2nd Floor 2 Custom House Plaza Harbourmaster Place Dublin 1	Section 10 Investment Firm N/A	7 Oct 2009	No	(g) Collective Investment Schemes	(c) Collective Investments	
C38099	Charles E Travers 47 Ferrybank Arklow Wicklow Y14KF99	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C40627	Charles E Travers Financial Services Limited 47 Ferrybank Arklow Wicklow Y14KF99	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C30147	Charles Mc Carthy Insurances Limited t/a McCarthy Insurance Group, McCarthy Financial Services, Commercial Insurance Brokers, O'Riordan Insurances, Miller Carroll Insurances, Simply Insure McCarthy Insurance Group Cork T12PH9D	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C7457	Chartered Asset Consultants Limited Charter House 18 Dublin Street Carlow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C438086	Chartered Capital Financial Planning Limited t/a Chartered Capital 32 Pallasderg Newtown Nenagh Co. Tipperary	Investment Intermediary	15 Feb 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C36971	Chartered Financial Solutions Limited Trinity House Charleston Road Ranelagh Dublin 6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C261800	Chartered Life Wealth Management Limited t/a Chartered Life 24 Main Street Ballincollig Cork	Investment Intermediary	27 Aug 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C43572	Charterhouse Private Clients Limited t/a Clevermoney Curragh View House Ballinagar Tullamore Offaly R35XF38	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C190223	Choice Advice Limited t/a McNamara Financial Planning The Racecourse Kells Road Kilkenny R95R7PR	Investment Intermediary	29 Jul 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C41300	Churchfield Mortgages and Financial Services Limited t/a Churchfield Corporate Financial Solutions Churchfield House Cross Claremorris Mayo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C67516	Ciaran Blackall t/a Blackall Financial 16 Gainsborough Avenue malahide Dublin K36D576	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.		Institution		tion Client Money Rules	Authorised	Authorised Activities		
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments		
C97373	Ciaran Carroll t/a Ciaran Carroll Financial Services 73 Clonard Corbally Limerick V94XAF3	Investment	12 Oct 2015	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>		
C25280	Citco Fund Services (Ireland) Limited Custom House Plaza Block 6 IFSC Dublin 1	Section 10 Investment Firm N/A	6 Oct 1999	No	(g) Collective Investment Schemes			
C20915	CITI DEPOSITARY SERVICES IRELAND DESIGNATED ACTIVITY COMPANY 1 North Wall Quay Dublin 1	Section 10 Investment Firm N/A	21 Aug 1996	No	(h) Custodial Operations	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(l) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(jj) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(l) Hybrid Instruments</li> </ul>		
C6879	City Financial Marketing Group Limited t/a CFM Group, Insuremyvan.ie, Missquote.ie, Insuremyhouse.ie, Insuremyholiday.ie, Insuremycars.ie, Insuremyshop.ie, Lifebroker.ie, Coverinaclick.ie Insurance House 62a Terenure Road North Terenure Dublin 6W D6W CF54	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds		

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C90202	City Life Galway Financial Services Limited t/a City Life Galway City Life Galway 12 Dock Street Galway H91FP4V	s Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C191260	CJPF Limited t/a Fitzpatrick Consulting 57 Fitzwilliam Square North Dublin 2	Investment Intermediary	8 May 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C138472	Claris Advisors Limited 9 Fitzwilliam Square Dublin	Investment Intermediary	3 Jul 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C122418	Clarity Management Limited t/a Uconsulting 10B Abbey Street Ballyhaunis Mayo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C186578	CLD Financial Services LTD 5 Day Place Kerry	Investment Intermediary	5 Apr 2019	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C90155	Clearview Investments & Pensions Limited The Gallery 13 Bedford Row Limerick V94 VY47	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C1416	Cleary Barker Limited t/a Cleary Barker Suite 1 The Avenue Beacon Court Sandyford Industrial Estate Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C35310	Clinch Wealth Management Limited Ferry House 48 / 53 Lower Mount Street Dublin 2 D02PT98	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C42792	CMCC Financial Solutions Limited t/a CMCC Financial Solutions Suite 30 The Mall Beacon Court Sandyford Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C46840	CML Financial Limited t/a CML Financial The Business Centre Lisfannon Buncrana Donegal F93Y2NA	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C132530	Coleman Financial Planning Limited 36 Wainsfort Manor Crescent Dublin 6w D6WRP30	Investment Intermediary	8 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C43384	Colin Comerford t/a Comerford Financial Unit 502 Riverstown 5 Complex Riverstown Business Park Tramore Co. Waterford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C44607	Collins Naughton Financial Services Limited t/a Ballincollig Mortgage Centre 3A Westpoint Trade Centre Ballincollig Co Cork P31C803	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C55712	Colm Dunne 25 Ormond Crescent Swords Co. Dublin K67C2F1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C49974	Colm Kelly t/a Colm J Kelly Financial Planning Unit 4/5 The Glenroyal Centre Straffan Road Maynooth Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C646	Colman Doyle Finance Limited 102 Main Street Gorey Co Wexford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1640	Commercial Insurance Brokers Limited 4 South Mall Cork T12CVX4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C132016	Compass Capital Solutions Limited t/a Compass Private Wealth, Compass Pensions, Compass Financial Planning, Compass Charities 127 Lower Baggot Street Dublin 2 D02F634	Investment Intermediary	2 Feb 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date		Business Service	Investment Instruments	
C29893	Complete Financial Advice Limited t/a Complete Financial 82 South Mall Cork T12E3TT	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C53505	Complete Financial Planning Limited t/a Better Finances 40 Lisfennel Close Co. Waterford X35C563	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C427770	Con Friel & Company Limited Ballaghderg Letterkenny Donegal F92FY81	Investment Intermediary	19 Aug 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C44087	Conleith M. Quigley Financial Services Limited t/a Quigley Financial Brokers 8 Slaney Street Wexford Y35HW21	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C6768	Connolly McCabe FB Limited Mall Road Monaghan H18TC60	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C97046	Conor Crossan t/a Conor Crossan Financial Services 26A Academy Court Oliver Plunkett Road Letterkenny Donegal F92FR84	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (c) Collective Investments	

Ref No.	Name & Address	Institution		n Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C2324	Conor Riordan t/a Riordan Insurances Riordan Imsurances Millmount Abbey Drogheda Louth	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C43743	Conor Ryan t/a Conor Ryan Financial Services Kilmore Clonmel Tipperary E91R2C2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C129690	Consultas Financial Services Limited Minchluaine Letterkenny Donegal F92W6F9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	PRISM (JNC) Limited
C40614	Contarorl Limited t/a Elevate Financial Planning Colliga House Rocklands Rosslare Road Wexford	Investment Intermediary	20 Oct 2016	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C160192	Convent View Financial Services Limited t/a 360 Financial Consultants 3 Emmet Place Youghal Cork	Investment Intermediary	20 Jan 2017	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C128926	Core FS Limited t/a Core Financial Services 3 New Street Longford N39TN30	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C54575	Cork Financial Advice Centre Limited 16 South Mall Cork T12YTP1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C1549	Corless & Associates Financial Services Limited t/a Corless Financial Services 1 Clanwilliam Terrace Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C45936	Cormac Farrell t/a Cormac Farrell Financial Planning 17 Victoria Road Clontarf Dublin 3 D03A2F8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C7351	Cormican Life Limited Unit 15 An Fuaran Mountain Road Moycullen Galway H91PY9T	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C118173	Cornelius Brosnan t/a Brosnan Financial Services 11 THE LAWN BODEN PARK RATHFARNHAM Dublin D16P3X5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1417	Cornmarket Group Financial Services Limited Liberties House Dublin 8 D08FP21	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		n Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C43447	Cotter & O'Sullivan Financial Services Limited Riverpark House Centre Park Road Cork T12HW3W	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C7763	Coughlan (Life and Pension) Consultants Limited Unit 1 12 Uam Var Avenue Bishopstown Cork T12NV07	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C136018	Coughlan Wealth Management Limited Drishane House Old Callan Road Kilkenny R95NP8C	Investment Intermediary	19 May 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C166614	Covermore Financial Limited Unit 706 Phase 4 Northwest Business Park Ballycoolin Dublin 15	Investment Intermediary	17 Oct 2017	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C40689	Crannog Asset Management Limited t/a Crannog Financial Solutions 37 B Main Street Ongar D15HE4W	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C34430	Creane & Creane Life & Pensions Limited t/a Creane and Creane Insurance 23/24 Main Street Enniscorthy Wexford Y21V5Y4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C164797	Credit Suisse Fund Services (Ireland) Limited Kilmore House Park Lane Spencer Dock IFSC Dublin 1	Section 10 Investment Firm N/A	2 Aug 2017	No	(g) Collective Investment Schemes		
C447899	Crestbridge Fund Administration Services (Ireland) Limited 10 Earlsfort Terrace Dublin 2	Section 10 Investment Firm N/A	27 Jul 2021	No	(g) Collective Investment Schemes		
C35527	Croucher Durkan Limited t/a Secure Financial Secure Financial 41 Cecil Street Limerick V94YCN6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C438084	CSC Depositary Services (Ireland) Limited 3rd Floor, Fleming Court Fleming's Place Dublin 4	Section 10 Investment Firm DAOFI	14 May 2021	No	(h) Custodial Operations	<ul><li>(a) Transferable Securities</li><li>(c) Collective Investments</li><li>(d) Financial Futures Contracts</li><li>(k) Options</li></ul>	
C417661	CSC Fund Administration (Ireland) Limited 3rd Floor Fleming Court Fleming's Place	Section 10 Investment Firm N/A	29 Apr 2020	No	(g) Collective Investment Schemes		
C1736	Cuffe & Company (Insurance) Limited t/a Frank Crowley Insurances Cuffe & Company (Insurance) Ltd 10, King's Terrace, Victorian Quarter Cork T23PX45	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C152488	CUInsured Limited 64 O'Connell Street Limerick City V94886V	Investment Intermediary	18 Jul 2016	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C42029	Curragrove Financial Services Limited t/a AC Financial Services, Low Cost Life Insurance, Low Cost Life Cover, Elite Financial Planners Kilmyshall House Mullingar Co Westmeath N91E4P1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C173464	CV Financial Limited t/a CV Financial Glendhu House Waterford Road Kilkenny R95D2PH	Investment Intermediary	1 May 2018	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C49549	CWM Wealth Management Limited t/a HerMoney Block J Unit 26 Cork Airport Business Pk Cork T12DXFH	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C418003	Cydra Limited t/a Santiago Life and Pensions 19 Grattan Street Dublin 2	Investment Intermediary	7 Jan 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C4326	D Corrigan Insurance Limited t/a Corrigan Insurance 39 Main Street Macroom P12EK15	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting		

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C35445	D. Murnane & Associates Limited t/a DMA Windsor House 15 Windsor Terrace Dun Laoghaire Dublin A96RF29	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1320	Daly Investment Planning Ltd The Gate House Main Street Ballinrobe Mayo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C94891	Damian Condon t/a Gresham Wealth Management BUSHFIELD HOUSE BUSHFIELD SQUARE PHILIPSBURGH AVENUE FAIRVIEW D03NF86	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C417647	Danny O'Connor Financial Services Limited t/a EBS Greystones 79 Woodstock Kilcoole Co Wicklow	Investment Intermediary	16 Sep 2020	No	(f) Deposit Agent/Broker		
C5503	David Clancy & Associates Limited t/a Clancy & Associates BLOCK 1 GROUND FLOOR MILLBANK BUSINESS PARK LUCAN Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C13668	David Corry t/a CK Mortgages Pensions & Investments Lindsay Grove Glasnevin Dublin 9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C118945	David Devine t/a Platinum Financial Planning Finance House Florence Road Bray Wicklow A98AK23	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C36356	David Flannelly Holdings Limited t/a Hastings Insurance Market Square Castlebar Mayo F23VA46	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C46137	David Harte t/a Hyland & Harte Financial Services Hyland and Harte Financial Services New Square Mitchelstown Cork P67H327	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C148241	David Kinsella Financial Services Limited t/a Kinsella Financial 27 Jessop Street	Investment Intermediary	3 Jun 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C129032	David Scollard t/a Scollard Financial Services Digital Office Centre Balheary Road Swords Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C71099	David Smeed t/a Best Financial Sky Business Centre 57 Clontarf Rd Clontarf Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		risation Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C48730	Davis Financial Services Limited Main Street Enniscorthy Wexford Y21E8D5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C48216	Declan Brennan Hill Breeze Barniskey Wicklow Y14VX94	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C40401	Declan Fitzgerald t/a Declan Fitzgerald Financial Services, Rock Financial Group John Street Cashel Tipperary E25KP03	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1443	Declan Goggin & Barry Goggin t/a Goggin Insurances 48 Dublin Road Balbriggan Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C126137	Declan Kelly t/a DK Financial Planning 37 Ballintyre Walk Dublin D16Y070	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C48460	Declan Maguire t/a Declan Maguire Financial Solutions 19 Crescent Villas Glasnevin Dublin 9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C138545	Declan Maher Financial Services Limited 1A Liberty Square Thurles Tipperary	Investment Intermediary	18 May 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		on Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C29509	Declan Sheedy 8 Castle Close Ashbourne A84TR98	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C51894	Deirdre Harahan Finance Limited EBS 13 Lr. Baggot Street Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C103260	Delaney Investment Services Limited t/a Secure Investments 148 Ard Na Mara Malahide Dublin K36Y668	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C52094	Denis Dwyer Limited Denis Dwyer Limited EBS dac New Street Cahirciveen Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C28551	Denis J O'Sullivan The Fairways Rochestown Road Douglas Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C66108	Denis Leahy & Paul Leahy t/a Leahy Investment Advisers M6 - McConnell Business Hall Strandfield Business Park Rosslare Road Wexford Y35 W5RD	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation	on Client Money Rules	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C195001	Derek Jennings t/a Jennings Financial Advisors 45 Rosehaven Carpenterstown Road Castleknock Dublin 15	Investment Intermediary	16 Oct 2020	No	(6) Investment advice. (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C31818	Dermot Cronin t/a Kerry Mortgage Centre Dermot Cronin Old Market Lane Killarney Kerry V93XD0F	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C5551	Dermot Gallagher 3 Station Court Lahinch Clare V95K009	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C176164	Derrada Financial Services Limited Garadice House 3-4 Fairview Dublin 3 D03XOY5	Investment Intermediary	9 May 2019	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C8527	Dertra Investments Limited t/a Brady Burns Life & Pensions 31 Main Street Kildare W12KP92	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C49969	Des Quigley Limited t/a P & C Financial Services 7 Onward Walk Dublin D13KF62	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C9846	Desmond T. O'Neill t/a McCarthy O'Neill Insurance Brokers Cilldara Lisnagry Co. Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C1258	Devlin Boland O'Toole Insurances Limited Suite 2, The Avenue Beacon Court Sandyford/Dublin 18 Dublin D18EOK5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2374	Dineen Financial Limited 59 South Mall Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C158669	Distinct Wealth Management Limited 4 Oakdown rd Dublin 14 D14A300	Investment Intermediary	13 Jan 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C51989	DLS Capital Management Limited 25 Merrion Square Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1649	DM Insurances Ltd The Courtyard Lower Road Shankill Dublin D18X6T8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (kk) Tracker Bonds	

Ref No.		Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C36953	Docal Limited t/a DFP Pension and Investment Consultants, Diarmuid O'Siochain & Associates Quayside Business Park Dundalk Louth	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C45827	Doherty Bergin Financial Services Limited Kilmore Road Corner Artane Dublin 5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1269	Dolmen Insurance Brokers Limited t/a Dolmen Underwriting, CUsafe, CYBERLIABILITYCOVER, Indian Insurance, Asian Insurance, Farm Insurance Ireland, Motor Trade Insure, Restaurant Association of Ireland Members Insurance Unit 39 Butterly Business Park Artane Dublin D05A372	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29354	Donal Kelleher t/a 3 Sixty Financial Planning 11 Oakwood Ballinderreen Kilcolgan Galway H91E9C5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C33208	Donegal Mortgage Solutions Limited t/a IFG Financial Donegal Dundrean Cottage Dundrain Burnfoot Co. Donegal F93 PT98	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker		

Ref No.	Name & Address	Institution		risation Client Money Rules	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date		Business Service	Investment Instruments	
C35490	Donie Gavin t/a Gavin Financial Laurel Hill Moate Co Westmeath N37KF43	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4199	Dooley Insurances Limited t/a Dooley Insurances & Mortgage Brokers and The Dooley Insurance Group 2a Sycamore House Naas Kildare W91AC03	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C108581	Dore Financial Services Limited Unit 3 Derryknockane View Ballycummin Village Raheen Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C187767	Downey Lane O'Connor Limited t/a Fortress Financial Planning MespilBusiness Centre Sussex Terrace Dublin 4	Investment Intermediary	15 Jul 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C3811	Doyle Pension & Insurance Management Limited 114 Pembroke Road Dublin 4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C427722	Doyle Pittam Limited t/a Progressive Financial Services Unit 1 Ardee Court Ardee Street Dublin 8	Investment Intermediary	18 Dec 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C1327	Dr. Gary Ellison t/a Ellison Financial Consultants 43 The Dunes Dublin D13XW88	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C119120	Drumgoole Financial Services Limited 88 Northlands Bettystown Meath A92HH31	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C40306	Drumlin Financial Services Limited t/a Key Financials Drumlin Financial Services T/A Key Financials Aeta Place Gortnakesh Cavan	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C28214	Dublin Business Innovation Centre First Floor, The Tower TCD Enterprise House Pearse Street Dublin 2	Section 10 Investment Firm N/A	5 Nov 1997	No	Investment Advice (6) Investment advice.	(a) Transferable Securities	
C35724	Duffy Mortgage & Investment Advisors Limited t/a Duffy Financial Advisors Fitzroy House Castle Street Mullingar Westmeath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C3232	Dungarvan Insurances Limited 17 Main Street Dungarvan Waterford X35HW30	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C39778	Durcan Insurances Limited t/a Durcan Insurance Brokers, Durcan Mortgage Brokers Abbey Street Ardnaree Ballina Co. Mayo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C127899	DW Financial Limited Unit 2a Supervalu Shopping Centre Fairgreen Street Kildare W91Y165	Investment Intermediary	28 Feb 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C36565	Eamon Porter t/a Aspire Wealth Management Priory House 19 Priory Office Park Stillorgan Blackrock Dublin A94 H7A4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C118318	Eamonn J. Moore Limited t/a Moore Financial Consultants Crossbridge Street Dungarvan Co. Waterford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C8551	Eamonn Long t/a Long Financial Barrack Street Carlow R93PY95	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C48172	Eamonn Walsh Mortgages & Investments Limited t/a Eamonn Walsh Independent Mortgages 15 Bank Street Templemore Tipperary	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C40416	Earston Limited t/a A2Z Mortgages, CU Investment Services, Covered, Irish Insurance Quotes 156 Seapark Coast Road Malahide Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C5094	Eastern and Midlands Holdings Limited t/a Martin Shortt Cavan St Oldcastle Meath A82NV34	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds	
C141456	Easy Steps Financial Advice Limited t/a Easy Steps Financial 11 Beverton Walk Donabate Co.Dublin K36EY98	Investment Intermediary	30 Sep 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C35423	Eddie Sweeney Financial Services Ltd t/a Eddie Sweeney Financial Chapel Lane Business Centre Claremorris Mayo F12Y0E3	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C36108	Eden Financial Brokers Limited t/a Eden Wealth Fergus House Quin Road Business Park Quin Road Ennis Co. Clare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C13728	Education Credit Union Limited Wellington House 25 Wellington Quay Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting		

Ref No.	Name & Address	Institution		n Client Money	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C143299	EIIS Management Limited Joyce House 22 23 Holles Street Dublin 2	Section 10 Investment Firm N/A	30 Oct 2015	No	(i) Designated Investment Funds		
C120465	Elaine Flynn Financial Services Limited t/a EBS Liffey Street 36 Upper Liffey Street Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C1786	Elkwood Financial Services Limited 114 Rathgar Road Rathgar Dublin 6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C52103	Emer O'Reilly Financial Services Limited EBS Ennis Central Buildings Abbey Street Car Park Ennis Co. Clare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C48202	Emmet Doyle t/a The Mortgage Centre, Debtfix Ireland, Fixmyfinances 46 South Mall Cork T12VOFK	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C43958	Enda O'Brien Mortgages Limited t/a Enda O'Brien Financial Services Brackagh Blueball Tullamore Co.Offaly R35 XE00	Investment Intermediary	19 Aug 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C152756	Endeavour Investment Solutions Limited 15 Peyton Avenue South County Dublin Rathcoole Dublin D24V0VE	Investment Intermediary	6 Feb 2017	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C132925	Eoghan Horgan Financial Limited t/a EHF Markets Rathanny House Kielduff Tralee Kerry	Investment Intermediary	6 Feb 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5859	Eoghan O'Sullivan Insurances (Galway) Limited t/a The Mortgage House Eoghan O Sullivan Insurances 17 Eyre Square Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C152899	Eoin Liston Financial Services Limited t/a Eoin Liston Financial Unit 3 Castle Demesne House Ivy Terrace Tralee Kerry	Investment Intermediary	16 Feb 2017	No	(f) Deposit Agent/Broker		
C51308	Eoin McGee t/a Prosperous Financial Services, Prosperous Financial Planning 6c Sycamore House Millennium Business Park Naas Co Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	Sean Kearney
C51267	Eolas Finance Limited 2 Village Weir Lucan Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C56223	Eolas Money Management Limited t/a Eolas Money Ard Gaoithe Commercial Centre Clonmel Tipperary E91V2N8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C166903	Eppione Limited t/a HCC, Healthcarecompare 2 Dublin Landings North Wall Quay Dublin 1 DO1 V4A3	Investment Intermediary	19 Feb 2018	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C35654	ES Mortgage & Financial Services Limited t/a ES Lifestyle Financial Planning 1 Wood Dale Grove Ballycullen Road Firhouse Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C196757	Ethico Limited Unit 3 Block F Maynooth Business Campus Maynooth Kildare	Investment Intermediary	3 Mar 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C30312	Eugene Gilligan t/a Eugene Gilligan Financial Advice and Services Knockbeg West Collooney Sligo F91FP7E	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C30259	Eugene McKenna Consulting Limited Rigsdale Ballinhassig Cork T12KH51	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C1943	Eugene O'Doherty & Associates Limited t/a Eugene O' Doherty Financial Services 19 North Road Monaghan Co Monaghan	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4597	Euro Risk Management (Insurances) Limited t/a ERM Financial Services 7 St James Terrace Malahide Dublin K36HV25	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C124230	Euro Wealth Financial Services Limited t/a Euro Wealth Financial 1 Boroimhe laurels Swords Dublin K67AC60	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C168156	Evan Hughes t/a PSRA PSRA Maynooth Kildare W23K7N3	Investment Intermediary	6 Dec 2017	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C443695	Evolve Finance Limited 4th Floor Penthouse Office 83 O'Connell St	Investment Intermediary	16 Apr 2021	No	(6) Investment advice.	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C8575	Executive Benefits Limited Athgoe Lodge Newcastle Co Dublin D22CX78	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C190294	Fagan & Partners Tax and Financial Services Limited t/a Fagan & Partners 1 Lower Galloping Green Stillorgan Road Blackrock Co. Dublin	Investment Intermediary	7 May 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C93297	Fahey Financial Solutions Limited Barna Village Galway Barna Galway H91V6KV	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C455896	Fairway Advisers Limited Carlisle Building 51 Bracken Road Sandyford Business Park Sandyford Dublin 18	Investment Intermediary	7 Oct 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds	
C2653	Faith Fahy t/a Faith Fahy Financial Consultant & Insurance Broker Unit 12 Glenrock Business Park Ballybane Industrial Estate Galway H91HKC3	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C3089	Fallon Insurance Limited Main Street Tallaght Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C2891	FBD Insurance Group Limited t/a FBD Insurance FBD House Bluebell Dublin 12 D12Y0HE	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	Authorised Activities		
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments		
C1004	FDC Financial Services Limited FDC House Wellington Road Cork T23WP94	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C51913	Fergus Irwin t/a Fergus Irwin Financial Services 24 Rosegarth Donnybrook Douglas Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts		
C124947	Fermat Point Limited t/a PortfolioMetrix Ireland, Global Wealth, Ethical Financial 66 Fitzwilliam Square North Dublin D02AT27	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C422375	Finance First Planning & Investments Limited t/a Finance First, The Advice Centre Unit 11B Slade Castle Court Saggart Co. Dublin	Investment Intermediary	12 Oct 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>		
C45734	Finance Life & Pensions Limited t/a Cregan Kelly O'Brien- Financial Planning Unit 2 Broadmeadow Hall Applewood Village Swords Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts		
C40123	Finance One Limited 8 Windsor Place Lower Pembroke Street Dublin 2 D02 XE04	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>		

Ref No.	Name & Address	Institution	Authorisation			<b>Tied Agents</b>	
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C38744	Financial Architects Limited t/a Financial Architects Financial Architects Main Street Ratoath Co Meath A85YK13	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C37885	Financial Innovations Limited t/a Financial Innovations Lane Business Park Cork T12VK2Y	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C419497	Financial Mate Limited t/a Plan Review 13 Burgess Court Kells Kilkenny	Investment Intermediary	22 Oct 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C57761	Financial Planner Technology Limited t/a Ireland Insurance/Financial Planner, IrelandMortgages Room 3 office Block Celbridge Kildare W23ED88	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C187878	Financial Planning Matters Limited 10 Glencairn Heights The Gallops Leopardstwon Dublin 18 D18K2C3	Investment Intermediary	19 Nov 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C8608	Fingal Life & Investments Limited t/a Fingal Financial Services, Fingal Financial Unit B5 Airside Enterprise Center Swords Dublin K67NX46		1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		Client Money	Authorise	ed Activities	Tied Agents
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C3184	Finnegan Insurance Brokers Ltd Tuam Road Mountbellew Co Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C53556	Finplan Limited t/a Finplan Charlemont Exchange Charlemont Place Dublin 2 D02H590	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C6254	Fintan Moloney & Co. Limited t/a Fintan Moloney & Company Riverside Office Park Letterkenny Donegal F92YV21	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds	
C1286	First Ireland Risk Management Limited t/a First Ireland Finance, First Ireland Risk Management, Club Care, First Ireland Club Care, A First Ireland Quote, Call You Back, Rainbowsure, First Ireland, First Ireland Pensions, First Ireland Investment Advisors, First Ireland Insurance, First Ireland Insurance Brokers, First Ireland Personal Insurance, First Ireland Financial Planning, Petsure, IQuote, Drivefirst, Vansure, First Ireland Finance Services, First Insurance, A First Ireland 15 Parkgate Street Dublin 8 D08W866		1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C46998	First Rate Mortgages Limited t/a First Rate Financial Planning 37A Main Street Dublin 15 D15H766	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C1619	FitzGerald Flynn Insurances Limited 4 Brookfield Avenue Blackrock Co. Dublin A94F862	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2029	Fitzgerald Life and Pensions Limited 21/22 The Quay Waterford X91CX60	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C8619	Fitzserv Consultants Limited t/a IMO Financial Services IMO Financial Services 10 Fitzwilliam Place Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2873	Fitzsimons Life and Pensions Limited t/a Fitzsimons Finance Cutlery Road Newbridge Co. Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C42790	Flynn & Lynch Life & Pensions Limited 3 Dublin Street Longford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C44522	Focus Financial Planning Services Limited 2 Rosehill O Callaghan Stand Limerick V94P9HK	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C3504	Focus Life & Pensions Ltd Butterly Business Park Artane Dublin 5 Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (kk) Tracker Bonds	

Ref No.	Name & Address	Institution	Authorisation	•		<b>Tied Agents</b>	
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C47740	FOH Financial Limited No 4 Doctor Croke Place Clonmel Co Tipperary E91 E651	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C52926	Folk Asset Management Limited t/a Thomond Asset Management Thomond Asset Management 82 O'Connell Street Limerick V94AH96	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C96708	Forde & O'Connor Financial Services Limited t/a BESTMORTGAGE Unit 13b Briarhill Business Park Ballybrit Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C39678	Foresthill Financial Planning Limited J2 Maynooth Business Campus Maynooth Co. Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C119874	Fortcall Finance Limited t/a Fortune Financial Solutions 5 Cromwell's Fort Heights Wexford	Investment Intermediary	30 May 2016	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C432566	Fortis Financial Management t/a Fortis Financial Management 12 Cook St Cork T12 FH72	Investment Intermediary	3 Feb 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li><li>(f) Deposit Agent/Broker</li></ul>	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li></ul>	
C443628	Fortitude Financial Planning Limited 80 Lagavooren Manor Beamore Road Drogheda Co. Louth	Investment Intermediary	6 May 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	Authorised Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C420021	Forward Vision Planning Limited t/a Daniel Bohan Wealth Management 21 Hunters Wood Ballyseedy Tralee Co.Kerry	Investment Intermediary	28 Sep 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C193161	Foundation Stone Financial Planning Limited Foundation Stone Financial Planning Limited 22 Stephen Street Kilkenny R95TYC3	Investment Intermediary	13 Dec 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C136556	Foxrock Financial Limited 101 The Rise Mount Merrion Blackrock Dublin A94RW80	Investment Intermediary	27 Mar 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C29196	Francis D'Arcy t/a Personal Advisory Services 13 Herbert Place Dublin 2 D02YD32	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C44272	Francis Keane t/a Francis Keane Financial Service 43 Broadfield View Naas Kildare W91KT0X	Investment s Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C2703	Frank Glennon Life and Pensions Ltd t/a Glennon, Glennon Employee Benefits, Glennon Financial Planning Charlemont House Charlemont Place Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

Ref No.		Authorisation		Authorise	ed Activities	<b>Tied Agents</b>	
		Category &	Date	Rules	Business Service	Investment Instruments	
C44425	Frank J Ryan t/a Frank J Ryan Financial Services 45A Rossmore Lawns Dublin D6WW564	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C125235	Frank Prendergast Financial Services Limited Shanbough New Ross Co Wexford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C43505	Frank Ryan t/a Frank Ryan Financial Services 16 Beechlawn Clonard Wexford Y34D7X8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C130136	Full Circle Financial Services Limited 120 Lower Kilmacud Road Stillorgan Dublin A94KD37	Investment Intermediary	28 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C118741	Fusion Financial Services Limited t/a You&Us 27 The Plaza, Main Street Swords, Dublin K67PX53	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C121126	Future Proof Finance Limited t/a Future Proof Finance Lucan County Enterprise Centre Lucan Dublin K78W6P6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		<b>Tied Agents</b>		
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C54757	Fynamics Limited Unit 3 Riverfront Howleys Quay Limerick V94TDX6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C92674	Gainsboro Financial Services Limited t/a McKeigue Kelly & Co Derreen Kiltormer Ballinasloe Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29451	Gallagher McCahey Financial Services Limited t/a Gallagher McGinley Financial Services Port Road Letterkenny Donegal F92YDX8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1553	Gallivan Kennedy Insurance Brokers Limited t/a Gallivan Kennedy Insurance Group Park House Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C642	Gammell Financial Services Limited 63 / 64 Main Street Wicklow Y14W1H9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C51917	Garry Quilligan t/a Financial Planning For Real People 62 Ard Coillte Killaloe Tipperary V94XHN1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address Institution		Authorisation Cl		Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C71445	Garvock Financial Limited The Old Brewery The Glen Kinsale Cork P17DE00	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C35498	Gary Tobin t/a Gary Tobin Financial Management, Quay Financial Management, Quay Mortgages 11/11A George's Quay Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C120042	Gavin Gilmore t/a Gilmore Insurance & Financial Services 10 Church Avenue Eden Gate Delgany Wicklow A63EE36	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C89233	Gavin Keenan Financial Planning Limited t/a Keenan Financial Planning Valleymount House The Rise Main Street Blanchardstown Dublin 15	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C143985	Gen Z Financial Solutions Limited t/a Insurance Market, Pension Advice, Zed Insurance Unit 4, 2nd Floor Block D Tyrellstown Village Dublin 15	Investment Intermediary	12 Oct 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C2177	Geraghty Insurances Limited 40 Brews Hill Navan Meath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C49351	Gerald James Duggan t/a Acumen Assurance and Investments Kilclooney Kilmallock Co Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C6910	Geraldine E Layden t/a Summit Finance Summit Finance P.O. Box 83 Bray Wicklow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C13681	Gerard Geraghty t/a Geraghty & Co Mill Street Westport Mayo F28H971	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C32005	Gerard Ryan Financial Services Limited 75 North Street Swords Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C38693	Gerard Sheehy Enterprise Centre Market Yard Cahir Tipperary E21F895	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C92262	Gerry Cuffe Financial Services Limited Unit 166 Blanchardstown TC Blanchardstown Dublin 15	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C36161	Gerry McMunn t/a MAC Investment Strategies Caltragh Dromard Sligo F91DHY9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C2990	Gerry O' Mahony Insurances Ltd t/a Mahony Insurances, Roe & O'Mahony Insurances Whitethorns Castletroy Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C190154	Giblin Financial Services Limited Giblin Financial Services Limited 3 Bridge Street Gort Galway H91E0EE	Investment Intermediary	6 Jan 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C176001	Gillian Madden Financial Services Limited 2nd Floor 98 Main Steet Cavan	Investment Intermediary	28 Aug 2018	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C165518	Gillmor Pensions and Investments Limited t/a Gillmor Pensions and Investments 39 Woodbine Road Blackrock Dublin A94PX02	Investment Intermediary	18 Aug 2017	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C30034	Gleeson Curtin Limited t/a Gleeson Insurance, Gleeson Financial Planning No 1 Market Place Ennis Clare V95P586	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (kk) Tracker Bonds	
C2196	Globex Financial Analysts Ltd 12 Lower Pembroke St., Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	ss Institution Authorisation Client Money Type Date Rules Category & Sub-Category	<b>Tied Agents</b>				
				Rules	Business Service	Investment Instruments	
C2069	GMN Associates Limited t/a Nolan & Partners Financial Services 301 Q House Sandyford Business Park Dublin D18K0Y5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C27918	Godfrey Shaw 141 Blackhorse Avenue Dublin 7 D07E0H2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C8926	Gogan Insurances Limited t/a Gogans, Money Matters Unit A5 Nutgrove Office Park Rathfarnham Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C112044	Gogarty Financial Limited 16 woodlands portmarnock Dublin D13XF59	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C44414	Gold Seal Financial Services Limited t/a Gold Seal Financial Brokers Level one Liosbán Business Park Tuam Road Galway H91FT98	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C121072	Gramayre Limited t/a Gallivan Financial Upper High Street Killarney Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C5806	Grant Thornton Financial Counselling Limited 13-18 City Quay Dublin 2 D02ED70	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C27855	Greg Barrett Financial Services Ltd t/a Barrett Financial 1 Landsborough Close Douglas Cork T12Y7PW	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C8740	Growth Investments Limited Growth Investments Ltd 29 Kildare Street Dublin D02AP04	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2175	Guardian Financial Services Ltd t/a Guardian Financial Advisors 33 Simmonscourt Square Ballsbridge Dublin D04T6V6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C40569	Guardian Wealth Limited t/a ARF Ireland, Guardian Wealth 3 Upper George Street Wexford Y35ED35	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C7524	Guilfoyles Financial Services Ltd Mariaville House Magazine Road Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C422569	Gustal Limited t/a Gustal Unit 3 Grange Road Retail Park Grange Road Rathfarnham Dublin 16	Investment Intermediary	18 Dec 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C49856	Gwen Clarke Financial Services Limited Johnstown Business Centre Johnstown House Johnstown Naas Co. Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1444	Halligan Life & Pensions Limited t/a Halligan Insurances, Good Insurance william norton house North Circular Road Dublin 1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C2440	Hanley & Co Life Ltd 25 Dublin Street Balbriggan Co Dublin K32TX37	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C53819	Hardiman Life & Pensions Limited t/a inyour30s.ie Dublin Rd Tuam Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C96464	Harmonic Fund Services Ireland Limited t/a Harmonic Fund Services Ireland Limited Third Floor 5 Earlsfort Terrace D02 CK83	Section 10 Investment Firm N/A	17 Aug 2012	No	(g) Collective Investment Schemes	(c) Collective Investments	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C86227	Harmonics Financial Limited MARY ROSSE CENTRE NATIONAL TECHNOLOGY PARK Limerick V94RR8D	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C120028	Harmonics Money Limited t/a My Money MARY ROSSE CENTRE NATIONAL TECHNOLOGY PARK Limerick V94RR8D	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C30165	Harnett Financial Services Limited 3 Harstong Street Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C148677	Hartnett Dalton Financial Services Limited t/a HD Financial Services HD Financial Services Northampton, Kinvara, Galway H91D6NC	Investment Intermediary	3 Jun 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C4235	Hastings (Westport) Limited t/a Irishweddinginsurance.ie, Mytravelinsurance.ie, Myfarminsurance.ie, Hastings Insurance The Octagon Westport Mayo F28C921	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C7384	Hastings Financial Services Ltd t/a Coyne Financial Planning Altamount Street Westport Co. Mayo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C417704	Hayes & Hayes (Old Head Financial) Limited t/a Old Head Financial Friary Street Dungarvan Waterford	Investment Intermediary	18 Jun 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C6924	HC Financial Advisers Limited t/a HC Financial Oranmore Business Park Galway H91H003	Investment Intermediary	1 Oct 2014	No			
C12721	HDFS Limited t/a Moneycare, Mortgagecare 3 Dawson Street Monaghan H18DY62	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C44394	Hedderman Financial Solutions Limited t/a Hedderman Financial Solutions 35 Marlfield Dublin 18 D18X4W6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C50550	HedgeServ Limited 75 St Stephen's Green Dublin 2	Section 10 Investment Firm N/A	5 Sep 2008	No	(g) Collective Investment Schemes	(c) Collective Investments	
C1338	Hegarty Financial Management Limited 47 Lower Mount Plesant Avenue Dublin 6 D06W5AO	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1448	Henry Crummy Insurances Limited t/a Efficient Financial Efficient Financial No 4 St Marys Road Arklow Wicklow Y14X677	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address		ed Activities	<b>Tied Agents</b>			
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C141874	Heritage Wealth Management Limited t/a Heritage Wealth Heritage Wealth Management Limited 27 Cook Street Cork T12D92F	Investment Intermediary	13 Oct 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C176569	Highfield Financial Planning Limited t/a Highfield Financial Planning, Lost Cost Mortgage Protection, Get Life Cover, Best Pension Advice 6 – 9 Trinity Street Dublin 2 D02 EY47	Investment Intermediary	6 Jul 2018	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C5475	Hobbs Financial Practice Limited Unit W9A1 Ladytown Business Park Newhall Naas Kildare W91T211	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C449617	Honan Financial Services Limited 23 Church Fields Clonlara Village Co.Clare V94FKT6	Investment Intermediary	21 Jun 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	(c) Collective Investments (kk) Tracker Bonds	
C9037	House of Finance Advisory Services Limited t/a House of Finance Unit A7 M4 Business Park Maynooth Road Kildare W23YYA9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C13511	Howth Financial Services Limited 1 Saint Marys Road Howth Dublin D13Y2E5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C20825	HSBC Securities Services (Ireland) DAC 1 Grand Canal Square Grand Canal Harbour Dublin 2	Section 10 Investment Firm N/A	18 Oct 1996	No	(g) Collective Investment Schemes	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(I) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(k) Options</li> <li>(l) Hybrid Instruments</li> </ul>	
C5220	Hugh Donnelly & Paul Foley t/a Donnelly & Foley Donnelly & Foley 73 Lower Main Street Letterkenny Donegal F92HV2N	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C12607	Hugh McKeon Finance Ltd EBS Swan Centre Rathmines Dublin D06N9X0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C13721	Hugh Sheridan t/a Hugh Sheridan Insurances Hugh Sheridan Insurances Little Road Dromiskin Dundalk Louth	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C48217	I.F.C Advisors Limited t/a IFC Finance, My Health Insurance, Group Risk, Dormant Pension 4 Fitzwilliam Square Dublin D02FE06	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C195722	I.P.M (Financial Services) Limited t/a IPM Draper Financial Brokers Floor 1 10 Stephen Street Sligo F91V2XR	Investment Intermediary	6 May 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(kk) Tracker Bonds	
C155283	IFAC Investment Services Limited t/a ifac Financial Planning Ifac House Citylink Business Park Old Naas Road Dublin 12	Investment Intermediary	11 Nov 2016	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C54862	ILTB Limited t/a Gillen 29 Mount Street Upper Dublin 2 D02K003	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C129211	Impartial Financial Advice Limited Hamilton House 28 Fitzwilliam Place Dublin 2 D02P283	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C50457	Imperius Wealth Limited 77 Sir John Rogersons Quay Block C Grand Canal Docklands Dublin 2 D02 VK60	Investment Intermediary	21 Dec 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C40571	IMS Financial Services Limited t/a IMS Mortgages, IMS Financial 5 Ormonde Road Kilkenny	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C6874	Independent Financial Advisory Trust Limited Unit 4 The Cova 1 Marine Terrace Greystones Wicklow A63TN36	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C435392	INDOS Financial (Ireland) Depositary Limited Kelly Building Slaney Place	Section 10 Investment Firm DAOFI	24 Sep 2021	No	(h) Custodial Operations	<ul><li>(a) Transferable Securities</li><li>(c) Collective Investments</li><li>(g) Rates/Indices Movements</li></ul>	
C139550	Infinity Financial Planning Limited Phoenix House Cork T12H1XY	Investment Intermediary	16 Dec 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C168781	Informed Decisions Limited t/a Informed Decisions Financial Planning Rossendale Bettystown Meath	Investment Intermediary	23 Apr 2018	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C53821	Insight Financial Solutions Limited t/a Insight Life, Pensions & Investments 12 Park Row Francis Street Ennis Clare V95KN62	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C52359	Insight Private Clients Limited Insight Private Clients Ltd Tramore House Reeveswood Douglas Road Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C96463	Insignia Financial Limited Bracetown Business Park Clonee Dublin 15	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C45758	Inspire Financial Options Ltd t/a Inspire Financial Options, Inspire Financial Acorn Business Centre Blackrock Cork T12K7CV	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C8762	Insurances and Financial Services Ltd IFS House 16 Lower Main Street Lucan Dublin K78PN22	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C122295	Integrated Pension and Investment Options Limited t/a Integrated Financial Services Inregrated Financial Services Augustine Court Saint Augustine Street Galway H91VXT1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C47434	Integrated Wealth Management Limited t/a Integrated Wealth Management 29 South Terrace Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C20886	International Financial Data Services (Ireland) Limited Bishop's Square Redmond's Hill Dublin 2	Section 10 Investment Firm N/A	17 Oct 1996	No	(g) Collective Investment Schemes		

Ref No.	Name & Address	Institution		Client Money	Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C185749	Intertrust Fund Services Ireland Limited 1-2 Victoria Buildings Haddington Road Dublin 4	Section 10 Investment Firm N/A	29 Mar 2019	No	(g) Collective Investment Schemes		
C29331	Inverdea Financial Services Limited Inverdea House 2 Bridge Street Wicklow Town Wicklow A67XC61	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1343	Invesco Limited t/a Acumen and Trust, Acumen & Trust 2 Sandyford Business Centre Burtonhall Road Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C432987	IPF Irish Pensions & Finance Limited t/a Irish Pensions & Finance Suite 3 & 4 Gowna Plaza Bracetown Business Park Clonee Co. Meath	Investment Intermediary	14 Jun 2021	No	<ul><li>Investment Advice</li><li>(4) Advice on capital structure.</li><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	(a) Listed shares and bonds	
C152900	IPS Financial Advice Limited t/a Finance123, Mortgage123, MadeSimple 64 O'Connell Street Limerick V94886V	Investment Intermediary	19 Aug 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C432283	IQ EQ Fund Services (Ireland) Limited Suite 6 Rineanna House Shannon Free Zone Co. Clare	Section 10 Investment Firm N/A	15 Dec 2020	No	(g) Collective Investment Schemes		
C89652	Irish Life Financial Services Limited Irish Life Centre Lower Abbey Street Dublin 1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C2121	Irish Mortgage Corporation Limited t/a Irish Mortgage Corporation, Moneycoach and InsureSave, Irish Pensions Corporation 118 Lower Baggot Street Dublin 2 D02AW89	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C93711	IRS Financial Services Limited IRS Financial Services Ltd No. 2, Lymington Road Enniscorthy Wexford Y21C1H2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C188500	J Moore Financial Limited t/a J Moore Financial Lower Main street Ballybofey Donegal F93HRK3	Investment Intermediary	9 Aug 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds	
C5033	J.C. Daly & Co. Insurances Limited The Square Abbeyfeale Co. Limerick V94WK64	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C13674	J.P. O'Neill (Financial Management) Limited t/a O'Neill & Associates 8 Marian Place Aghada Cork P25D602	) Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C438150	Jacilea Limited t/a Carey Financial Planning 2 The Friary Main Street Headford Co Galway	Investment Intermediary	27 Jul 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C32088	Jack French t/a 1 Stop Brokers Unit 4 Bf, Crestfield Centre Riverstown Glanmire Co. Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C54668	Jack McNamara 3 Clarnwood Kilkenny	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C67166	Jackson Consulting Limited 1 Victoria Terrace Dalkey Avenue Dalkey Co Dublin A96D853	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C9808	Jahander Limited t/a AML Insurance Brokers 170 Lr. Rathmines Road Rathmines Dublin 6 D06X5N9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C400608	James Financial Planning Limited t/a James Financial Services, Brendan James Financial Services 5B Royal Court Business Centre Liosban Estate Tuam Road Galway	Investment Intermediary	12 Nov 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C31977	James Galvin & Cora Galvin t/a Galvin Financial Services 4 Fields Terrace Ranelagh Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C118030	James Garza t/a Trillium Financial Services 8 Ballyowen Park Lucan Dublin K78AE48	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C29424	James J Bartley t/a Bartley Phelan Financial Services Connolly Street Fermoy Cork P61PV34	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C435458	James Lalor & Aine McConnell t/a Lalor Financial Services Athbara House Athbara Cavan Co. Cavan	Investment Intermediary	12 Mar 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C187808	James O'Connor t/a JOC Financial Services Lios Ide Tralee Kerry V92TDK1	Investment Intermediary	2 Sep 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li></ul>	
C36332	Jamesmont Limited t/a Corporate Life 3rd Floor VHI House 70 South Mall Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C92356	JD Moneysave Limited t/a Moneysave 3A Bridgecourt Office Park Dublin 12	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C143853	Jeffrey Short t/a JS Financial Clifton House Lower Fitzwilliam Street Dublin 2	Investment Intermediary	28 Nov 2018	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	Tied Agents
		Type Category & Sub-Category	Date	ate Rules	Business Service	Investment Instruments	
C178340	JEZ Financial Limited t/a MyLife Financial Correagh Mount Temple Moate Westmeath	Investment Intermediary	28 Aug 2018	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C445113	JFS Financial Limited Clifton House Suite 53 Lwr Fitzwilliam Street Dublin 2	Investment Intermediary	29 Jul 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C98477	Jigsaw Financial Solutions Limited t/a Jigsaw Mortgage Solutions, Jigsaw Pension Solutions Unit 8 1A Upper Cranford Centre Montrose Dublin 4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C125064	Jim Farrell Financial Services Limited t/a Jim Farrell Financial 20 Foxhill Wheaton Hall Drogheda Louth A92NH6W	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C7568	Jim Mannion & Co (Insurances) Ltd t/a Mannion Insurances Jim Mannion & Co (Ins) Ltd, T/A Mannion Insurances Bawn Street Roscommon F42D998	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C92233	JJH Financial Services DAC Unit 44 Wilton Shopping Centre Wilton Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C49811	JMT Financial Consultants Limited t/a AIM Financial Planning JMT Financial Consultants 7 High Street Tralee Kerry V92RVX2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C55270	Joanne Enright t/a Walbrook Consulting Milltown Letterkenny Co Donegal F92N5NN	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C10173	Joe Connolly t/a EBS Greystones Church Road Greystones Co. Wicklow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C54725	Joe Coyle Financial Consultants Limited Rosguill Mountcharles Donegal F94XF25	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C49968	Joe Donnelly Financial Solutions Limited t/a P & C Financial Services 64 the gables Killaloe CO KILDARE	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5324	Joe Mcguinness & Co. Limited t/a Framework Financial Unit AB1 Centerpoint House Rosemount Business Park Ballycoolin D11R6WV	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation		Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C12736	Joe Pitcher Financial Services Limited 8 Sycamore Drive Castleknock Dublin D15C9KN	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C46131	Joey Browne Money Options Limited Avaltygort Killygordon Lifford Donegal F93E2F1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C66174	Joey O' Leary Financial Services Limited t/a Joey O'Leary Financial Services Office Suite 8 Main Street Ballybofey Donegal F93K767	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5332	John & Billy Whelan t/a Whelan Life & Pensions Unit 3A The Village Square Tallaght Dublin 24 D24V82K	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C8807	John A O'Sullivan Insurances Limited t/a O'Sullivan Insurances 39 Mitchel Street Clonmel Tipperary E91HX70	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C624	John Daly Financial Services Limited EBS Malahide The Diamond Malahide Co Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C5233	John F. Loughrey and Associates Limited t/a The Mortgage Centre, John F Loughrey & Associates, John F Loughrey Financial Services Crossview House High Road Letterkenny Donegal F92YC8C	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C32175	John Forsythe t/a Forsythe Financial Planning 3 Laurel Mount Close Carrigaline Cork P43EK28	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C7579	John Hogan t/a John M. Hogan & Associates 29 Wilfield Park Ballsbridge Dublin D04XW99	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C27474	John Lidierth t/a Lidierth Financial Castle Hill Centre Castlehill Carlow R93P0E3	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C44827	John M Walsh t/a JM Walsh Financial Advice 97 Lower Main Street Rush Dublin K56H243	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C48430	John Millett Independent Financial Advisors Limited t/a John Millett Independent Financial Advisors 6 Argyle Square Dublin 4 D04W2P9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C46572	John Mullane Mortgage & Financial Services Limited t/a Mullane Financial Services 25-26 Glentworth Street Limerick V94WE12	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C55194	John Murphy t/a John Murphy Financial Services Seafield The Spa Tralee Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C54381	John Nicholson t/a Financial Control Financial Control Pearse House Pearse Road Sligo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C1674	John O'Donohue Insurances Limited A2 Santry Business Park Santry Dublin 9 D09FYT0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C10197	John O'Sullivan t/a Kerry Financial Services Scart Farranfore Killarney V93TW30	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li></ul>	
C55298	John Prendergast John Prendergast Bohermore Graignamanagh Kilkenny	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	

Ref No.		Institution		Client Money Rules	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C35316	John Richardson t/a Richardson Financial Services Main Street Ennistymon Clare V95NX48	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C69526	John Robert Quinn t/a The Money Advisers N4 Wolfetone House Naas Kildare W91XF62	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C35681	John Small 2 Woodstown Road Knocklyon Dublin 16	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C195636	John Twomey Life Pensions and Investments Limited Ballintubber East Carrigtwohill Cork T45EC61	Investment Intermediary	31 Jan 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C111924	Joseph G. Byrne & Sons Consulting Actuaries Limited t/a Byrne Actuaries Byrne Actuaries Elm House Leopardstown Office Park Sandyford Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C40464	Joseph Manning t/a JSM Financial Advisers 22 Orchard Manor Riverstown Glanmire Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		ion Client Money	Authorised	Authorised Activities	
		TypeDateCategory &Sub-Category	Date	Rules	Business Service	Investment Instruments	
C11201	Josh Moran t/a Moran Financial Services 68 Church Street Skerries Co. Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C20882	JP Morgan Administration Services (Ireland) Limited JP Morgan 200 Capital Dock 79 Sir John Rogerson's Quay D02 RK57	Section 10 Investment Firm N/A	9 Aug 1996	No	(g) Collective Investment Schemes	(c) Collective Investments	
C26232	JPMorgan Hedge Fund Services (Ireland) Limited 200 Capital Dock 79 Sir John Rogerson's Quay Dublin 2 D02 RK57	Section 10 Investment Firm N/A	25 Jan 2001	No	(g) Collective Investment Schemes	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(I) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(jj) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(l) Hybrid Instruments</li> </ul>	
C54346	JSAD Financial Services Limited t/a Direct 4 Finance Unit 2 Ground Floor, Riverbank House Dodder Park Drive Rathfarnham Dublin 14	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C1652	JV Hutton Ltd. t/a Sound Insurance Unit 7 Burnell Court Northern Cross Malahide Road Dublin 17	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting		
C144259	K3 Financial Services Limited 39 Fitzwilliam Place Dublin D02TX66	Investment Intermediary	19 Apr 2016	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments	
C136058	Kalm Investments Limited t/a Santiago Investment Advisors The Atrium John's Lane Naas Kildare W91CT98	Investment Intermediary	26 Mar 2015	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C34744	Kathleen Power t/a KP Financial Services KP Financial Services Carrick on Suir Tipperary E32D528	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C2046	KD Retirement Services Limited t/a Capital Advisory Services 114 The Capel Building Mary's Abbey Dublin 7 D07YV70	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29747	Keane Pension and Investment Consultants Limited Father Mathew Hall 131 Church Street Dublin 7 D07E363	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C37725	Keaney Financial Services Limited 30, Lower Leeson Street Dublin 2 D02KP93	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1304	Keaney Insurance Brokers Limited t/a K-Tech Insurance & Risk Management Services 30 Lower Leeson Street St. Kevins Dublin 2 D02KP93	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds	
C85794	Kegra Limited t/a Finance Solutions, Best Life Cover 6 Lower Main Street Lucan Dublin K78W0X2	Investment Intermediary	13 Dec 2016	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C55679	Keith Hayes Financial Solutions Limited 33 Pearse Street Tipperary E45W728	Investment Intermediary	9 Jul 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C36898	Keith Walsh t/a Ideal Mortgages 61 Comeragh Road Drimnagh D12KD23	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C42765	Kelly O' Shea Pensions & Financial Services Limited 15 Edward Street Tralee Kerry V92PF9W	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C35529	Ken Fitzgerald t/a Ken Fitzgerald Financial Services 19 White Street Georges Quay Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C29710	Ken Regan Financial Services Limited t/a Blue Sky Mortgages Moorefield Road Newbridge Kildare W12XN53	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C36375	Ken Roche Financial Services Limited 16 Cromwellsfort Drive Mulgannon Co Wexford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C13315	Kenneth Coleman t/a KM Coleman & Company Unit 4 Glenroyal Business Centre Straffan Road Maynooth Kildare W23K032	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C52123	Kenneth Hegarty t/a PSF Lanesborough Street Roscommon Town Co. Roscommon F42 F597	Investment Intermediary	10 Jun 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li><li>(f) Deposit Agent/Broker</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C195679	Kerin Financial Limited t/a Kerin Financial West Point Millockstown Ardee Co Louth A92F6R3	Investment Intermediary	26 Feb 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		ation Client Money	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C44530	Kevin Argue 13, BEECHWOOD Castletroy limerick V94VYD8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C123375	Kevin Condon Financial Brokers Limited 8 Blackpool Retail Park Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C183021	Kevin Durcan Financial Steps Limited Aghalusky Castlebar Mayo F23XR02	Investment Intermediary	13 Feb 2019	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C128690	Kevin Madden t/a Madden Life & Pensions 1 The Oaks Milltown Road Ashbourne Meath A84K542	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C36918	Kevin O'Neill t/a Plan Review 13 Burgess Court Kells Co Kilkenny	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2274	Kiely Gaule Financial Services Limited 88 The Quay Waterford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C44431	Kieran Canty t/a Kieran Canty Financial Services 73 Clarkes Wood Rochestown Cork T12YE9E	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C4959	Kieran Madden Insurances Ltd Caherlag Old Blackrock Road Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C14061	Kilbride Financial Services Limited 19 Tower Hill Kilcoolishal Glounthaune Co Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C141309	Killeen Financial Services Limited t/a Cleere Life and Pensions, Easyquotes.ie, Cleere Mortgages 8 Dean St Kilkenny	Investment Intermediary	15 Sep 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C38000	Killeevan Investment Consultants Limited t/a KIC Eastcote Lodge Ballyhire Kilrane Wexford Y35R6F7	Investment Intermediary	1 Oct 2014	No	Investment Advice (6) Investment advice.	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C44548	Kinsella Financial Services Limited t/a Kinsella Financial Services 1 Castleview The Brewery Graiguecullen Carlow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation	Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date		<b>Business Service</b>	Investment Instruments	
C1621	Kirwan Insurance Services Ltd t/a Kirwan Financial Services Avoca House 8 Marine Road Dun Laoghaire Dublin A96X8Y6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C41478	KMP Financial Services Limited t/a Keane Mortgage & Financial Services Riversdale House Ballyboden Road Rathfarnham Dublin 14 D14W7D0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C154874	Knightson & Mulvihill Financial Services Limited t/a KM Financial Unit 1 Block B Charleville Business Park Bakers road Cork	Investment Intermediary	11 Oct 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C72247	Lacey Financial Limited t/a Pension Structures 33 Farrenberg Glebe Co Kildare R51R122	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2071	Lakeview Financial Consultants Limited 27 North Road Monaghan Co Monaghan H18EP60	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C94975	Lally Dolan Limited t/a Tony Lally Investments 21 Regency Court Friary Street Kilkenny City Kilkenny R95WP70	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C55066	Lane Clark & Peacock Ireland Limited Office 2 South Dock Road Dublin 4 D04H583	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C176004	LARL Financial Services Limited Aillwee Clonminch Road Tullamore Offaly	Investment Intermediary	24 Dec 2018	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2199	Larry O'Mahony Financial Services Limited 8 South Mall Cork T12CX5P	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C129204	Laura Doyle & Leah Pittam t/a Progressive Financial Services Unit 1 Ardee Court Ardee Street Dublin 8 Dublin D08H365	Investment Intermediary	12 Dec 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C32319	Lawrence Mooney t/a Kintyre Financial Services 51 Mount Merrion Avenue Dublin A94W6K7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C9145	Le Cheile Financial Services Limited t/a P.S.L. Consulting, Le Chéile Consulting, Le Chéile General Insurances, Le Chéile Repayment Protection, Le Chéile Mortgage Referral Service, Le Chéile Loan Protection & Life Services, CU Soon, Le Chéile Group First Floor 8-10 Rock Hill Blackrock Dublin A94E2V6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5185	Lehanes & Associates Limited Sackville House Sackville Street Dunmanway Co. Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C1252	Lennon Earley Crotty Insurances Ltd. 16 Pembroke Road Dublin 4 D04DX66	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C25921	LGT Fund Managers (Ireland) Limited Third Floor 30 Herbert Street Dublin 2	Section 10 Investment Firm N/A	30 Dec 2002	No	(g) Collective Investment Schemes	(c) Collective Investments	
C4757	LHK Kelleher Insurance Limited t/a LHK Financial, LHK Kelleher Insurance, LHK Group 12 Trinity Street Drogheda Co Louth A92RH63	Investment Intermediary	1 Oct 2014	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C27894	LHW Financial Planning Limited Avoca Court Blackrock Co. Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C51994	Liam Byrne & Paul Cooney t/a Abacus Finance Unit 11 Seapoint Building 44-45 Clontarf Road Dublin 3 D03P657	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C7625	Liam Carberry t/a Liam Carberry Financial Planning Services 40 Alden Park Sutton Dublin 13	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C42674	Liam Cullen and John Butterly t/a Advance Financial Services 14 Northwood Court Santry Demesne Santry Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C53737	Liam Doyle Suite 7 Maple House South County Business Park Leopardstown Dublin 18	Investment Intermediary	28 Apr 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C55463	Liam Honohan t/a Honohan Financial Services 3rd Floor VHI House Cork T12KWIK	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C445359	Liam McCarthy Financial Services Limited 2 Annaville Newtown waterford	Investment Intermediary	30 Apr 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C85767	Liam McGuire t/a McGuire Liston Financial Services. Courtyard Business Centre Suite 1 Courtyard House Lewis Road Killarney Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C50430	Liam McNamara & Associates Limited t/a MCN Associates 1st Floor 12/13 Whitefriars Peters Row Aungier Street Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29505	Life Goals Limited t/a Life Goals Financial Services 107 Q House Furze Road Sandyford Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C136165	Lifespan Financial Services Limited Unit S31 The Pottery Business center Pottery Road Dun Laoghaire Dublin	Investment Intermediary	7 Sep 2018	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C144840	Lifesteps Financial Planning Limited 15 Offington Avenue Sutton Dublin 13 D13P2P8	Investment Intermediary	3 Feb 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C156078	Lifestyle Financial Planners Limited Tone Stree Ballina Mayo F26PX78	Investment Intermediary	14 Mar 2017	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C2624	Lifetime Financial Planning Limited t/a Lifetime Financial Planning Corkbeg Rockfield Road Kells Meath A82F2A2		1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C42560	Link Fund Administrators (Ireland) Limited 1st Floor 2 Grand Canal Square Dublin 2	Section 10 Investment Firm N/A	12 Jul 2006	No	(g) Collective Investment Schemes	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(l) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(jj) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(l) Hybrid Instruments</li> </ul>	
C189499	Linked Pensions & Investments LTD t/a Linked Financial Unit 6 Pearse Court Pearse Street Athlone Westmeath	Investment Intermediary	18 Feb 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li></ul>	
C13911	Lisduggan District Credit Union Limited Church Road Lisduggan Co Waterford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation		Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C441718	Livingstone Financial Services Limited t/a Livingstone Financial Services 32 South Park Foxrock Dublin 18	Investment Intermediary	10 May 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C117746	Lohan Financial Limited 29 Skycourt Shannon Clare V14DN44	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C446698	Loman Dempsey Financial Services Limited Kilcooley Trim Co. Meath C15 FY03	Investment Intermediary	16 Jun 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	(n) Personal Retirement Savings Accounts	
C447045	Long Strand Limited t/a Saol Capital 3rd Floor City Quarter Lapps Quay Cork T12Y3ET	Investment Intermediary	30 Jun 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C43476	Lorcan Egan t/a Lorcan Egan Insurance LORCAN EGAN INSURANCE BOYLE Roscommon F52YN50	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1276	Lordan Magnier & Partners Limited t/a Michael Kennedy Insurance Group Innovation House 3 Feltrim Estate Drynam Road Swords K67C5X2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C5161	Lordan Magnier Insurances Ltd t/a Michael Kennedy Insurance Group Innovation House 3 Feltrim Estate Drynam Road Dublin K67C5X2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C49543	Lorna Heffernan Finance Limited 9 Clonminch Avenue Tullamore Offaly R35N803	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C37002	Lorraine Donegan t/a Donegan Financial Services Unit 2, Block 403 Greenogue Business Park Rathcoole Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C4276	Lothwood Ltd t/a Michael Martin Life & General Insurance Broker 18 Churchfields Coosan Athlone Westmeath N37RX48	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C55170	Lucas Financial Consulting Limited Lucas Financial Consulting Ltd Drumconrath Road Carrickmacross Monaghan	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C3229	Lynx Financial Services Limited Fairway Ballinclea Rd Killiney Co.Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.		Institution		<b>Client Money</b>	Authorise	Authorised Activities		
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments			
C117704	Lyons Life Limited t/a Lyons Financial Services Office 1 Dunboyne Meath A86VK09	Investment Intermediary	1 Oct 2014	No	Investment Advice (f) Deposit Agent/Broker			
C1837	M.G. Anderson Insurances Limited 1 & 2 Windsor Terrace Queens Road Dun Laoghaire Dublin A96F3F9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts		
C8941	M.J. Kelleher & Sons (Dundalk) Limited t/a Kelleher Insurances 3 Jocelyn Street Louth A91AH60	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C6567	Mac Court Financial Planning Limited 60 Merrion Square Dublin D02NT04	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C50794	MacDonald Financial Consultants Limited No. 12, Block 8 Blanchardstown Corporate Park Dublin 15	Investment Intermediary	6 Mar 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C11687	Maher & Richardson Life & Pensions Ltd Maher and Richardson Life and Pensions Dun Laoghaire Co Dublin A96XOK5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		

Ref No.	Name & Address	Institution	Authorisation	•	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C4285	Maher & Richardson Limited Maher and Richardson Dun Laoghaire Dublin A96X0KS	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker		
C22294	Mainstream Fund Services (Ireland) Limited Oyster Point Temple Road Blackrock Co. Dublin	Section 10 Investment Firm N/A	18 Apr 1996	No	(g) Collective Investment Schemes		
C2008	Majestic Financial Services Ltd 21 Woodcliff Heights Howth Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C47563	Malone Life & Pensions Limited Unit 30A Parkway SC Dublin Road Limerick V94F240	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C146212	Mamcol Limited t/a Trust Matters Suite 6, Woodford Court Woodford Business Park Dublin 9 Dublin	Investment Intermediary	18 May 2016	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C43280	Maples Fund Services (Ireland) Limited 32 Molesworth Street Dublin 2	Section 10 Investment Firm N/A	5 Oct 2006	No	(g) Collective Investment Schemes	(c) Collective Investments	

Ref No.	Name & Address	InstitutionAuthorisationTypeDateCategory &Sub-Category	Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>	
				Business Service	Investment Instruments		
C1475	Marine & General Insurances Designated Activity Company t/a Clondalkin Mortgage Centre, Marine & General Insurances & Financial Services Dolcan House 80 Tower Road Clondalkin Dublin 22 D22N6F6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C63996	Mark Cahill t/a Mark Cahill Financial Services, North Dublin Mortgages, Pension Dublin, Company Pension 38 Drynam Avenue Kettle Lane Kinsealy Co Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C425228	Mark Cahill Financial Services Limited t/a Mark Cahill Financial Services, North Dublin Mortgages, Company Pension, Pension Dublin 38 Drynam Avenue Co. Dublin	Investment Intermediary	26 Aug 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C134618	Mark Gallagher t/a Smart Financial Units 1 & 2 Greenhills Centre Greenhills Road Walkinstown Dublin 12	Investment Intermediary	2 Mar 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C120030	Mark Gilmore t/a MG Financial Solutions 5 Owenmore View Sligo F91D7N0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C6642	Marmion Stewart Insurances Ltd 6 Willow Park Road Glasnevin Dublin 11	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C1477	Martin & Garvan Insurances Limited 96 Upper Drumcondra Road Dublin 9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C138473	Martin Chapman t/a Squirrel Investing 208 Collins Avenue East Killester Dublin 5 D05KR67	Investment Intermediary	30 Sep 2015	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C49285	Martin Donegan t/a Donegan Life & Pensions 22 Otteran Place South Parade Waterford X91 W864 X91 W864	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C44465	Martin Hillery 8 Elmwood Naas Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C119913	Martin Leyden Financial Services Limited EBS Hardiman House 5 Eyre Square Galway H91FPK2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C8898	Mason Financial Services Ltd t/a Mason Financial Services, Mason Mortgage Brokers, Mason Wealth Management 73 Lower Leeson Street Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C5586	Matthew Fish t/a Matthew Fish Financial Services Unit D15 Nutgrove Office Park Rathfarnham Dublin D14HY00	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C133655	Matthew Kennelly Financial Services Limited EBS 41 Main Street Portlaoise Laois R32K6NN	Investment Intermediary	10 Dec 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C30122	Maven Financial Planning Limited t/a Maven Financial Planning Block 3 GFSC Moneenageisha Road Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C98750	MB Planning Limited t/a Clear Financial Premier Office Centre Rathcoole Dublin D24K519	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C48514	MBC Financial Limited t/a MBC Financial Building 5200 Cork Airport Business Park T12FDN3 Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5560	Mc Carney Financial Services Ltd 29 Whitworth Road Dublin 9 D09E5C9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		Client Money	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C6796	Mc Carthy & Associates Financial Consultants Limited WATERVILLE HOUSE WOODQUAY Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C44617	Mc Geough Financial Consultants Limited Market House Letterkenny Donegal F92C92T	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C4297	McCarthy Brokers (Listowel) Limited McCarthy Brokers (Listowel) Limited Listowel Kerry V31YY98	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker		
C2006	McCarthy Investment Services Limited 21 South Mall Cork T12AT2X	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C32426	McCormack Town & Country Properties Ltd t/a DNG McCormack Properties 1 Tullow Street Carlow R93H7V0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5226	McEntee Financial Services Limited Suite 3 4 Chancery Place Smithfield Dublin 7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C5260	MCG Financial Services Limited Emmet Row Bandon Co Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C417776	McGuire Liston Financial Services Limited t/a McGuire Liston Financial Courtyard Business Centre Suite 1 Courtyard House Lewis Road Killarney Co. Kerry	Investment Intermediary	8 Dec 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li><li>(f) Deposit Agent/Broker</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2373	McMahon Galvin (Life & Pensions) Limited 59 Amiens Street Dublin D01P786	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C152472	MCPC Financial Services Limited t/a Coyne McGill Financial Services The Halls Quay Street Galway H91CFW6	Investment Intermediary	27 Oct 2016	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C32439	McQuinn Financial Services Ltd t/a McQuinn Consulting Oakview Tralee Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C34420	MDC Mortgage Brokers Ltd t/a Irish Mortgage Brokers, Yes 619 North Circular Road Dublin 1 Dublin Dublin D01FT67	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		n Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C13702	MDG Investment Solutions Limited t/a Investment Intelligence Unit 13 Mayne River Street Malahide Road Dublin D17VK54	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C38705	MDL Financial Consultants Limited 9 Churchview Square Cavan H12T250	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5139	Mel Shanley t/a Quantum Life Ireland 23 Grattan Square Dungarvan Waterford X35H722	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C140119	Melalex Financial Limited t/a Kavanagh International Wealth Management 3 Joyce House Ballincollig Cork	Investment Intermediary	27 Nov 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1791	Mentone Insurances Limited 12 Claridna Park North Dun Loaghaire Co Dublin A96V6F9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C29381	Meritas Financial Advisors Limited Meritas Financial Advisors Burton Hall Road Sandyford Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address		ed Activities	<b>Tied Agents</b>			
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C7458	Meritus Wealth Management Limited t/a Meritus, Meritus Private Clients 83 South Mall Cork T12Y15N	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C65237	Metis Ireland Financial Planning Limited t/a Metis Ireland 88 O'Connell Street Limerick V94 RPC5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C87611	MGM Financial Services Limited t/a MGM Financial Services Suite 12 Grange Rd Office Park Grange Road Rathfarnham Dublin 16	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C35508	MIB Insurance Services Limited 10 Herbert Lane Dublin D02PW61	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting		
C42875	Michael Cassidy Financial Services Limited 26 Market Square Navan Meath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C111889	Michael Curran 3 The Poplars Carlow	Investment Intermediary	27 Nov 2014	No	Investment Advice (f) Deposit Agent/Broker		
C42023	Michael Deasy Financial Solutions Limited t/a Deasy Financial 29.Longwood Drogheda Louth A92V129	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C49388	Michael Dowling Mortgage & Financial Services Limited t/a Dowling Financial 21 Fairview Fairview Dublin 3 D03K4H0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C30137	Michael Fogarty t/a Tom Fogarty Insurance Brokers 16 Bank Place Tipperary E34H290	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C35500	Michael Fogarty & James Barlow t/a Fogarty Barlow Financial Services 16 Bank Place Tipperary Town E34H290	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4282	Michael G. Kilbride t/a M.G. Kilbride Insurance Services 44/47 Tullow Street Carlow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C132894	Michael Gerard Teehan t/a Perklife 102 Iveagh Gardend Dublin 12 D12FK80	Investment Intermediary	2 Dec 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C43380	Michael Hannon t/a Michael Hannon Financial Services Goeratleva Claregalway Galway H91W5EY	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	<b>Date</b>	Rules	Business Service	Investment Instruments	
C5856	Michael Kelly t/a Cloonfinish Investments & Insurance Consultants CLOONFINISH SWINFORD Mayo F12YE26	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C11223	Michael Kiernan t/a MyAdviser 11 Anglesea House 63 Carysfort Avenue Blackrock Dublin A94X792	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C40899	Michael Long t/a Sense Financial Clonsilla East Gorey Wexford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C49253	Michael McLoughlin 119 St Gabriel's Cabinteely Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C45483	Michael Murphy Ballycannon Croagh Limerick V94RX0C	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C7976	Michael Ronan t/a Michael Ronan Insurances Carhugarriffe Leap Skibbereen Cork P81PF62	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C5920	Michael Scroope Insurances Limited t/a Scroope Wealth Management, Michael Scroope Insurances 1 Kickham Street Nenagh Tipperary E45DD80	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C607	Mick MacClancy Financial Services Limited EBS d.a.c. Unit 9 Phibsboro SC Phibsboro Dublin 7 D07P602	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C39517	Midwest Financial Services Limited t/a IMBA, LIFECOVER4U Suite A2 Charlotte Quay Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C134495	Mike Grogan 117 The Drive Hazelhatch Park Celbridge Kildare	Investment Intermediary	18 Feb 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C35378	MKODC Financial Limited t/a Southern Life 16 Cook Street Cork T12WFF8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C132433	MLMG Financial Advisors Limited t/a MLMG Financial Brokers St Helens St Oran's Road Buncrana Donegal F93C59X	Investment Intermediary	1 Dec 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C435456	MMG Financial Services Limited 1.5 Oriel Hub Finnabair Industrial Estate Dundalk Co. Louth	Investment Intermediary	22 Apr 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(a) Listed shares and bonds (c) Collective Investments	
C124650	MMPI Financial Services Limited t/a MMPI, New Money MMPI Financial Services Limited Donnybrook Village Dublin D04T0C2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C65283	MÓB Financial Services Limited Bushfield House 57 Bushfield Square Philipsburgh Avenue Fairview Dublin 3	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C154250	Money Maximising Advisors Limited Unit 33 Kilkerrin Park Liosban Industrial Estate Tuam Road Galway	Investment Intermediary	7 Oct 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C109580	Money Plus Financial Brokers Limited t/a Money Plus Money Plus Sligo F91X089	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C45858	Money Sense Limited t/a Money Sense Financial Services 13 Priory Paddocks Muckross Road Killarney Kerry V93E9T8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C109807	Money Smart Limited t/a Money Smart 13 Rectory Way Herbert Road Bray Wicklow A98K272	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C148587	Moneybox Financial Limited t/a Moneycube The Brickhouse Block 1 Clanwilliam Court Lower Mount Street Dublin 2	Investment Intermediary	21 Dec 2016	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C45896	Moneystones (Financial Advisers) Limited Unit 12 Rathborne Ashtown Dublin D15XKN5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1883	Moneywise - Financial Planning Limited 6A Old Dunleary Road Co. Dublin A95H5X2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C630	Mongelia Limited t/a Blue Sky Mortgages, TMC Life and Pensions, Blue Sky General Insurance Brokers, TMC General Brokers, Blue Sky Financial 45 Dublin Street Dundalk Louth A91C658	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C55195	Moore Wealth Management Limite Suites 3 & 4 Marine Point Belview Waterford	d Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C41875	Morgan Stanley Fund Services (Ireland) Limited The Observatory 7-11 Sir John Rogerson's Quay Dublin 2	Section 10 Investment Firm N/A	16 Jun 2006	No	(g) Collective Investment Schemes	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(I) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(jj) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(l) Hybrid Instruments</li> </ul>	
C423594	Morrison Financial Planning Limited 38 Delwood Road Castleknock Dublin 15	Investment Intermediary	14 Oct 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C64919	Mount Street Trustees Limited t/a Mount Street Group 95 Sandymount Road Sandymount Dublin 4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C67789	MS Absolute Finance Limited t/a Absolute Finance Acorn Business Centre Blackrock Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	Gerard Lucey
C54730	MUFG Fund Services (Ireland) Limited t/a MUFG Investor Services Ormonde House 12/13 Lower Leeson Street Dublin 2	Section 10 Investment Firm N/A	15 Sep 2009	No	(g) Collective Investment Schemes	(c) Collective Investments	

Ref No.		InstitutionAuthorisationTypeDateCategory &Sub-Category		Authorise	ed Activities	<b>Tied Agents</b>	
				Rules	Business Service	Investment Instruments	
C4950	Mulcahy Insurances Limited Main Street Macroom Co Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C188443	Mulcair Wealth Management Limited t/a Mulcair Financial Planning Ballymackeogh Newport Tipperary V94K2A0	Investment Intermediary	4 Jul 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C37537	Munster Insurances and Financial Limited t/a Construction Broker, Munstergroup, Payless Direct Home & Motor Insurance, Broker Solutions Ireland Bank House Bindon Street Ennis Clare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C37543	Munster Money Matters Limited t/a Munster Financial Services, Munster Group Life & Pensions, Munster Group Mortgages & Asset Finance Bank House Bindon Street Ennis Clare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1488	Murphy & Sheehy Insurances Ltd 11 Denny Street Tralee Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C40698	Murphy Insurances Youghal Limited Old Bank House Youghal Cork P36TK22	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting		
C40134	Murray and Spelman (Financial Services) Limited GFSC Tuam Road Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C184327	MyLife Financial Planning Ireland Limited t/a Wealthplan 51 Beaubec Drogheda	Investment Intermediary	5 Jul 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C50505	MyWealthManagement Limited t/a Sheahan Financial Planning, Sheahan Financial, MyLifeCover, ARF Pensions Ireland 51 South Mall Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C65882	N&D Life and Pensions Limited t/a Plan B Life Office A, Unit W3A Tougher Business Park Newhall Naas Co Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4442	Naas Insurance Consultants Designated Activity Company t/a Naas Insurance and Finance 15 South Main Street Naas Co. Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C4826	National Insurance Ltd t/a Insurance4U, National Pension Solutions, National Financial Solutions, Insure My Gadgets, Insure My Travel 47 Upper Dorset Street Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C36350	Navigation Wealth Limited t/a Navigation Wealth Northpoint House Mallow Road Cork T23AT2P	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C138873	Neil McGroarty Suite 3 & 4, Bracetown Business Park Clonee Co Meath	Investment Intermediary	18 Nov 2015	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C46923	Neiland Financial Services Limited t/a Neiland Financial Services, Ace Mortgage Solutions Carrig House Roches Road Wexford Y35X06K		1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C129707	Nelson Life Limited t/a Nelson Life Unit 9 Dockgate Dock Road Galway H91V6RR	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C173434	Neptune Magnus Financial Solutions Limited t/a Neptune Financial 1 Old College Hall Ard Nua Ballinode Sligo	Investment Intermediary	5 Mar 2018	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C162088	Nest Egg Life and Pensions Designated Activity Company t/a Nestegg Life and Pensions Pullamore Cavan H12P403	Investment Intermediary	20 Mar 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C149677	Nestegg Wealth Brokers Limited t/a MyNestEgg.ie Suite 1, Portmarnock Business Centre, Strand Road Portmarnock County Dublin D13DW9T	Investment Intermediary	8 Jul 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2032	Neville & Partners Limited t/a Neville & Partners & itsyourmoney 59 Shrewsbury Lawn Cabinteely Dublin 18	Investment Intermediary	14 Sep 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C144129	New Beginning Financial Services Limited t/a Employee Financial Wellness, New Beginning Financial Planning, Retirement Choices, NBFP Private 22A Main St Blanchardstown Dublin 15 D15N2F5	Investment Intermediary	16 Dec 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C88141	New Star Financial Management Limited 2nd Floor Blackhall Street Mullingar Co Westmeath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C42649	NFP Ireland Consultants Limited t/a NFP Ireland, NFP 62 Mulgrave Street Dun Laoghhaire Dublin A96WY2V	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C152001	Niall O'Regan Financial Services Limited The Red House Corbally Barna Galway V93FN8P	Investment Intermediary	24 Aug 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2937	Niall Sullivan t/a Future Financial Plunkett Chambers Business Centre Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C93178	Nick Lawlor Financial Planning Limited t/a Smart Money 43 Burnell Park Ave Castleknock Dublin D15WFH1	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C48371	Nixon Alexander Financial Services Limited Meetinghouse Street Raphoe Donegal	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C48485	Noel Forde t/a GMAC Financial Main Street Castletownbere Cork P75H589	Investment Intermediary	22 Jul 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C131754	Norma O'Neill Collins t/a Fealeside Financial Services 5 The Square Listowel Kerry V31TX34	Investment Intermediary	7 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		Authorisation Client Money	Authorised	Activities	Tied Agents
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C427758	Northampton Financial Services Limited t/a D Moloney Financial Services Northampton Kinvara Co Galway	Investment Intermediary	30 Oct 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds	
C20892	Northern Trust Fiduciary Services (Ireland) Limited George's Court 54-62 Townsend Street Dublin 2	Section 10 Investment Firm N/A	26 Aug 2003	No	(h) Custodial Operations	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(l) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(j) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(l) Hybrid Instruments</li> </ul>	
C20855	Northern Trust International Fund Administration Services (Ireland) Limited George's Court 54-62 Townsend Street Dublin 2	Section 10 Investment Firm N/A	13 Sep 1996	No	(g) Collective Investment Schemes	(c) Collective Investments	
C34689	Northside Mortgages Limited t/a Quality Mortgage Services Northside Mortgages Limited Mayfield Shopping Centre Mayfield Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C455829	NPFS Advisors Limited 29 Terenure Road East Rathgar Dublin 6	Section 10 Investment Firm N/A	16 Sep 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		on Client Money	Authorise	<b>Tied Agents</b>	
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C4323	O' Dowd Donnelly Carbin (Insurance Brokers) Ltd t/a O'Dowd Donnelly Carbin (Insurance Brokers) Ltd Caslte Centre Bridge Street Donegal F94Y7HR	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting		
C65992	Oaktree Financial Services Limited t/a Oaktree Financial Services, Oaktree Mortgage Services, Oaktree Insurance, Oaktree Mortgages 10 Pearse Square Fermoy Cork P61HF67	Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C130734	Oakwood Financial Advisors Limited 64 Mount Street Lower Dublin 2 D02TH77	Investment Intermediary	15 Jul 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C3169	Oates Breheny Financial Services Limited t/a Oates Breheny Group Millbrook House Sligo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C5168	OBF Insurance Group Limited Bridge House Baggot Street Bridge Dublin 4 Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C41668	OBI Wealth Management Limited 22 Hartstonge Street Limerick V94328K	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	ation Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		<b>Business Service</b>	Investment Instruments	
C38771	OBN Financial Services Limited t/a OBN Financial Services OBN Financial Services Carrick on Shannon Leitrim N41E5D4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C4333	OCI Insurance Brokers Limited 74/75 JKL Street Edenderry R45H211	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C144209	ODM Financial Ltd t/a ODM Financial, ODM Financial Advisers ODM Financial Limited Bandon Cork	Investment Intermediary	11 Feb 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5296	O'Driscoll O'Neil (Life and Pensions) Ltd 17 Herbert place Dublin 2 DO2 FP52	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29262	OFM Financial Limited t/a Omega Financial Management Omega Financial Management Beacon Court Sandyford Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C438104	O'Keeffe Financial & Mortgage Services Limited 13D Main Street Ongar Village Dublin 15	Investment Intermediary	2 Dec 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C92278	O'Leary Financial Management Limited t/a O'Leary Financial Management Unit 1C The Palms Centre The Avenue Wexford Y25EY68	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C11237	O'Leary Insurances Life & Pensions (Galway) Limited t/a O'Leary Financial Planning Unit 8 Liosban Business Park Tuam Road Galway H91AK8V	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2388	O'Leary Life Limited O'Leary Life Ltd Blackrock Cork T12C43C	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C164810	Oliver Buckley Life and Pensions Limited 25 Castlepark Dooradoyle Limerick	Investment Intermediary	27 Jul 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C1853	Oliver Murphy Insurance Brokers Limited t/a Coverme, Vancover GLADSTONE HOUSE 50 THE QUAY WATERFORD X91TNR3	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C50349	Ollie Moran Financial Services Limited Unit 5C Whitethorns Castletroy Limerick V94R65R	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.		InstitutionAuthorisationTypeDateCategory &Sub-Category	<b>Client Money</b>	Authorise	<b>Tied Agents</b>		
			Date	Rules	<b>Business Service</b>	Investment Instruments	
C135240	OMA Financial Services Limited t/a Q Financial Advisors, Q Moneyhub, Q Mortgage, Pension and Life Advisors, Q Pension Planning, Zoom Mortgage, Pension and Financial Advisors, Zoom Pension Planning Unit 22A Claregalway Corporate Park Claregalway Co Galway H91 NW K7	Investment Intermediary	18 Feb 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5197	OMAC (Life & Pensions) Limited t/a OMAC Life 18 Duke Street Drogheda Louth A92PF97	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C2378	O'Mahony (Insurances) Limited t/a OMI EXHAM HOUSE THE FINGERPOST DOUGLAS CORK T12PDD2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C46922	O'Mahony Actuarial & Pensions Legal Services Limited Sunglin Hainault Road Foxrock Dublin D18W8C7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C438133	O'Neill Foley Financial Services Limited t/a O'Neill Foley Financial Services Patricks Court Patricks Street Kilkenny	Investment Intermediary	19 Aug 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	InstitutionAuthorisTypeDateCategory &Sub-Category		<b>Client Money</b>	Authorised	Activities	<b>Tied Agents</b>
			Date	Rules	Business Service	Investment Instruments	
C72521	Opes Financial Planning Limited 12 PARKLANDS OFFICE PARK SOUTHERN CROSS ROAD BRAY Wicklow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	Opes Financial Planning International Limited
C54742	Opes Private Clients Limited t/a Opes Wealth Trust 192-194 Harolds Cross Road Harolds Cross Dublin 6W D6WAP86	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C97338	OPUS Financial Limited t/a Culleton Financial Suite 19, 6th Floor, Corporate Office Suites, The Atrium, Maritana Gate Canada Street Waterford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	Michael Harrison
C181990	Opus Fund Services (IRE - Admin) Limited t/a Opus Fund Services (IRE - Admin) Limited The Courthouse Court Street	Section 10 Investment Firm N/A	25 Apr 2019	No	(g) Collective Investment Schemes		
C35872	ORCA Financial Limited t/a Oomph Ground Floor The Grange Offices Stillorgan Road Blackrock Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C44557	Orchid Financial DAC t/a Orchid Financial Orchid House Killarney Kerry V93PT04	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C64217	Oregan Financial Services Limited t/a MMPI 101 Morehampton Road Donnybrook Dublin D04 T0C2.	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2519	O'Riordan Insurances Limited t/a Simplyinsure O'Riordan Insurances Ltd Cork T12PH9D	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C167654	Osborne Financial Planning Limited t/a Osborne Financial Kilrush Athy Kildare R14YA57	Investment Intermediary	3 Nov 2017	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C8182	O'Sullivan Tierney Financial Management Ltd 13 Ballsbridge Avenue Ballsbridge Dublin 4 D04F6R6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C6771	Owen Dwyer & Claire Hanrahan t/a Personal & Corporate Professional Financial Services, Irish Pensions & Finance Suites 3 & 4 Gowna Plaza Bracetown Business Park Clonee Co Meath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C2404	P & A McMahon Life & Pensions Ltd 64 Patrick Street Dun Laoghaire Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		ion Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C55285	P. Dempsey Financial Limited t/a Dempsey Financial Solutions Unit 2, Monterey Court Salthill Galway H91K38E	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C4332	P. J. F. Insurances Limited t/a PJ Fitzgerald Insurances PJ Fitzgerald Insurance Kinsale Cork P17HT29	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C71943	P. O'Loughlin Financial Services Limited t/a O'Loughlin Insurance Group 1st Floor Little North Street Swords Co Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C8197	Padraic Kissane t/a Padraic Kissane Financial Services Unit A3 Nutgrove Office Park Rathfarnham Dublin 14 D14W5E5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C4851	Padraic Smith & Co. Limited 8-9n marino mart Dublin 3 D03P590	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C128735	Padraig Duggan Cildarmel House Joristown Upper Raharney Killucan Co.Westmeath	Investment Intermediary	19 May 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	(kk) Tracker Bonds	

Ref No.	Name & Address	Institution	Authorisation		Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C3365	Padraig Malone Unit 30A Parkway SC Dublin Road Limerick V94F240	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C35648	Park Mortgage Company Limited t/a Leddin Finance Unit 22, 1A Upper Cranford Centre Montrose Dublin 4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C134408	Park Worth Financial Limited Summerhill Road Dunboyne Meath A86ED82	Investment Intermediary	26 Mar 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C7137	Parkchester Limited t/a Wealthcare Unit 5 The Riverfront Howley's Quay Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C2201	Parliament Financial Services Limited Parliament House Kill Village Naas Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds	
C5777	Pat Connolly t/a Patrick C Connolly Insurances Stoneville House Rosscarbery Cork P85YK64	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.		InstitutionAuthorisationTypeDateCategory &Sub-Category	on Client Money Rules	Authorise	<b>Tied Agents</b>		
				Business Service	Investment Instruments		
C51731	Pat Cross Financial Consultants Limited 2 Park Gardens Corbally Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2452	Pat Donnellan t/a Pat Donnellan Financial Services 8 Ballygoran Court Celbridge Kildare W23AE03	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C2630	Pat Hardiman Insurances Ltd t/a Hastings Insurance The Shambles Vicar Street Tuam Galway H54WY07	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C39292	Pat Treacy Insurance Brokers Limited t/a Landmark Financial Solutions 261 Crumlin Road Crumlin Dublin D12XY04	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C54517	Patrick Hartigan t/a Select Financial Services Cappateemore Meelick Clare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C32688	Patrick Hopkins t/a Hopkins Financial Consultants 107 Trimbleton Goatstown Road Goatstown Dublin D14P286	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C56192	Patrick Joseph Greene Milehill Castleblayney Monaghan	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C28545	Patrick Lee t/a Lee Insurance Brokers 34 Vevay Road Bray Wicklow A98N402	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C40397	Paul Corcoran t/a Corcoran Financial Services Ballyfoyle Co. Kilkenny	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C44595	Paul Deane t/a Deane Financial Advice 20 Ardfield Grove Grange Douglas Co Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C40695	Paul Earley Financial Planning Limited t/a Earley Consulting Distillery Lane Mill St Maynooth Kildare W23X8Y6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C32722	Paul Fitzpatrick t/a Paul Fitzpatrick Financial Services Cavan Co. Cavan H12XOX6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C13683	Paul Joyce and Maureen O'Reilly t/a Joyce Consultants 2 Croi Faoin Tuath Coolboy Tinahely Co. Wicklow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C8210	Paul Kinane Financial Services Limited 22 Sandyford Office Park Blackthorn Avenue SANDYFORD BUSINESS PARK Dublin 18 D18X5X4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C118220	Paul Looby 55 Ailesbury Road Ballsbridge D04F8C0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C50207	Paul McCarville and Joe Mottley t/a Clarus Investment Solutions Suite 403 22/23 Upper Pembroke Street Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C139003	Paul O'Brien t/a Castle Investments & Finance Presentation House Mullingar Westmeath N91VNY3	Investment Intermediary	16 Sep 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C5446	Paul Ryan Pension and Financial Consultants Limited 19 Greenmount House Greenmount Office Park Harolds Cross Road Dublin 6w D6WEW81	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C32738	Paul Tinney t/a Cornmarket Financial Services Level 4 Cornmarket Square Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.		Institution	Authorisation	•	Authorise	<b>Authorised Activities</b>		
		Type Date Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments		
C50617	Pax Asset Management Designated Activity Company t/a AskPaul, Pax Financial 2nd Floor Maple House Lower Kilmacud Road Stillorgan Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C38114	PCS Financial Services (Kerry) Limited t/a Employee Pension Education, Premier Financial Services, Premier Financial, Employee Pension Advisors, ELife, EInvest, Premier Life, EChoice, EAdvice Beechgrove House Strand Street Tralee Kerry V92FHOK	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C111690	Pearce Financial Limited t/a Pearce Financial Planning A1 Nutgrove Office Park Dublin D14H5C7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C448310	Peavoy Financial Planning Limited Office 5b Portlaoise Enterprise Centre Clonminam Business Park Portlaoise Co. Laois	Investment Intermediary	25 May 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		
C95005	Pegasus Equity Limited t/a Pegasus Financial Consultancy Clash Leamlara Co Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>		

Ref No.	Name & Address	Institution	Authorisation		Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C1264	Penco Insurances Ltd t/a Penco, Which Mortgage The Black Church St Marys Place Dublin 7 D07P4AX	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C44597	Pension Investment & Property Trustees Limited 8 Sandford Road Ranelagh D06R2H4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C97857	Peter Duggan Financial Services Limited 17 O'Curry Street Limerick V94NPY7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C54797	Peter Nolan t/a Peter and Mena Nolan Financial Services Rockeden Tralee Kerry V92A115	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C54531	PFP Financial Services Limited 29 Terenure Road East Rathgar Dublin 6 D06RT93	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C163621	PFS Financial Planning Limited t/a Power Wealth Advisors Unit 10 Block 3 City North Business Campus Gormanston Meath	Investment Intermediary	18 May 2017	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C36950	PGM Financial Services Ltd Mine Hill Business Centre Ballycorus Kilternan Dublin 18	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C38712	PHD Financial Services Limited t/a Ocean Mortgages, Ocean Finance, Ocean.ie Finance House 46 Prussia Street Dublin 7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C597	Phil Reddin Financial Services Limited 2 St Agnes Road Crumlin Village Crumlin Dublin 12	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C32766	Philip Farrelly & Co Financial Services Ltd t/a Mortgage Ireland, Farrelly Financial Farrelly Financial 2 Kennedy Road Navan Meath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C1284	Pike Insurance Services Designated Activity Company t/a Joe Murphy Insurances, JUST 4 U, Pike Insurance Services 8 Marine Road Dun Laoghaire A96X8Y6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C422801	Pinnacle Financial Limited t/a My Life Insurance, Pinnacle Financial 5-9 Terenure Place Terenure Dublin 6W	Investment Intermediary	23 Oct 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		ation Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C142189	Pivotal Database Services Limited t/a Pivotal Financial Services Mill Lodge Donadea Kildare W91K8N5	Investment Intermediary	18 Dec 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C43565	PJ Farrell t/a Farrell Financial Services Coolanickbeg Oilgate Enniscorthy Wexford Y21T103	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C422163	Planalife Limited Bastion Street Athlone Co. Westmeath	Investment Intermediary	12 Feb 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li><li>(f) Deposit Agent/Broker</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1655	PM Brennan Financial Brokers Limited t/a Irish Health Insurance, IHI Group, IHI Healthcare, IHI Financial 2 Tower Road Clondalkin Village Dublin 22	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C38787	PMCN Financial Services Designated Activity Company t/a Moore Financial Planning Pamdohlen House Limerick V94XE61	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C51076	Porter Private Clients Limited t/a Porter Private Clients Millennium House Stephen Street Sligo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		on Client Money	Authorise	d Activities	<b>Tied Agents</b>
		TypeDateCategory &Sub-Category	Date	Rules	Business Service	Investment Instruments	
C29492	Power Life & Pensions Limited Kitestown Co Wexford Y35T205	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C35467	Practical Financial Services Ltd Unit 2 Galway Technology Park Parkmore Galway H91KFD3	Investment Intermediary	23 Sep 2015	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C136376	Precision Financial Consultants DAC 40 Mount Argus Road Dublin	Investment Intermediary	26 Mar 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C42652	Prendergast Maguire Financial Services Limited Mount Kennedy Town Centre Newtownmountkennedy Wicklow A63RX23	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C11208	Primafinance Limited t/a PrimaFinance Debt Solutions 77 New Street Killarney Kerry V93YCY5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4348	Priority Insurances DAC t/a Priority Insurance and Finance Solutions Halpin House High St Trim Meath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		on Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date		Business Service	Investment Instruments	
C156200	Prisma Financial Limited Unit 1 Riverview Commercial Centre Main Street Kilbeggan Co. Westmeath N91 H6K3	Investment Intermediary	4 Nov 2016	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C425096	Priya Wealth Management Limited Scurlockstown Dublin Road Trim Co. Meath	Investment Intermediary	7 May 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C46725	Proactive Finance Limited Convent Street N/A Abbeyfeale Limerick V94R599	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C54785	Professional Wealth Management Services Limited t/a Pro Wealth 17 Bridgecourt Office Park Walkinstown Avenue Dublin D12VH61	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C2700	Promotions Direct Limited t/a Sheridan Insurances Promotions Direct Ltd T/A Sheridar Insurances Cavan H12K5X2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C432061	Protection & Prosperity Financial Services Limited t/a Protection & Prosperity Financial Services 13 Crowe Street Dundalk Co Louth	Investment Intermediary	4 Dec 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C178583	Provest Private Clients Limited t/a Provest Private Clients, Provest Pension Consultants No 5 The Mall Douglas Cork T12XN9Y	Investment Intermediary	23 Jul 2018	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C3346	Providence Finance Services Ltd t/a Money Doctors Money Doctors Stillorgan Dublin A94A2F7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C1355	Provident Financial Group Limited 131 Rathgar Road Rathgar Dublin 6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C193592	Prudent Financial Planning Ltd t/a Integral Financial Planning 13 Classon House Dundrum Business Park Dundrum A91R592	Investment Intermediary	24 Jun 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(a) Listed shares and bonds (c) Collective Investments	
C158525	Prudent Management & Financial Services Limited t/a Prudent Financial, Prudent Environmental Investments 8 Terenure Road East Rathgar Dublin	Investment Intermediary	8 Feb 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C435644	Prudential Wealth Management Limited t/a Prudential Wealth 5 Lower Rowe Street Wexford Y35RDX9	Investment Intermediary	26 Jan 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C45975	Public Sector Life & Pensions Limited Gortalinny Lodge Gortalinny North Kenmare Kerry V93R5FW	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C163443	Pure Finance Limited 29 Johnstown Road Dun Laoghaire Dublin	Investment Intermediary	18 Aug 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C47498	Pyramus Capital Partners Limited First Floor 64 O'Connell Street Limerick	Section 10 Investment Firm N/A	15 Nov 2007	No	(i) Designated Investment Funds		
C51240	Qifa Wealth Advisors Limited t/a Qifa Financial Planners, Talk To Sean 5 Chapel Lane Mallow Cork P51XY01	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C51965	Quest Capital Advisors Limited 9 Fitzwilliam Square Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C93716	Quinlan Wealth Services Limited t/a Quinlan Financial Services 14 Summerhill Nenagh Tipperary	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C40861	Quintas Wealth Management Limited Quintas Wealth Management Blackpool Park Blackpool Cork	Section 10 Investment Firm N/A	2 Dec 2008	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker (i) Designated Investment Funds	<ul><li>(a) Transferable Securities</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C2226	R J Croly & Co Ltd 4 Finsbury Parl Dublin D14K793	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C7203	R.J. Walsh Consulting Limited t/a Walsh Consulting The Business Centre Lapps Quay Cork T12YR9Y	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C152341	Raglan Street Private Limited 73 Carrs mill Donabate Dublin K36F990	Investment Intermediary	11 Jul 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C621	Rahin Financial Services Limited Unit 1 The Crescent Shopping Centre Dooradoyle Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C4595	Raymond O'Neill (Insurances) Ltd 4 Bridge Street Bandon Cork P72YH26	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C22820	RBC Investor Services Ireland Limited 4th floor One George's Quay Plaza, George's Quay, Dublin 2	Section 10 Investment Firm N/A	19 Jun 1997	No	(g) Collective Investment Schemes	(c) Collective Investments	

Ref No.	Name & Address	Institution		Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C38797	Reade Pensions & Financial Services Limited t/a Reade Pensions & Financial Services, ARF Experts 7 Abbey House Clonee Co. Meath D15N5CE	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C84697	Reality Financial Services Limited t/a Reality Check Milltown Road Tuam Galway H54Y335	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C40991	Reddan O'Brien Henry Limited t/a Don Reddan Financial Services Reddan O'Brien Henry Ltd Limerick V94N963	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C139747	Redesdale Capital Limited Fitzwilliam House Fitzwilliam Place Dublin 2 D02V882	Investment Intermediary	15 Dec 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C55406	Reklaw Limited t/a Walker Financial Management Pump Cottage Donadea Kildare W91X6N3	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1507	Revoclife Limited t/a Gateway Insurances & Investments Magazine Road Athlone Westmeath N37Y5F6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.		InstitutionAuthorisationTypeDateCategory &Sub-Category	<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>	
				Rules	Business Service	Investment Instruments	
C169146	RHCLD Limited t/a Citywide Financial Solutions 238 Swords Rd Santry Dublin	Investment Intermediary	22 Dec 2017	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C30360	Richard Barr t/a Malahide Insurance Shop Stone Hill The Hill Malahide Co.Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C45859	Richard Crotty t/a Crotty & Co. Unit 5 AF Crestfield Centre Glanmire Cork T45XK77	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C128260	Richard Kingston t/a Kingston Financial Services Cashelmore Bandon Co. Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C36965	Richardson Insurance Solutions DAC 1st Floor, Unit 4 Ballyogan Business Park Ballyogan Road Dublin D18R2T0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C13696	Richmond Financial Services (RFS) Limited EBS Office Edward Street Newbridge Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C30375	Riordan Financial Brokers Limited t/a Riordan Financial Riordan Financial Charleville Cork P56FT85	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C4780	Robert Blythman & Associates Ltd 98 Patrick Street Dun Laoghaire Dublin A96R282	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C47962	Robert James Cooney t/a Cooney Wealth Management 57 Main Street Blackrock Dublin A94A2Y0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C56745	Robert Moynihan Limited Fyfield Rock Road Blackrock Dundalk Louth	Investment Intermediary	1 Oct 2014	No	Investment Advice (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2135	Robert Ryan Insurances (Limerick) Limited t/a Urlife 4th Floor Insurance House Limerick V94RY28	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C176235	Roberts Nathan Financial Services DAC t/a Roberts Nathan Financial Services & Wealth Planning Penthouse Floor Cork	Investment Intermediary	26 Jul 2018	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	Tied Agents
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C134810	Rockcourt Financial Services Limited t/a Rockcourt Main Street Castlerea Galway F45YE63	Investment Intermediary	18 Feb 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C117291	Rockwell Financial Management Limited t/a Rockwell Financial Management, Rockwell Mortgages, Rockwell Insurance 103 Francis Street Dublin 8 D08 Y70F	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29370	ROISIN CLARKE AND COMPANY LIMITED Apt 9/115 Strand Road Dublin 4 D04KR62	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C191333	Ronnie Tanner Financial Services Limited 10 North Street Skibbereen Cork P81DH60	Investment Intermediary	22 Nov 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29380	Rose Hill Insurances Ltd 12 Sandyford Office Park Blackthorn Avenue Sandyford Dublin D18YR24	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C92705	Rose Private Clients Limited t/a Rose Private 6 Merrion Square Dublin D02FF95	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C91180	Rosemary Collins Limited t/a RMC Financial Services 11 The Meadows Ballybrit Galway	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C136064	Ross Connolly t/a Orca Homeloans 52 George's Avenue Blackrock Dublin	Investment Intermediary	29 May 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li></ul>	
C56064	Ross Curran Financial Services Limited t/a Curran Financial Services, Curran Futures 21 Mary Street Galway H91CC65	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1231	Rouse Insurance Brokers Limited 21 Pearse Street Ballina Mayo F26K7Y8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C51434	Roy Kennedy t/a Kennedy Financial Advisors 7 Fitzwilliam Street Lower Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C185660	Royal Oak Financial Services Limited Knockrigg House Wells Royal Oak Bagenalstown Carlow	Investment Intermediary	30 Oct 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C448572	Rubin Life & Pensions Limited Premier Office Centre Main Street Rathcoole Co.Dublin	Investment Intermediary	7 Sep 2021	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	(n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		Client Money	Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C165184	Ruth Mulcaire Financial Planning Limited Ruth Mulcaire Financial Planning Ltd Limerick Business Complex Raheen Business Park Limerick V94DN84	Investment Intermediary	28 Sep 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4783	S.A. Faughnan (Brokers) Ltd Ashtown Business Centre Dublin 15 D15K6WR	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C93734	Safe Life & Pensions Limited 9 Firgrove Park Cork T12FPA6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C57023	Samantha Nagle t/a Aspire Financial Services No 2 - No 4 Merville Road Stillorgan Co. Dublin A94E3F8	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C167233	Sandford Advisors Limited t/a SGL Finance 232 Blanchardstown Corporate Park 2 Ballycoolin Dublin	Investment Intermediary	10 Oct 2017	No	Investment Advice (6) Investment advice. (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C435379	Sanne Depositary Services Ireland Limited 4th Floor 76 Lower Baggot Street Dublin 2	Section 10 Investment Firm DAOFI	26 Mar 2021	No	(h) Custodial Operations	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(g) Rates/Indices Movements</li> </ul>	
C160478	Sanne Group Administration Services (Ireland) Limited Fourth Floor 76 Lower Baggot Street Dublin 2	Section 10 Investment Firm N/A	31 Aug 2017	No	(g) Collective Investment Schemes		

Ref No.	Name & Address	Institution		Client Money	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C2685	Sayers Insurances Limited The Square Castlemaine Killarney Kerry V93X735	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds	
C146819	Schofield Financial and Accounting Services Limited Suite 12, 3rd Floor, Ross House, Merchants Road, Galway	Investment Intermediary	20 Jul 2016	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C190760	Seamas O'Laighleis t/a Bua Investments, Bua Savings and Investments, Bua Financial Services The Greenway Block C, Ardilaun House 112-114 St.Stephen's Green Dublin 2	Investment Intermediary	22 Jan 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C29149	Seamus Teehan 4 Somerton Dun Laoghaire Dublin A96P2V5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting		
C49991	Sean Byrne t/a Select Financial Solutions, Blue Sky Mortgages The Ranch Dublin Road Monasterevin Kildare W34T384	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1710	Sean Coyne & Co. Ltd t/a Coyne & Culloty 2 Main Street Killarney Co. Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C38736	Sean Gallagher Financial Services Limited t/a SEAN GALLAGHER FINANCIAL SERVICES Financial Services Centre Mount Street Claremorris Mayo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C57050	Seán MacShéamais t/a Brendan James Financial Services, Carn Financial Services 5B Royal Court Business Centre Tuam Rd Galway H91AH72	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C119223	Sean McGreevy t/a McGreevy Financial Services Sancta Anna House Oranmore Galway H91XAV	Investment Intermediary	25 Nov 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C3375	Sean P. Matthews Insurances Limited t/a Sean P. Matthews Insurances Scarlet Street Drogheda Louth A92W409	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C55179	Sean Ryan Milltown Bagenalstown Carlow R21KT52	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C72131	Searing Point Wealth Management Limited Frankfort Building Dundrum Road Dublin 14 D14RX27	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C165527	Seaspray Financial Services Limited t/a Seaspray Private 25 Lower Leeson Street Dublin	Investment Intermediary	11 Oct 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C33792	Seatown Financial Services Limited t/a Avoca Financial Services 28 Seatown Place Dundalk Louth A91F903	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings</li><li>Accounts</li></ul>	
C190076	SEFS Limited t/a Southeast Financial Services, Southeast Mortgages and Financial Services, Southeast Mortgages, getmeamortgage.ie The Avenue Gorey Co Wexford Y25H7F8	Investment Intermediary	19 Jul 2019	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C24901	SEI Investments - Depositary and Custodial Services (Ireland) Limited Styne House, 2nd Floor Upper Hatch Street Dublin 2	Section 10 Investment Firm N/A	31 Jul 2007	No	(h) Custodial Operations	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(I) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(j) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(I) Hybrid Instruments</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C21811	SEI Investments Global Fund Services Limited Styne House, 2nd Floor Upper Hatch Street Dublin 2	Section 10 Investment Firm N/A	22 Jan 1996	No	(g) Collective Investment Schemes		
C65926	Shankill FS Limited t/a Shankill Financial Services, Smart Mortgages Station house Shankill Dublin 18 D18N1N2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1510	Sheeran Insurances Limited 2 sandyford office Park Blackthorn Avenue Dublin 18 D18KD50	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C142210	Shelbourne Financial Limited t/a Financial Health Financial Health 95 Merrion Square Dublin 2	Investment Intermediary	30 Sep 2015	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C144125	Shinners Financial Services DAC 5 Abbey St Clare V94Y8PE	Investment Intermediary	21 Jul 2016	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C53783	Signature Financial Planning Limited The Link Business Centre G1 Calmount Park Ballymount Dublin 12	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		on Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date		Business Service	Investment Instruments	
C97455	Silveredge Capital Limited t/a Silveredge Capital 88 Ranelagh Road Dublin D06CH64	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C103002	Simon Shirley Advisors Limited 64 Lower Mount Street Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C446485	Skearney Financial Limited t/a Amvoy Wealth 229 The Capel Building Mary's Abbey Dublin 7	Investment Intermediary	19 May 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C135239	Skevanish Limited t/a Cygnus Investment Advisors Innishannon Co Cork T12 K3KT	Section 10 Investment Firm N/A	20 Apr 2015	No	Investment Advice (6) Investment advice.	<ul><li>(a) Transferable Securities</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li></ul>	
C54701	Sligo Financial Services Centre Limited t/a Mulhern Leonard Debt Solutions 15 Mail Coach Rd Sligo	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C65387	Smart Choice Financial Brokers Limited t/a Smart Choice Financial Brokers, Smart Choice Kapamilya Insurance, Smart Choice Debt Management Unit 14A Ashleigh Centre Main Street Castleknock Dublin 15 D15F5XD	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C185733	Smart Finance Life Planning LTD Bawntaffe Monasterboice Drogheda Louth A92E2V3	Investment Intermediary	20 Jun 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C20900	SMT Trustees (Ireland) Limited Block 5 Harcourt Centre Harcourt Road Dublin 2	Section 10 Investment Firm N/A	11 Nov 2004	No	(h) Custodial Operations	(c) Collective Investments	
C29461	Societe Generale Securities Services SGSS (Ireland) Limited 3rd Floor IFSC House IFSC Dublin 1	Section 10 Investment Firm N/A	13 May 2003	No	(g) Collective Investment Schemes	(c) Collective Investments	
C38920	Softford Limited t/a FJ Hanly & Associates Financial Consultants 2nd Floor River Point Bishops Quay Limerick	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C447433	Sonas Financial Limited 19 Seacliffe Brittas Road Wicklow	Investment Intermediary	2 Jun 2021	No	(6) Investment advice.	(n) Personal Retirement Savings Accounts	
C1474	Sparrow Insurances Limited 5 Wesley Terrace Portlaoise Co Laois R32XK51	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C47665	Spartan Financial Solutions Limited Spartan Financial Solutions 56 Cathedral Court Ennis Clare V95RR26	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C183055	Spirit Financial Limited Number One, Cubes 2 Sandyford Dublin	Investment Intermediary	1 Feb 2019	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C53832	Squaremile Financial Consultants Limited t/a Squaremile Digital office Centre Balheary Road Swords Dublin K67E5A0	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C51012	SS&C Financial Services (Ireland) Limited t/a SS&C Financial Services (Ireland) Limited La Touche House IFSC Dublin 1	Section 10 Investment Firm N/A	24 Oct 2008	No	(g) Collective Investment Schemes	(c) Collective Investments	
C72977	Stan Pyke Alliance Insurance Skerries Dublin K34HX31	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type D Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C20909	State Street Custodial Services (Ireland) Limited 78 Sir John Rogerson's Quay Dublin 2	Section 10 Investment Firm N/A	15 Jan 2003	No	(h) Custodial Operations	<ul> <li>(a) Transferable Securities</li> <li>(b) Non-Transferable Securities</li> <li>(c) Collective Investments</li> <li>(d) Financial Futures Contracts</li> <li>(e) Commodity Futures</li> <li>Contracts</li> <li>(f) FRA Agreements</li> <li>(g) Rates/Indices Movements</li> <li>(h) Sale/Purchase Trans</li> <li>Securities</li> <li>(I) Borrow/Lend Trans</li> <li>Securities</li> <li>(j) Certificates</li> <li>(jj) Rolling Spot ForExc</li> <li>Contract</li> <li>(k) Options</li> <li>(kk) Tracker Bonds</li> <li>(l) Hybrid Instruments</li> </ul>	
C20863	State Street Fund Services (Ireland) Limited 78 Sir John Rogerson's Quay Dublin 2	Section 10 Investment Firm N/A	23 Oct 1996	No	(g) Collective Investment Schemes		
C438478	Steeples Financial Consulting LImited Stapleton House Stapleton Drive Dundalk Co Louth A91R258	Investment Intermediary	29 Apr 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C37716	Stephen Hamilton Financial Services Limited t/a Mortgageline, Northwood Financial Planning 25-27 Drumcondra Road Upper Dublin D09 Y880	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C134248	Stephen Lynn Financial Services Limited Zone C Mullingar Business Park Mullingar Co Westmeath N91 Y006	Investment Intermediary	23 Feb 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C43717	Stephen Mooney t/a Stephen Mooney Financial Services 15 Raheen Park Bray Wicklow	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C30984	Stephen Murphy t/a Stephen Murphy Financial Services Knockillaree Galway H91V8DD	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C128685	Stephenson Insurances Limited The Walls Racecourse Road Tralee Co. Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (6) Investment advice.		
C2234	Sterner Holdings Limited t/a Alliance Insurance Brokers, Alliance Financial Planning, The Wealth Shop Alliance 68 Church Street Skerries Co. Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C47926	Stevenson Horan Financial Services Limited t/a Eamonn Stevenson Financial Services Unit 4 Glenview Business Park Carnamuggagh Upper Letterkenny Donegal F92E658	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorised	Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C6448	Stuart Financial Services Ltd Stuart Financial Servics Ltd 17-21 Temple Road Blackrock Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1514	Sullivan Insurances and Financial Services Limited t/a Sullivan Insurances Pearse House Athlone Co Westmeath N37H6K5	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C118038	Summit Life & Pensions Limited t/a Summit Financial Planning 13 Upper Pembroke Street Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C9222	Sunrise Financial Planning Limited 34 O'Connell Street Limerick V94D9NV	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C434118	Sureplan Financial Limited t/a SurePlan Financial 2nd Floor Building 1000 City Gate Mahon Cork	Investment Intermediary	12 Nov 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C29469	Swiss Financial Services (Ireland) Limited Arthur Cox Building Earlsfort Terrace Dublin 2	Section 10 Investment Firm N/A	23 May 2003	No	(g) Collective Investment Schemes	(c) Collective Investments	
C29891	Sylvia Campion, Micheál Kelly & Patrick Feely t/a Advocate Financial Services 44 College Square Kilkenny R95D6F3	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C174844	Symmetry Financial Management Limited t/a Symmetry Financial Management, Asian Financial Services Unit 38 Dunboyne Business Park Dunboyne Meath A86YP08	Investment Intermediary	17 May 2018	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C134232	SYS Financial Planning Limited Unit 21 Quintns Way Pearse Street Nenagh Tipperary E45R205	Investment Intermediary	18 Feb 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	Joe Hannon Liam Carroll Gerry Flaherty Eoin McKeagney PJ Collins Stephen Heffernan Christy Heffernan
C2470	SYS Mortgages DAC Quintin's Way Pearse Street Nenagh Tipperary	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C47621	T & M Financial Limited t/a Mortgage Plus, Turley Financial Services 89 Harcourt Street Dublin 2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C425091	T.E.A.R. Financial Solutions Limited t/a Cunningham Financial Services 54 Caislean Oir Athenry	Investment Intermediary	27 Aug 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C29611	TAB Financial Services Limited 34 Fitzwilliam Place Dublin 2 D02P620	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C54863	Tadhg Lynch t/a Lynch Financial 45 Skreen Road Dublin 7 D07T3F9	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C47452	Tailored Finance Limited 3 Convent Close Baggot Street Dublin 2 D02V568	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C49940	Talk Financial Limited Suite 1 Kilcolgan Business Centre Kilcolgan Galway H91N5DD	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C125471	Tara Financial Partners Limited Unit 5 Blanchardstown Business Centre Blanchardstown Dublin D15PFT6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C34876	Teddy Reynolds Cortoonroe Clahane Ballyard Tralee Kerry	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C44588	Teresa O'Connor t/a Axs Financial Advice 17 Bridgefield Lews Road Killarney Co Kerry V93Y6W4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C53811	The Glackin Business Institute Limited Unit 8a, O'Boyce's Corner Port Road Letterkenny Donegal F92T2P3	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C93055	The Good Money Guys Limited t/a The Good Money Guys 27 Madeira Grove Enniscorthy Wexford Y21X7N2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C13682	The MoneyButler Limited t/a Moneybutler Investments, Moneybutler Life & Pensions, Moneybutler, Moneybutler Financial Services Unit 1, Centrepoint Liosbaun Business Park Tuam Road Galway H91TW64	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C163951	The Pension Store Ireland Limited t/a The Pension Store, The Insurance Store, The Investment Store 20 Harcourt Street Saint Kevins Dublin 2 D02H364	Investment Intermediary	12 Jul 2017	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2154	Thomas Coyne t/a Thomas Coyne Insurances 12 - 15 Rathfarnham Road Terenure Dublin 6W D6WDK49	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		Client Money	Authorise	d Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C35520	Thomas Gordon t/a Trust Financial Services Melview Longford N39H2C4	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C65541	Thomas Hyland & Lisa Harte t/a Tom Hyland Financial Services Tom Hyland Financial Services New Square Mitchelstown Co. Cork P67H327	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C4286	Thomas Maher t/a Maher Insurance Brokers, Maher Insurances Maher Insurance Brokers Thurles Tipperary E41YX07	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C13685	Thomas Morton t/a Morton Mortgages Direct 36 Mount Street Mullingar N91TF40	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C56000	TierOne Prudential Limited TierOne Prudential Limited Unit 1 Leopardstown Golf Centre Brighton Road Foxrock D18F702	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C39946	TIMC Limited t/a IQ Financial 2nd Floor Sligo F91V2XR	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		n Client Money Rules	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C35669	Timothy Buckley t/a Buckley Financial Buckley Financial East Green Dunmanway Cork P47Y796	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C36821	Timothy John Coffey t/a Coffey Financial Consulting 10 Harte's Courtyard Clonakilty Cork P85KX76	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C176016	TMCA Consultancy Limited t/a Windmill Financial Planning 8 Windmill Court Seatown Dundalk Co. Louth	Investment Intermediary	29 Aug 2018	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (g) Collective Investment Schemes	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C39585	Tom Clarke Financial Services Limited t/a Tom Clarke Finance Old Windmill Court Lower Gerald Griffin Street Limerick V94 YRD7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C3199	Tom Gallagher Insurance Limited t/a Gallagher Insurances Newmarket House Dun Laoghaire Dublin A96X0W2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C8175	Tom O' Gorman t/a Tom O'Gorman Property and Financial Services Oldboley Barntown Wexford	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution	Authorisation	<b>Client Money</b>	Authorise	d Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C135445	Tom O'Neill t/a Blue Chair Financial Planning 13C Main Street Ongar Dublin 15 D15PK24	Investment Intermediary	4 Jun 2015	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C47589	Tom Ormsby Financial Solutions Limited Meeting House Lane Mullingar Westmeath	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C64821	Tom Pollard & Co. Limited 43 Gladstone Street Clonmel Tipperary E91CF90	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C48576	Tom Whelan Tower Road Piltown Co Kilkenny	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C50407	Tony Stakelum t/a Stakelum Financial Services No 1 Churchview Villas Castlebar Mayo F23EE08	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C92778	Total Advice Limited t/a Total Financial Advice Unit 11 The Seapoint Building 44-45 Clontarf Road Clontarf Dublin 3 DO3P657	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C53815	Tracy Beirne t/a Tracy Beirne Financial Services 4 Main Street Elphin Roscommon F45KD60	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C38101	Travers & Co. Insurances Limited t/a Travers Financial Services, Travers Insurances 7 Upper Main Street Arklow Wicklow Y14KT63	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C47379	Tri Everest Wealth Management Limited 22 Mulvoy Park Sean Mulvoy Road Galway H91N56F	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C41467	Trident Benefit Consulting Limited t/a Trident Consulting, Pension Solutions 300A Cathedral Court Dublin 8 D08A7K7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C431634	True Financial Limited t/a Safenet Financial, LowQuotes Kea Lew Business & Retail Park Kylekiproe Portlaoise Co Laois R32K7TX	Investment Intermediary	18 Nov 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C176009	Trusted Finance Limited EBS Carlow 135 Tullow Street Carlow	Investment Intermediary	20 Sep 2018	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C35870	Turlough Meagher Financial Services Limited t/a Insure and Save, IFG Financial- Meagher Unit 6A Phibsboro Plaza Phibsboro Dublin 7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.		Institution	Authorisation	•	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C35595	Turnstone Asset Management Limited t/a Turnstone Financial Planning 9 Priory Office Park Blackrock Co Dublin A94Y7Y7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(n) Personal Retirement Savings Accounts	
C42423	U.S. Bank Global Fund Services (Ireland) Limited 24-26 City Quay Dublin 2	Section 10 Investment Firm N/A	27 Jul 2006	Yes	(g) Collective Investment Schemes	(c) Collective Investments	
C438469	Ultan Smith t/a Ultan Smith Financial Services Abbey Street Sligo Co. Sligo	Investment Intermediary	9 Dec 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C95647	Valero Financial Limited The Old Brewery The Glen Kinsale Cork P17W263	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C434033	Vantage Financial Planning Limited t/a Money Mentor Unit 3 14 Ransford Sandford Avenue Dublin 4	Investment Intermediary	15 Dec 2020	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(a) Listed shares and bonds (n) Personal Retirement Savings Accounts	
C188993	Verity Financial Consultants Limited Unit D17 M4 Interchange Business Park Celbridge Kildare	Investment Intermediary	28 Jun 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker	(a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	

Ref No.	Name & Address	Institution		on Client Money Rules	Authorised	Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		Business Service	Investment Instruments	
C87610	Veterinary Ireland Financial Services Limited 13, The Courtyard Kilcarbery Park Nangor Road Dublin 22 D22XH05	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1665	Victor O'Rourke (Insurances) Ltd victor o'rourke ins ltd 12/14 Sandford Road Ranelagh Dublin 6 D06YX92	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C47848	Viewmount Financial Planning Limited 40 Viewmount Park Waterford X91KH2R	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C1972	Vincent Casey Life & Pensions Company Limited 8 Newmarket Lane Killarney V93WC59	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C88143	VinFin Limited t/a Claffey & Egan Financial Brokers Thumbeagh Ballinahown Athlone Westmeath N37VE09	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C162252	Virtus Partners Fund Services Ireland Limited Connaught House 5th Floor One Burlington Road Dublin 4	Section 10 Investment Firm N/A	7 Apr 2017	No	(g) Collective Investment Schemes	(c) Collective Investments	

Ref No.	Name & Address	Institution	Authorisation	Client Money Rules	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date		<b>Business Service</b>	Investment Instruments	
C37011	Vision Financial Solutions Limited 95 Ranelagh Ranelagh Dublin 6	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C53852	Vista Financial Services Ltd 7 Old Market Street Sligo F91P6PT	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C97060	Walfrid Private Limited t/a MediWealth, Mortgage Hunter, Hospitality Wealth, Insurance Republic, OptiWealth, PharmaWealth, RetailWealth, DirectorWealth, DentaWealth, VetWealth, Financial Helpline 1 Parkway House Western Parkway Business Park Ballymount Dublin 12 D12R5FC	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2092	Wallace Financial Consultants Ltd t/a Wallace Financial Consultants Wallace Financial Consultants The Atrium Blackpool Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C420023	Wallpin Limited t/a Wallace Financial 56 O'Connell St Limerick	Investment Intermediary	14 May 2020	No	<ul><li>(6) Investment advice.</li><li>(a) Receiving and Transmitting</li></ul>	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation	•	Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	Business Service	Investment Instruments	
C6380	Walsh Pension & Financial Services Ltd t/a Walsh Pensions Guardian House 12 Priory Office Park Stillorgan Co Dublin A94N2V3		1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(n) Personal Retirement Savings Accounts	
C120043	Waterford Mortgages & Investments Limited 46 The Weir Kilkenny R95D8Y7	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C143835	Waypoint Financial Planning Limited Block B Maynooth Business Campus Maynooth Kildare W23W5X7	Investment Intermediary	15 Dec 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C120055	Wealth Alliance Limited Columba House Airside Swords Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C48663	Wealth Management Concepts Limited t/a Wealth Management Concepts Wealth Management Concepts The Atrium Blackpool Cork T23T2VY	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(kk) Tracker Bonds</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C2969	Wealth Management Ltd Unit 4, Mt prospect House 438 Clontarf Road Dublin 3 D03DD26	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution	Authorisation		Authorise	ed Activities	<b>Tied Agents</b>
		Type Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C433945	Wealth Ways Limited The Fairgreen Westport Co Mayo F28 E291	Investment Intermediary	5 Feb 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C45851	Wealthwide Dun Laoghaire Limited t/a Fiduciam Lioscarran Mews 32a Dale Road Stillorgan Dublin A94TW68	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C166141	Wealthwise Financial Limited t/a Wealthwise Financial Planning Block C Hartley Business Park Carrick on Shannon Leitrim N41F9X8	Investment Intermediary	18 Jul 2017	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C454825	Weir Wealth Limited 75 Mount Andrew Court Lucan Co. Dublin	Investment Intermediary	22 Sep 2021	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C45787	Wellesley Investments and Pensions Limited 59/60 O'Connell Street Limerick V94E95T	Investment Intermediary	13 Aug 2018	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C44347	Wellington Court Financial Services Limited t/a Aspect ISA Principal Business Clonminch Tullamore Offaly	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Date	Rules	<b>Business Service</b>	Investment Instruments	
C175548	West Coast Financial Advisors Limited t/a Murray Financial Services The Plaza Office Suites Headford Rd Galway H91KC6V	Investment Intermediary	27 Jun 2018	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C48827	Whelan Investment Consultants Limited t/a Whelan Financial 1 Southwinds Maryborough Woods Douglas Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(c) Collective Investments (n) Personal Retirement Savings Accounts	
C4483	William Lyons Insurances Limited t/a Lyons Insurance & Investment Brokers 113, Main Street Castleisland Co Kerry V92R9FD	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(n) Personal Retirement Savings Accounts</li></ul>	
C118337	Willie O'Leary Clear Financial Rathcoole Premier Office Centre Main Street Rathcoole Dublin	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C40774	Willis Towers Watson (Ireland) Limited Willis Towers Watson House Elm Park Merrion Road Dublin 4 D04P231	Investment Intermediary	1 Oct 2014	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	(a) Listed shares and bonds (c) Collective Investments	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C1234	Willis Towers Watson Insurances (Ireland) Limited t/a Willis Towers Watson Willis Towers Watson House Elm Park Business Campus Merrion Road Dublin 4 D04 P231	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C6494	Willis Towers Watson Life and Pensions Limited t/a Willis Towers Watson Willis Towers Watson House Elm Park Merrion Road Dublin 4 D04P231	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C2257	Windmill Insurances Ltd t/a Windmill 19 White Street Georges Quay Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C46499	Windsor Wealth Management Limited t/a Mummy & Daddy Insurance, Findmylostpension.ie, Earlyretirement.ie, Familyprotection.ie 29 Windsor Place Dublin D02P653	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	(c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C1910	Wintrust Limited Lane Business Park Cork	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

Ref No.	Name & Address	Institution		<b>Client Money</b>	Authorise	ed Activities	<b>Tied Agents</b>
		Type Date Category & Sub-Category	Rules	Business Service	Investment Instruments		
C184980	WN Advanced Financial Services Limited t/a Noonan Financial Services The Old Library Building St. Augustines Street Dungarvan Waterford	Investment Intermediary	10 Jun 2019	No	Investment Advice (6) Investment advice. (a) Receiving and Transmitting	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C41354	Wolstan Investments & Pensions Limited 8 The Avenue Celbridge Kildare	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting	(kk) Tracker Bonds (n) Personal Retirement Savings Accounts	
C138789	Wood Park Financial Consulting Limited 114 Ashfield Rise Balbriggan Dublin K32T992	Investment Intermediary	6 Jul 2015	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	
C138470	Yourbroker Financial Ltd t/a Yourbroker Unit K3 Drinan Enterprise Centre Feltrim Road Swords Dublin	Investment Intermediary	11 Jul 2016	No	Investment Advice (a) Receiving and Transmitting	<ul><li>(a) Listed shares and bonds</li><li>(c) Collective Investments</li><li>(kk) Tracker Bonds</li></ul>	
C71087	Yvonne Dalton t/a Dalton Financial Consultants 11 Bramley Court Castleknock Dublin 15 D15PRR2	Investment Intermediary	1 Oct 2014	No	Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker	<ul> <li>(a) Listed shares and bonds</li> <li>(c) Collective Investments</li> <li>(kk) Tracker Bonds</li> <li>(n) Personal Retirement Savings Accounts</li> </ul>	

## **Total number of Investment Business Firms: 989**

The Handbook of Prudential Requirements for Investment Intermediaries became effective from 1 October 2014 and re-classified all Multi-Agency Intermediaries and Authorised Advisors as Investment Intermediaries. The authorisation/effective date is 1 October 2014, or later if firms were authorsed after the introduction of the Handbook. If you have any queries on this register, please e-mail postauthorisations@centralbank.ie.