

Register of Investment Business Firms authorised under Section 10 of the Investment Intermediaries Act, 1995 (as amended)

The Register of Investment Business Firms is maintained by the Central Bank of Ireland pursuant to Section 17(1) of the Investment Intermediaries Act 1995, as amended (the "Act") and is a register of Investment Business Firms authorised under Section 10 of the Act.

Included in the Register of Investment Business Firms is the Register of Tied Agents maintained pursuant to Section 25(H)(1) of the Investment Intermediaries Act 1995 (as amended)

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C70109 | A Plus Financial Services Limited A Plus Financial Services Ltd 25 Offington Court Sutton Dublin 13 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C87222 | A.G.S. Financial Services Limited Block B The Crescent Building Northwood Santry Dublin 9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50814 | AAA C.K. Life Pensions and Mortgages Limited t/a CK Financial Solutions No. 1 St Johns Blackhall Mullingar Co Westmeath Ireland | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C64292 | Aaron McCann t/a AEM Financial Planning 114 The Capel Building Mary's Abbey Dublin 7 D07YV70 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5312 | ABBEY-MURPHY CARLOW LTD. 48 Tullow Street Carlow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C2090 | Abingdon Insurances Ltd 3 The Whitethorn Centre Kilcoole Wicklow A63RP02 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C34425 | ABM Financial Advisers Limited 30 Princes Street Cork T12V30C | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29670 | Absolute Mortgages Limited Absolute Mortgages 78 Prospect Hill Galway H91WR6P | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C85404 | Accumulus Limited t/a Accumulus Financial Planners Pembroke Hall 38/39 Fitzwilliam Square Dublin Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C34506 | Accuro Retirement Funding Limited Brook House Unit C4 Donnybrook Commercial Centre Douglas Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54573 | Active Pension Investment Limited t/a API Lorien Milestown Dunboyne Co Meath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C1310 | Acumen & Trust Designated Activity Company 4A Sandyford Business Centre Burton Hall Road Sandyford Dublin D18K856 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2161 | Adelphi Financial Brokers Limited t/a Adelphi Financial Brokers 8 Adelphi Quay Waterford Waterford X91VE86 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1867 | Advance Insurance Brokers Limited 3300 Lake Drive Citywest Business Campus Dublin 24 Dublin D24TD21 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C52846 | Advanced Financial Planning Limited t/a Donnellan & Co Office Suite 3 No. 1 & 2 Bride Street Loughrea Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43593 | Advent Financial Services Limited t/a Advent Financial Planning 87 Heathfield Kinnegad Westmeath N91VH74 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29638 | Aidan Moore t/a Aidan Moore Financial Brokers 60 Gleann na Ri Cabinteely Dublin D18KONI | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C4147 | AIDAN MURPHY INSURANCES LIMITED 51 Penrose Wharf Penrose Quay Cork Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | | |
| C72031 | Ailesbury Capital Limited t/a ITSYOURFUTURE, Ailesbury Capital Fitzwilliam Hall Fitzwilliam Place Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C119178 | AJ Short & Associates Limited 131 Rathgar Rd Rathgar Dublin D06C3F6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C96466 | AJP Consultancy Limited t/a AJP Consultancy Services, Greene Finance, Greene Finance and Property, YOMO Thomas Street Dundalk Louth A91YN34 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C652 | Alan Duggan Finance Limited 31 Patrick Street Kilkenny Kilkenny City Kilkenny | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C96695 | Alan Sweeney Financial Services Limited Bailis Athlumney Navan Meath C15Y60W | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C1404 | Alan Tierney & Partners Limited 2a Parkview House Beech Hill Business Park Clonskeagh Dublin 4 D04X7V2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C180657 | Alchemi Financial Services Limited 17 Upper Baggot Street Dublin 4 D04V4P5 | Investment Intermediary | 29 Nov 2018 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46802 | Alchemy Financial Partners Limited t/a Alchemy Financial, Finance Adviser The View Malahide Marina Village Malahide Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C164419 | All Financials G F Limited t/a All Financials, getamortgage, getapension, getlifecover 63 St Assam's Park Raheny Dublin 5 Dublin | Investment Intermediary | 30 Jun 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6833 | Allen Retirement & Finance Limited 8 Sandford Road Ranelagh Dublin D06R2H4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C111779 | Alpha Wealth Limited t/a Alpha Health 9 Eastgate Avenue Little Island Co Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C152481 | Alter Domus Fund Services (Ireland) Limited 1st Floor 118 Lower Baggot Street Dublin 2 | Section 10 Investment Firm | 7 Oct 2016 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C152255 | Ambrose Financial Services Limited t/a JA Financial Merchamp House Vernon Avenue Clontarf Dublin D03E0X3 | Investment Intermediary | 6 Sep 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C71705 | Amicis Financial Planning Limited 17 South Bank Crosses Green Cork City Cork T12YX24 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C134224 | Anchor Life and Pensions Limited t/a Anchor Life, Anchor Life Financial Services 4 Skillings House Raheny Shopping Centre Howth Road Dublin D05R2N2 | Investment Intermediary | 11 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46580 | Andrew Johnston Wealth Management Limited 60 Pebble Bay Wicklow Town Co Wicklow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49542 | Annemarie McGeehan Limited t/a Rite Financial Solutions Rite Financial Solutions 24 The Weavers Ballybofey Donegal F93KF54 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C57910 | Anthony Cashman t/a Coolbawn Financial Services 10 Broderick Street Midleton Cork P25WA00 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C39770 | Anthony Jones t/a AMJ Financial Unit 2A, Block 2 Kerlogue Business Park Drinagh Wexford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1298 | Aon Ireland Limited t/a Aon Affinity, Aon, Unity Insurances, Aon Claims Management, Aon Risk Solutions, Bar of Ireland Financial Services Metropolitan Building James Joyce Street Dublin 1 | Investment Intermediary | 24 Feb 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C46570 | Apex Fund Services (Ireland) Limited 2nd Floor, Block 5 Irish Life Centre Dublin 1 Ireland | Section 10 Investment Firm | 30 Jul 2007 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C181714 | Apollo Financial Services Limited Unit 1B Ashbourne Business Park Ashbourne Meath A84X978 | Investment Intermediary | 5 Feb 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C194892 | Arc Financial Planning Limited t/a Arc Financial Planning, Financial Life 51 Bracken Rd Sandyford Dublin 18 | Investment Intermediary | 8 May 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C145719 | Ardbrack Financial Limited 5 Guardwell 5 Guardwell Kinsale Cork P17P778 | Investment Intermediary | 5 Apr 2016 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C153071 | Arena Financial Services Limited Suite 108 Dublin Airport Business Park Cloghran Dublin | Investment Intermediary | 22 Dec 2016 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C430228 | Argeau Advisory Limited 20 Hatch Street Lower Dublin | Investment Intermediary | 7 Jan 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C183982 | Argyle Financial Services DAC 6 Argyle Square Morehampton Road Dublin 4 | Investment Intermediary | 27 Mar 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (m) Insurance Policies (n) Personal Retirement Savings Accounts | |
| C47638 | Aria Capital Limited 66 Fitzwilliam Square North Dublin 2 Dublin D02AT27 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C37767 | Ark Finance Limited t/a Ark Finance Claregate Street Kildare Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C54698 | Art of Wealth Limited Dunlair House Old Athlumney Navan Meath C15YC91 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C39049 | Ashmore Mortgage & Financial Services Limited t/a Ashmore Financial Services 1st Floor 10 Templeshannon Quay Enniscorthy Co Wexford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29812 | Assured Financial Solutions Limited t/a Assured Financial Solutions 2nd Floor 10 Westend Main Street Ballincollig Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4727 | Aston Lark Europe Limited t/a CRS Yachts, Sanctum Health, Sanctum Superyacht Insurance, Protean Risk, Haven Knox-Johnston, Lark Music 10 The Courtyard Kilcarbery Park Nangor Road Dublin 22 D22T860 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6622 | Atrium Wealth Management Limited 131 Rathgar Road Dublin 6 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30521 | Aviate Credit Union Limited | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | | |

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| | | | | | Business Service | Investment Instruments | |
| C29880 | Aviva Direct Ireland Limited Galway West Business Park Western Distributor Road Knocknacarra Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C37208 | Axiom Private Clients Limited Axiom Private Clients Ltd Tellengana House Blackrock Road Blackrock Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C41164 | B. O'Brien Financial Consultants Limited EBS Building Society Unit 7 Killiney Shopping Centre Killiney Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C22683 | B.E.S. Management Designated Activity Company 5th Floor Beaux Lane House Mercer Street Lower Dublin 2 | Section 10 Investment Firm | 7 Mar 1996 | No | (i) Designated Investment Funds | (a) Transferable Securities | |
| C50465 | B.O.K. Financial Services Limited Church Street Gort Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C143849 | Baggot Asset Management Limited t/a Baggot Investment Partners, Financial Planners, Financial Advisor 38 Fitzwilliam Square Dublin 2 Dublin Dublin D02NX53 | Investment Intermediary | 22 Mar 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13895 | Ballyshannon & Killybegs Credit Union Limited | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C45264 | Bank of America Custodial Services (Ireland) Limited 7th Floor 2 Park Place Hatch Street Dublin 2 | Section 10 Investment Firm | 12 Apr 2007 | Yes | (h) Custodial Operations | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C29974 | Barrington Insurances Limited t/a Barrington Insurance Brokers Unit 1 Coolmine Enterprise Centre Blanchardstown Dublin 15 D15WN6W Blanchardstown | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C71941 | Barry Doak 44 Eastham Court Eastham Road Bettystown Meath A92P657 | Investment Intermediary | 18 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C52031 | Barry Donoghue t/a Donoghue Financial Doon Raheen Raheen Mountrath Laois | Investment Intermediary | 2 Dec 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C72296 | Barry Walsh t/a Barry Walsh Financial Services 13 Parnell Street Waterford City Waterford X91K6YW | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C1771 | Barry, Ross & Co. Limited 11 Meadowfield Sandyford Dublin Dublin D18PD76 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C2641 | Base Plan Limited t/a Baseplan Baseplan Limited Bridge House Beech Hill Road Donnybrook D04R2F8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C188935 | BCA Life and Pensions Limited Clonminch Hi-Technology Park Clonminch Tullamore Co. Offaly | Investment Intermediary | 10 Sep 2019 | No | (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C145948 | BCK Financial Planning Limited First Floor, Unit F9 Riverview Business Park Nangor Road Dublin 12 D12KD51 | Investment Intermediary | 12 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1554 | Becketts Ltd t/a Aon Employee Benefits 5200 Cork Airport Business Park Cork Cork T12FDN3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7035 | Beech Hill Pension Trustees Ltd t/a Law Library Financial Services, Aon Employee Benefits Metropolitan Building James Joyce St Dublin Dublin D01K0Y8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| | | | | | Business Service | Investment Instruments | |
| C127617 | Bellwether Financial Planning Limited t/a Bellwether 38/39 Fitzwilliam Square Dublin 2 Dublin Down | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C144126 | Bernard & Pauline Financial Services DAC Fancroft Roscrea Tipperary E53AY27 | Investment Intermediary | 19 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C31582 | Bernard Connaughton t/a Connaughton Financial Services 2 The Nurseries Killarney Road Bray Wicklow A98Y1E2 | Investment Intermediary | 23 Aug 2018 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C52412 | Bespoke Investments Limited 16 Roden Place Dundalk Louth | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53309 | Betty Martin Financial Services Limited Unit 24 Golden Island Shopping Center Athlone Westmeath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5067 | Billy Johnston t/a Billy Johnston & Co. Upper Main Street Milltown Donegal Town Donegal F94XWH5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

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| C183182 | Billy Phelan Financial Services Limited 9 Muckcross Court Powerscourt Waterford | Investment Intermediary | 18 Apr 2019 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30035 | Biz Broker Limited t/a Clarke & Conlon Insurance Brokers Biz Broker Clarke & Conlon Pearse Street Ballina Mayo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44035 | BKF Wealth Management Limited t/a BKK Financial Advisors Heritage Business Park Bessboro Road Blackrock Cork T12P1HX | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38251 | Blackhall Financial Services Limited t/a Blackhall Financial Services Blackhall Court Blackhall Mullingar Westmeath N91X9FP | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C436225 | Blue Chair Financial Limited 13c Main Street Ongar Village Dublin 15 | Investment Intermediary | 30 Mar 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C195029 | Blue Oak Counsel Limited 80 Larchfield Dunboyne Co. Meath | Investment Intermediary | 11 Dec 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C29531 | Bluechip Financial Consultants Limited 24 Priory Business Park Stillorgan Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C94380 | Blueprint Capital Limited t/a Blueprint Financial Planning, Financial Fitness 2nd Floor Office 4 13 North Street Skibbereen Cork | Investment Intermediary | 1 Dec 2017 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50477 | Bluett Conran Financial Services Limited 52 James Place East Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C118735 | Bluewater Financial Planning Limited Suite 17, The Cubes Offices Beacon South Quarter Sandyford Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49986 | BMC Financial Planning Limited Kilmallock Retail Park Lorde Edward Street Kilmallock Co. Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1626 | BMCI Insurance & Investments Limited t/a BMCI, BMCI Insurance & Investments Suite 6 12-15 Killegland Street Ashbourne Meath A84HH77 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C72520 | BNP Paribas Fund Administration Services (Ireland) Limited t/a Prime Fund Services Trinity Point 10-11 Leinster Street South Dublin 2 | Section 10 Investment Firm | 31 Mar 2011 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C21397 | BNY Mellon Fund Services (Ireland) DAC One Dockland Central Guild Street IFSC Dublin 1 | Section 10 Investment Firm | 23 Oct 1996 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C13779 | Borrisokane Credit Union Limited | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C45822 | Boyne Mortgages Limited t/a Boyne Mortgage Services 18 Five Oaks Village Dublin Road Drogheda Co Louth | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55488 | Brazil Financial Planning Limited t/a Brazil Financial, The Money Mentor 27 Glendoher Avenue Rathfarnham Dublin 16 | Investment Intermediary | 26 Aug 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C122952 | Brendan Dunleavy Insurance Investment Ltd Drumboe Lower Ballybofey Co Donegal | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C91537 | Brendan Hackett t/a Hackett Financial Services Green St Callan Co Kilkenny Callan Kilkenny R95WDP3 | Investment Intermediary | 26 Aug 2015 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C4165 | Brendan J. Kelly Business Consultant/ Insurance Broker Limited t/a Planalife Planalife Bastion Street Athlone Westmeath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3076 | Brendan O'Connell Insurances Limited Main Street Kilcullen Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2190 | Brendan Reidy t/a Reidy Insurance Parliament House Parliament Street Kilkenny | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C126937 | Brendan Toolan Nirvana Lodge Whitshed Road Greystones Wicklow A63TH90 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C117691 | Breon Manning Financial Limited t/a Manning Financial 74 South Mall Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7286 | Brian Hamilton t/a Hamilton Financial Services 64 Belfield Park Stillorgan Co Dublin Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C72554 | Brian Higginbotham t/a Wealthcare Consulting Unit 23 Newcastle Park Galway Galway H91W6KD | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C31448 | Brian J. Pierce Financial Services Limited t/a Stability Financial Sandymount Village Centre Sandymount Dublin 4 D04YA27 Ireland | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C93720 | Brian O'Loughlin t/a BL Financial Management 12 Churchtown Drive Churchtown Dublin D14Y990 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49902 | Brian Sherlock t/a Sherlock Hamilton & Company Merchant House Old Market Street Sligo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C12675 | Broker Assist Limited t/a One Network, One Platform, Broker Assist, Lending Hand, Lending Hand Mortgages 120/121 Baggot Street Lower Dublin 2 D02 FD45 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C21681 | Brown Brothers Harriman Fund Administration Services (Ireland) Limited 30 Herbert Street Dublin 2 | Section 10 Investment Firm | 13 Sep 1996 | No | (g) Collective Investment Schemes | | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C21680 | Brown Brothers Harriman Trustee Services (Ireland) Limited 30 Herbert Street Dublin 2 | Section 10 Investment Firm | 24 Mar 2000 | No | (h) Custodial Operations | (a) Transferable Securities | |
| C56611 | Bruen Financial Services Limited Unit 9 Cathedral Buildings Abbeygate Street Lower Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C27428 | Burke Pensions & Financial Services Ltd 15 Sandyford Office Park Blackthorn Avenue Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds | |
| C47496 | BVP Investments Limited t/a "BVP" or "Business Venture Partners" BVP Investments Limited Unit 23 The Cubes 2 Beacon South Quarter, Sandyford Co. Dublin | Section 10 Investment Firm | 15 Nov 2007 | No | (i) Designated Investment Funds | | |
| C55205 | C.O.S. Financial Solutions Limited t/a Rockbridge Financial Planning 15 Herbert Place Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C26097 | CACEIS Ireland Limited One Custom House Plaza International Financial Services Centre Dublin 1 | Section 10 Investment Firm | 2 Jul 2001 | No | (g) Collective Investment Schemes | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (j) Certificates (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C191261 | Cadence Capital Advisors Limited No. 9 Fitzwilliam Square East Dublin 2 Dublin Dublin D02FX96 | Investment Intermediary | 2 Sep 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C429511 | Camino Investment Advisors Limited Unit 206 New Street Mall 26 New Street Malahide Co Dublin | Investment Intermediary | 3 Feb 2021 | No | Investment Advice (6) Investment advice. | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C9913 | Campion Insurances Limited t/a Bestquote.ie, Campion Insurance, ISME Insurance Services, Future, Venture 2nd Floor Otter House Modern Plant Building Naas Road Dublin 22 D22CR92 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C121916 | Cantwell Financial Advisors Limited 3 Main Street Tramore Waterford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C41480 | Capital Financial Planning Limited t/a Capital Financial, Capital Insurances 9 Grange Road Office Park Rathfarnham Dublin D16NA46 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C85803 | Capital Options Limited No 123 Office Suites 20 Harcourt Street Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2655 | Cara Life Assurances Consultants Limited 17 Beech Park Avenue Beech Park Avenue Foxrock Dublin D18K2F9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45988 | Carey Corbett Financial Solutions Ltd t/a Carey Corbett Financial Solutions First Floor Roslevan Shopping Centre Tulla Road Ennis Clare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1629 | Carron Insurances Limited 79 Mian Street Blackrock Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C640 | Carrowmore Financial Services Limited 1 - 3 Finglas Main Centre Finglas Dublin 11 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C188016 | Castle Capital Limited t/a Castle Capital Financial Planning Unit 1B The Mulcair Centre Annacotty Business Park Limerick Limerick V94W52C | Investment Intermediary | 19 Jul 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29640 | Cathedral Financial Consultants Ltd 16 Roden Place Townspark Dundalk Louth A91N9CV | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C163953 | Caulfield Financial Limited "Farmleigh" Ballygoman Barntown Co Wexford | Investment Intermediary | 12 May 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C42813 | Caveo Financial Service Limited t/a Rebate Financial Solutions, Trade With Me Financial Solutions 1 Moorefield Terrace Edward Street Newbridge Kildare W12P940 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13805 | Celbridge District Credit Union Limited | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C54849 | Centaur Fund Services Limited t/a Centaur Fund Services Limited 2nd Floor 2 Custom House Plaza Harbourmaster Place Dublin 1 | Section 10 Investment Firm | 7 Oct 2009 | No | (g) Collective Investment Schemes | (c) Collective Investments | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C38099 | Charles E Travers 47 Ferrybank Arklow Wicklow Y14KF99 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40627 | Charles E Travers Financial Services Limited 47 Ferrybank Arklow Wicklow Y14KF99 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C30147 | Charles Mc Carthy Insurances Limited t/a McCarthy Insurance Group, McCarthy Financial Services, Commercial Insurance Brokers, O'Riordan Insurances, Miller Carroll Insurances, Simply Insure McCarthy Insurance Group 2, 3 & 4 South Mall Cork Cork T12PH9D | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7457 | Chartered Asset Consultants Limited Charter House 18 Dublin Street Carlow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C438086 | Chartered Capital Financial Planning Limited t/a Chartered Capital 32 Pallasderg Newtown Nenagh Co. Tipperary | Investment Intermediary | 15 Feb 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36971 | Chartered Financial Solutions Limited Trinity House Charleston Road Ranelagh Dublin 6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C261800 | Chartered Life Wealth Management Limited t/a Chartered Life 24 Main Street Ballincollig Cork | Investment Intermediary | 27 Aug 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43572 | Charterhouse Private Clients Limited t/a Clevermoney Curragh View House Ballinagar Tullamore Offaly R35XF38 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C190223 | Choice Advice Limited t/a McNamara Financial Planning The Racecourse Kells Road Kilkenny Kilkenny R95R7PR | Investment Intermediary | 29 Jul 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C41300 | Churchfield Mortgages and Financial Services Limited t/a Churchfield Corporate Financial Solutions Churchfield House Cross Claremorris Mayo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C67516 | Ciaran Blackall t/a Blackall Financial 16 Gainsborough Avenue malahide Dublin K36D576 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C97373 | Ciaran Carroll t/a Ciaran Carroll Financial Services 73 Clonard Westbury Corbally Limerick V94XAF3 | Investment Intermediary | 12 Oct 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C25280 | Citco Fund Services (Ireland) Limited Custom House Plaza Block 6 IFSC Dublin 1 | Section 10 Investment Firm | 6 Oct 1999 | No | (g) Collective Investment Schemes | | |
| C20915 | CITI DEPOSITARY SERVICES IRELAND DESIGNATED ACTIVITY COMPANY 1 North Wall Quay Dublin 1 | Section 10 Investment Firm | 21 Aug 1996 | No | (h) Custodial Operations | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (j) Certificates (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C6879 | City Financial Marketing Group Limited t/a CFM Group, Insuremyvan.ie, Missquote.ie, Insuremyhouse.ie, Insuremyholiday.ie, Insuremycars.ie, Insuremyshop.ie, Lifebroker.ie, Coverinaclick.ie Insurance House 62a Terenure Road North Terenure Dublin 6W D6W CF54 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds | |
| C90202 | City Life Galway Financial Services Limited t/a City Life Galway 17 St Marys Road Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C191260 | CJPF Limited t/a Fitzpatrick Consulting 57 Fitzwilliam Square North Dublin 2 | Investment Intermediary | 8 May 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C138472 | Claris Advisors Limited 9 Fitzwilliam Square Dublin Dublin | Investment Intermediary | 3 Jul 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C122418 | Clarity Management Limited t/a Uconsulting 10B Abbey Street Ballyhaunis Mayo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C186578 | CLD Financial Services LTD 5 Day Place Tralee Kerry | Investment Intermediary | 5 Apr 2019 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C90155 | Clearview Investments & Pensions Limited The Gallery 13 Bedford Row Limerick V94 VY47 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1416 | Cleary Barker Limited t/a Cleary Barker Suite 1 The Avenue Beacon Court Sandyford Industrial Estate Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35310 | Clinch Wealth Management Limited Ferry House 48 / 53 Lower Mount Street Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C42792 | CMCC Financial Solutions Limited t/a CMCC Financial Solutions CMCC Financial Solutions Suite 30 The Mall Beacon Court Sandyford Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46840 | CML Financial Limited t/a CML Financial The Business Centre Lisfannon Buncrana Donegal F93Y2NA | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C132530 | Coleman Financial Planning Limited 36 Wainsfort Manor Crescent Terenure Dublin 6w Dublin D6WRP30 | Investment Intermediary | 8 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43384 | Colin Comerford t/a Comerford Financial Unit 502 Riverstown 5 Complex Riverstown Business Park Tramore Co. Waterford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44607 | Collins Naughton Financial Services Limited t/a Ballincollig Mortgage Centre 3A Westpoint Trade Centre Link Road Ballincollig Co Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C55712 | Colm Dunne 25 Ormond Crescent Swords Co. Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C49974 | Colm Kelly t/a Colm J Kelly Financial Planning Unit 4/5 The Glenroyal Centre Straffan Road Maynooth Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C646 | Colman Doyle Finance Limited 102 Main Street Gorey Co Wexford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1640 | Commercial Insurance Brokers Limited Commercial Insurance Brokers 4 South Mall Cork Cork T12CVX4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C132016 | Compass Capital Solutions Limited t/a Compass Private Wealth, Compass Pensions, Compass Financial Planning, Compass Charities 127 Lower Baggot Street Dublin 2 Dublin D02F634 | Investment Intermediary | 2 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29893 | Complete Financial Advice Limited t/a Complete Financial 82 South Mall Cork Cork T12E3TT | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53505 | Complete Financial Planning Limited t/a Better Finances 40 Lisfennel Close Dungarvan Co. Waterford X35C563 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C427770 | Con Friel & Company Limited Con Friel & Company Limited Ballaghderg Letterkenny Donegal F92FY81 | Investment Intermediary | 19 Aug 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44087 | Conleith M. Quigley Financial Services Limited t/a Quigley Financial Brokers 8 Slaney Street Wexford Wexford Y35HW21 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6768 | Connolly McCabe FB Limited Mall Road Monaghan Monaghan H18TC60 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C97046 | Conor Crossan t/a Conor Crossan Financial Services 26A Academy Court Oliver Plunkett Road Letterkenny Donegal F92FR84 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments | |
| C2324 | Conor Riordan t/a Riordan Insurances Riordan Insurances 5 The Crescent Millmount Abbey Drogheda Louth | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43743 | Conor Ryan t/a Conor Ryan Financial Services Kilmore Lisronagh Clonmel Tipperary E91R2C2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C129690 | Consultas Financial Services Limited Minchluaine Golf Course Road Letterkenny Donegal F92W6F9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40614 | Contarorl Limited t/a Elevate Financial Planning Colliga House Rocklands Rosslare Road Wexford | Investment Intermediary | 20 Oct 2016 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C160192 | Convent View Financial Services Limited t/a 360 Financial Consultants 3 Emmet Place Youghal Cork | Investment Intermediary | 20 Jan 2017 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C128926 | Core FS Limited t/a Core Financial Services 3 New Street Longford Longford N39TN30 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54575 | Cork Financial Advice Centre Limited 16 South Mall Cork Cork T12YTP1 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1549 | Corless & Associates Financial Services Limited t/a Corless Financial Services 1 Clanwilliam Terrace Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C45936 | Cormac Farrell t/a Cormac Farrell Financial Planning 17 Victoria Road Clontarf Dublin 3 D03A2F8 Ireland | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7351 | Cormican Life Limited Cormican Life Limited Unit 15 An Fuaran Mountain Road Moycullen Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C118173 | Cornelius Brosnan t/a Brosnan Financial Services 11 THE LAWN BODEN PARK RATHFARNHAM Dublin D16P3X5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1417 | Cornmarket Group Financial Services Limited Liberties House Christchurch Square Dublin 8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43447 | Cotter & O'Sullivan Financial Services Limited Riverpark House Marina Commercial Park Centre Park Road Cork T12HW3W | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7763 | Coughlan (Life and Pension) Consultants Limited Unit 1 12 Uam Var Avenue Bishopstown Cork T12NV07 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C136018 | Coughlan Wealth Management Limited Drishane House Old Callan Road Kilkenny Kilkenny R95NP8C | Investment Intermediary | 19 May 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C166614 | Covermore Financial Limited Unit 706 Phase 4 Northwest Business Park Ballycoolin Dublin 15 | Investment Intermediary | 17 Oct 2017 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40689 | Crannog Asset Management Limited t/a Crannog Financial Solutions 37 B Main Street Ongar Village Dublin 15 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C34430 | Creane & Creane Life & Pensions Limited t/a Creane and Creane Insurance 23/24 Main Street Enniscorthy Wexford Y21V5Y4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C164797 | Credit Suisse Fund Services (Ireland) Limited Kilmore House Park Lane Spencer Dock IFSC Dublin 1 | Section 10 Investment Firm | 2 Aug 2017 | No | (g) Collective Investment Schemes | | |
| C35527 | Croucher Durkan Limited t/a Secure Financial Secure Financial 41 Cecil Street Limerick Limerick V94YCN6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C417661 | CSC Fund Administration (Ireland) Limited 3rd Floor Fleming Court Fleming's Place | Section 10 Investment Firm | 29 Apr 2020 | No | (g) Collective Investment Schemes | | |
| C1736 | Cuffe & Company (Insurance) Limited t/a Frank Crowley Insurances Cuffe & Company (Insurance) Ltd 10, King's Terrace, Victorian Quarter Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C152488 | CUInsured Limited 3rd Floor 64 O'Connell Street Limerick Limerick V94886V | Investment Intermediary | 18 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C42029 | Curragrove Financial Services Limited t/a AC Financial Services, Low Cost Life Insurance, Low Cost Life Cover, Elite Financial Planners Kilmyshall House Rathcolman Mullingar Co Westmeath N91E4P1 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C173464 | CV Financial Limited t/a CV Financial Glendhu House Waterford Road Kilkenny R95D2PH | Investment Intermediary | 1 May 2018 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49549 | CWM Wealth Management Limited t/a HerMoney Block J Unit 26 Cork Airport Business Pk Cork T12DXFH | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C418003 | Cydra Limited t/a Santiago Life and Pensions 19 Grattan Street Dublin 2 | Investment Intermediary | 7 Jan 2021 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C4326 | D Corrigan Insurance Limited t/a Corrigan Insurance 39 Main Street Macroom Cork P12EK15 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | | |
| C35445 | D. Murnane & Associates Limited t/a DMA Windsor House 15 Windsor Terrace Dun Laoghaire Dublin A96RF29 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1320 | Daly Investment Planning Ltd The Gate House Main Street Ballinrobe Mayo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C94891 | Damian Condon t/a Gresham Wealth Management BUSHFIELD HOUSE BUSHFIELD SQUARE PHILIPSBURGH AVENUE FAIRVIEW D03NF86 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C417647 | Danny O'Connor Financial Services Limited t/a EBS Greystones 79 Woodstock Kilcoole Co Wicklow | Investment Intermediary | 16 Sep 2020 | No | (f) Deposit Agent/Broker | | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C5503 | David Clancy & Associates Limited t/a Clancy & Associates BLOCK 1 GROUND FLOOR MILLBANK BUSINESS PARK LUCAN Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C13668 | David Corry t/a CK Mortgages Pensions & Investments Lindsay Grove Glasnevin Dublin 9 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C118945 | David Devine t/a Platinum Financial Planning Finance House Florence Road Bray Wicklow A98AK23 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36356 | David Flannelly Holdings Limited t/a Flannelly Insurances, Hastings Insurance Brokers Market Square Castlebar Mayo F23VA46 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46137 | David Harte t/a Hyland & Harte Financial Services Hyland and Harte Financial Services New Square Mitchelstown Cork P67H327 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C148241 | David Kinsella Financial Services Limited t/a Kinsella Financial 27 Jessop Street | Investment Intermediary | 3 Jun 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C49286 | David Peavoy t/a Peavoy Financial Planning Office 5b, Portlaoise Enterprise Centre Clonminam Business Park Portlaoise Laois R32H364 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C129032 | David Scollard t/a Scollard Financial Services Digital Office Centre Balheary Road Swords Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C71099 | David Smeed t/a Best Financial Sky Business Centre 57 Clontarf Rd Clontarf Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48730 | Davis Financial Services Limited Main Street Ferns Enniscorthy Wexford Y21E8D5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C57837 | Deanspoint Insurance Brokers Limited t/a Abbey Murphy Insurance 3 New Street Longford N39K3C9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48216 | Declan Brennan Hill Breeze Barniskey Wicklow Y14VX94 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C40401 | Declan Fitzgerald t/a Declan Fitzgerald Financial Services, Rock Financial Group John Street Cashel Tipperary E25KP03 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C34474 | Declan Gallagher Financial Services Limited 2 Mill Row Donegal Town Co. Donegal | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1443 | Declan Goggin & Barry Goggin t/a Goggin Insurances 48 Dublin Road Balbriggan Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C126137 | Declan Kelly t/a DK Financial Planning 37 Ballintyre Walk Ballinteer Dublin Dublin D16Y070 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48460 | Declan Maguire t/a Declan Maguire Financial Solutions 19 Crescent Villas Glasnevin Dublin 9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C138545 | Declan Maher Financial Services Limited 1A Liberty Square Thurles Tipperary | Investment Intermediary | 18 May 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C29509 | Declan Sheedy 8 Castle Close Ashbourne Meath A84TR98 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C51894 | Deirdre Harahan Finance Limited EBS 13 Lr. Baggot Street Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C103260 | Delaney Investment Services Limited t/a Secure Investments 76B Northumberland Road Ballsbridge Dublin Dublin D04KC94 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C52094 | Denis Dwyer Limited Denis Dwyer Limited EBS dac New Street Cahiriveen Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts (n) Personal Retirement Savings Accounts | |
| C28551 | Denis J O'Sullivan The Fairways Rochestown Road Douglas Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C66108 | Denis Leahy & Paul Leahy t/a Leahy Investment Advisers M6 - McConnell Business Hall Strandfield Business Park Rosslare Road Wexford Y35 W5RD | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C31818 | Dermot Cronin t/a Kerry Mortgage Centre Dermot Cronin Old Market Lane Killarney Kerry V93XD0F | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5551 | Dermot Gallagher 3 Station Court Station Road Lahinch Clare V95K009 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C176164 | Derrada Financial Services Limited Garadice House 3-4 Fairview Dublin 3 D03XOY5 | Investment Intermediary | 9 May 2019 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8527 | Dertra Investments Limited t/a Brady Burns Life & Pensions 31 Main Street Newbridge Kildare W12KP92 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49969 | Des Quigley Limited t/a P & C Financial Services 7 Onward Walk Portmarnock Dublin D13KF62 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C9846 | Desmond T. O'Neill t/a McCarthy O'Neill Insurance Brokers Cilldara Lisnagry Co. Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C1258 | Devlin Boland O'Toole Insurances Limited Suite 2, The Avenue Beacon Court Sandyford/Dublin 18 Dublin D18EOK5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2218 | Dilger Financial Services Limited Crescent House Hartstonge Street Limerick Limerick V94K35Y | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2374 | Dineen Financial Limited 59 South Mall Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C158669 | Distinct Wealth Management Limited 4 Oakdown rd Churchtown Dublin 14 | Investment Intermediary | 13 Jan 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C51989 | DLS Capital Management Limited 25 Merrion Square Dublin 2 Dublin Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1649 | DM Insurances Ltd The Courtyard Lower Road Shankill Dublin D18X6T8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C36953 | Docal Limited t/a DFP Pension and Investment Consultants, Diarmuid O'Siochain & Associates Quayside Business Park Dundalk Louth | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45827 | Doherty Bergin Financial Services Limited Kilmore Road Corner Artane Dublin 5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1269 | Dolmen Insurance Brokers Limited t/a Dolmen Underwriting, CUsafe, CYBERLIABILITYCOVER, Indian Insurance, Asian Insurance, Farm Insurance Ireland, Motor Trade Insure, Restaurant Association of Ireland Members Insurance Unit 39 Butterly Business Park Artane Dublin D05A372 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29354 | Donal Kelleher t/a 3 Sixty Financial Planning 11 Oakwood Ballinderreen Kilcolgan Galway Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C33208 | Donegal Mortgage Solutions Limited t/a IFG Financial Donegal Dundrean Cottage Dundrain Burnfoot Co. Donegal F93 PT98 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C35490 | Donie Gavin t/a Gavin Financial Laurel Hill Ballycumber Road Moate Co Westmeath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4199 | Dooley Insurances Limited t/a Dooley Insurances & Mortgage Brokers and The Dooley Insurance Group 2a Sycamore House Millenium Park Naas Kildare W91AC03 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C108581 | Dore Financial Services Limited Unit 3 Derryknockane View Ballycummin Village Raheen Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C187767 | Downey Lane O'Connor Limited t/a Fortress Financial Planning MespilBusiness Centre Mespil Estate Sussex Terrace Dublin 4 | Investment Intermediary | 15 Jul 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3811 | Doyle Pension & Insurance Management Limited 114 Pembroke Road Dublin 4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C427722 | Doyle Pittam Limited t/a Progressive Financial Services Unit 1 Ardee Court Ardee Street Dublin 8 | Investment Intermediary | 18 Dec 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C1327 | Dr. Gary Ellison t/a Ellison Financial Consultants 43 The Dunes Portmarnock Dublin Dublin D13XW88 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C119120 | Drumgoole Financial Services Limited 88 Northlands Bettystown Meath A92HH31 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40306 | Drumlin Financial Services Limited t/a Key Financials Drumlin Financial Services T/A Key Financials Aeta Place Gortnakesh Cavan | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C28214 | Dublin Business Innovation Centre First Floor, The Tower TCD Enterprise House Pearse Street Dublin 2 | Section 10 Investment Firm | 5 Nov 1997 | No | Investment Advice (6) Investment advice. | (a) Transferable Securities | |
| C35724 | Duffy Mortgage & Investment Advisors Limited t/a Duffy Financial Advisors Fitzroy House Castle Street Mullingar Westmeath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3232 | Dungarvan Insurances Limited 17 Main Street . Dungarvan Waterford X35HW30 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C39778 | Durcan Insurances Limited t/a Durcan Insurance Brokers, Durcan Mortgage Brokers Abbey Street Ardnaree Ballina Co. Mayo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C127899 | DW Financial Limited Unit 2a Supervalu Shopping Centre Fairgreen Street Kildare W91Y165 | Investment Intermediary | 28 Feb 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C36565 | Eamon Porter t/a Aspire Wealth Management Priory House 19 Priory Office Park Stillorgan Blackrock Dublin A94 H7A4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C118318 | Eamonn J. Moore Limited t/a Moore Financial Consultants Crossbridge Street Dungarvan Co. Waterford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8551 | Eamonn Long t/a Long Financial Barrack Street Carlow Carlow R93PY95 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48172 | Eamonn Walsh Mortgages & Investments Limited t/a Eamonn Walsh Independent Mortgages 15 Bank Street Templemore Tipperary | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C40416 | Earston Limited t/a A2Z Mortgages, CU Investment Services, Covered, Irish Insurance Quotes 156 Seapark Coast Road Malahide Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5094 | Eastern and Midlands Holdings Limited t/a Martin Shortt Cavan St Oldcastle Meath A82NV34 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds | |
| C141456 | Easy Steps Financial Advice Limited t/a Easy Steps Financial 11 Beverton Walk Donabate Co.Dublin | Investment Intermediary | 30 Sep 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35423 | Eddie Sweeney Financial Services Ltd t/a Eddie Sweeney Financial Chapel Lane Business Centre Claremorris Mayo F12Y0E3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36108 | Eden Financial Brokers Limited t/a Eden Wealth Fergus House Quin Road Business Park Quin Road Ennis Co. Clare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13728 | Education Credit Union Limited | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | | |
| C143299 | EIIS Management Limited Joyce House 22 23 Holles Street Dublin 2 | Section 10 Investment Firm | 30 Oct 2015 | No | (i) Designated Investment Funds | | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C120465 | Elaine Flynn Financial Services Limited t/a EBS Liffey Street 36 Upper Liffey Street Dublin 1 Dublin Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1786 | Elkwood Financial Services Limited 114 Rathgar Road Rathgar Dublin 6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C52103 | Emer O'Reilly Financial Services Limited EBS Ennis Central Buildings Abbey Street Car Park Ennis Co. Clare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48202 | Emmet Doyle t/a The Mortgage Centre, Debtfix Ireland, Fixmyfinances 46 South Mall Cork Cork T12VOFK | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C152756 | Endeavour Investment Solutions Limited 15 Peyton Avenue South County Dublin Rathcoole Dublin D24V0VE | Investment Intermediary | 6 Feb 2017 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C132925 | Eoghan Horgan Financial Limited t/a EHF Markets Rathanny House Rathanny Kielduff Tralee Kerry | Investment Intermediary | 6 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|--------------|
| | | | | | Business Service | Investment Instruments | |
| C5859 | Eoghan O'Sullivan Insurances (Galway) Limited t/a The Mortgage House Eoghan O Sullivan Insurances 17 Eyre Square Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C152899 | Eoin Liston Financial Services Limited t/a Eoin Liston Financial Unit 3 Castle Demesne House Ivy Terrace Tralee Kerry | Investment Intermediary | 16 Feb 2017 | No | (f) Deposit Agent/Broker | | |
| C51308 | Eoin McGee t/a Prosperous Financial Services, Prosperous Financial Planning 6c Sycamore House Millennium Business Park Naas Co Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | Sean Kearney |
| C51267 | Eolas Finance Limited 2 Village Weir Lucan Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C56223 | Eolas Money Management Limited t/a Eolas Money Ard Gaoithe Commercial Centre Cashel Road Clonmel Tipperary E91V2N8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C166903 | Eppone Limited t/a HCC, Healthcarecompare 2 Dublin Landings North Wall Quay Dublin 1 DO1 V4A3 | Investment Intermediary | 19 Feb 2018 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C425096 | Epsilon Wealth Management Limited Scurlockstown Dublin Road Trim Co. Meath | Investment Intermediary | 7 May 2021 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35654 | ES Mortgage & Financial Services Limited t/a ES Lifestyle Financial Planning 1 Wood Dale Grove Ballycullen Road Firhouse Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C196757 | Ethico Limited Unit 3 Block F Maynooth Business Campus Maynooth Kildare | Investment Intermediary | 3 Mar 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30312 | Eugene Gilligan t/a Eugene Gilligan Financial Advice and Services Knockbeg West Collooney Sligo F91FP7E | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30259 | Eugene McKenna Consulting Limited Rigsdale Ballinhassig Cork T12KH51 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1943 | Eugene O'Doherty & Associates Limited t/a Eugene O' Doherty Financial Services 19 North Road Monaghan Co Monaghan | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C4597 | Euro Risk Management (Insurances) Limited t/a ERM Financial Services 7 St James Terrace Malahide Dublin K36HV25 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C124230 | Euro Wealth Financial Services Limited t/a Euro Wealth Financial 1 Boraimhe laurels Swords Dublin K67AC60 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C168156 | Evan Hughes t/a PSRA PSRA F3 Maynooth Business Campus Maynooth Kildare W23K7N3 | Investment Intermediary | 6 Dec 2017 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C443695 | Evolve Finance Limited 4th Floor Penthouse Office 83 O'Connell St | Investment Intermediary | 16 Apr 2021 | No | (6) Investment advice. | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8575 | Executive Benefits Limited Athgoe Lodge Athgoe North Newcastle Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C190294 | Fagan & Partners Tax and Financial Services Limited t/a Fagan & Partners 1 Lower Galloping Greeb Stillorgan Road Blackrock Co. Dublin | Investment Intermediary | 7 May 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C93297 | Fahey Financial Solutions Limited Barna Village Galway Barna Galway H91V6KV | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2653 | Faith Fahy t/a Faith Fahy Financial Consultant & Insurance Broker Unit 12 Glenrock Business Park Ballybane Industrial Estate Galway H91HKC3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3089 | Fallon Insurance Limited Main Street Tallaght Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C2891 | FBD Insurance Group Limited t/a FBD Insurance FBD House Bluebell Dublin 12 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1004 | FDC Financial Services Limited FDC House Wellington Road Cork T23WP94 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C51913 | Fergus Irwin t/a Fergus Irwin Financial Services 24 Rosegarth Donnybrook Douglas Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C124947 | Fermat Point Limited t/a PortfolioMetrix Ireland, Global Wealth, Ethical Financial 66 Fitzwilliam Square North Dublin Dublin D02AT27 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45734 | Finance Life & Pensions Limited t/a Cregan Kelly O'Brien- Financial Planning Unit 2 Broadmeadow Hall Applewood Village Swords Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40123 | Finance One Limited Clifton Mews Fitzwilliam Street Lower Dublin 2 Dublin D02RH39 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38744 | Financial Architects Limited t/a Financial Architects Financial Architects Main Street Ratoath Co Meath A85YK13 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C37885 | Financial Innovations Limited t/a Financial Innovations Lane Business Park Monahan Road Cork Cork T12VK2Y | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C419497 | Financial Mate Limited t/a Plan Review 13 Burgess Court Kells Kilkenny | Investment Intermediary | 22 Oct 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C57761 | Financial Planner Technology Limited t/a Ireland Insurance/Financial Planner, IrelandMortgages Room 3 office Block The Mill Centre Celbridge Kildare W23ED88 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C187878 | Financial Planning Matters Limited 10 Glencairn Heights The Gallops Leopardstown Dublin 18 | Investment Intermediary | 19 Nov 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8608 | Fingal Life & Investments Limited t/a Fingal Financial Services, Fingal Financial Unit B5 Airside Enterprise Center Swords Dublin K67NX46 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3184 | Finnegan Insurance Brokers Ltd Tuam Road Mountbellew Co Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53556 | Finplan Limited t/a Finplan Charlemont Exchange Charlemont Place Dublin 2 Dublin D02H590 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6254 | Fintan Moloney & Co. Limited t/a Fintan Moloney & Company Riverside Office Park Neil T Blaney Road Letterkenny Donegal F92YV21 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C1286 | First Ireland Risk Management Limited t/a First Ireland Finance Services, First Ireland Risk Management, Club Care, First Ireland Club Care, A First Ireland Quote, Call You Back, Rainbowsure, First Ireland, First Ireland Pensions, First Ireland Investment Advisors, First Ireland Insurance, First Ireland Insurance Brokers, First Ireland Personal Insurance, First Ireland Financial Planning, Petsure, IQuote, Drivefirst, Vansure, First Ireland Finance Services, First Insurance 15 Parkgate Street Dublin 8 D08W866 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46998 | First Rate Mortgages Limited t/a First Rate Financial Planning 37A Main Street Ongar Dublin 15 Dublin D15H766 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1619 | FitzGerald Flynn Insurances Limited 4 Brookfield Avenue Blackrock Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2029 | Fitzgerald Life and Pensions Limited 21/22 The Quay Waterford X91CX60 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8619 | Fitzserv Consultants Limited t/a IMO Financial Services IMO Financial Services 10 Fitzwilliam Place Dublin Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C2873 | Fitzsimons Life and Pensions Limited t/a Fitzsimons Finance Cutlery Road Newbridge Co. Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C42790 | Flynn & Lynch Life & Pensions Limited 3 Dublin Street Longford Longford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44522 | Focus Financial Planning Services Limited 2 Rosehill O Callaghan Stand Limerick V94P9HK | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3504 | Focus Life & Pensions Ltd Butterly Business Park Artane Dublin 5 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds | |
| C47740 | FOH Financial Limited 6 Doctor Croke Place Clonmel Tipperary E91RX40 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C52926 | Folk Asset Management Limited t/a Thomond Asset Management Thomond Asset Management 82 O'Connell Street Limerick Limerick V94AH96 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|--|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C96708 | Forde & O'Connor Financial Services Limited t/a BESTMORTGAGE Unit 13b Briarhill Business Park Ballybrit Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C39678 | Foresthill Financial Planning Limited J2 Maynooth Business Campus Maynooth Co. Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C119874 | Fortcall Finance Limited t/a Fortune Financial Solutions 5 Cromwell's Fort Heights Wexford | Investment Intermediary | 30 May 2016 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C432566 | Fortis Financial Management t/a Fortis Financial Management 12 Cook St Cork T12 FH72 | Investment Intermediary | 3 Feb 2021 | No | (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds | |
| C443628 | Fortitude Financial Planning Limited 80 Lagavooren Manor Beamore Road Drogheda Co. Louth | Investment Intermediary | 6 May 2021 | No | (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C420021 | Forward Vision Planning Limited t/a Daniel Bohan Wealth Management 21 Hunters Wood Ballyseedy Tralee Co. Kerry | Investment Intermediary | 28 Sep 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C193161 | Foundation Stone Financial Planning Limited Foundation Stone Financial Planning Limited 22 Stephen Street Kilkenny Kilkenny R95TYC3 | Investment Intermediary | 13 Dec 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C136556 | Foxrock Financial Limited 101 The Rise Mount Merrion Blackrock Dublin A94RW80 | Investment Intermediary | 27 Mar 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C29196 | Francis D'Arcy t/a Personal Advisory Services 13 Herbert Place Dublin 2 D02YD32 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44272 | Francis Keane t/a Francis Keane Financial Services 43 Broadfield View Kilcullen Road Naas Kildare W91KT0X | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2703 | Frank Glennon Life and Pensions Ltd t/a Glennon, Glennon Employee Benefits, Glennon Financial Planning Charlemont House Charlemont Place Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C44425 | Frank J Ryan t/a Frank J Ryan Financial Services 45A Rossmore Lawns Templeogue Dublin Dublin D6WW564 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C125235 | Frank Prendergast Financial Services Limited Shanbough New Ross Co Wexford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43505 | Frank Ryan t/a Frank Ryan Financial Services 16 Beechlawn Clonard Wexford Wexford Y34D7X8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C130136 | Full Circle Financial Services Limited 120 Lower Kilmacud Road Stillorgan Dublin A94KD37 | Investment Intermediary | 28 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C118741 | Fusion Financial Services Limited t/a You&Us 27 The Plaza, Main Street Swords, Dublin K67PX53 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C121126 | Future Proof Finance Limited t/a Future Proof Finance Lucan County Enterprise Centre The Spa Complex Lucan Dublin K78W6P6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54757 | Fynamics Limited Unit 3 Riverfront Howleys Quay Limerick V94TDX6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C92674 | Gainsboro Financial Services Limited t/a McKeigue Kelly & Co Derreen Kiltormer Ballinasloe Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29451 | Gallagher McCahey Financial Services Limited t/a Gallagher McGinley Financial Services Port Road Port Road Letterkenny Donegal F92YDX8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1553 | Gallivan Kennedy Insurance Brokers Limited t/a Gallivan Kennedy Insurance Group Park House Barrington Street Limerick Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C642 | Gammell Financial Services Limited 63 /64 Main Street Arklow Wicklow Y14W1H9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C51917 | Garry Quilligan t/a Financial Planning For Real People 62 Ard Coillte Ballina Killaloe Tipperary V94XHN1 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C71445 | Garvock Financial Limited The Old Brewery The Glen Kinsale Cork P17DE00 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35498 | Gary Tobin t/a Gary Tobin Financial Management, Quay Financial Management, Quay Mortgages 11/11A George's Quay Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C120042 | Gavin Gilmore t/a Gilmore Insurance & Financial Services 10 Church Avenue Eden Gate Delgany Wicklow A63EE36 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C89233 | Gavin Keenan Financial Planning Limited t/a Keenan Financial Planning Valleymount House The Rise Main Street Blanchardstown Dublin 15 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2177 | Geraghty Insurances Limited 40 Brews Hill None Navan Meath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C49351 | Gerald James Duggan t/a Acumen Assurance and Investments Kilclooney Kilmallock Co Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C6910 | Geraldine E Layden t/a Summit Finance Summit Finance P.O. Box 83 Bray Wicklow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13681 | Gerard Geraghty t/a Geraghty & Co Mill Street Westport Mayo F28H971 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32005 | Gerard Ryan Financial Services Limited 75 North Street Swords Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38693 | Gerard Sheehy Enterprise Centre Market Yard Cahir Tipperary E21F895 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C92262 | Gerry Cuffe Financial Services Limited Unit 166 Blanchardstown TC Blanchardstown Dublin 15 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36161 | Gerry McMunn t/a MAC Investment Strategies Caltragh Dromard Sligo F91DHY9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2990 | Gerry O' Mahony Insurances Ltd t/a Mahony Insurances, Roe & O'Mahony Insurances Whitethorns Castletroy Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C190154 | Giblin Financial Services Limited Giblin Financial Services Limited 3 Bridge Street Gort Galway H91E0EE | Investment Intermediary | 6 Jan 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C176001 | Gillian Madden Financial Services Limited 2nd Floor 98 Main St Cavan | Investment Intermediary | 28 Aug 2018 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C165518 | Gillmor Pensions and Investments Limited t/a Gillmor Pensions and Investments 39 Woodbine Road Boosterstown Blackrock Dublin A94PX02 | Investment Intermediary | 18 Aug 2017 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30034 | Gleeson Curtin Limited t/a Gleeson Insurance, Gleeson Financial Planning No 1 Upper Market Street Ennis Clare V95P586 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds | |
| C2196 | Globex Financial Analysts Ltd 12 lower Pembroke St., Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2069 | GMN Associates Limited t/a Nolan & Partners Financial Services 301 Q House Furze Road Sandyford Business Park Dublin D18K0Y5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C27918 | Godfrey Shaw 141 Blackhorse Avenue Dublin 7 Dublin Dublin D07E0H2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C8926 | Gogan Insurances Limited t/a Gogans, Money Matters Unit A5 Nutmog Office Park Rathfarnham Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C112044 | Gogarty Financial Limited 16 woodlands portmarnock Dublin D13XF59 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C44414 | Gold Seal Financial Services Limited t/a Gold Seal Financial Brokers Level one Liosbán Business Park Tuam Road Galway H91FT98 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C121072 | Gramayre Limited t/a Gullivan Financial Upper High Street Killarney Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5806 | Grant Thornton Financial Counselling Limited 13-18 City Quay Dublin 2 D02ED70 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C27855 | Greg Barrett Financial Services Ltd t/a Barrett Financial 1 Landsborough Close Douglas Cork T12Y7PW | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8740 | Growth Investments Limited Growth Investments Ltd 29 Kildare Street Dublin Dublin D02AP04 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2175 | Guardian Financial Services Ltd t/a Guardian Financial Advisors 33 Simonscourt Square Simonscourt Road Ballsbridge Dublin D04T6V6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40569 | Guardian Wealth Limited t/a ARF Ireland, Guardian Wealth 3 Upper George Street Wexford Y35ED35 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7524 | Guilfoyles Financial Services Ltd Mariaville House Coolgarten Park Magazine Road Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C422569 | Gustal Limited t/a Gustal Unit 3 Grange Road Retail Park Grange Road Rathfarnham Dublin 16 | Investment Intermediary | 18 Dec 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C49856 | Gwen Clarke Financial Services Limited Johnstown Business Centre Johnstown House Johnstown Naas Co. Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1444 | Halligan Life & Pensions Limited t/a Halligan Insurances, Good Insurance michael halligan william norton house 575 north circular road ncr dublin 1 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C2440 | Hanley & Co Life Ltd 25 Dublin Street Balbriggan Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53819 | Hardiman Life & Pensions Limited Dublin Rd Tuam Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C96464 | Harmonic Fund Services Ireland Limited t/a Harmonic Fund Services Ireland Limited Third Floor 5 Earlsfort Terrace D02 CK83 | Section 10 Investment Firm | 17 Aug 2012 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C86227 | Harmonics Financial Limited MARY ROSSE CENTRE HOLLAND ROAD NATIONAL TECHNOLOGY PARK Limerick V94RR8D | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
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| | | | | | Business Service | Investment Instruments | |
| C120028 | Harmonics Money Limited t/a My Money MARY ROSSE CENTRE HOLLAND ROAD NATIONAL TECHNOLOGY PARK Limerick V94RR8D | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30165 | Harnett Financial Services Limited 3 Harstong Street Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C148677 | Hartnett Dalton Financial Services Limited t/a HD Financial Services HD Financial Services Northampton, Kinvara, Galway H91D6NC | Investment Intermediary | 3 Jun 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4235 | Hastings (Westport) Limited t/a Hastings Insurance Brokers, Irishweddinginsurance.ie, Mytravelinsurance.ie, Myfarminsurance.ie The Octagon Westport Mayo F28C921 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7384 | Hastings Financial Services Ltd t/a Life Path Financial Planning Lifepath Financial Planning Altamount Street Westport Mayo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C417704 | Hayes & Hayes (Old Head Financial) Limited t/a Old Head Financial Friary Street Dungarvan Waterford | Investment Intermediary | 18 Jun 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C6924 | HC Financial Advisers Limited t/a HC Financial Oranmore Business Park Oranmore Galway Galway H91H003 | Investment Intermediary | 1 Oct 2014 | No | | | |
| C12721 | HDFS Limited t/a Moneycare, Mortgagecare 3 Dawson Street Monaghan Monaghan H18DY62 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44394 | Hedderman Financial Solutions Limited t/a Hedderman Financial Solutions 35 Marlfield Cabinteely Dublin 18 Dublin D18X4W6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50550 | HedgeServ Limited 75 St Stephen's Green Dublin 2 | Section 10 Investment Firm | 5 Sep 2008 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C1338 | Hegarty Financial Management Limited 47 Lower Mount Pleasant Avenue Rathmines Dublin 6 D06W5AO | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1448 | Henry Crummy Insurances Limited t/a Efficient Financial Efficient Financial No 4 St Marys Road Arklow Wicklow Y14X677 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C141874 | Heritage Wealth Management Limited t/a Heritage Wealth Management Limited 27 Cook Street Cork T12D92F | Investment Intermediary | 13 Oct 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C176569 | Highfield Financial Planning Limited t/a Highfield Financial Planning, Lost Cost Mortgage Protection, Get Life Cover, Best Pension Advice Lyanmore Ardagh Longford | Investment Intermediary | 6 Jul 2018 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5475 | Hobbs Financial Practice Limited Hobbs Financial Practice Limited Unit W9A1 Ladytown Business Park Newhall Naas Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C9037 | House of Finance Advisory Services Limited t/a House of Finance Unit A7 M4 Business Park Maynooth Road Kildare W23YYA9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13511 | Howth Financial Services Limited 1 Saint Marys Road Howth Dublin D13Y2E5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C20825 | HSBC Securities Services (Ireland) DAC 1 Grand Canal Square Grand Canal Harbour Dublin 2 | Section 10 Investment Firm | 18 Oct 1996 | No | (g) Collective Investment Schemes | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (I) Borrow/Lend Trans Securities (j) Certificates (k) Options (l) Hybrid Instruments | |
| C5220 | Hugh Donnelly & Paul Foley t/a Donnelly & Foley Donnelly & Foley 73 Lower Main Street Letterkenny Donegal F92HV2N | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C12607 | Hugh McKeon Finance Ltd EBS Swan Centre Rathmines Dublin D06N9X0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13721 | Hugh Sheridan t/a Hugh Sheridan Insurances Hugh Sheridan Insurances Little Road Dromiskin Dundalk Louth | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C48217 | I.F.C Advisors Limited t/a IFC Finance, My Health Insurance, Group Risk, Dormant Pension 4 Fitzwilliam Square Dublin 2 Dublin Dublin D02FE06 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C195722 | I.P.M (Financial Services) Limited t/a IPM Draper Financial Brokers Floor 1 10 Stephen Street Sligo F91V2XR | Investment Intermediary | 6 May 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (kk) Tracker Bonds | |
| C44918 | Ian Greer t/a Arena Financial Services 107 Dublin Airport Business Park Swords Road Santry Dublin 9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C155283 | IFAC Investment Services Limited t/a ifac Financial Planning Ifac House Citylink Business Park Old Naas Road Dublin 12 | Investment Intermediary | 11 Nov 2016 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54862 | ILTB Limited t/a GillenMarkets 29 Mount Street Upper Dublin 2 Dublin Dublin D02K003 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C129211 | Impartial Financial Advice Limited Impartial Financial Advice Ltd. Hamilton House 28 Fitzwilliam Place Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50457 | Imperius Wealth Limited 77 Sir John Rogersons Quay Block C Grand Canal Docklands Dublin 2 D02 VK60 | Investment Intermediary | 21 Dec 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C40571 | IMS Financial Services Limited t/a IMS Mortgages, IMS Financial 5 Ormonde Road Kilkenny | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C37097 | Inbhear Fund Services Limited 4th Floor 76 Baggot Street Lower Dublin 2 | Section 10 Investment Firm | 15 Mar 2005 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C6874 | Independent Financial Advisory Trust Limited Unit 4 The Cova 1 Marine Terrace Greystones Wicklow A63TN36 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C139550 | Infinity Financial Planning Limited Phoenix House Monahan Road Cork Cork T12H1XY | Investment Intermediary | 16 Dec 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C168781 | Informed Decisions Limited t/a Informed Decisions Financial Planning Rossendale Golf Links Road Bettystown Meath | Investment Intermediary | 23 Apr 2018 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53821 | Insight Financial Solutions Limited t/a Insight Life, Pensions & Investments 12 Park Row Francis Street Ennis Clare V95KN62 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C52359 | Insight Private Clients Limited Insight Private Clients Ltd Tramore House Reeveswood Douglas Road Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C96463 | Insignia Financial Limited Bracetown Business Park Clonee Dublin 15 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45758 | Inspire Financial Options Ltd t/a Inspire Financial Options, Inspire Financial Acorn Business Centre Blackrock Cork T12K7CV | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8762 | Insurances and Financial Services Ltd IFS House 16 Lower Main Street Lucan Dublin K78PN22 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C122295 | Integrated Pension and Investment Options Limited t/a Integrated Financial Services Inregrated Financial Services Augustine Court Saint Augustine Street Galway H91VXT1 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C47434 | Integrated Wealth Management Limited t/a Integrated Wealth Management 29 South Terrace Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C20886 | International Financial Data Services (Ireland) Limited Bishop's Square Redmond's Hill Dublin 2 | Section 10 Investment Firm | 17 Oct 1996 | No | (g) Collective Investment Schemes | | |
| C22393 | International Fund Services (Ireland) Limited 78 Sir John Rogerson's Quay Dublin 2 | Section 10 Investment Firm | 14 Oct 1996 | No | (g) Collective Investment Schemes | | |
| C185749 | Intertrust Fund Services Ireland Limited 1-2 Victoria Buildings Haddington Road Dublin 4 | Section 10 Investment Firm | 29 Mar 2019 | No | (g) Collective Investment Schemes | | |
| C29331 | Inverdea Financial Services Limited Inverdea House 2 Bridge Street Wicklow Town Wicklow A67XC61 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1343 | Invesco Limited 2 Sandyford Business Centre Burtonhall Road Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C152900 | IPS Financial Advice Limited t/a Finance123, Mortgage123, MadeSimple 64 O'Connell Street Limerick Limerick V94886V | Investment Intermediary | 19 Aug 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C432283 | IQ EQ Fund Services (Ireland) Limited Suite 6 Rineanna House Shannon Free Zone Co. Clare | Section 10 Investment Firm | 15 Dec 2020 | No | (g) Collective Investment Schemes | | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C89652 | Irish Life Financial Services Limited Irish Life Centre Lower Abbey Street Dublin 1 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C39517 | Irish Mortgage & Insurance Brokers Alliance Limited t/a IMBA, LIFECOVER4U Suite A2 Charlotte Quay Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2121 | Irish Mortgage Corporation Limited t/a Irish Mortgage Corporation, Moneycoach and InsureSave, Irish Pensions Corporation 118 Lower Baggot Street Dublin 2 D02AW89 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C93711 | IRS Financial Services Limited IRS Financial Services Ltd No. 2, Lymington Road Enniscorthy Wexford Y21C1H2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C188500 | J Moore Financial Limited t/a J Moore Financial Lower Main street Ballybofey Co. Donegal | Investment Intermediary | 9 Aug 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds | |
| C5033 | J.C. Daly & Co. Insurances Limited The Square Abbeyfeale Co. Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C13674 | J.P. O'Neill (Financial Management) Limited t/a O'Neill & Associates 8 Marian Place Aghada Cork P25D602 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32088 | Jack French t/a 1 Stop Brokers Unit 4 Bf, Crestfield Centre Riverstown Glanmire Co. Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54668 | Jack McNamara 3 Clarnwood Freshford Road Kilkenny Kilkenny | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C67166 | Jackson Consulting Limited 1 Victoria Terrace Dalkey Avenue Dalkey Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C9808 | Jahander Limited t/a AML Insurance Brokers 170 Lr. Rathmines Road Rathmines Dublin 6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C400608 | James Financial Planning Limited t/a James Financial Services, Brendan James Financial Services 5B Royal Court Business Centre Liosban Estate Tuam Road Galway | Investment Intermediary | 12 Nov 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C31977 | James Galvin & Cora Galvin t/a Galvin Financial Services 4 Fields Terrace The Triangle Ranelagh Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C118030 | James Garza t/a Trillium Financial Services 8 Ballyowen Park Ballyowen Lane Lucan Dublin K78AE48 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29424 | James J Bartley t/a Bartley Phelan Financial Services Connolly Street Fermoy Cork P61PV34 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30134 | James Lalor t/a Lalor Financial Services Athbara House Athbara Cavan County Cavan H12P8C2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C435458 | James Lalor & Aine McConnell t/a Lalor Financial Services Athbara House Athbara Cavan Co. Cavan | Investment Intermediary | 12 Mar 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C187808 | James O'Connor t/a JOC Financial Services Lios Ide Doon North Tralee Kerry V92TDK1 | Investment Intermediary | 2 Sep 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C4322 | James O'Donovan, Sheamus O'Donovan & Sharon O'Donovan t/a O'Donovan Insurances Chapel Row Killaloe Co.Clare V94XD71 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36332 | Jamesmont Limited t/a Corporate Life Third Floor Front Office VHI House 70 South Mall Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C92356 | JD Moneysave Limited t/a Moneysave 3A Bridgecourt Office Park Walkinstown ave Dublin 12 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C143853 | Jeffrey Short t/a JS Financial Clifton House Lower Fitzwilliam Street Dublin 2 Dublin | Investment Intermediary | 28 Nov 2018 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C178340 | JEZ Financial Limited t/a MyLife Financial Correagh Mount Temple Moate Moate Westmeath | Investment Intermediary | 28 Aug 2018 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C98477 | Jigsaw Financial Solutions Limited t/a Jigsaw Mortgage Solutions, Jigsaw Pension Solutions Unit 8 1A Upper Cranford Centre Montrose Dublin 4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C125064 | Jim Farrell Financial Services Limited t/a Jim Farrell Financial 20 Foxhill Wheaton Hall Drogheda Louth A92NH6W | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7568 | Jim Mannion & Co (Insurances) Ltd t/a Mannion Insurances Jim Mannion & Co (Ins) Ltd, T/A Mannion Insurances Bawn Street Roscommon F42D998 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C92233 | JJH Financial Services DAC Unit 44 Wilton Shopping Centre Wilton Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49811 | JMT Financial Consultants Limited t/a AIM Financial Planning JMT Financial Consultants 7 High Street Tralee Kerry V92RVX2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55270 | Joanne Enright t/a Walbrook Consulting Milltown Newmills Letterkenny Co Donegal | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C10173 | Joe Connolly t/a EBS Greystones Church Road Greystones Co. Wicklow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C54725 | Joe Coyle Financial Consultants Limited Rosguill Hall Demesne Mountcharles Donegal F94XF25 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49968 | Joe Donnelly Financial Solutions Limited t/a P & C Financial Services 64 the gables KILL CO KILDARE | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5324 | Joe Mcguinness & Co. Limited t/a Framework Financial Unit AB1 Centerpoint House Rosemount Business Park Ballycoolin D11R6WV | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C12736 | Joe Pitcher Financial Services Limited 8 Sycamore Drive Carpenterstown Park Castleknock Dublin D15C9KN | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46131 | Joey Browne Money Options Limited Avaltygort Killygordon Lifford Donegal F93E2F1 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C66174 | Joey O' Leary Financial Services Limited t/a Joey O'Leary Financial Services Office Suite 8 Cedar House Main Street Ballybofey Donegal | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C5332 | John & Billy Whelan t/a Whelan Life & Pensions Unit 3A The Village Square Tallaght Dublin 24 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8807 | John A O'Sullivan Insurances Limited t/a O'Sullivan Insurances 39 Mitchel Street Clonmel Tipperary E91HX70 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C624 | John Daly Financial Services Limited EBS Malahide The Diamond Malahide Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5233 | John F. Loughrey and Associates Limited t/a The Mortgage Centre, John F Loughrey & Associates, John F Loughrey Financial Services Crossview House High Road Letterkenny Donegal F92YC8C | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C9839 | John F. Randles t/a Brittany Insurances & Financial Services The Square Millstreet Cork P51E22D | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32175 | John Forsythe t/a Forsythe Financial Planning 3 Laurel Mount Close The Rock Carrigaline Cork P43EK28 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C32188 | John Hoey t/a Hoey Financial Services Suite 6 Clare technology park Gort Rd ennis Clare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7579 | John Hogan t/a John M. Hogan & Associates 10 Herbert Street Dublin 2 Dublin Dublin D02EF99 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36204 | John Lambe Friar Street Thurles Tipperary E41X6N4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C27474 | John Lidiirth t/a Lidiirth Financial Castle Hill Centre Castlehill Carlow R93P0E3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C44827 | John M Walsh t/a JM Walsh Financial Advice 97 Lower Main Street Rush Dublin K56H243 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48430 | John Millett Independent Financial Advisors Limited t/a John Millett Independent Financial Advisors 6 Argyle Square Morehampton Road Dublin 4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C46572 | John Mullane Mortgage & Financial Services Limited t/a Mullane Financial Services 25-26 glentworth street limerick Limerick V94WE12 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55194 | John Murphy t/a John Murphy Financial Services Seafield The Spa Tralee Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C54381 | John Nicholson t/a Financial Control Financial Control Pearse House Pearse Road Sligo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1674 | John O'Donohue Insurances Limited A2 Santry Business Park Swords Road Santry Dublin 9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C10197 | John O'Sullivan t/a Kerry Financial Services Scart Farranfore | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds | |
| C55298 | John Prendergast John Prendergast Bohermore Graignamanagh Kilkenny | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C35316 | John Richardson t/a Richardson Financial Services Main Street Ennistymon Clare V95NX48 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C69526 | John Robert Quinn t/a The Money Advisers N4 Wolfetone House Naas Town Centre Naas Kildare W91XF62 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35681 | John Small 2 Woodstown Road Knocklyon Dublin 16 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C195636 | John Twomey Life Pensions and Investments Limited Ballintubber East Carrigtwohill Cork T45EC61 | Investment Intermediary | 31 Jan 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C111924 | Joseph G. Byrne & Sons Consulting Actuaries Limited t/a Byrne Actuaries Byrne Actuaries Elm House Leopardstown Office Park Sandyford Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40464 | Joseph Manning t/a JSM Financial Advisers 22 Orchard Manor Riverstown Glanmire Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C11201 | Josh Moran t/a Moran Financial Services 68 Church Street Skerries Co. Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C20882 | JP Morgan Administration Services (Ireland) Limited JP Morgan 200 Capital Dock 79 Sir John Rogerson's Quay D02 RK57 | Section 10 Investment Firm | 9 Aug 1996 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C26232 | JPMorgan Hedge Fund Services (Ireland) Limited 200 Capital Dock 79 Sir John Rogerson's Quay Dublin 2 D02 RK57 | Section 10 Investment Firm | 25 Jan 2001 | No | (g) Collective Investment Schemes | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (j) Certificates (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C54346 | JSAD Financial Services Limited t/a Direct 4 Finance Unit 2 Ground Floor, Riverbank House Dodder Park Drive Rathfarnham Dublin 14 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1652 | JV Hutton Ltd. t/a Sound Insurance Unit 7 Burnell Court Northern Cross Malahide Road Dublin 17 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C144259 | K3 Financial Services Limited 39 Fitzwilliam Place Dublin 2 Dublin Dublin D02TX66 | Investment Intermediary | 19 Apr 2016 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments | |
| C136058 | Kalm Investments Limited t/a Santiago Investment Advisors The Atrium John's Lane Naas Kildare W91CT98 | Investment Intermediary | 26 Mar 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C34744 | Kathleen Power t/a KP Financial Services KP Financial Services 51 New Street Carrick on Suir Tipperary E32D528 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2046 | KD Retirement Services Limited t/a Capital Advisory Services 114 The Capel Building Mary's Abbey Dublin 7 D07YV70 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29747 | Keane Pension and Investment Consultants Limited Father Mathew Hall 131 Church Street Dublin 7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C37725 | Keaney Financial Services Limited Keaney Financial Services Ltd 30, Lower Leeson Street Dublin 2 Dublin D02KP93 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C1304 | Keaney Insurance Brokers Limited t/a K-Tech Insurance & Risk Management Services 30 Lower Leeson Street Dublin 2 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds | |
| C85794 | Kegra Limited t/a Finance Solutions, Best Life Cover 6 Lower Main Street Lucan Dublin K78W0X2 | Investment Intermediary | 13 Dec 2016 | No | Investment Advice (6) Investment advice. (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55679 | Keith Hayes Financial Solutions Limited 33 Pearse Street Nenagh Tipperary E45W728 | Investment Intermediary | 9 Jul 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36898 | Keith Walsh t/a Ideal Mortgages 61 Comeragh Road Drimnagh Dublin D12KD23 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C42765 | Kelly O' Shea Pensions & Financial Services Limited 15 Edward Street Tralee Kerry V92PF9W | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35529 | Ken Fitzgerald t/a Ken Fitzgerald Financial Services 19 White Street Georges Quay Cork Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C29710 | Ken Regan Financial Services Limited t/a Blue Sky Mortgages Moorefield Road Newbridge Kildare W12XN53 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36375 | Ken Roche Financial Services Limited 16 Cromwellsfort Drive Mulgannon Co Wexford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13315 | Kenneth Coleman t/a KM Coleman & Company Unit 4 Glenroyal Business Centre Straffan Road Maynooth Kildare W23K032 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C195679 | Kerin Financial Limited t/a Kerin Financial West Point Millockstown Ardee Co Louth A92F6R3 | Investment Intermediary | 26 Feb 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44530 | Kevin Argue 13, BEECHWOOD Castletroy limerick V94VYD8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C123375 | Kevin Condon Financial Brokers Limited 8 Blackpool Retail Park Blackpool Cork Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C183021 | Kevin Durcan Financial Steps Limited Aghalusky Castlebar Mayo F23XR02 | Investment Intermediary | 13 Feb 2019 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C128690 | Kevin Madden t/a Madden Life & Pensions 1 The Oaks Milltown Road Ashbourne Meath A84K542 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C36918 | Kevin O'Neill t/a Plan Review 13 Burgess Court Kells Co Kilkenny | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2274 | Kiely Gaule Financial Services Limited 43 The Quay Waterford Waterford X91R657 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44431 | Kieran Canty t/a Kieran Canty Financial Services 73 Clarkes Wood Mount Oval Rochestown Cork T12YE9E | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4959 | Kieran Madden Insurances Ltd Caherlag Old Blackrock Road Cork Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C14061 | Kilbride Financial Services Limited 19 Tower Hill Kilcoolishal Glounthaune Co Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C141309 | Killeen Financial Services Limited t/a Cleere Life and Pensions, Easyquotes.ie, Cleere Mortgages 8 Dean St Kilkenny R95DE9N | Investment Intermediary | 15 Sep 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38000 | Killeevan Investment Consultants Limited t/a KIC Eastcote Lodge Ballyhire Kilrane Wexford Y35R6F7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (6) Investment advice. | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1253 | Kindlon Insurances Limited t/a The Kindlon Insurance Group 2 Dublin Landings North Wall Quay Dublin 1 DO1 V4A3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44548 | Kinsella Financial Services Limited t/a Kinsella Financial Services 1 Castleview The Brewery Graiguecullen Carlow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1621 | Kirwan Insurance Services Ltd t/a Kirwan Financial Services Avoca House 8 Marine Road Dun Laoghaire Dublin A96X8Y6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C41478 | KMP Financial Services Limited t/a Keane Mortgage & Financial Services Riversdale House Riversdale View Ballyboden Road Rathfarnham Dublin 14 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C154874 | Knightson & Mulvihill Financial Services Limited t/a KM Financial Unit 1 Block B Charleville Business Park Bakers road Cork | Investment Intermediary | 11 Oct 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C72247 | Lacey Financial Limited t/a Pension Structures 33 Farrenberg Glebe Kildare Town Co Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2071 | Lakeview Financial Consultants Limited 27 North Road Monaghan Co Monaghan | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C94975 | Lally Dolan Limited t/a Tony Lally Investments 21 Regency Court Friary Street Kilkenny City Kilkenny R95WP70 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55066 | Lane Clark & Peacock Ireland Limited Office 2 Grand Canal Wharf South Dock Road Dublin 4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C176004 | LARL Financial Services Limited Aillwee The Willows Clonminch Road Tullamore Offaly | Investment Intermediary | 24 Dec 2018 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2199 | Larry O'Mahony Financial Services Limited 8 South Mall Cork City Cork Cork T12CX5P | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C129204 | Laura Doyle & Leah Pittam t/a Progressive Financial Services Unit 1 Ardee Court Ardee Street Dublin 8 Dublin D08H365 | Investment Intermediary | 12 Dec 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32319 | Lawrence Mooney t/a Kintyre Financial Services 51 Mount Merrion Avenue Blackrock Dublin Dublin A94W6K7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C9145 | Le Cheile Financial Services Limited t/a P.S.L. Consulting, Le Chéile Consulting, Le Chéile General Insurances, Le Chéile Repayment Protection, Le Chéile Mortgage Referral Service, Le Chéile Loan Protection & Life Services, CU Soon, Le Chéile Group First Floor 8-10 Rock Hill Blackrock Dublin A94E2V6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C5185 | Lehanes & Associates Limited Sackville House Sackville Street Dunmanway Co. Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C1252 | Lennon Earley Crotty Insurances Ltd. 16 Pembroke Road Dublin 4 D04DX66 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C25921 | LGT Fund Managers (Ireland) Limited Third Floor 30 Herbert Street Dublin 2 | Section 10 Investment Firm | 30 Dec 2002 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C4757 | LHK Kelleher Insurance Limited t/a LHK Financial, LHK Kelleher Insurance, LHK Group 12 Trinity Street Drogheda Co Louth A92RH63 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C27894 | LHW Financial Planning Limited 3rd Floor Avoca Court Temple Road Blackrock Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C51994 | Liam Byrne & Paul Cooney t/a Abacus Finance Unit 11 Seapoint Building 44-45 Clontarf Road Dublin 3 D03P657 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C7625 | Liam Carberry t/a Liam Carberry Financial Planning Services 40 Alden Park Sutton Dublin 13 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C42674 | Liam Cullen and John Butterly t/a Advance Financial Services 14 Northwood Court Santry Demesne Santry Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53737 | Liam Doyle Suite 7 Maple House South County Business Park Leopardstown Dublin 18 | Investment Intermediary | 28 Apr 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55463 | Liam Honohan t/a Honohan Financial Services 3rd Floor VHI House 70 South Mall Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C445359 | Liam McCarthy Financial Services Limited 2 Annaville Newtown waterford | Investment Intermediary | 30 Apr 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C85767 | Liam McGuire t/a McGuire Liston Financial Services. Courtyard Business Centre Suite 1 Courtyard House Lewis Road Killarney Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C50430 | Liam McNamara & Associates Limited t/a MCN Associates 1st Floor 12/13 Whitefriars Peters Row Aungier Street Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29505 | Life Goals Limited t/a Life Goals Financial Services 107 Q House Furze Road Sandyford Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C136165 | Lifespan Financial Services Limited Unit S31 The Pottery Business center Pottery Road Dun Laoghaire Dublin | Investment Intermediary | 7 Sep 2018 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C144840 | Lifesteps Financial Planning Limited 15 Offington Avenue Sutton Dublin 13 Dublin D13P2P8 | Investment Intermediary | 3 Feb 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C156078 | Lifestyle Financial Planners Limited Tone Stree Ballina Mayo F26PX78 | Investment Intermediary | 14 Mar 2017 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C2624 | Lifetime Financial Planning Limited t/a Lifetime Financial Planning Corkbeg Rockfield Road Kells Meath A82F2A2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C42560 | Link Fund Administrators (Ireland) Limited 1st Floor 2 Grand Canal Square Dublin 2 | Section 10 Investment Firm | 12 Jul 2006 | No | (g) Collective Investment Schemes | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (j) Certificates (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C189499 | Linked Pensions & Investments LTD Unit 6 Pearse Court Pearse Street Athlone Westmeath | Investment Intermediary | 18 Feb 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds | |
| C13911 | Lisduggan District Credit Union Limited | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C441718 | Livingstone Financial Services Limited t/a Livingstone Financial Services 32 South Park Foxrock Dublin 18 | Investment Intermediary | 10 May 2021 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C117746 | Lohan Financial Limited 29 Skycourt Shannon Clare V14DN44 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C194023 | Longwood Financial Ltd 29 Longwood Dublin Road Drogheda Co. Louth | Investment Intermediary | 29 Jan 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C43476 | Lorcan Egan t/a Lorcan Egan Insurance LORCAN EGAN INSURANCE ELPHIN STREET BOYLE Roscommon F52YN50 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1276 | Lordan Magnier & Partners Limited t/a Michael Kennedy Insurance Group Innovation House 3 Feltrim Estate Drynam Road Swords K67C5X2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5161 | Lordan Magnier Insurances Ltd t/a Michael Kennedy Insurance Group Innovation House 3 Feltrim Estate Drynam Road Dublin K67C5X2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49543 | Lorna Heffernan Finance Limited 9 Clonminch Avenue Clonminch Tullamore Offaly R35N803 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C37002 | Lorraine Donegan t/a Donegan Financial Services Unit 2, Block 403 Greenogue Business Park Rathcoole Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C4276 | Lothwood Ltd t/a Michael Martin Life & General Insurance Broker 18 Churchfields Coosan Athlone Westmeath N37RX48 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55170 | Lucas Financial Consulting Limited Lucas Financial Consulting Ltd The Stables Drumconrath Road Carrickmacross Monaghan | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3229 | Lynx Financial Services Limited Fairway Ballinaclea Rd Killiney Co.Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C117704 | Lyons Life Limited t/a Lyons Financial Services Office 1 Dunboyne Business Park Dunboyne Meath A86VK09 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (f) Deposit Agent/Broker | | |
| C1837 | M.G. Anderson Insurances Limited 1 & 2 Windsor Terrace Queens Road Dun Laoghaire Dublin A96F3F9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C8941 | M.J. Kelleher & Sons (Dundalk) Limited t/a Kelleher Insurances 3 Jocelyn Street Dundalk Louth A91AH60 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C6567 | Mac Court Financial Planning Limited 60 Merrion Square Dublin 2 Dublin Dublin D02NT04 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50794 | MacDonald Financial Consultants Limited No. 12, Block 8 Blanchardstown Corporate Park Dublin 15 | Investment Intermediary | 6 Mar 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C11687 | Maher & Richardson Life & Pensions Ltd Maher and Richardson Life and Pensions 7 Northumberland Avenue Dun Laoghaire Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4285 | Maher & Richardson Limited Maher and Richardson 7 Northumberland Ave Dun Laoire Dublin A96X0KS | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | | |
| C22294 | Mainstream Fund Services (Ireland) Limited Oyster Point Temple Road Blackrock Co. Dublin | Section 10 Investment Firm | 18 Apr 1996 | No | (g) Collective Investment Schemes | | |
| C2008 | Majestic Financial Services Ltd 21 Woodcliff Heights Howth Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C47563 | Malone Life & Pensions Limited Unit 30A Parkway SC Dublin Road Limerick V94F240 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C146212 | Mamcol Limited t/a Trust Matters Suite 6, Woodford Court Woodford Business Park Dublin 9 Dublin | Investment Intermediary | 18 May 2016 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43280 | Maples Fund Services (Ireland) Limited 32 Molesworth Street Dublin 2 | Section 10 Investment Firm | 5 Oct 2006 | Yes | (g) Collective Investment Schemes | (c) Collective Investments | |
| C1475 | Marine & General Insurances Designated Activity Company t/a Clondalkin Mortgage Centre, Marine & General Insurances & Financial Services Dolcan House 80 Tower Road Clondalkin Dublin 22 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C63996 | Mark Cahill t/a Mark Cahill Financial Services, North Dublin Mortgages, Pension Dublin, Company Pension 38 Drynam Avenue Kettle Lane Kinsealy Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C425228 | Mark Cahill Financial Services Limited t/a Mark Cahill Financial Services, North Dublin Mortgages, Company Pension, Pension Dublin 38 Drynam Avenue Kinsealy Co. Dublin | Investment Intermediary | 26 Aug 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C134618 | Mark Gallagher t/a Smart Financial Units 1 & 2 Greenhills Centre Greenhills Road Walkinstown Dublin 12 | Investment Intermediary | 2 Mar 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C120030 | Mark Gilmore t/a MG Financial Solutions 5 Owenmore View Collooney Sligo F91D7N0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6642 | Marmion Stewart Insurances Ltd 6 Willow Park Road Glasnevin Avenue Dublin 11 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1477 | Martin & Garvan Insurances Limited 96 Upper Drumcondra Road Drumcondra Dublin 9 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C138473 | Martin Chapman t/a Squirrel Investing 208 Collins Avenue East Killester Dublin 5 | Investment Intermediary | 30 Sep 2015 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49285 | Martin Donegan t/a Donegan Life & Pensions 22 Otteran Place South Parade Waterford Waterford X91 W864 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44465 | Martin Hillery 8 Elmwood Naas Co Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C119913 | Martin Leyden Financial Services Limited EBS Hardiman House 5 Eyre Square Galway H91FPK2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C138439 | Martina McGreevy t/a MMG Financial Services Unit 1.5 Oriel Hub Finnabair Industrial Estate Dundalk Louth | Investment Intermediary | 20 Oct 2015 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8898 | Mason Financial Services Ltd t/a Mason Financial Services, Mason Mortgage Brokers, Mason Wealth Management 73 Lower Leeson Street Dublin 2 Dublin Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5586 | Matthew Fish t/a Matthew Fish Financial Services Unit D15 Nutgrove Office Park Rathfarnham Dublin D14HY00 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C133655 | Matthew Kennelly Financial Services Limited EBS 41 Main Street Portlaoise Laois R32K6NN | Investment Intermediary | 10 Dec 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30122 | Maven Financial Planning Limited t/a Maven Financial Planning Block 3 GFSC Moneenageisha Road Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C98750 | MB Planning Limited t/a Clear Financial Premier Office Centre Main Street Rathcoole Dublin D24K519 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48514 | MBC Financial Limited t/a MBC Financial MBC Financial Limited 36 South Mall Cork Cork T12PNC0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5560 | Mc Carney Financial Services Ltd 29 Whitworth Road Drumcondra D09E5C9 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6796 | Mc Carthy & Associates Financial Consultants Limited WATERVILLE HOUSE WOODQUAY Galway Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44617 | Mc Geough Financial Consultants Limited Market House Market Square Letterkenny Donegal F92C92T | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4297 | McCarthy Brokers (Listowel) Limited McCarthy Brokers (Listowel) Limited 43 William Street Listowel Kerry V31YY98 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|--|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C2006 | McCarthy Investment Services Limited 21 South Mall Cork T12AT2X | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32426 | McCormack Town & Country Properties Ltd t/a DNG McCormack Properties 1 Tullow Street Carlow R93H7V0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5226 | McEntee Financial Services Limited Suite 3 4 Chancery Place Smithfield Dublin 7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5260 | MCG Financial Services Limited Emmet Row Bandon Co Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C417776 | McGuire Liston Financial Services Limited t/a McGuire Liston Financial Courtyard Business Centre Suite 1 Courtyard House Lewis Road Killarney Co. Kerry | Investment Intermediary | 8 Dec 2020 | No | (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30706 | McLaughlin & Greaney Limited t/a FUTURE Number 5 Merchants Road Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C2373 | McMahon Galvin (Life & Pensions) Limited 59 Amiens Street Dublin 1 Dublin Dublin D01P786 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C152472 | MCPC Financial Services Limited t/a Coyne McGill Financial Services The Halls Quay Street Galway H91CFW6 | Investment Intermediary | 27 Oct 2016 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32439 | McQuinn Financial Services Ltd t/a McQuinn Consulting Oakview Brewery Rd Tralee Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C34420 | MDC Mortgage Brokers Ltd t/a Irish Mortgage Brokers, Yes 619 North Circular Road Dublin 1 Dublin Dublin D01FT67 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C13702 | MDG Investment Solutions Limited t/a Investment Intelligence Unit 13 Mayne River Street Malahide Road Dublin D17VK54 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38705 | MDL Financial Consultants Limited 9 Churchview Square Cavan Cavan H12T250 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C5139 | Mel Shanley t/a Quantum Life Ireland 23 Grattan Square Dungarvan Waterford Waterford X35H722 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C140119 | Melalex Financial Limited t/a Kavanagh International Wealth Management 3 Joyce House Barrack Square Ballincollig Cork | Investment Intermediary | 27 Nov 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1791 | Mentone Insurances Limited 12 Claridna Park North Dun Loaghaire Co Dublin Co Dublin Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C29381 | Meritas Financial Advisors Limited Meritas Financial Advisors Suite 401, 1-3 BurtonHall Park Burton Hall Road Sandyford Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7458 | Meritus Wealth Management Limited t/a Meritus, Meritus Private Clients 83 South Mall Cork Cork T12Y15N | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C65237 | Metis Ireland Financial Planning Limited t/a Metis Ireland 88 O'Connell Street Limerick V94 RPC5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C87611 | MGM Financial Services Limited t/a MGM Financial Services Suite 12 Grange Rd Office Park Grange Road Rathfarnham Dublin 16 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35508 | MIB Insurance Services Limited MIB Insurance Services Ltd 10 Herbert Lane D02PW61 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | | |
| C42875 | Michael Cassidy Financial Services Limited 26 Market Square Navan Meath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C111889 | Michael Curran 3 The Poplars Graiguecullen Carlow Carlow | Investment Intermediary | 27 Nov 2014 | No | Investment Advice (f) Deposit Agent/Broker | | |
| C42023 | Michael Deasy Financial Solutions Limited t/a Deasy Financial 29.Longwood Dublin Road Drogheda Louth A92V129 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49388 | Michael Dowling Mortgage & Financial Services Limited t/a Dowling Financial 21 Fairview D03K4H0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30137 | Michael Fogarty t/a Tom Fogarty Insurance Brokers 16 Bank Place Tipperary E34H290 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C35500 | Michael Fogarty & James Barlow t/a Fogarty Barlow Financial Services 16 Bank Place Tipperary Town Tipperary Tipperary E34H290 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4282 | Michael G. Kilbride t/a M.G. Kilbride Insurance Services 44/47 Tullow Street Carlow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C132894 | Michael Gerard Teehan t/a Perklife 102 Iveagh Gardend Dublin 12 D12FK80 | Investment Intermediary | 2 Dec 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43380 | Michael Hannon t/a Michael Hannon Financial Services Goeratleva Claregalway Galway H91W5EY | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5856 | Michael Kelly t/a Cloonfinish Investments & Insurance Consultants CLOONFINISH CLOONFINISH SWINFORD Mayo F12YE26 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C11223 | Michael Kiernan t/a MyAdviser 11 Anglesea House 63 Carysfort Avenue Blackrock Dublin A94X792 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C40899 | Michael Long t/a Sense Financial Clonsilla East Gorey Wexford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49253 | Michael McLoughlin 119 St Gabriel's Cabinteely Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45483 | Michael Murphy Ballycannon Croagh Limerick V94RX0C | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C7976 | Michael Ronan t/a Michael Ronan Insurances Carhugarriffe Leap Skibbereen Cork P81PF62 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5920 | Michael Scroope Insurances Limited t/a Scroope Wealth Management, Michael Scroope Insurances 1 Kickham Street Nenagh Tipperary E45DD80 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29891 | Micheál Kelly & Patrick Feely t/a Advocate Financial Services 44 College Square College Road Kilkenny Kilkenny R95D6F3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C607 | Mick MacClancy Financial Services Limited EBS d.a.c. Unit 9 Phibsboro SC Phibsboro Dublin 7 D07P602 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C134495 | Mike Grogan 117 The Drive Hazelhatch Park Celbridge Kildare | Investment Intermediary | 18 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35378 | MKODC Financial Limited t/a Southern Life 16 Cook Street Cork T12WFF8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C132433 | MLMG Financial Advisors Limited t/a MLMG Financial Brokers St Helens St Oran's Road Buncrana Donegal F93C59X | Investment Intermediary | 1 Dec 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C435456 | MMG Financial Services Limited 1.5 Oriel Hub Finnabair Industrial Estate Dundalk Co. Louth | Investment Intermediary | 22 Apr 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments | |
| C124650 | MMPI Financial Services Limited t/a MMPI, New Money MMPI Financial Services Limited 101 Morehampton Road Donnybrook Village Dublin D04T0C2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C65283 | MÓB Financial Services Limited Bushfield House 57 Bushfield Square Philipsburgh Avenue Fairview Dublin 3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C154250 | Money Maximising Advisors Limited Unit 33 Kilkerrin Park Liosban Industrial Estate Tuam Road Galway | Investment Intermediary | 7 Oct 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C109580 | Money Plus Financial Brokers Limited t/a Money Plus Money Plus Stephen Street Sligo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45858 | Money Sense Limited t/a Money Sense Financial Services 13 Priory Paddocks Muckcross Road Killarney Kerry V93E9T8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C109807 | Money Smart Limited t/a Money Smart 13 Rectory Way Herbert Road Bray Wicklow A98K272 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C148587 | Moneybox Financial Limited t/a Moneycube The Brickhouse Block 1 Clanwilliam Court Lower Mount Street Dublin 2 | Investment Intermediary | 21 Dec 2016 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C45896 | Moneystones (Financial Advisers) Limited Unit 12 Rathborne Ashtown Dublin D15XKN5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1883 | Moneywise - Financial Planning Limited 6A Old Dunleary Road Dun Laoghaire Co. Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C630 | Mongelia Limited t/a Blue Sky Mortgages, TMC Life and Pensions, Blue Sky General Insurance Brokers, TMC General Brokers, Blue Sky Financial 45 Dublin Street Townparks Dundalk Louth A91C658 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55195 | Moore Wealth Management Limited Suites 3 & 4 Marine Point Belview Waterford Waterford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------------|--------------------|--------------------|---|---|--------------|
| | | | | | Business Service | Investment Instruments | |
| C41875 | Morgan Stanley Fund Services (Ireland) Limited The Observatory 7-11 Sir John Rogerson's Quay Dublin 2 | Section 10 Investment Firm | 16 Jun 2006 | No | (g) Collective Investment Schemes | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (j) Certificates (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C423594 | Morrison Financial Planning Limited 38 Delwood Road Castleknock Dublin 15 | Investment Intermediary | 14 Oct 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C64919 | Mount Street Trustees Limited t/a Mount Street Group 95 Sandymount Road Sandymount Dublin 4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C67789 | MS Absolute Finance Limited t/a Absolute Finance Acorn Business Centre Mahon Industrial Estate Blackrock Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | Gerard Lucey |
| C54730 | MUFG Fund Services (Ireland) Limited t/a MUFG Investor Services Ormonde House 12/13 Lower Leeson Street Dublin 2 | Section 10 Investment Firm | 15 Sep 2009 | No | (g) Collective Investment Schemes | (c) Collective Investments | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C4950 | Mulcahy Insurances Limited Main Street Macroom Co Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C188443 | Mulcair Wealth Management Limited t/a Mulcair Financial Planning Ballymackeogh Newport Tipperary V94K2A0 | Investment Intermediary | 4 Jul 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C37537 | Munster Insurances and Financial Limited t/a Construction Broker, Munstergroup, Payless Direct Home & Motor Insurance, Broker Solutions Ireland Bank House Bindon Street Ennis Clare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C37543 | Munster Money Matters Limited t/a Munster Financial Services, Munster Group Life & Pensions, Munster Group Mortgages & Asset Finance Bank House Bindon Street Ennis Clare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1488 | Murphy & Sheehy Insurances Ltd 11 Denny Street Tralee Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C40698 | Murphy Insurances Youghal Limited Old Bank House 53 North Main Street Youghal Cork P36TK22 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | | |
| C40134 | Murray and Spelman (Financial Services) Limited GFSC Tuam Road Galway Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C184327 | MyLife Financial Planning Ireland Limited t/a Wealthplan 51 Beaubec Dublin Road Drogheda Louth | Investment Intermediary | 5 Jul 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50505 | MyWealthManagement Limited t/a Sheahan Financial Planning, Sheahan Financial, MyLifeCover, ARF Pensions Ireland 51 South Mall Cork Cork T12AVW9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C65882 | N&D Life and Pensions Limited t/a Plan B Life Office A, Unit W3A Tougher Business Park Newhall Naas Co Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4442 | Naas Insurance Consultants Designated Activity Company t/a Naas Insurance and Finance 15 South Main Street Naas Co. Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C4826 | National Insurance Ltd t/a Insurance4U, National Pension Solutions, National Financial Solutions, Insure My Gadgets, Insure My Travel 47 Upper Dorset Street Dublin 1 Dublin Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C36350 | Navigation Wealth Limited t/a Navigation Wealth Northpoint House Northpoint Business Park Mallow Road Cork T23AT2P | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C138873 | Neil McGroarty Suite 3 & 4, Bracetown Business Park Clonee Co Meath | Investment Intermediary | 18 Nov 2015 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46923 | Neiland Financial Services Limited t/a Neiland Financial Services, Ace Mortgage Solutions Carrig House Roches Road Wexford Y35X06K | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C129707 | Nelson Life Limited t/a Nelson Life Unit 9 Dockgate Dock Road Galway H91V6RR | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C173434 | Neptune Magnus Financial Solutions Limited t/a Neptune Financial 1 Old College Hall Ard Nua Ballinode Sligo | Investment Intermediary | 5 Mar 2018 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C162088 | Nest Egg Life and Pensions Designated Activity Company t/a Nestegg Life and Pensions Pullamore Cavan H12P403 | Investment Intermediary | 20 Mar 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C149677 | Nestegg Wealth Brokers Limited t/a MyNestEgg.ie Suite 1, Portmarnock Business Centre, Strand Road Portmarnock County Dublin D13DW9T | Investment Intermediary | 8 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2032 | Neville & Partners Limited t/a Neville & Partners & itsyourmoney 59 Shrewsbury Lawn Cabinteely Dublin 18 | Investment Intermediary | 14 Sep 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C144129 | New Beginning Financial Services Limited t/a Employee Financial Wellness, New Beginning Financial Planning, Retirement Choices, NBFP Private 22A Main St Blanchardstown Dublin | Investment Intermediary | 16 Dec 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C88141 | New Star Financial Management Limited 2nd Floor Blackhall Street Mullingar Westmeath N91V32W | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C42649 | NFP Ireland Consultants Limited t/a NFP Ireland, NFP 62 Mulgrave Street Dun Laoghaire Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C152001 | Niall O'Regan Financial Services Limited The Red House Corbally Barna Galway V93FN8P | Investment Intermediary | 24 Aug 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2937 | Niall Sullivan t/a Future Financial Plunkett Chambers Business Centre 21-23 Oliver Plunkett Street Cork Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C93178 | Nick Lawlor Financial Planning Limited t/a Smart Money 43 Burnell Park Ave Castleknock Dublin D15WFH1 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48371 | Nixon Alexander Financial Services Limited Meetinghouse Street Raphoe Donegal | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48485 | Noel Forde t/a GMAC Financial Main Street Castletownbere Cork P75H589 | Investment Intermediary | 22 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C131754 | Norma O'Neill Collins t/a Fealeside Financial Services 5 The Square Listowel Kerry V31TX34 | Investment Intermediary | 7 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C427758 | Northampton Financial Services Limited t/a D Moloney Financial Services Northampton Kinvara Co Galway | Investment Intermediary | 30 Oct 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds | |
| C20892 | Northern Trust Fiduciary Services (Ireland) Limited George's Court 54-62 Townsend Street Dublin 2 | Section 10 Investment Firm | 26 Aug 2003 | No | (h) Custodial Operations | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (j) Certificates (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C20855 | Northern Trust International Fund Administration Services (Ireland) Limited George's Court 54-62 Townsend Street Dublin 2 | Section 10 Investment Firm | 13 Sep 1996 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C34689 | Northside Mortgages Limited t/a Quality Mortgage Services Northside Mortgages Limited Unit 7 Mayfield Shopping Centre Mayfield Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C4323 | O' Dowd Donnelly Carbin (Insurance Brokers) Ltd t/a O'Dowd Donnelly Carbin (Insurance Brokers) Ltd Caslte Centre Bridge Street Donegal Donegal F94Y7HR | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | | |
| C65992 | Oaktree Financial Services Limited t/a Oaktree Financial Services, Oaktree Mortgage Services, Oaktree Insurance, Oaktree Mortgages 10 Pearse Square Fermoy Cork P61HF67 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C130734 | Oakwood Financial Advisors Limited Oakwood Financial Advisors Floor 2 64 Mount Street Lower Dublin 2 | Investment Intermediary | 15 Jul 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3169 | Oates Breheny Financial Services Limited t/a Oates Breheny Group Millbrook House JFK Parade Sligo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5168 | OBF Insurance Group Limited Bridge House Baggot Street Bridge Dublin 4 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C41668 | OBI Wealth Management Limited 22 Hartstonge Street Limerick V94328K | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C38771 | OBN Financial Services Limited t/a OBN Financial Services OBN Financial Services 10 Bridgelane Carrick on Shannon Leitrim N41E5D4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4333 | OCI Insurance Brokers Limited 74/75 JKL Street Edenderry Offaly R45H211 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C188033 | ODI Insurances Limited t/a O'Donovan Insurances Chapel Row Killaloe Co.Clare V94XD71 | Investment Intermediary | 19 Dec 2019 | No | (6) Investment advice. (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C144209 | ODM Financial Ltd t/a ODM Financial, ODM Financial Advisers ODM Financial Limited Bank Place Bandon Cork | Investment Intermediary | 11 Feb 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5296 | O'Driscoll O'Neil (Life and Pensions) Ltd 17 Herbert place Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29262 | OFM Financial Limited t/a Omega Financial Management Omega Financial Management Suite 31 The Mall Beacon Court Sandyford Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C438104 | O'Keeffe Financial & Mortgage Services Limited 13D Main Street Ongar Village Dublin 15 | Investment Intermediary | 2 Dec 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C92278 | O'Leary Financial Management Limited t/a O'Leary Financial Management Unit 1C The Palms Centre The Avenue Wexford Y25EY68 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C11237 | O'Leary Insurances Life & Pensions (Galway) Limited t/a O'Leary Financial Planning Unit 8 Liosban Business Park Tuam Road Galway Galway H91AK8V | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2388 | O'Leary Life Limited O'Leary Life Ltd Lough Mahon House Blackrock Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C164810 | Oliver Buckley Life and Pensions Limited 25 Castlepark Dooradoyle Limerick Limerick | Investment Intermediary | 27 Jul 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1853 | Oliver Murphy Insurance Brokers Limited t/a Coverme, Vancover GLADSTONE HOUSE 50 THE QUAY WATERFORD Waterford X91TNR3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C50349 | Ollie Moran Financial Services Limited Unit 5C Whitethorns Castletroy Limerick V94R65R | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C135240 | OMA Financial Services Limited t/a Q Financial Advisors, Q Moneyhub, Q Mortgage, Pension and Life Advisors, Q Pension Planning, Zoom Mortgage, Pension and Financial Advisors, Zoom Pension Planning Unit 22A Claregalway Corporate Park Claregalway Co Galway H91 NW K7 | Investment Intermediary | 18 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5197 | OMAC (Life & Pensions) Limited t/a OMAC Life 18 Duke Street Drogheda Louth A92PF97 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2378 | O'Mahony (Insurances) Limited t/a OMI EXHAM HOUSE THE FINGERPOST DOUGLAS CORK T12PDD2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C46922 | O'Mahony Actuarial & Pensions Legal Services Limited Sunglin Hainault Road Foxrock Dublin D18W8C7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C131966 | Oonagh Casey Grehan, Gerry Stewart t/a Fagan & Partners, Pensionwise 1 Lower Galloping Greeb Stillorgan Road Blackrock Co. Dublin | Investment Intermediary | 2 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C72521 | Opes Financial Planning Limited 12 PARKLANDS OFFICE PARK SOUTHERN CROSS ROAD BRAY Wicklow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54742 | Opes Private Clients Limited t/a Opes Wealth Trust 192-194 Harolds Cross Road Harolds Cross Dublin 6W Dublin D6WAP86 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C97338 | OPUS Financial Limited t/a Culleton Financial Suite 19, 6th Floor, Corporate Office Suites, The Atrium, Maritana Gate Canada Street Waterford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C181990 | Opus Fund Services (IRE - Admin) Limited t/a Opus Fund Services (IRE - Admin) Limited The Courthouse Court Street | Section 10 Investment Firm | 25 Apr 2019 | No | (g) Collective Investment Schemes | | |
| C35872 | ORCA Financial Limited t/a Oomph Ground Floor The Grange Offices Stillorgan Road Blackrock Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C44557 | Orchid Financial DAC t/a Orchid Financial Orchid House Upper Park Road Killarney Kerry V93PT04 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C64217 | Oregan Financial Services Limited t/a MMPI 101 Morehampton Road Donnybrook Dublin D04 T0C2. | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2519 | O'Riordan Insurances Limited t/a Simplyinsure O'Riordan Insurances Ltd 2, 3 & 4 South Mall Cork Cork T12PH9D | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C167654 | Osborne Financial Planning Limited t/a Osborne Financial Kilrush Athy Kildare R14YA57 | Investment Intermediary | 3 Nov 2017 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8182 | O'Sullivan Tierney Financial Management Ltd 13 Ballsbridge Avenue Ballsbridge Dublin 4 Dublin D04F6R6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6771 | Owen Dwyer & Claire Hanrahan t/a Personal & Corporate Professional Financial Services, Irish Pensions & Finance Suites 3 & 4 Gowna Plaza Bracetown Business Park Clonee Co Meath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C2404 | P & A McMahon Life & Pensions Ltd 64 Patrick Street Dun Laoghaire Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C55285 | P. Dempsey Financial Limited t/a Dempsey Financial Solutions Unit 2, Monterey Court Salthill Galway H91K38E | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4332 | P. J. F. Insurances Limited t/a PJ Fitzgerald Insurances PJ Fitzgerald Insurance 27 Pearse Street Kinsale Cork P17HT29 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C71943 | P. O'Loughlin Financial Services Limited t/a O'Loughlin Insurance Group Little North Street Swords Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8197 | Padraic Kissane t/a Padraic Kissane Financial Services Unit A3 Nutmog Office Park Rathfarnham Dublin 14 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4851 | Padraic Smith & Co. Limited 8-9n marino mart fairview dublin Dublin D03P590 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C128735 | Padraig Duggan Cildarmel Joristown Upper Raharney Killucan | Investment Intermediary | 19 May 2021 | No | (6) Investment advice. (a) Receiving and Transmitting | (kk) Tracker Bonds | |
| C3365 | Padraig Malone Unit 30A Parkway SC Dublin Road Limerick V94F240 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35648 | Park Mortgage Company Limited t/a Leddin Finance Unit 22, 1A Upper Cranford Centre Montrose Dublin 4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C134408 | Park Worth Financial Limited Summerhill Road Dunboyne Meath A86ED82 | Investment Intermediary | 26 Mar 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7137 | Parkchester Limited t/a Wealthcare Unit 5 The Riverfront Howley's Quay Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2201 | Parliament Financial Services Limited Parliament House Embassy Office Park Kill Village Naas Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds | |
| C5777 | Pat Connolly t/a Patrick C Connolly Insurances Stoneville House Rosscarbery Cork P85YK64 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C51731 | Pat Cross Financial Consultants Limited 2 Park Gardens Corbally Limerick Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2452 | Pat Donnellan t/a Pat Donnellan Financial Services 8 Ballygoran Court Maynooth Road Celbridge Kildare W23AE03 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2630 | Pat Hardiman Insurances Ltd t/a Hastings Insurance Brokers The Shambles Vicar Street Tuam Galway H54WY07 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C39292 | Pat Treacy Insurance Brokers Limited t/a Landmark Financial Solutions 261 Crumlin Road Crumlin Dublin D12XY04 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54517 | Patrick Hartigan t/a Select Financial Services Cappateemore Meelick Clare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32688 | Patrick Hopkins t/a Hopkins Financial Consultants 107 Trimbleton Goatstown Road Goatstown Dublin D14P286 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C56192 | Patrick Joseph Greene Milehill Killycard Castleblayney Monaghan | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C28545 | Patrick Lee t/a Lee Insurance Brokers 34 Vevay Road Bray Wicklow A98N402 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40397 | Paul Corcoran t/a Corcoran Financial Services Ballyfoyle Co. Kilkenny | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C44595 | Paul Deane t/a Deane Financial Advice 20 Ardfield Grove Grange Douglas Co Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40695 | Paul Earley Financial Planning Limited t/a Earley Consulting Distillery Lane Mill St Maynooth Kildare W23X8Y6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32722 | Paul Fitzpatrick t/a Paul Fitzpatrick Financial Services Cavan Co. Cavan Cavan Cavan H12XOX6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C13683 | Paul Joyce and Maureen O'Reilly t/a Joyce Consultants 2 Croi Faoin Tuath Coolboy Tinahely Co. Wicklow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C8210 | Paul Kinane Financial Services Limited Paul Kinane Financial Services Limited 22 Sandyford Office Park Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C118220 | Paul Looby 55 Ailesbury Road Ballsbridge Dublin D04F8C0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50207 | Paul McCarville and Joe Mottley t/a Clarus Investment Solutions Suite 403 22/23 Upper Pembroke Street Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C139003 | Paul O'Brien t/a Castle Investments & Finance Presentation House Harbour Road Mullingar Westmeath N91VNY3 | Investment Intermediary | 16 Sep 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C5446 | Paul Ryan Pension and Financial Consultants Limited 19 Greenmount House Greenmount Office Park Harolds Cross Road Dublin 6w | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C32738 | Paul Tinney t/a Cornmarket Financial Services Level 4 Cornmarket Square Robert Street Limerick Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50617 | Pax Asset Management Designated Activity Company t/a Pax Asset Management, Pax Financial Planning, Income-Protection, AskPaul, Expertpensions 10 Sandyford Office Park Sandyford Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38114 | PCS Financial Services (Kerry) Limited t/a Employee Pension Education, Premier Financial Services, Premier Financial, Employee Pension Advisors, ELife, EInvest, Premier Life, EChoice, EAdvice Beechgrove House Strand Street Tralee Kerry V92FHOK | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C111690 | Pearce Financial Limited t/a Pearce Financial Planning A1 Nutgrove Office Park Rathfarnham Dublin Dublin D14H5C7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C95005 | Pegasus Equity Limited t/a Pegasus Financial Consultancy Clash Leamlara Co Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C1264 | Penco Insurances Ltd t/a Penco, Which Mortgage The Black Church St Marys Place Dublin 7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C44597 | Pension Investment & Property Trustees Limited 8 Sandford Road Ranelagh Dublin D06R2H4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C97857 | Peter Duggan Financial Services Limited 17 O'Curry Street Limerick V94NPY7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54797 | Peter Nolan t/a Peter and Mena Nolan Financial Services Rockeden Ballyvelly Tralee Kerry V92A115 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C54531 | PFP Financial Services Limited 29 Terenure Road East Rathgar Dublin 6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C163621 | PFS Financial Planning Limited t/a Power Wealth Advisors, Protect My Salary, Legal Wealth Unit 10 Block 3 City North Business Campus Gormanston Meath | Investment Intermediary | 18 May 2017 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C36950 | PGM Financial Services Ltd Mine Hill Business Centre Mine Hill Lane Ballycorus Kilternan Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38712 | PHD Financial Services Limited t/a Ocean Mortgages, Ocean Finance, Ocean.ie Finance House 46 Prussia Street Dublin 7 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C597 | Phil Reddin Financial Services Limited 2 St Agnes Road Crumlin Village Crumlin Dublin 12 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C32766 | Philip Farrelly & Co Financial Services Ltd t/a Mortgage Ireland, Farrelly Financial Farrelly Financial 2 Kennedy Road Navan Meath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1284 | Pike Insurance Services Designated Activity Company t/a Joe Murphy Insurances, JUST 4 U, Pike Insurance Services 8 Marine Road Dun Laoghaire Dublin A96X8Y6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C422801 | Pinnacle Financial Limited t/a My Life Insurance, Pinnacle Financial 5-9 Terenure Place Terenure Dublin 6W | Investment Intermediary | 23 Oct 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|--|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C142189 | Pivotal Database Services Limited t/a Pivotal Financial Services Mill Lodge Rathcoffey Donadea Kildare W91K8N5 | Investment Intermediary | 18 Dec 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C43565 | PJ Farrell t/a Farrell Financial Services Coolanickbeg Oilgate Enniscorthy Wexford Y21T103 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C422163 | Planalife Limited Bastion Street Athlone Co. Westmeath | Investment Intermediary | 12 Feb 2021 | No | (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1655 | PM Brennan Financial Brokers Limited t/a Irish Health Insurance, IHI Group, IHI Healthcare, IHI Financial 2 Tower Road Clondalkin Village Dublin 22 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38787 | PMCN Financial Services Designated Activity Company t/a Moore McNamara Financial Planning Pamdohlen House Dooradoyle Road Limerick Limerick V94XE61 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C164397 | PML Financial Planning Limited t/a PML Financial Planning Block B Maynooth Business Campus Straffan Rd Maynooth Co. Kildare W23W5X7 | Investment Intermediary | 21 Jun 2017 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C51076 | Porter Private Clients Limited t/a Porter Private Clients Millennium House Stephen Street Sligo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C29492 | Power Life & Pensions Limited Kitestown Crossabeg Co Wxford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35467 | Practical Financial Services Ltd Unit 2 Galway Technology Park Parkmore Galway Galway H91KFD3 | Investment Intermediary | 23 Sep 2015 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C3126 | Pratt Financial Services Limited Pratt Financial Services Ltd 24 Main Street Ballincollig Cork P31HN30 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C136376 | Precision Financial Consultants DAC 40 Mount Argus Road Harolds Cross Dublin Dublin | Investment Intermediary | 26 Mar 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C42652 | Prendergast Maguire Financial Services Limited Mount Kennedy Town Centre Main Street Newtownmountkennedy Wicklow A63RX23 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C11208 | Primafinance Limited t/a PrimaFinance Debt Solutions 77 New Street Killarney Kerry V93YCY5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4348 | Priority Insurances DAC t/a Priority Insurance and Finance Solutions Halpin House High St Trim Meath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C156200 | Prisma Financial Limited Unit 1 Riverview Commercial Centre Main Street Kilbeggan Co. Westmeath N91 H6K3 | Investment Intermediary | 4 Nov 2016 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46725 | Proactive Finance Limited Convent Street N/A Abbeyfeale Limerick V94R599 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C54785 | Professional Wealth Management Services Limited t/a Pro Wealth 17 Bridgecourt Office Park Walkinstown Avenue Dublin Dublin D12VH61 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2700 | Promotions Direct Limited t/a Sheridan Insurances Promotions Direct Ltd T/A Sheridan Insurances 43 College Street Cavan Cavan H12K5X2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C432061 | Protection & Prosperity Financial Services Limited t/a Protection & Prosperity Financial Services 13 Crowe Street Dundalk Co Louth | Investment Intermediary | 4 Dec 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C178583 | Provest Private Clients Limited t/a Provest Private Clients, Provest Pension Consultants No 5 The Mall Maryborough Woods Douglas Cork T12XN9Y | Investment Intermediary | 23 Jul 2018 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3346 | Providence Finance Services Ltd t/a Money Doctors Money Doctors 61 Lr Kilmacud Road Stillorgan Dublin A94A2F7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1355 | Provident Financial Group Limited 131 Rathgar Road Rathgar Dublin 6 Ireland | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C193592 | Prudent Financial Planning Ltd t/a Integral Financial Planning 13 Classon House Dundrum Business Park Dundrum Dublin | Investment Intermediary | 24 Jun 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments | |
| C158525 | Prudent Management & Financial Services Limited t/a Prudent Financial, Prudent Environmental Investments 8 Terenure Road East Rathgar Dublin | Investment Intermediary | 8 Feb 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C435644 | Prudential Wealth Management Limited t/a Prudential Wealth 5 Lower Rowe Street Wexford Y35RDX9 | Investment Intermediary | 26 Jan 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45975 | Public Sector Life & Pensions Limited Gortalinny Lodge Gortalinny North Kenmare Kerry V93R5FW | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C163443 | Pure Finance Limited 29 Johnstown Road Dun Laoghaire Dublin | Investment Intermediary | 18 Aug 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C47498 | Pyramus Capital Partners Limited First Floor 64 O'Connell Street Limerick | Section 10 Investment Firm | 15 Nov 2007 | No | (i) Designated Investment Funds | | |
| C51240 | Qifa Wealth Advisors Limited t/a Qifa Financial Planners, Talk To Sean 5 Chapel Lane Mallow Cork P51XY01 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C51965 | Quest Capital Advisors Limited 9 Fitzwilliam Square Dublin 2 Dublin Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|--|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C93716 | Quinlan Wealth Services Limited t/a Quinlan Financial Services 14 Summerhill Nenagh Tipperary | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40861 | Quintas Wealth Management Limited Quintas Wealth Management Heron House Blackpool Park Blackpool Cork | Section 10 Investment Firm | 2 Dec 2008 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker (i) Designated Investment Funds | (a) Transferable Securities (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2226 | R J Croly & Co Ltd 4 Finsbury Parl Dundrum Dublin Dublin D14K793 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C7203 | R.J. Walsh Consulting Limited t/a Walsh Consulting The Business Centre Lapps Quay Cork Cork T12YR9Y | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C152341 | Raglan Street Private Limited 73 Carrs mill Donabate Dublin K36F990 | Investment Intermediary | 11 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C621 | Rahin Financial Services Limited Unit 1 The Crescent Shopping Centre Dooradoyle Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C4595 | Raymond O'Neill (Insurances) Ltd 4 Bridge Street Bandon Cork P72YH26 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C22820 | RBC Investor Services Ireland Limited 4th floor One George's Quay Plaza, George's Quay, Dublin 2 | Section 10 Investment Firm | 19 Jun 1997 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C38797 | Reade Pensions & Financial Services Limited t/a Reade Pensions & Financial Services, ARF Experts 7 Abbey House Main St Clonee Co. Meath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C84697 | Reality Financial Services Limited t/a Reality Check Milltown Road Tuam Galway H54Y335 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40991 | Reddan O'Brien Henry Limited t/a Don Reddan Financial Services Reddan O'Brien Henry Ltd 19 The Crescent Limerick Limerick V94N963 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C139747 | Redesdale Capital Limited Fitzwilliam House Fitzwilliam Place Dublin 2 Dublin D02V882 | Investment Intermediary | 15 Dec 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C55406 | Reklaw Limited t/a Walker Financial Management Pump Cottage Baltracey Donadea Kildare W91X6N3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1507 | Revolife Limited t/a Gateway Insurances & Investments Magazine Road Athlone Westmeath N37Y5F6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C169146 | RHCLD Limited t/a Citywide Financial Solutions 238 Swords Rd Santry Dublin Dublin | Investment Intermediary | 22 Dec 2017 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30360 | Richard Barr t/a Malahide Insurance Shop Stone Hill The Hill Malahide Co.Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C45859 | Richard Crotty t/a Crotty & Co. Unit 5 AF Crestfield Centre Glanmire Cork T45XK77 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C128260 | Richard Kingston t/a Kingston Financial Services Cashelmore Bandon Co. Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C36965 | Richardson Insurance Solutions DAC 1st Floor, Unit 4 Ballyogan Business Park Ballyogan Road Dublin D18R2T0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13696 | Richmond Financial Services (RFS) Limited EBS Office Edward Street Newbridge Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30375 | Riordan Financial Brokers Limited t/a Riordan Financial Riordan Financial Main St Charleville Cork P56FT85 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4780 | Robert Blythman & Associates Ltd 98 Patrick Street Dun Laoghaire Dublin A96R282 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C47962 | Robert James Cooney t/a Cooney Wealth Management Cooney Wealth Management 57 Main Street Blackrock Dublin A94A2Y0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C56745 | Robert Moynihan Limited Fyfield Rock Road Blackrock Dundalk Louth | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C2135 | Robert Ryan Insurances (Limerick) Limited t/a UrLife 4th Floor Insurance House 31/32 Upper William Street Limerick V94RY28 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C176235 | Roberts Nathan Financial Services DAC t/a Roberts Nathan Financial Services & Wealth Planning Penthouse Floor 5 Lapps Quay Cork Cork | Investment Intermediary | 26 Jul 2018 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C134810 | Rockcourt Financial Services Limited t/a Rockcourt Main Street Glenamaddy via Castlereagh Galway F45YE63 | Investment Intermediary | 18 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C117291 | Rockwell Financial Management Limited t/a Rockwell Financial Management, Rockwell Mortgages, Rockwell Insurance 2nd Floor 174 Walkinstown Road Dublin 12 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29370 | ROISIN CLARKE AND COMPANY LIMITED Apt 9/115 Strand Road Sandymount Dublin 4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C191333 | Ronnie Tanner Financial Services Limited 10 North Street Skibbereen Cork P81DH60 | Investment Intermediary | 22 Nov 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29380 | Rose Hill Insurances Ltd 12 Sandyford Office Park Blackthorn Avenue Sandyford Dublin D18YR24 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C92705 | Rose Private Clients Limited t/a Rose Private 6 Merrion Square Dublin 2 Dublin Dublin D02FF95 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C91180 | Rosemary Collins Limited t/a RMC Financial Services 11 The Meadows Ballybrit Galway Galway | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C136064 | Ross Connolly t/a Orca Homeloans 52 George's Avenue Blackrock Dublin | Investment Intermediary | 29 May 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds | |
| C56064 | Ross Curran Financial Services Limited t/a Curran Financial Services, Curran Futures 21 Mary Street Galway Galway H91CC65 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C1231 | Rouse Insurance Brokers Limited 21 Pearse Street Ballina Mayo F26K7Y8 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C51434 | Roy Kennedy t/a Kennedy Financial Advisors 7 Fitzwilliam Street Lower Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C185660 | Royal Oak Financial Services Limited Knockrigg House Wells Royal Oak Bagenalstown Carlow | Investment Intermediary | 30 Oct 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C165184 | Ruth Mulcaire Financial Planning Limited Ruth Mulcaire Financial Planning Ltd Unit 7 Limerick Business Complex Raheen Business Park Limerick | Investment Intermediary | 28 Sep 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4783 | S.A. Faughnan (Brokers) Ltd Ashtown Business Centre Navan Road Dublin 15 Dublin D15K6WR | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C93734 | Safe Life & Pensions Limited 9 Firgrove Park Bishopstown Cork T12FPA6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C57023 | Samantha Nagle t/a Aspire Financial Services No 2 - No 4 Merville Road Stillorgan Co. Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C167233 | Sandford Advisors Limited 232 Blanchardstown Corporate Park 2 Ballycoolin Dublin Dublin | Investment Intermediary | 10 Oct 2017 | No | Investment Advice (6) Investment advice. (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C435379 | Sanne Depository Services Ireland Limited 4th Floor 76 Lower Baggot Street Dublin 2 | Section 10 Investment Firm | 26 Mar 2021 | No | (h) Custodial Operations | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (g) Rates/Indices Movements | |
| C160478 | Sanne Group Administration Services (Ireland) Limited Fourth Floor 76 Lower Baggot Street Dublin 2 | Section 10 Investment Firm | 31 Aug 2017 | No | (g) Collective Investment Schemes | | |
| C2685 | Sayers Insurances Limited The Square Castlemaine Killarney Kerry V93X735 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds | |
| C146819 | Schofield Financial and Accounting Services Limited Suite 12, 3rd Floor, Ross House, Merchants Road, Galway | Investment Intermediary | 20 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C190760 | Seamas O'Laighleis t/a Bua Investments, Bua Savings and Investments, Bua Financial Services The Greenway Block C, Ardilaun House 112-114 St.Stephen's Green Dublin 2 | Investment Intermediary | 22 Jan 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C29149 | Seamus Teehan 4 Somerton Rochestown Avenue Dun Laoghaire Dublin A96P2V5 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | | |
| C49991 | Sean Byrne t/a Select Financial Solutions, Blue Sky Mortgages The Ranch Dublin Road Monasterevin Kildare W34T384 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1710 | Sean Coyne & Co. Ltd t/a Coyne & Culloty 2 Main Street Killarney Co. Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds | |
| C38736 | Sean Gallagher Financial Services Limited t/a SEAN GALLAGHER FINANCIAL SERVICES Financial Services Centre Mount Street Claremorris Mayo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C57050 | Seán MacShéamais t/a Brendan James Financial Services, Carn Financial Services 5B Royal Court Business Centre Liosban Est Tuam Rd Galway H91AH72 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C119223 | Sean McGreevy t/a McGreevy Financial Services Sancta Anna House Coast Road Oranmore Galway Galway | Investment Intermediary | 25 Nov 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C3375 | Sean P. Matthews Insurances Limited t/a Sean P. Matthews Insurances Scarlet Street Drogheda Louth A92W409 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C55179 | Sean Ryan Milltown Garryhill Bagenalstown Carlow R21KT52 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C72131 | Searing Point Wealth Management Limited Frankfort Building Dundrum Road Dublin 14 D14RX27 - | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C165527 | Seaspray Financial Services Limited t/a Seaspray Private 25 Lower Leeson Street Dublin | Investment Intermediary | 11 Oct 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C33792 | Seatown Financial Services Limited t/a Avoca Financial Services 28 Seatown Place Dundalk Louth A91F903 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C190076 | SEFS Limited t/a Southeast Financial Services, Southeast Mortgages and Financial Services, Southeast Mortgages The Avenue Gorey Co Wexford | Investment Intermediary | 19 Jul 2019 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C24901 | SEI Investments - Depository and Custodial Services (Ireland) Limited Styne House, 2nd Floor Upper Hatch Street Dublin 2 | Section 10 Investment Firm | 31 Jul 2007 | No | (h) Custodial Operations | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (j) Certificates (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C21811 | SEI Investments Global Fund Services Limited Styne House, 2nd Floor Upper Hatch Street Dublin 2 | Section 10 Investment Firm | 22 Jan 1996 | No | (g) Collective Investment Schemes | | |
| C65926 | Shankill FS Limited t/a Shankill Financial Services, Smart Mortgages Station house Shankill Dublin 18 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C28294 | Sharewatch Ltd t/a Sharewatch Ltd NSC Campus Mahon Cork | Section 10 Investment Firm | 24 Mar 2010 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds | |
| C1510 | Sheeran Insurances Limited 2 sandyford office Park Blackthorn Avenue Dublin 18 Dublin D18KD50 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C142210 | Shelbourne Financial Limited t/a Financial Health Financial Health 95 Merrion Square Dublin 2 Dublin | Investment Intermediary | 30 Sep 2015 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C144125 | Shinners Financial Services DAC 5 Abbey St Killaloe Clare V94Y8PE | Investment Intermediary | 21 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53783 | Signature Financial Planning Limited The Link Business Centre G1 Calmount Park Ballymount Dublin 12 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C97455 | Silveredge Capital Limited t/a Silveredge Capital 88 Ranelagh Road Dublin 6 Dublin Dublin D06CH64 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C103002 | Simon Shirley Advisors Limited 64 Lower Mount Street Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C135239 | Skevanish Limited t/a Cygnus Investment Advisors Innishannon Co Cork T12 K3KT | Section 10 Investment Firm | 20 Apr 2015 | No | Investment Advice (6) Investment advice. | (a) Transferable Securities (c) Collective Investments (kk) Tracker Bonds | |
| C54701 | Sligo Financial Services Centre Limited t/a Mulhern Leonard Debt Solutions 15 Mail Coach Rd Sligo | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C65387 | Smart Choice Financial Brokers Limited t/a Smart Choice Financial Brokers, Smart Choice Kapamilya Insurance, Smart Choice Debt Management Unit 14A Ashleigh Centre Main Street Castleknock Dublin 15 D15F5XD | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C185733 | Smart Finance Life Planning LTD Bawntaffe Monasterboice Drogheda Louth A92E2V3 | Investment Intermediary | 20 Jun 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C20900 | SMT Trustees (Ireland) Limited Block 5 Harcourt Centre Harcourt Road Dublin 2 | Section 10 Investment Firm | 11 Nov 2004 | No | (h) Custodial Operations | (c) Collective Investments | |
| C29461 | Societe Generale Securities Services SGSS (Ireland) Limited 3rd Floor IFSC House IFSC Dublin 1 | Section 10 Investment Firm | 13 May 2003 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C38920 | Softford Limited t/a FJ Hanly & Associates Financial Consultants 2nd Floor River Point Bishops Quay Limerick | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1474 | Sparrow Insurances Limited 5 Wesley Terrace Railway Street Portlaoise Co Laois | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C47665 | Spartan Financial Solutions Limited Spartan Financial Solutions 56 Cathedral Court Ennis Clare V95RR26 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C183055 | Spirit Financial Limited Number One, Cubes 2 Beacon South Quarter Sandyford Dublin | Investment Intermediary | 1 Feb 2019 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53832 | Squaremile Financial Consultants Limited t/a Squaremile Digital office Centre Balheary Road Swords Dublin K67E5A0 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C51012 | SS&C Financial Services (Ireland) Limited t/a SS&C Financial Services (Ireland) Limited La Touche House IFSC Dublin 1 | Section 10 Investment Firm | 24 Oct 2008 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C72977 | Stan Pyke Alliance Insurance 68 Church Street Skerries Dublin K34HX31 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C20909 | State Street Custodial Services (Ireland) Limited 78 Sir John Rogerson's Quay Dublin 2 | Section 10 Investment Firm | 15 Jan 2003 | No | (h) Custodial Operations | (a) Transferable Securities (b) Non-Transferable Securities (c) Collective Investments (d) Financial Futures Contracts (e) Commodity Futures Contracts (f) FRA Agreements (g) Rates/Indices Movements (h) Sale/Purchase Trans Securities (I) Borrow/Lend Trans Securities (j) Certificates (jj) Rolling Spot ForExc Contract (k) Options (kk) Tracker Bonds (l) Hybrid Instruments | |
| C20863 | State Street Fund Services (Ireland) Limited 78 Sir John Rogerson's Quay Dublin 2 | Section 10 Investment Firm | 23 Oct 1996 | No | (g) Collective Investment Schemes | | |
| C438478 | Steeple Financial Consulting Limited 3rd Floor Unit 18 Brewery Business Park Ardee Road Dundalk Co Louth | Investment Intermediary | 29 Apr 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C37716 | Stephen Hamilton Financial Services Limited t/a Mortgageline, Northwood Financial Planning 120 Drumcondra Road Upper Drumcondra Dublin 9 D09T6P7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C134248 | Stephen Lynn Financial Services Limited Park Court Austin Friars Street Mullingar Westmeath | Investment Intermediary | 23 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C43717 | Stephen Mooney t/a Stephen Mooney Financial Services 15 Raheen Park Bray Wicklow | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C30984 | Stephen Murphy t/a Stephen Murphy Financial Services Knockillaree Oughterard Galway Galway H91V8DD | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C128685 | Stephenson Insurances Limited The Walls Racecourse Road Tralee Co. Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (6) Investment advice. | | |
| C2234 | Sterner Holdings Limited t/a Alliance Insurance Brokers, Alliance Financial Planning, The Wealth Shop Alliance 68 Church Street Skerries Co. Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C47926 | Stevenson Horan Financial Services Limited t/a Eamonn Stevenson Financial Services Unit 4 Glenview Business Park Carnamuggagh Upper Letterkenny Donegal F92E658 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6448 | Stuart Financial Services Ltd Stuart Financial Services Ltd 17-21 Temple Road Blackrock Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C1514 | Sullivan Insurances and Financial Services Limited t/a Sullivan Insurances Pearse House 21 Pearse Street Athlone Co Westmeath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2246 | Sullivan Life & Pensions Ltd No. 4 Upper Hartstonge Street Limerick Limerick V94V02R | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds | |
| C118038 | Summit Life & Pensions Limited t/a Summit Financial Planning 13 Upper Pembroke Street Dublin 2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C9222 | Sunrise Financial Planning Limited 34 O'Connell Street Limerick Limerick V94D9NV | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C434118 | Sureplan Financial Limited t/a SurePlan Financial 2nd Floor Building 1000 City Gate Mahon Cork | Investment Intermediary | 12 Nov 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C29469 | Swiss Financial Services (Ireland) Limited Arthur Cox Building Earlsfort Terrace Dublin 2 | Section 10 Investment Firm | 23 May 2003 | No | (g) Collective Investment Schemes | (c) Collective Investments | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|--|
| | | | | | Business Service | Investment Instruments | |
| C174844 | Symmetry Financial Management Limited t/a Symmetry Financial Management, Asian Financial Services Unit 38 Dunboyne Business Park Dunboyne Meath A86YP08 | Investment Intermediary | 17 May 2018 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2470 | SYS Mortgages DAC Quintin's Way Pearse Street Nenagh Tipperary | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C134232 | SYS Wealth & Financial Planners Limited t/a SYS Wealth & Financial Planners Unit 21 Quintns Way Pearse Street Nenagh Tipperary E45R205 | Investment Intermediary | 18 Feb 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | Joe Hannon Liam Carroll Stephen Heffernan Christy Heffernan |
| C47621 | T & M Financial Limited t/a Mortgage Plus, Turley Financial Services 89 Harcourt Street Dublin 2 Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C425091 | T.E.A.R. Financial Solutions Limited t/a Cunningham Financial Services 54 Caislean Oir Athenry Galway | Investment Intermediary | 27 Aug 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C29611 | TAB Financial Services Limited 34 Fitzwilliam Place Dublin 2 D02P620 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C54863 | Tadhg Lynch t/a Lynch Financial 45 Skreen Road Navan Road Dublin 7 Dublin D07T3F9 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C47452 | Tailored Finance Limited 3 Convent Close Off Herbert Street Baggot Street Dublin 2 D02V568 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C49940 | Talk Financial Limited Suite 1 Kilcolgan Business Centre Kilcolgan Galway H91N5DD | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C125471 | Tara Financial Partners Limited Unit 5 Blanchardstown Business Centre Blanchardstown Dublin D15PFT6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C34876 | Teddy Reynolds Cortoonroe Clahane Ballyard Tralee Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C44588 | Teresa O'Connor t/a Axs Financial Advice 17 Bridgefield Lews Road Killarney Co Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C53811 | The Glackin Business Institute Limited The Glackin Business Institute Limited Unit 8a, O'Boyce's Corner Port Road Letterkenny Donegal | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C93055 | The Good Money Guys Limited t/a The Good Money Guys 27 Madeira Grove Enniscorthy Wexford Y21X7N2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C13682 | The MoneyButler Limited t/a Moneybutler Investments, Moneybutler Life & Pensions, Moneybutler, Moneybutler Financial Services Unit 1, Centrepoint Liosbaun Business Park Tuam Road Galway H91TW64 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C163951 | The Pension Store Ireland Limited t/a The Pension Store, The Insurance Store, The Investment Store 20 Harcourt Street Saint Kevins Dublin 2 Dublin D02H364 | Investment Intermediary | 12 Jul 2017 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2154 | Thomas Coyne t/a Thomas Coyne Insurances 13 - 15 Rathfarnham Road Terenure Dublin 6W | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C35520 | Thomas Gordon t/a Trust Financial Services Melview Longford Town Longford N39H2C4 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C65541 | Thomas Hyland & Lisa Harte t/a Tom Hyland Financial Services Tom Hyland Financial Services New Square Mitchelstown Co. Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C4286 | Thomas Maher t/a Maher Insurance Brokers, Maher Insurances Maher Insurance Brokers Mitchel Street Thurles Tipperary E41YX07 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C13685 | Thomas Morton t/a Morton Mortgages Direct 36 Mount Street Mullingar Westmeath N91TF40 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C56000 | TierOne Prudential Limited TierOne Prudential Limited Unit 1 Leopardstown Golf Centre Brighton Road Foxrock D18F702 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C39946 | TIMC Limited t/a IQ Financial 2nd Floor 10 Stephen Street Sligo F91V2XR | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|--|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C35669 | Timothy Buckley t/a Buckley Financial Buckley Financial East Green Dunmanway Cork P47Y796 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C36821 | Timothy John Coffey t/a Coffey Financial Consulting 10 Harte's Courtyard Clonakilty Cork P85KX76 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C176016 | TMCA Consultancy Limited t/a Windmill Financial Planning 8 Windmill Court Seatown Dundalk Co. Louth | Investment Intermediary | 29 Aug 2018 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (g) Collective Investment Schemes | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C39585 | Tom Clarke Financial Services Limited t/a Tom Clarke Finance Old Windmill Court Lower Gerald Griffin Street Limerick V94 YRD7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C3199 | Tom Gallagher Insurance Limited t/a Gallagher Insurances Newmarket House 108 Patrick Street Dun Laoghaire Dublin A96X0W2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C8175 | Tom O' Gorman t/a Tom O'Gorman Property and Financial Services Oldboley Barntown Wexford | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C135445 | Tom O'Neill t/a Blue Chair Financial Planning 13C Main Street Ongar Dublin 15 D15PK24 | Investment Intermediary | 4 Jun 2015 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C47589 | Tom Ormsby Financial Solutions Limited Meeting House Lane Mullingar Westmeath | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C64821 | Tom Pollard & Co. Limited 43 Gladstone Street Clonmel Tipperary E91CF90 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48576 | Tom Whelan Tower Road Piltown Co Kilkenny | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C188315 | Tomas Cunningham Financial Limited Bridge House Mount Bellew Co. Galway | Investment Intermediary | 2 Jan 2020 | No | (f) Deposit Agent/Broker | | |
| C141051 | Tommy Cunningham t/a Cunningham Financial Services 54 Caislean Oir Athenry Galway | Investment Intermediary | 13 Jul 2016 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C50407 | Tony Stakelum t/a Stakelum Financial Services No 1 Churchview Villas Castlebar Mayo F23EE08 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C92778 | Total Advice Limited t/a Total Financial Advice Unit 11 The Seapoint Building 44-45 Clontarf Road Clontarf Dublin 3 DO3P657 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53815 | Tracy Beirne t/a Tracy Beirne Financial Services 4 Main Street Elphin Roscommon F45KD60 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C38101 | Travers & Co. Insurances Limited t/a Travers Financial Services, Travers Insurances 7 Upper Main Street Arklow Wicklow Y14KT63 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C47379 | Tri Everest Wealth Management Limited 22 Mulvoy Park Sean Mulvoy Road Galway Galway H91N56F | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C41467 | Trident Benefit Consulting Limited t/a Trident Consulting, Pension Solutions 300A Cathedral Court New Street Dublin 8 Dublin D08A7K7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C431634 | True Financial Limited t/a Safenet Financial, LowQuotes Kea Lew Business & Retail Park Kylekiproe Portlaoise Co Laois R32K7TX | Investment Intermediary | 18 Nov 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|----------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C176009 | Trusted Finance Limited EBS Carlow 135 Tullow Street Carlow Carlow | Investment Intermediary | 20 Sep 2018 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35870 | Turlough Meagher Financial Services Limited t/a Insure and Save, IFG Financial-Meagher C/O IFG Financial Meagher Unit 6a Phibsoro Place Phibsboro Dublin 7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C35595 | Turnstone Asset Management Limited t/a Turnstone Financial Planning 9 Priory Office Park Stillorgan Road Blackrock Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (n) Personal Retirement Savings Accounts | |
| C42423 | U.S. Bank Global Fund Services (Ireland) Limited 24-26 City Quay Dublin 2 | Section 10 Investment Firm | 27 Jul 2006 | Yes | (g) Collective Investment Schemes | (c) Collective Investments | |
| C438469 | Ultan Smith t/a Ultan Smith Financial Services Abbey Street Sligo Co. Sligo | Investment Intermediary | 9 Dec 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C95647 | Valero Financial Limited The Old Brewery The Glen Kinsale Cork P17W263 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C434033 | Vantage Financial Planning Limited t/a Money Mentor Unit 3 14 Ransford Sandford Avenue Dublin 4 | Investment Intermediary | 15 Dec 2020 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (n) Personal Retirement Savings Accounts | |
| C188993 | Verity Financial Consultants Limited Unit D17 M4 Interchange Business Park Celbridge Kildare | Investment Intermediary | 28 Jun 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C87610 | Veterinary Ireland Financial Services Limited 13, The Courtyard Kilcarbery Park Nangor Road Dublin 22 D22XH05 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1665 | Victor O'Rourke (Insurances) Ltd victor o'rourke ins ltd 12/14 Sandford Road Ranelagh Dublin 6 D06YX92 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C47848 | Viewmount Financial Planning Limited 40 Viewmount Park Dunmore Road Waterford Waterford X91KH2R | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1972 | Vincent Casey Life & Pensions Company Limited 8 Newmarket Lane Killarney Kerry V93WC59 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------------|--------------------|--------------------|---|--|-------------|
| | | | | | Business Service | Investment Instruments | |
| C88143 | VinFin Limited t/a C & E Finance, Claffey & Egan Financial Brokers, Tara Financial Advisory Thumbeagh Ballinahown Athlone Westmeath N37VE09 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C162252 | Virtus Partners Fund Services Ireland Limited Connaught House 5th Floor One Burlington Road Dublin 4 | Section 10 Investment Firm | 7 Apr 2017 | No | (g) Collective Investment Schemes | (c) Collective Investments | |
| C37011 | Vision Financial Solutions Limited 95 Ranelagh Ranelagh Dublin 6 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C53852 | Vista Financial Services Ltd 7 Old Market Street Sligo Sligo F91P6PT | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C97060 | Walfrid Private Limited t/a MediWealth, Mortgage Hunter, Hospitality Wealth, Insurance Republic, OptiWealth, PharmaWealth, RetailWealth, DirectorWealth, DentaWealth, VetWealth, Financial Helpline 1 Parkway House Western Parkway Business Park Ballymount Dublin 12 D12R5FC | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C2092 | Wallace Financial Consultants Ltd t/a Wallace Financial Consultants Wallace Financial Consultants The Atrium Blackpool Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C420023 | Wallpin Limited t/a Wallace Financial 56 O'Connell St Limerick Limerick | Investment Intermediary | 14 May 2020 | No | (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6380 | Walsh Pension & Financial Services Ltd t/a Walsh Pensions Guardian House 12 Priory Office Park Stillorgan Co Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (n) Personal Retirement Savings Accounts | |
| C120043 | Waterford Mortgages & Investments Limited 46 The Weir Kilkenny City Kilkenny Kilkenny R95D8Y7 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C143835 | Waypoint Financial Planning Limited Block B Maynooth Business Campus Maynooth Kildare W23W5X7 | Investment Intermediary | 15 Dec 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C120055 | Wealth Alliance Limited Columba House Airside Swords Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C48663 | Wealth Management Concepts Limited t/a Wealth Management Concepts Wealth Management Concepts The Atrium Blackpool Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2969 | Wealth Management Ltd Unit 4, Mt prospect House 438 Clontarf Road Dublin 3 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C433945 | Wealth Ways Limited The Fairgreen Westport Co Mayo F28 E291 | Investment Intermediary | 5 Feb 2021 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45851 | Wealthwise Dun Laoghaire Limited t/a Fiduciam Fiduciam Financial Planning Lioscarran Mews 32a Dale Road Stillorgan Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C166141 | Wealthwise Financial Limited t/a Wealthwise Financial Planning Block C Hartley Business Park Carrick on Shannon Leitrim N41F9X8 | Investment Intermediary | 18 Jul 2017 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C45787 | Wellesley Investments and Pensions Limited 59/60 O'Connell Street Limerick Limerick V94E95T | Investment Intermediary | 13 Aug 2018 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C44347 | Wellington Court Financial Services Limited t/a Aspect ISA Principal Business 6 Central Business Park Clonminch Tullamore Offaly | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C175548 | West Coast Financial Advisors Limited t/a Murray Financial Services The Plaza Office Suites Headford Rd Galway | Investment Intermediary | 27 Jun 2018 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C48827 | Whelan Investment Consultants Limited t/a Whelan Financial 1 Southwinds Maryborough Woods Douglas Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C4483 | William Lyons Insurances Limited t/a Lyons Insurance & Investment Brokers 113, Main Street Castleisland Co Kerry | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (n) Personal Retirement Savings Accounts | |
| C118337 | Willie O'Leary Clear Financial Rathcoole Premier Office Centre Main Street Rathcoole Dublin | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C40774 | Willis Towers Watson (Ireland) Limited Willis Towers Watson House Elm Park Merrion Road Dublin 4 D04P231 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|---|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C1234 | Willis Towers Watson Insurances (Ireland) Limited t/a Willis Towers Watson Willis Towers Watson House Elm Park Business Campus Merrion Road Dublin 4 D04 P231 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C6494 | Willis Towers Watson Life and Pensions Limited t/a Willis Towers Watson Willis Towers Watson House Elm Park Merrion Road Dublin 4 D04P231 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C2257 | Windmill Insurances Ltd t/a Windmill 19 White Street Georges Quay Cork Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C46499 | Windsor Wealth Management Limited t/a Mummy & Daddy Insurance, Findmylostpension.ie, Earlyretirement.ie, Familyprotection.ie 29 Windsor Place Dublin 2 Dublin Dublin D02P653 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C1910 | Wintrust Limited Lane Business Park Monahan Road Cork | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

| Ref No. | Name & Address | Authorisation Status | Authorisation Date | Client Money Rules | Authorised Activities | | Tied Agents |
|---------|--|-------------------------|--------------------|--------------------|---|---|-------------|
| | | | | | Business Service | Investment Instruments | |
| C184980 | WN Advanced Financial Services Limited t/a Noonan Financial Services The Old Library Building St. Augustines Street Dungarvan Waterford | Investment Intermediary | 10 Jun 2019 | No | Investment Advice (6) Investment advice. (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C41354 | Wolstan Investments & Pensions Limited 8 The Avenue Wolstan Haven Celbridge Kildare | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting | (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C138789 | Wood Park Financial Consulting Limited 114 Ashfield Rise Balbriggan Dublin K32T992 | Investment Intermediary | 6 Jul 2015 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |
| C138470 | Yourbroker Financial Ltd t/a Yourbroker Unit K3 Drinan Enterprise Centre Feltrim Road Swords Dublin | Investment Intermediary | 11 Jul 2016 | No | Investment Advice (a) Receiving and Transmitting | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds | |
| C71087 | Yvonne Dalton t/a Dalton Financial Consultants 11 Bramley Court Castleknock Dublin 15 D15PRR2 | Investment Intermediary | 1 Oct 2014 | No | Investment Advice (a) Receiving and Transmitting (f) Deposit Agent/Broker | (a) Listed shares and bonds (c) Collective Investments (kk) Tracker Bonds (n) Personal Retirement Savings Accounts | |

Total number of Investment Business Firms: 990

The Handbook of Prudential Requirements for Investment Intermediaries became effective from 1 October 2014 and re-classified all Multi-Agency Intermediaries and Authorised Advisors as Investment Intermediaries. The authorisation/effective date is 1 October 2014, or later if firms were authorised after the introduction of the Handbook. If you have any queries on this register, please e-mail postauthorisations@centralbank.ie.